

# Transversity, Collins and Sivers Effects from COMPASS, HERMES and BELLE Data: New Global Analysis

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### XII Workshop on High Energy Spin Physics



In collaboration with M. Anselmino, M. Boglione, U. D'Alesio,  
F. Murgia, A. Kotzinian and C.Turk

# Outline of this talk

## 1 Introduction

## 2 Collins effect in SIDIS and $e^+e^-$ annihilation

- The model for Collins FF and transversity
- Description of the data & Predictions

## 3 Sivers effect in SIDIS

- The model for the Sivers function
- Description of the data & Predictions

## 4 Conclusions

# The fundamental distributions of partons inside a nucleon

## Unpolarised Distribution

$f_1(x)$  or  $q(x)$



Distribution of unpolarised partons in an unpolarised nucleon.  
Well known

## Helicity Distribution

$g_1(x)$  or  $\Delta q(x)$



Distribution of longitudinally polarised partons in a longitudinally polarised nucleon.

Known

## Transversity Distribution

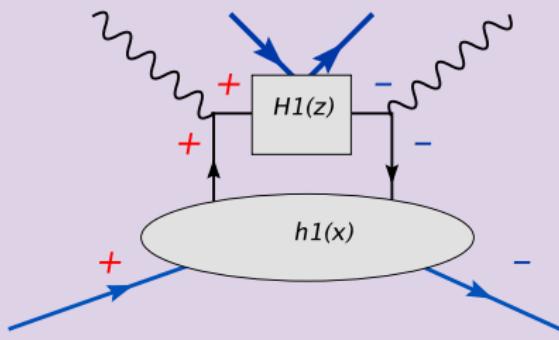
$h_1(x)$  or  $\Delta_T q(x)$



Distribution of transversely polarised quarks in a transversely polarised nucleon.  
Little known!  
HERMES and COMPASS  
first experimental measurements

# Transversity in SIDIS

Transversity in Semi inclusive Deep Inelastic Scattering  $IN \rightarrow I'hX$



Transversely polarised quark fragments into an unpolarised hadron:

$$D_{h/q^\uparrow}(z, \mathbf{p}_\perp) = D_{h/q}(z, |\mathbf{p}_\perp|) + \frac{1}{2} S_{q'} \cdot (\hat{p}_{q'} \times \hat{\mathbf{p}}_\perp) \Delta^N D_{h/q^\uparrow}(z, |\mathbf{p}_\perp|),$$

where  $\mathbf{p}_\perp$  is transverse momentum of produced hadron with respect to fragmenting quark  $\rightarrow$  non-perturbative effect.

# Collins FF

## Collins Fragmentation Function

There are two different notations for Collins FF:

$$D_{h/q^\dagger}(z, \mathbf{p}_\perp) = D_{h/q}(z, |\mathbf{p}_\perp|) + \frac{1}{2} S_{q'} \cdot (\hat{p}_{q'} \times \hat{\mathbf{p}}_\perp) \Delta^N D_{h/q^\dagger}(z, |\mathbf{p}_\perp|)$$

and

$$D_{h/q^\dagger}(z, \mathbf{p}_\perp) = D_{h/q}(z, |\mathbf{p}_\perp|) + \frac{S_{q'} \cdot (\hat{p}_{q'} \times \mathbf{p}_\perp)}{zM_\pi} H_1^{\perp q}(z, |\mathbf{p}_\perp|),$$

both  $\Delta^N D_{h/q^\dagger}(z, |\mathbf{p}_\perp|)$  and  $H_1^{\perp q}(z, |\mathbf{p}_\perp|)$  refer to Collins FF



# Collins FF

## Collins Fragmentation Function

There are two different notations for Collins FF:

$$D_{h/q^\dagger}(z, \mathbf{p}_\perp) = D_{h/q}(z, |\mathbf{p}_\perp|) + \frac{1}{2} S_{q'} \cdot (\hat{\mathbf{p}}_{q'} \times \hat{\mathbf{p}}_\perp) \Delta^N D_{h/q^\dagger}(z, |\mathbf{p}_\perp|)$$

and

$$D_{h/q^\dagger}(z, \mathbf{p}_\perp) = D_{h/q}(z, |\mathbf{p}_\perp|) + \frac{S_{q'} \cdot (\hat{\mathbf{p}}_{q'} \times \mathbf{p}_\perp)}{zM_\pi} H_1^{\perp q}(z, |\mathbf{p}_\perp|),$$

## Relation

$$\Delta^N D_{h/q^\dagger}(z, |\mathbf{p}_\perp|) = \frac{2|\mathbf{p}_\perp|}{zM_\pi} H_1^{\perp q}(z, |\mathbf{p}_\perp|).$$

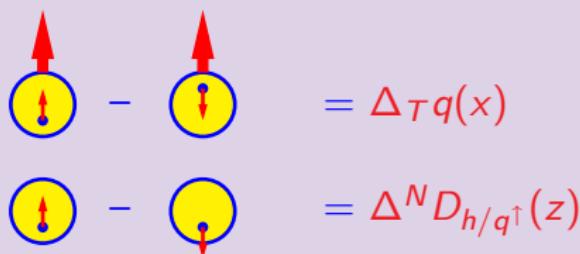
Trento conventions: A. Bacchetta, U. D'Alesio, M. Diehl, and C. A. Miller, Phys. Rev. **D70**, 117504 (2004).

# Collins effect

Collins effects  $A \propto \sin(\phi_h + \phi_S)$

The azimuthal asymmetry arises due to modulation in fragmentation function, the Collins function  $\Delta^N D_{h/q^\uparrow}(z, |\mathbf{p}_\perp|)$  couples to transversity  $\Delta_T q(x)$

$$A_N \sim \sin(\phi_h + \phi_S) \cdot \Delta_T q(x) \otimes \Delta^N D_{h/q^\uparrow}(z, |\mathbf{p}_\perp|)$$



J. C. Collins, *Nucl. Phys.* **B396** (1993) 161

# Collins effect

Collins effects  $A \propto \sin(\phi_h + \phi_S)$

$$A_{UT}^{\sin(\phi_h + \phi_S)}(x, z) \sim \frac{\sum_q e_q^2 x \Delta_T q(x) \Delta^N D_{h/q^\uparrow}(z)}{\sum_q e_q^2 x f_q(x) D_{h/q}(z)},$$

*Positivity constraints :*

$$|\Delta^N D_{h/q^\uparrow}(z, \mathbf{p}_\perp)| \leq 2 D_{h/q}(z, \mathbf{p}_\perp)$$

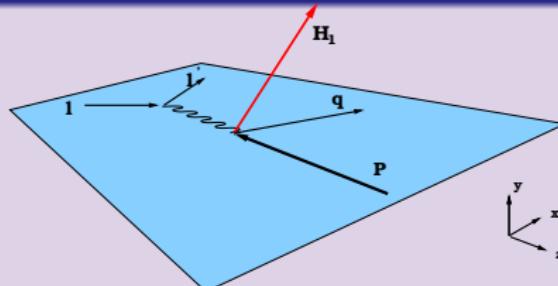
*Soffer bound :*

$$|\Delta_T q(x)| \leq \frac{1}{2} [f_{q/p}(x) + \Delta q(x)]$$

J. C. Collins, *Nucl. Phys.* **B396** (1993) 161

# SIDIS and $e^+e^-$ annihilation

SIDIS  $IN \rightarrow l' H_1 X$



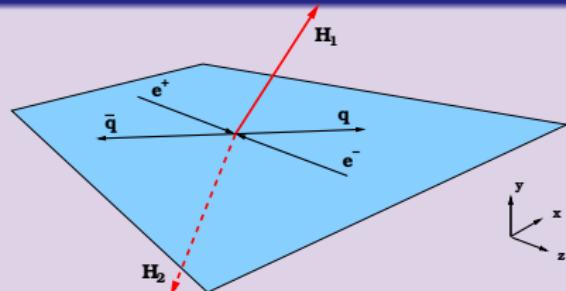
Collins effect gives rise to azimuthal Single Spin Asymmetry

$$\begin{array}{c} \uparrow \\ \text{Yellow circle with blue dot} \end{array} - \begin{array}{c} \uparrow \\ \text{Yellow circle with red dot} \end{array} = \Delta_T q(x, Q^2)$$
  

$$\begin{array}{c} \uparrow \\ \text{Yellow circle with blue dot} \end{array} - \begin{array}{c} \downarrow \\ \text{Yellow circle with red dot} \end{array} = \Delta^N D_{h/q^\uparrow}(z, Q^2)$$

J. C. Collins, *Nucl. Phys.* **B396** (1993) 161

$e^+e^- \rightarrow H_1 H_2 X$



Collins effect gives rise to azimuthal asymmetry,  $q$  and  $\bar{q}$  Collins functions are present in the process:

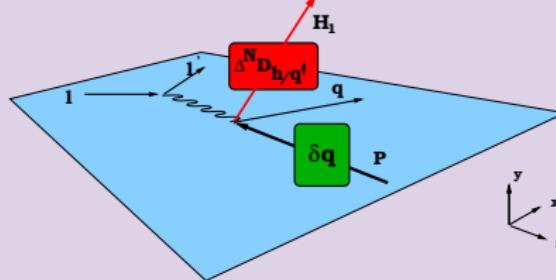
$$\Delta^N D_{h/q^\uparrow}(z_1, Q^2)$$

$$\Delta^N D_{h/\bar{q}^\uparrow}(z_2, Q^2)$$

D. Boer, R. Jacob and P. J. Mulders *Nucl. Phys.* **B504** (1997) 345

# SIDIS and $e^+e^-$ annihilation

SIDIS  $IN \rightarrow I'H_1X$

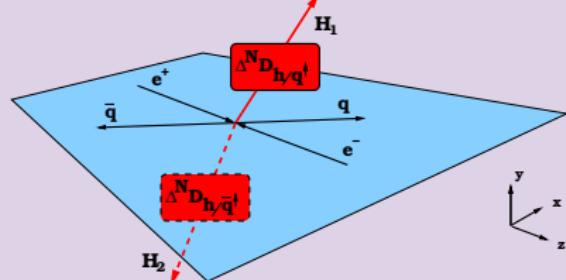


Cross Section  $\sim \sin(\phi_H + \phi_S) \cdot \Delta_T q(x, Q^2) \otimes \Delta^N D_{h/q^\dagger}(z, Q^2)$

?

