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4
5 **Letter of Intent.**

6 *Nec sine te, nec tecum vivere possum. (Ovid)**

7
8 **Spin Physics Experiments at NICA-SPD with polarized**
9 **proton and deuteron beams.**

10
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31 *Neither without you, nor with you one can live.

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1. Introduction

Main parts of this Letter of Intent (LoI) are related to the studies of the nucleon structure. The beginning of the nucleon structure story refers to the early 50-ties of the 20th century when in the famous Hofstadter's experiments at SLAC the proton electromagnetic form factor was measured determining thus the proton radius of $\langle r_p \rangle = (0.74 \pm 0.24) \cdot 10^{-13}$ cm. It means that the proton is not an elementary particle but the object with an internal structure. Later on, again at SLAC, the point-like *constituents* have been discovered in the proton and called *partons*. After some time, in 1970-ties, partons were identified with *quarks* suggested early by Gell-Mann as structure-less constituents of all hadrons. Three families of quarks, each containing two quarks and anti-quarks, are now the basic elements of the Standard Model (SM) of elementary particle structure. All six quarks are discovered.

The naïve quark-parton model (*QPM*) of nucleons, i.e. of the proton and neutron, has been born. According to this model, the proton (neutron) consisted of three spin1/2 *valence quarks*: two (one) of the *u*-type and one (two) of the *d*-type with a charge of $(+2/3)e$ and $(-1/3)e$, respectively, where e is the charge of the electron. Quarks interact between themselves by *gluon* exchange. Gluons are also the nucleons constituents. Gluons can produce a *sea* of any type (*flavor*) quark-antiquark pairs. Partons share between themselves fractions, x , of the total nucleon momentum. Parton Distribution Functions (*PDFs*) are universal characteristics of the internal nucleon structure.

Now the quark-parton structure of nucleons and respectively the quark-parton model of nucleons are becoming more and more complicated. In Quantum Chromo Dynamics (QCD), PDFs depend not only on x , but also on Q^2 , four-momentum transfer (see below). Partons can have an internal momentum, k . A number of PDFs depends on the order of the QCD approximations. Therefore, the measurements of new collinear and Transverse Momentum Dependent (TMD) PDFs, the most of which are not discovered yet, are proposed in this LoI. Main ideas of this document have been discussed at the specialized International Workshops [1]. General organization of the text follows the Table of contents.

1.1. Basic (twist-2) PDFs of the nucleon.

There are three PDF, integrated over the possible internal transverse momentum of parton, k_T , characterizing the nucleon structure at the leading QCD order (twist-2). These PDFs are: the distribution of parton *density* in non-polarized (U) nucleon, $f_1(x, Q^2)$; the distribution of longitudinal polarization of quarks in longitudinally polarized (L) nucleon (*helicity*), $g_1(x, Q^2)$; and the distribution of transverse polarization of quarks in transversely polarized (T) nucleon (*transversity*), $h_1(x, Q^2)$. They are shown as diagonal terms in Fig.1.1 with the nucleon polarization (U, L, T) along the vertical direction and the quark polarization along the horizontal direction. The PDF $h_1(x, Q^2)$ is poorly studied. It is a chiral-odd function which can be measured in combination with another chiral-odd function. If one takes into account the possible transverse momentum of quarks, k_T , there will be five additional Transverse Momentum Dependent (