$\Delta_T q(x, Q^2) \neq 0 ?$   
 $\Delta^N D_{h/q^\dagger}(z, Q^2) \neq 0 ?$

$e^+e^- \rightarrow H_1H_2X$



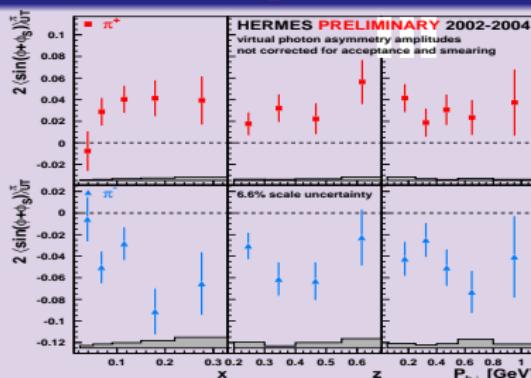
Cross Section  $\sim \cos(\phi_{H_1} + \phi_{H_2}) \cdot \Delta^N D_{h/q^\dagger}(z_1) \otimes \Delta^N D_{h/\bar{q}^\dagger}(z_2)$

?

$\Delta^N D_{h/q^\dagger}(z_1, Q^2) \neq 0 ?$   
 $\Delta^N D_{h/\bar{q}^\dagger}(z_2, Q^2) \neq 0 ?$

# SIDIS and $e^+e^-$ annihilation

SIDIS  $\mathcal{N} \rightarrow l' H_1 X$



HERMES, proton target,  
 $p_{lab} = 27.5$  (GeV)

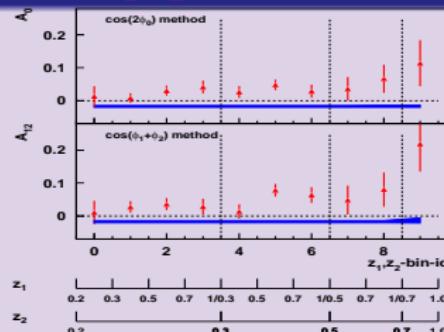
HERMES

$$\Delta_T q(x, Q^2) \neq 0 !$$

$$\Delta^N D_{h/q^\uparrow}(z, Q^2) \neq 0 !$$

HERMES Collaboration, A. Airapetian et al. Phys. Rev. Lett. **94** 94 (2005) 012002

$e^+e^- \rightarrow H_1 H_2 X$



BELLE,  $\sqrt{s} = 10.52$  (GeV),

BELLE

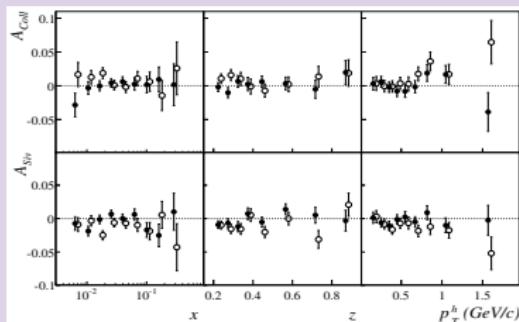
$$\Delta^N D_{h/q^\uparrow}(z_1, Q^2) \neq 0 !$$

$$\Delta^N D_{h/\bar{q}^\uparrow}(z_2, Q^2) \neq 0 !$$

Belle Collaboration,  
K. Abe et al., Phys. Rev. Lett. **96** (2006) 232002

# SIDIS and $e^+e^-$ annihilation

SIDIS  $\textcolor{red}{IN} \rightarrow I'H_1X$



COMPASS, **deuteron** target

$p_{lab} = 160$  (GeV)

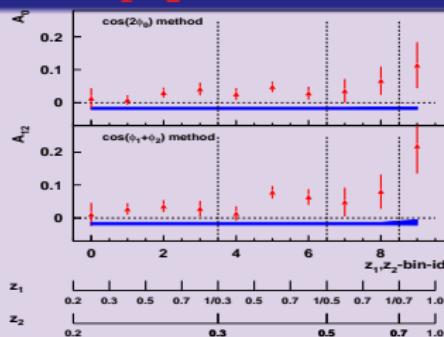
COMPASS

$\Delta_T q(x, Q^2) \neq 0 ?$

$\Delta^N D_{h/q^\uparrow}(z, Q^2) \neq 0 ?$

COMPASS Collaboration, E. S. Ageev *et al.*,  
Nucl. Phys. **B765**, 31 (2007).

$e^+e^- \rightarrow H_1H_2X$



BELLE,  $\sqrt{s} = 10.52$  (GeV),

BELLE

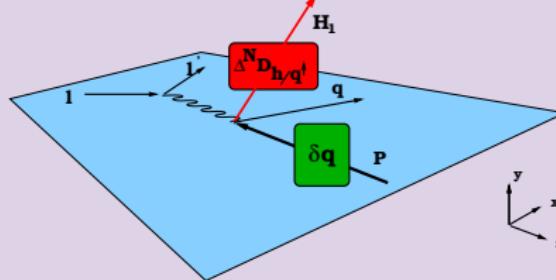
$\Delta^N D_{h/q^\uparrow}(z_1, Q^2) \neq 0 !$

$\Delta^N D_{h/\bar{q}^\uparrow}(z_2, Q^2) \neq 0 !$

Belle Collaboration,  
K. Abe *et al.*, Phys. Rev. Lett. **96** (2006) 232002

# SIDIS and $e^+e^-$ annihilation

SIDIS  $IN \rightarrow I'H_1X$

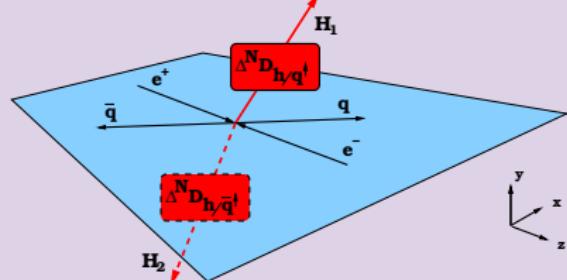


?

Are HERMES and COMPASS data compatible?

Fit HERMES & BELLE and check if we describe COMPASS data.

$e^+e^- \rightarrow H_1H_2X$



?

$\Delta^N D_{h/q^\dagger}^{SIDIS}(z) = \Delta^N D_{h/q^\dagger}^{e^+e^-}(z)$  ?

Fit simultaneously HERMES, COMPASS and BELLE data sets.

# Unpolarised distribution and fragmentation functions.

$f_{q/p}(x, k_\perp)$  and  $D_{h/q}(z, p_\perp)$  TMD distribution and fragmentation functions are used.

We assume the  $k_\perp$  and  $p_\perp$  dependences to be factorized in a Gaussian form

$$f_{q/p}(x, k_\perp) = f_{q/p}(x) \frac{e^{-k_\perp^2/\langle k_\perp^2 \rangle}}{\pi \langle k_\perp^2 \rangle}$$

$$D_{h/q}(z, p_\perp) = D_{h/q}(z) \frac{e^{-p_\perp^2/\langle p_\perp^2 \rangle}}{\pi \langle p_\perp^2 \rangle}$$

$$\langle k_\perp^2 \rangle = 0.25 \text{ (GeV}^2\text{)}$$

$$\langle p_\perp^2 \rangle = 0.2 \text{ (GeV}^2\text{)}$$

M. Anselmino, M. Boglione, U. D'Alesio, A. Kotzinian, F. Murgia, A. Prokudin,  
Phys. Rev. **D71**, 074006 (2005).

# Unpolarised distribution and fragmentation functions.

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We assume the  $k_\perp$  and  $p_\perp$  dependences to be factorized in a Gaussian form

## Distribution functions:

$f_{q/p}(x)$  GRV LO 1998

M. Gluck, E. Reya, and A. Vogt, Eur. Phys. J. **C5**, 461 (1998).

## Fragmentation functions:

$D_{h/q}(z)$  Kretzer

S. Kretzer, Phys. Rev. **D62**, 054001 (2000).

# Collins function

## Model for Collins FF

$\Delta^N D_{h/q^\uparrow}(z, |p_\perp|) \Rightarrow$  we use factorization of  $z$  and  $p_\perp$   
and Gaussian dependence on  $p_\perp$

$$\Delta^N D_{h/q^\uparrow}(z, p_\perp) = 2 \mathcal{N}_q^C(z) D_{h/q}(z) h(p_\perp) \frac{e^{-p_\perp^2/\langle p_\perp^2 \rangle}}{\pi \langle p_\perp^2 \rangle},$$

with

$$\mathcal{N}_q^C(z) = N_q^C z^\gamma (1-z)^\delta \frac{(\gamma + \delta)^{(\gamma + \delta)}}{\gamma^\gamma \delta^\delta}$$

$$h(p_\perp) = \sqrt{2e} \frac{p_\perp}{M} e^{-p_\perp^2/M^2},$$

where  $N_q^C$ ,  $\gamma$ ,  $\delta$ , and  $M$  are parameters.

# Collins function

## Model for Collins FF

$\Delta^N D_{h/q^\uparrow}(z, |p_\perp|) \Rightarrow$  we use factorization of  $z$  and  $p_\perp$   
and Gaussian dependence on  $p_\perp$

$$\Delta^N D_{h/q^\uparrow}(z, p_\perp) = 2 \mathcal{N}_q^C(z) D_{h/q}(z) h(p_\perp) \frac{e^{-p_\perp^2/\langle p_\perp^2 \rangle}}{\pi \langle p_\perp^2 \rangle},$$

with

$$\begin{aligned} \mathcal{N}_q^C(z) &\leq 1 \\ h(p_\perp) &\leq 1 \end{aligned}$$

positivity constraint  $|\Delta^N D_{h/q^\uparrow}(z, p_\perp)| \leq 2 D_{h/q}(z, p_\perp)$  is fulfilled.

# Transversity

$$\Delta_T q(x, \mathbf{k}_\perp) = \frac{1}{2} \mathcal{N}_q^T(x) [f_{q/p}(x) + \Delta q(x)] \frac{e^{-k_\perp^2/\langle k_\perp^2 \rangle_T}}{\pi \langle k_\perp^2 \rangle_T},$$

where

$$\mathcal{N}_q^T(x) = N_q^T x^\alpha (1-x)^\beta \frac{(\alpha + \beta)^{(\alpha + \beta)}}{\alpha^\alpha \beta^\beta},$$

$N_q^T$ ,  $\alpha$ ,  $\beta$  and  $\langle k_\perp^2 \rangle_T$  are parameters.

$$\mathcal{N}_q^T(x) \leq 1$$

thus Soffer bound

$$|\Delta_T q(x)| \leq \frac{1}{2} [f_{q/p}(x) + \Delta q(x)]$$

is fulfilled.

# Description of $A_{UT}^{\sin(\phi_h+\phi_s)}$

We use HERMES and COMPASS data sets on  $A_{UT}^{\sin(\phi_h+\phi_s)}$  in the fitting procedure, we use one of the two sets of data from BELLE corresponding to either  $\cos(\varphi_1 + \varphi_2)$  or  $\cos(2\varphi_0)$  extraction method.

Favored and unfavored fragmentation functions are defined as follows:

$$D^{fav}(z) \equiv D^{u \rightarrow \pi^+}(z) = D^{d \rightarrow \pi^-}(z) = D^{\bar{u} \rightarrow \pi^-}(z) = D^{\bar{d} \rightarrow \pi^+}(z)$$

$$D^{unfav}(z) \equiv D^{u \rightarrow \pi^-}(z) = D^{d \rightarrow \pi^+}(z) = D^{\bar{u} \rightarrow \pi^+}(z) = D^{\bar{d} \rightarrow \pi^-}(z)$$

HERMES Collaboration, L. Pappalardo *et al.*, in the proceedings of the XIV International Workshop on Deep Inelastic Scattering, Tsukuba city, Japan, April 20th - April 24th. (2006).

COMPASS Collaboration, E. S. Ageev *et al.*, Nucl. Phys. **B765**, 31 (2007).

Belle Collaboration, R. Seidl *et al.*, Phys. Rev. Lett. **96**, 232002 (2006).

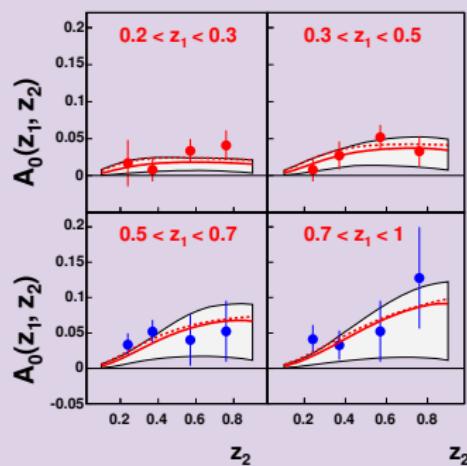
# Description of the data Anselmino et al Phys.Rev.D75:054032,2007

Table: FIT I  $\cos(\varphi_1 + \varphi_2)$  and FIT II  $\cos(\varphi_0)$  are within  $1\sigma$

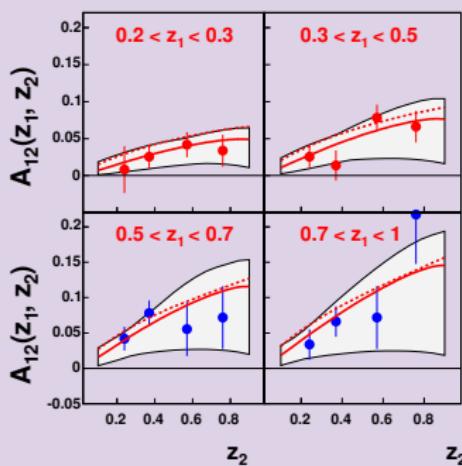
| Transversity |                    |   |                               |                    |                    |
|--------------|--------------------|---|-------------------------------|--------------------|--------------------|
| FIT I        | $N_u^T$            | = | $0.48 \pm 0.09$               | $N_d^T$            | = $-0.62 \pm 0.30$ |
| FIT II       | $N_u^T$            | = | $0.42 \pm 0.09$               | $N_d^T$            | = $-0.53 \pm 0.28$ |
| FIT I        | $\alpha$           | = | $1.14 \pm 0.68$               | $\beta$            | = $4.74 \pm 5.45$  |
| FIT II       | $\alpha$           | = | $1.20 \pm 0.83$               | $\beta$            | = $5.09 \pm 5.87$  |
| Collins FF   |                    |   |                               |                    |                    |
| FIT I        | $N_{\text{fav}}^C$ | = | $0.35 \pm 0.16$               | $N_{\text{unf}}^C$ | = $-0.85 \pm 0.36$ |
| FIT II       | $N_{\text{fav}}^C$ | = | $0.41 \pm 0.10$               | $N_{\text{unf}}^C$ | = $-0.99 \pm 1.24$ |
| FIT I        | $\gamma$           | = | $1.14 \pm 0.38$               | $\delta$           | = $0.14 \pm 0.36$  |
| FIT II       | $\gamma$           | = | $0.81 \pm 0.40$               | $\delta$           | = $0.02 \pm 0.37$  |
| FIT I        | $M^2$              | = | $0.70 \pm 0.65 \text{ GeV}^2$ |                    |                    |
| FIT II       | $M^2$              | = | $0.88 \pm 1.15 \text{ GeV}^2$ |                    |                    |

# Description of BELLE data

BELLE  $\cos(\varphi_0)$



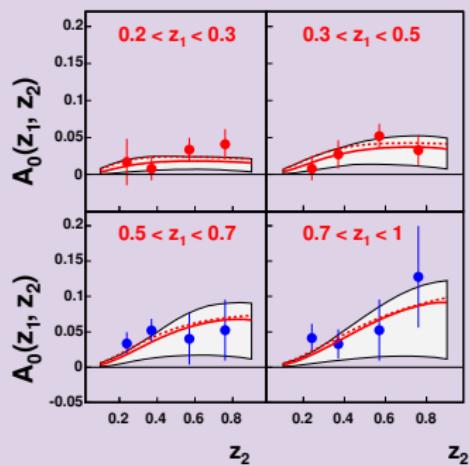
BELLE  $\cos(\varphi_1 + \varphi_2)$



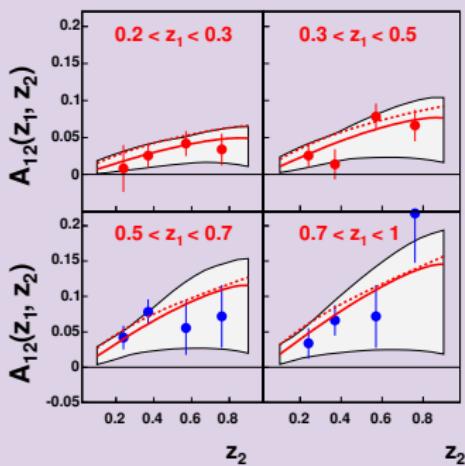
Solid line corresponds to FIT II, dashed line corresponds to FIT I

# Description of BELLE data

BELLE  $\cos(\varphi_0)$



BELLE  $\cos(\varphi_1 + \varphi_2)$

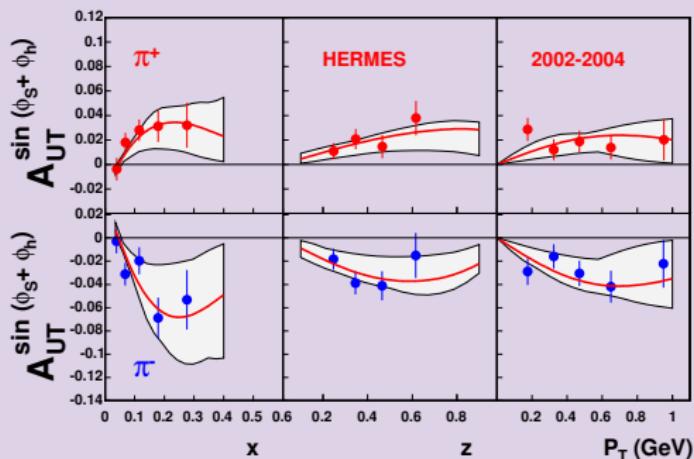


FIT I and FIT II are compatible

# Description of HERMES data $A_{UT}^{\sin(\phi_h+\phi_s)}$

HERMES  $A_{UT}^{\sin(\phi_h+\phi_s)}$

$ep \rightarrow e\pi X$ ,  $p_{lab} = 27.57$  GeV.

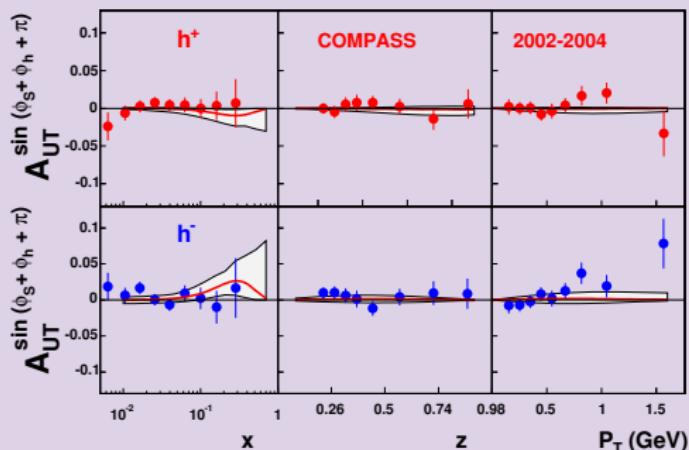


HERMES Collaboration, L. Pappalardo *et al.*, in the proceedings of the XIV International Workshop on Deep Inelastic Scattering, Tsukuba city, Japan, April 20th - April 24th. (2006).

# Description of COMPASS data $A_{UT}^{\sin(\phi_h + \phi_s + \pi)}$

COMPASS  $A_{UT}^{\sin(\phi_h + \phi_s + \pi)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.

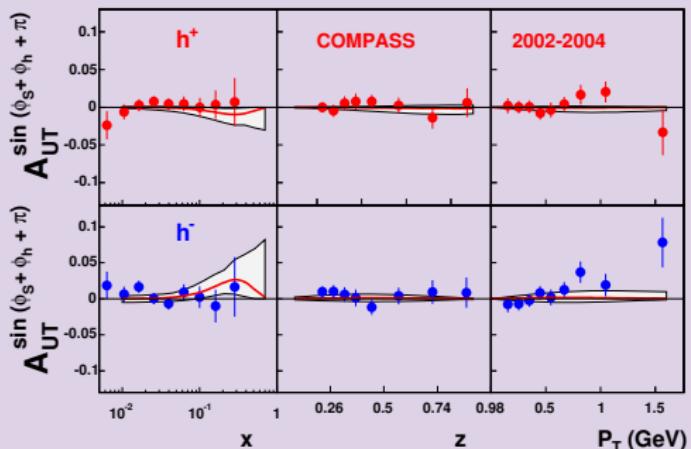


COMPASS Collaboration, E. S. Ageev *et al.*, Nucl. Phys. **B765**, 31 (2007).

# Description of COMPASS data $A_{UT}^{\sin(\phi_h + \phi_S + \pi)}$

COMPASS  $A_{UT}^{\sin(\phi_h + \phi_S + \pi)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.

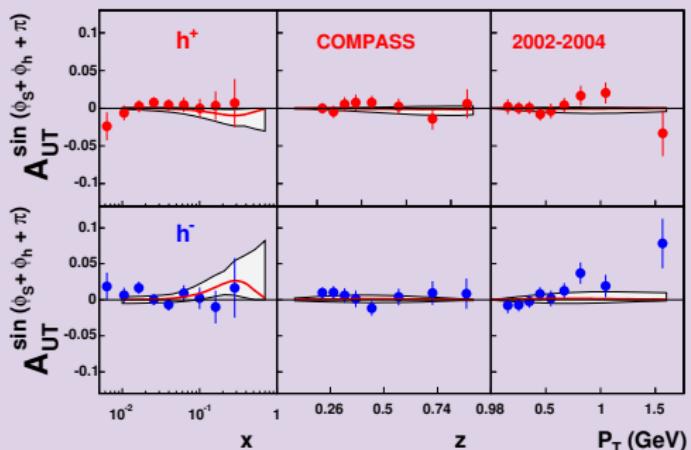


Why  $A_{UT}^{\sin(\phi_h + \phi_S + \pi)} \sim 0$ ? One of the reasons is that  $\langle x \rangle \sim 0.03$  ( $\langle x \rangle_{HERMES} \sim 0.1$ ) is very small and  $\Delta_T q(x) \rightarrow 0$ .

# Description of COMPASS data $A_{UT}^{\sin(\phi_h + \phi_S + \pi)}$

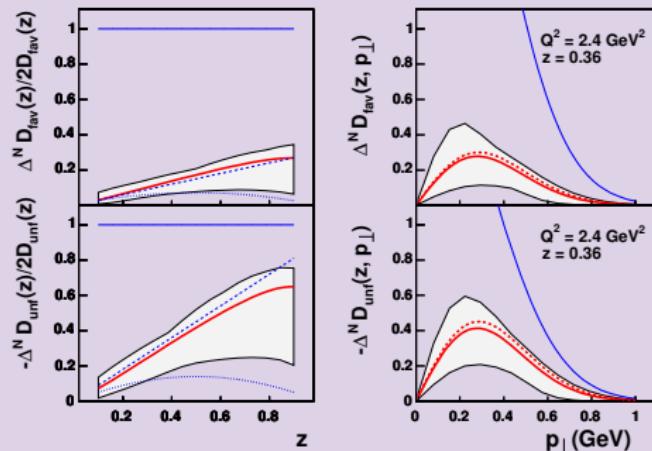
COMPASS  $A_{UT}^{\sin(\phi_h + \phi_S + \pi)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.



But deuteron target allows us to fit  $\Delta_T d(x)$  as combination of  $\Delta_T u(x) + \Delta_T d(x)$  enters into the asymmetry.

# Collins fragmentation function

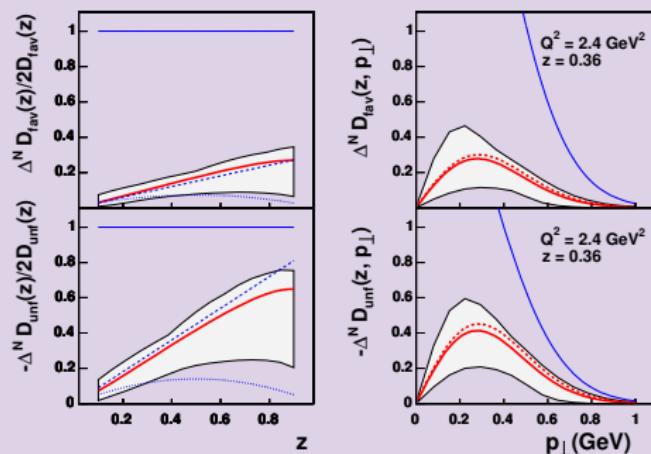


compared to Ref. [1] (dashed line) and Ref. [2] (dotted line)

[1] A. V. Efremov, K. Goeke, and P. Schweitzer, Phys. Rev. **D73**, 094025 (2006).

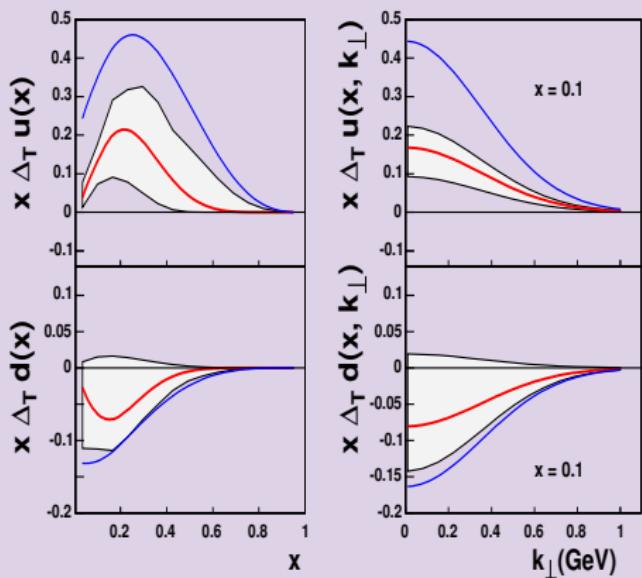
[2] W. Vogelsang and F. Yuan, Phys. Rev. **D72**, 054028 (2005).

# Collins fragmentation function



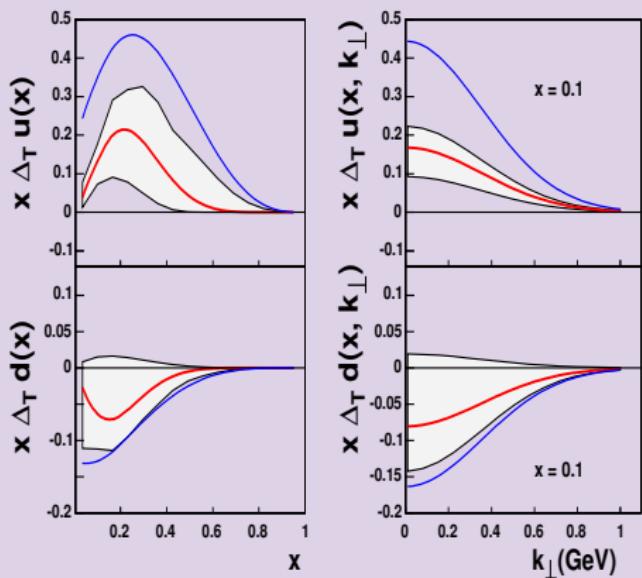
Right panel: solid line corresponds to **FIT II**, dashed line corresponds to **FIT I**

# Transversity



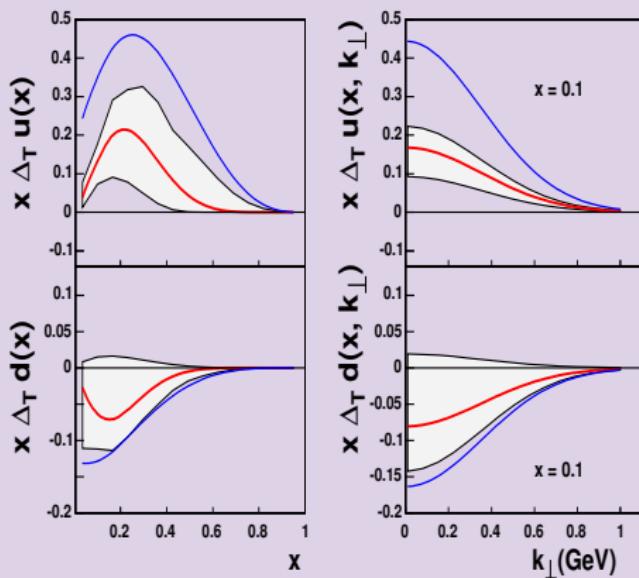
- This is the first extraction of **transversity** from experimental data.
  - $\Delta_T u(x) > 0$  and  $\Delta_T d(x) < 0$
  - Both  $\Delta_T u(x)$  and  $\Delta_T d(x)$  do not saturate Soffer bound.
  - HERMES data alone fixes well  $\Delta_T u(x)$  while HERMES+COMPASS allows us to extract  $\Delta_T d(x)$ .

# Transversity



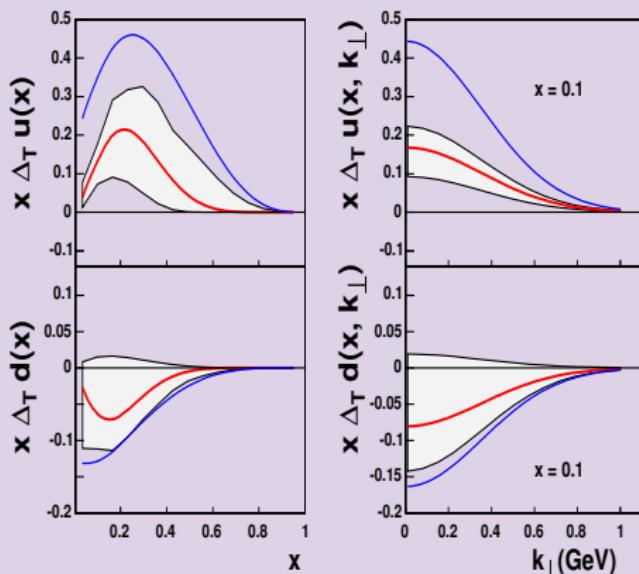
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# Transversity



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- Both  $\Delta_T u(x)$  and  $\Delta_T d(x)$  do not saturate Soffer bound.
- HERMES data alone fixes well  $\Delta_T u(x)$  while HERMES+COMPASS allows us to extract  $\Delta_T d(x)$ .

# Transversity

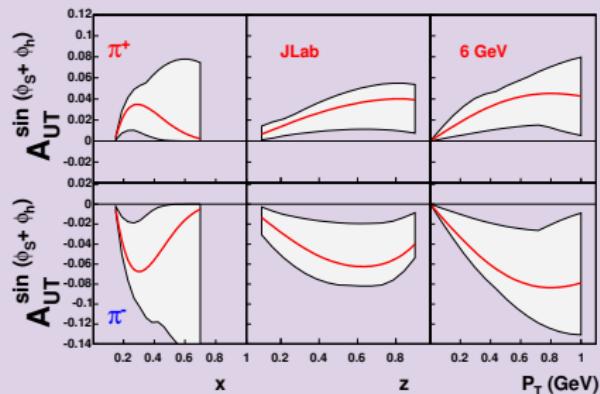


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# PREDICTIONS

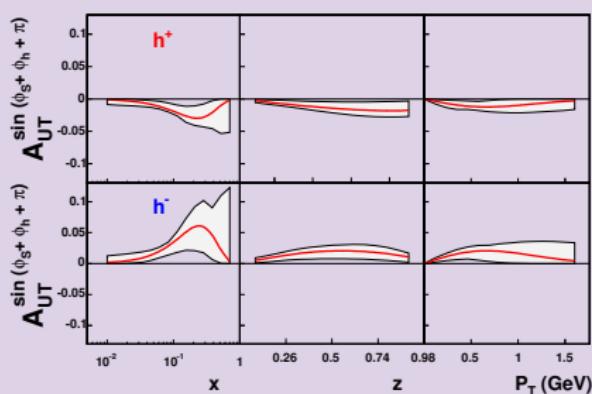
JLab

$ep \rightarrow e\pi X$ ,  $p_{lab} = 6$  GeV.



COMPASS

$\mu p \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.

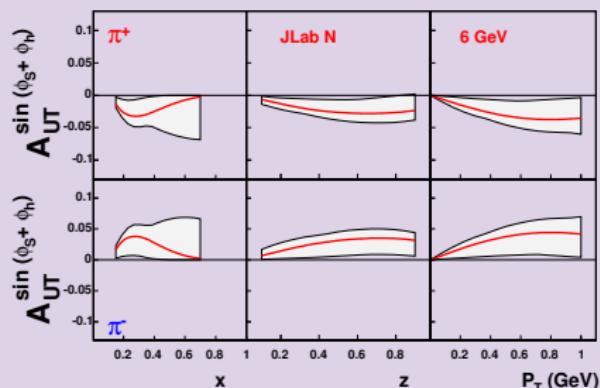


JLab can improve our knowledge of transversity in high  $x$  region.  
COMPASS operating on proton target is expected to measure 5% asymmetry at  $x \sim 0.2$

# PREDICTIONS

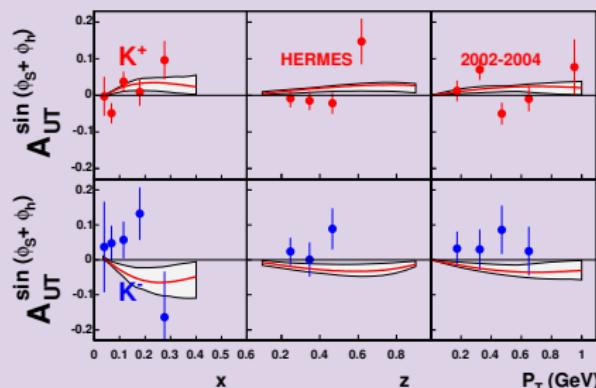
JLab

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HERMES

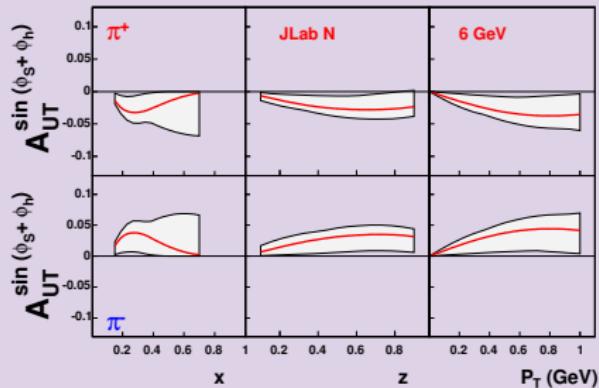
$ep \rightarrow eKX$ ,  $p_{lab} = 27.57$  GeV.



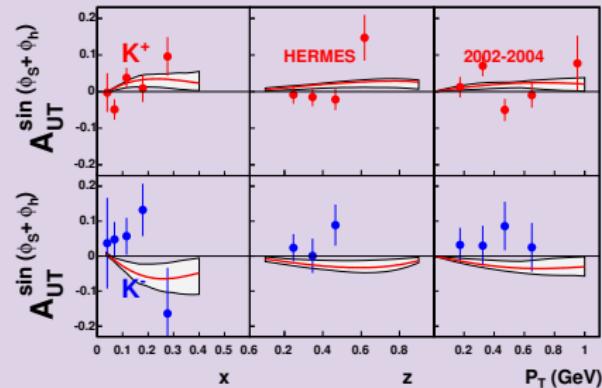
JLab can improve our knowledge of  $\Delta_T d(x)$  transversity using neutron target. Prediction of the model are compatible with Kaon data from HERMES.

# PREDICTIONS

JLab

 $eN \rightarrow e\pi X, p_{lab} = 6 \text{ GeV.}$ 


HERMES

 $ep \rightarrow eKX, p_{lab} = 27.57 \text{ GeV.}$ 


$$A_{UT}^{sin(\phi_h + \phi_S)}|_{proton} \sim 4\Delta_T u(x)\Delta^N D_{h/u^\dagger}(z) + \Delta_T d(x)\Delta^N D_{h/d^\dagger}(z)$$

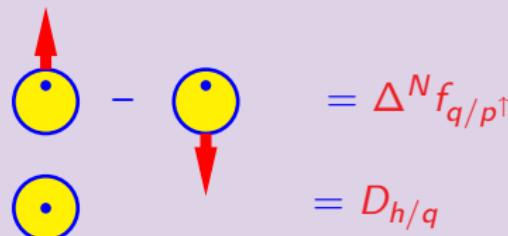
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# Sivers effect

$$\text{Sivers effect } A \propto \sin(\phi_h - \phi_s)$$

The azimuthal asymmetry arises due to modulation in parton density, the so called Sivers function  $\Delta^N f_{q/p^\uparrow}$  is the difference of parton distributions in a polarized hadron.

$$A_N \sim \sin(\phi_h - \phi_s) \cdot \Delta^N f_{q/p^\uparrow}(x, k_\perp) \otimes D_{h/q}(z)$$



D. Sivers, *Phys. Rev. D***41**(1990) 83

# Sivers effect

Sivers effect  $A \propto \sin(\phi_h - \phi_S)$

$$A_{UT}^{\sin(\phi_h - \phi_S)}(x, z) \sim \frac{\sum_q e_q^2 x z \Delta^N f_{q/p^\uparrow}(x) D_{h/q}(z)}{\sum_q e_q^2 x f_q(x) D_{h/q}(z)},$$

*Positivity constraints :*

$$|\Delta^N f_{q/p^\uparrow}(x, \mathbf{k}_\perp)| \leq 2f_q(x, \mathbf{k}_\perp)$$

Two different notations:

$$\begin{aligned} f_{q/p^\uparrow}(x, \mathbf{k}_\perp) &= f_{q/p}(x, \mathbf{k}_\perp) + \frac{1}{2} \Delta^N f_{q/p^\uparrow}(x, \mathbf{k}_\perp) \mathbf{S}_T \cdot (\hat{\mathbf{P}} \times \hat{\mathbf{k}}_\perp) \\ &= f_{q/p}(x, \mathbf{k}_\perp) - f_{1T}^{\perp q}(x, \mathbf{k}_\perp) \frac{\mathbf{S}_T \cdot (\hat{\mathbf{P}} \times \mathbf{k}_\perp)}{m_p}, \end{aligned}$$

# Sivers effect

Sivers effect  $A \propto \sin(\phi_h - \phi_S)$

$$A_{UT}^{\sin(\phi_h - \phi_S)}(x, z) \sim \frac{\sum_q e_q^2 x z \Delta^N f_{q/p^\uparrow}(x) D_{h/q}(z)}{\sum_q e_q^2 x f_q(x) D_{h/q}(z)},$$

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$$|\Delta^N f_{q/p^\uparrow}(x, k_\perp)| \leq 2f_q(x, k_\perp)$$

Two different notations:

## Relation

$$\Delta^N f_{q/p^\uparrow}(x, k_\perp) = -\frac{2|k_\perp|}{m_p} f_{1T}^{\perp q}(x, k_\perp).$$

Trento conventions: A. Bacchetta, U. D'Alesio, M. Diehl, and C. A. Miller, Phys. Rev. **D70**, 117504 (2004).

# Sivers function

## Model for Sivers function

$\Delta^N f_{q/p^\uparrow}(x, k_\perp) \Rightarrow$  we use factorization of  $x$  and  $k_\perp$   
and Gaussian dependence on  $k_\perp$

$$\Delta^N f_{q/p^\uparrow}(x, k_\perp) = 2 \mathcal{N}_q(x) f_q(x) h(k_\perp) \frac{e^{-p_\perp^2/\langle k_\perp^2 \rangle}}{\pi \langle k_\perp^2 \rangle},$$

with

$$\begin{aligned} \mathcal{N}_q(x) &= N_q x^{a_q} (1-x)^{b_q} \frac{(a_q + b_q)^{(a_q + b_q)}}{a_q^{a_q} b_q^{b_q}} \\ h(k_\perp) &= \sqrt{2e} \frac{k_\perp}{M'} e^{-k_\perp^2/M'^2}, \end{aligned}$$

where  $N_q$ ,  $a_q$ ,  $b_q$ , and  $M'$  are parameters.

# Sivers function

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with

$$\mathcal{N}_q(x) \leq 1$$

$$h(k_\perp) \leq 1$$

positivity constraint  $|\Delta^N f_{q/p^\uparrow}(x, k_\perp)| \leq 2 f_q(x, k_\perp)$  is fulfilled.

# Description of $A_{UT}^{\sin(\phi_h - \phi_s)}$

We use HERMES and COMPASS data sets on  $A_{UT}^{\sin(\phi_h - \phi_s)}$  in the fitting procedure.

*u*, *d* and *sea* Sivers functions are fitted.

For sea Sivers functions we use

$$\Delta^N f_{\bar{u}/p^\uparrow}(x, k_\perp), \Delta^N f_{\bar{d}/p^\uparrow}(x, k_\perp), \Delta^N f_{s/p^\uparrow}(x, k_\perp), \Delta^N f_{\bar{s}/p^\uparrow}(x, k_\perp).$$

HERMES Collaboration, Diefenthaler M., HERMES measurements of Collins and Sivers asymmetries from a transversely polarised hydrogen target, arXiv:0706.2242  
COMPASS Collaboration, Martin A. COMPASS results on transverse single-spin asymmetries Czech. J. Phys. **B56**, F33-F52 (2006).

# Description of the data

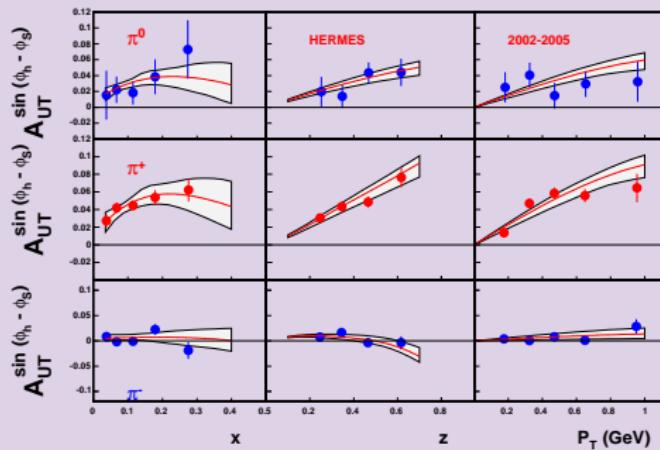
Table: Best values of the free parameters for the  $u$ ,  $d$  and  $sea$  Sivers functions.

|                    |                             | $\chi^2/\text{d.o.f.}$ | =                         | 1.  |
|--------------------|-----------------------------|------------------------|---------------------------|---|
| $u$                | $N_u$                       | =                      | $0.33^{+0.062}_{-0.067}$  |   |
| Sivers<br>function | $a_u$                       | =                      | $0.58^{+0.86}_{-0.46}$    | $b_u = 2.6^{+4.4}_{-2.3}$                   |
| $d$                | $N_d$                       | =                      | $-1.00^{+0.004}_{-0.000}$ |   |
| Sivers<br>function | $a_d$                       | =                      | $0.75^{+0.65}_{-0.36}$    | $b_d = 1.1^{+2.5}_{-0.92}$                  |
| $sea$              | $N_{\bar{u}}$               | =                      | $0.005^{+0.24}_{-0.15}$   | $N_{\bar{d}} = -0.36^{+0.39}_{-0.51}$       |
| Sivers<br>function | $a_{sea}$                   | =                      | $-0.19^{+0.61}_{-0.74}$   | $N_{\bar{s}} = 1.00^{+0}_{-0.00059}$        |
|                    |                             |                        |                           | $b_{sea} = 11^{+31}_{-11}$                  |
|                    | $\langle k_\perp^2 \rangle$ | =                      | $0.25 \text{ GeV}^2$      | $M'^2 = 0.41^{+0.41}_{-0.18} \text{ GeV}^2$ |

# Description of HERMES data $A_{UT}^{\sin(\phi_h - \phi_s)}$

HERMES  $A_{UT}^{\sin(\phi_h - \phi_s)}$

$ep \rightarrow e\pi X$ ,  $p_{lab} = 27.57$  GeV.

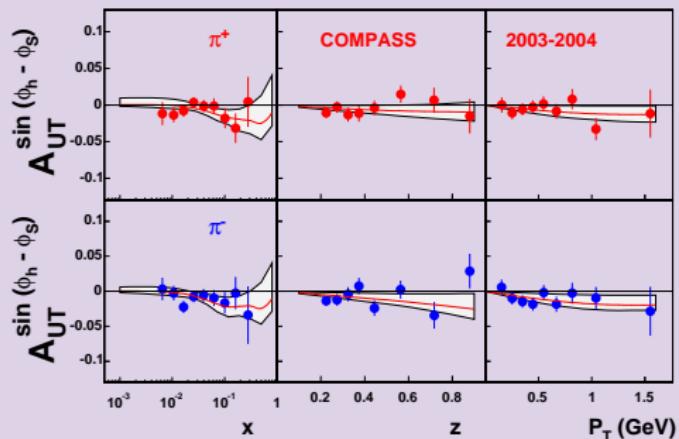


HERMES Collaboration, Diefenthaler M., HERMES measurements of Collins and Sivers asymmetries from a transversely polarised hydrogen target, arXiv:0706.2242

# Description of COMPASS data $A_{UT}^{\sin(\phi_h - \phi_s)}$

COMPASS  $A_{UT}^{\sin(\phi_h - \phi_s)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.

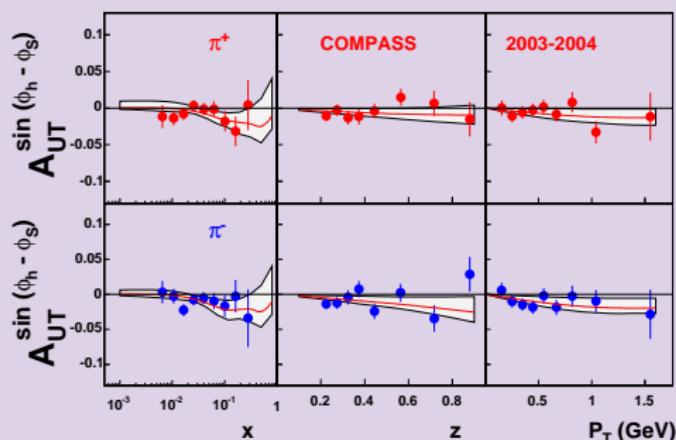


COMPASS Collaboration, Martin A. COMPASS results on transverse single-spin asymmetries Czech. J. Phys. **B56**, F33-F52 (2006).

# Description of COMPASS data $A_{UT}^{\sin(\phi_h - \phi_s)}$

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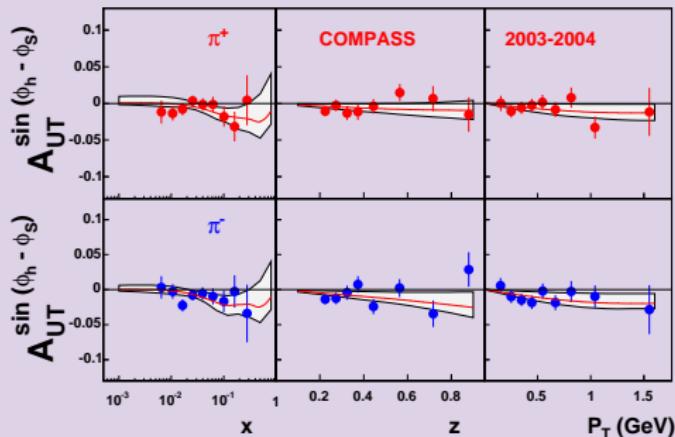


Why  $A_{UT}^{\sin(\phi_h - \phi_s)} \sim 0$ ?

# Description of COMPASS data $A_{UT}^{\sin(\phi_h - \phi_s)}$

COMPASS  $A_{UT}^{\sin(\phi_h - \phi_s)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.

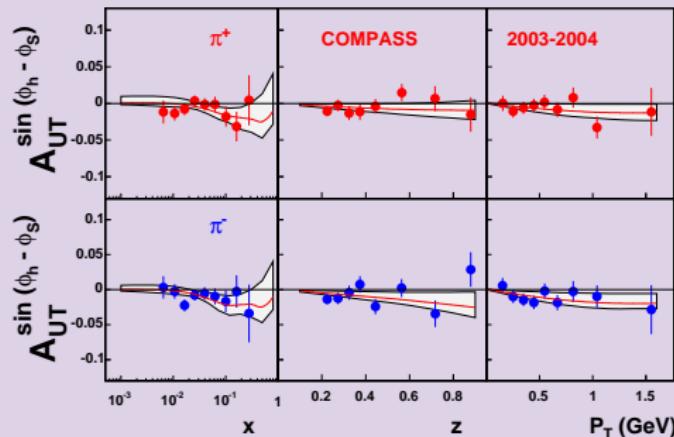


$$\left( A_{UT}^{\sin(\phi_h - \phi_s)} \right)_{\text{hydrogen}} \sim 4 \Delta N f_{u/p^\uparrow} D_u^h + \Delta N f_{d/p^\uparrow} D_d^h$$

# Description of COMPASS data $A_{UT}^{\sin(\phi_h - \phi_s)}$

COMPASS  $A_{UT}^{\sin(\phi_h - \phi_s)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.

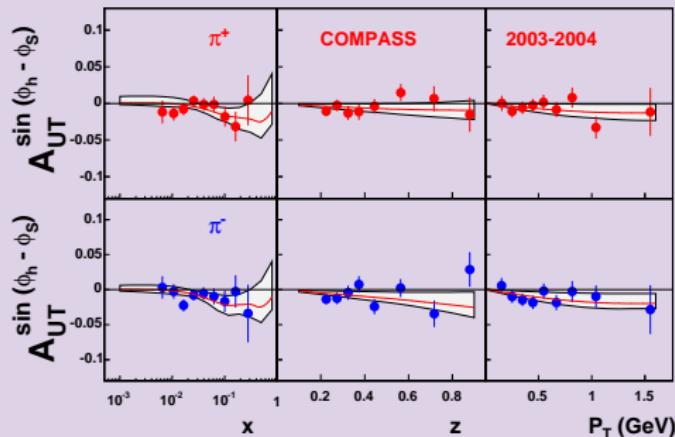


$$\left( A_{UT}^{\sin(\phi_h - \phi_s)} \right)_{\text{deuterium}} \sim \left( \Delta^N f_{u/p^\uparrow} + \Delta^N f_{d/p^\uparrow} \right) \left( 4 D_u^h + D_d^h \right)$$

# Description of COMPASS data $A_{UT}^{\sin(\phi_h - \phi_s)}$

COMPASS  $A_{UT}^{\sin(\phi_h - \phi_s)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.

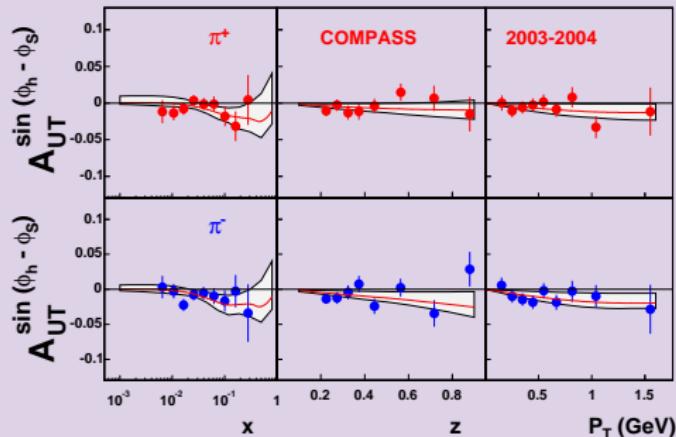


$$\left( A_{UT}^{\sin(\phi_h - \phi_s)} \right)_{\text{deuterium}} \sim \left( \Delta^N f_{u/p^\uparrow} + \Delta^N f_{d/p^\uparrow} \right) \sim 0$$

# Description of COMPASS data $A_{UT}^{\sin(\phi_h - \phi_s)}$

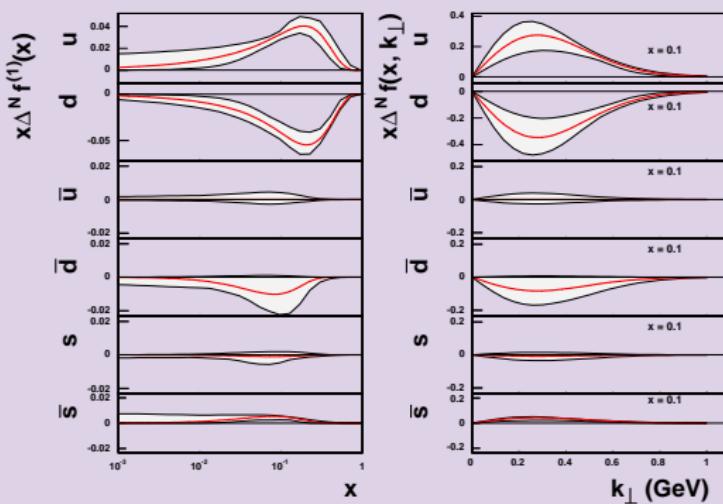
COMPASS  $A_{UT}^{\sin(\phi_h - \phi_s)}$

$\mu D \rightarrow \mu h X$ ,  $p_{lab} = 160$  GeV.



But deuteron target allows us to fit better  $\Delta N f_{d/p^\uparrow}$  as combination of  $\Delta N f_{u/p^\uparrow} + \Delta N f_{d/p^\uparrow}$  enters into the asymmetry.

# Sivers function

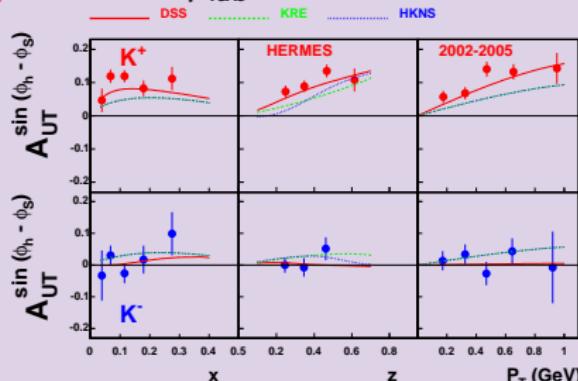


$$\Delta^N f_q^{(1)}(x) \equiv \int d^2 \mathbf{k}_\perp \frac{k_\perp}{4m_p} \Delta^N f_{q/p^\perp}(x, k_\perp) = -f_{1T}^{\perp(1)q}(x).$$

# KAON HERMES AND COMPASS DATA

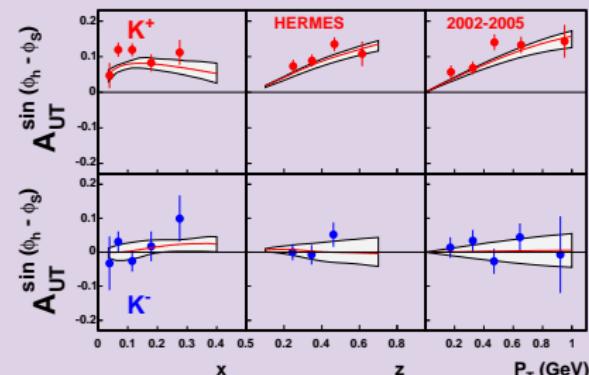
## HERMES

$ep \rightarrow eKX$ ,  $p_{lab} = 27.57$  GeV.



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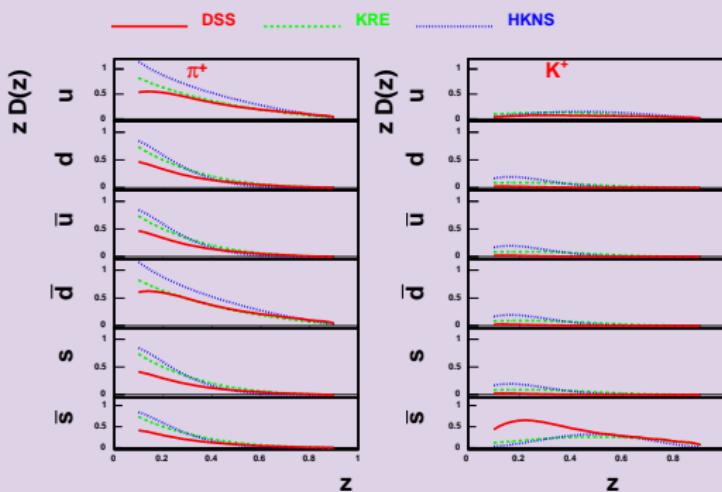


Kaon FF as given by De Florian *et al.* in Ref.

de Florian D., Sassot R., and Stratmann M. Phys. Rev. D75 114010 (2007)

(right panel) are compared the Kretzer (dotted lines) and HKNS set (dashed lines) of fragmentation functions (left panel).

# Fragmentation function

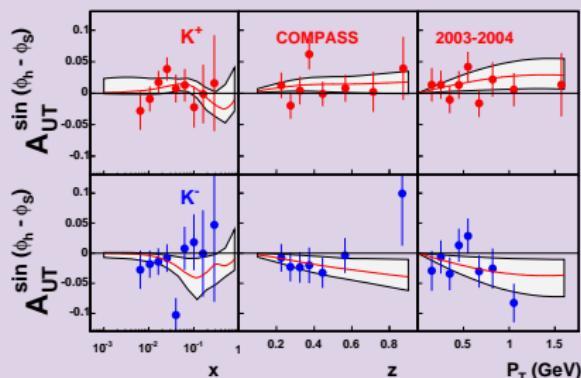


$K^+(u\bar{s})$ ,  $\pi^+(u\bar{d})$  thus knowledge of  $\bar{s} \rightarrow K^+$  FF is very important

# KAON HERMES AND COMPASS DATA

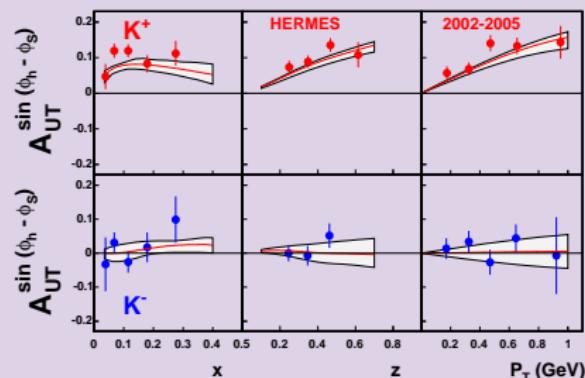
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$\mu D \rightarrow \mu KX$ ,  $p_{lab} = 160$  GeV.



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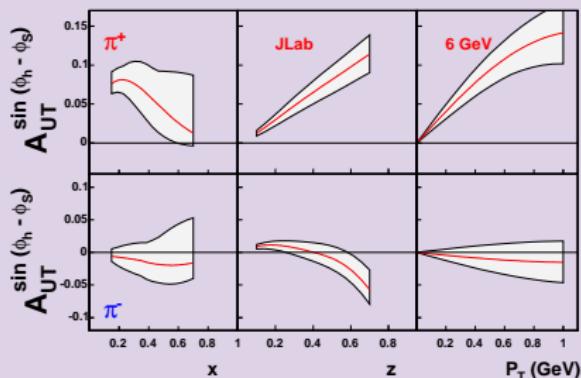


Model description of COMPASS and HERMES Kaon data.

# PREDICTIONS

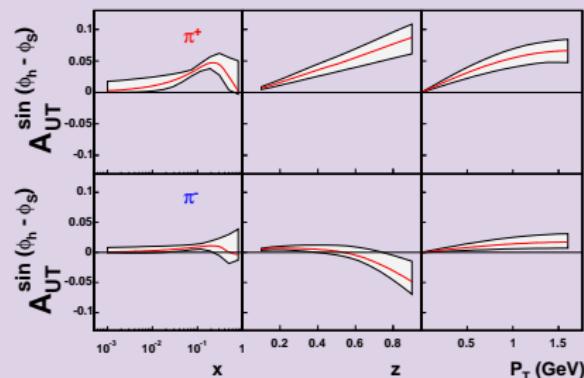
JLab

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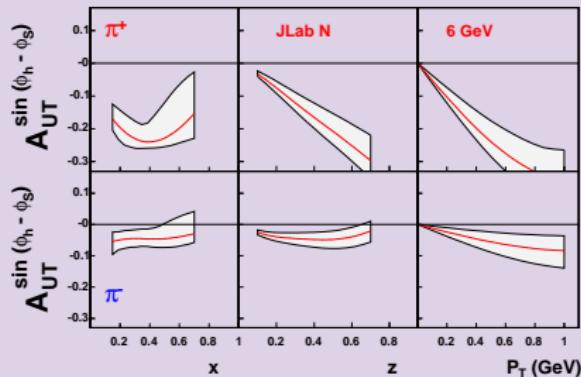


JLab can improve our knowledge of Sivers function in high  $x$  region. COMPASS operating on proton target is expected to measure 5% asymmetry for  $h^+$ .

# PREDICTIONS

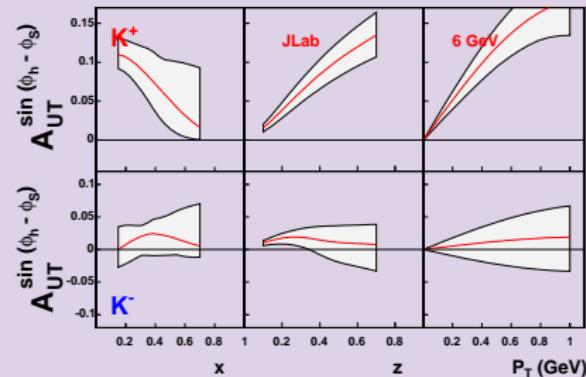
JLab

$eN \rightarrow e\pi X$ ,  $p_{lab} = 6$  GeV.



JLab

$ep \rightarrow eKX$ ,  $p_{lab} = 6$  GeV.



JLab can improve our knowledge of  $\Delta f_{d/p^\uparrow}$  using neutron target.

# CONCLUSIONS

- First extraction of transversity for  $u$  and  $d$  quarks,  $\Delta_T u(x)$  and  $\Delta_T d(x)$ , from HERMES, COMPASS and BELLE data is presented.
- Transversity  $\Delta_T q(x)$  is found not to saturate Soffer bound  $(q(x) + \Delta q(x))/2$ .  
 $\Delta_T u(x) > 0$  and  $\Delta_T d(x) < 0$
- Estimates of the Collins fragmentation functions for favoured and unfavoured fragmentation have been obtained.  
 $\Delta^N D_h^{fav}(z, |p_\perp|) > 0$  and  $\Delta^N D_h^{unf}(z, |p_\perp|) < 0$
- Sivers functions for  $u$ ,  $d$  and sea quarks are extracted from HERMES and COMPASS data.
- Predictions for Collins and Sivers asymmetries at JLab and COMPASS (with the proton target) are presented and expected to be sizable.

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**THANK YOU!**

- $\Delta^N D_h^{tav}(z, |p_\perp|) > 0$  and  $\Delta^N D_h^{unt}(z, |p_\perp|) < 0$
- Sivers functions for  $u$ ,  $d$  and sea quarks are extracted from HERMES and COMPASS data.
  - Predictions for Collins and Sivers asymmetries at JLab and COMPASS (with the proton target) are presented and expected to be sizable.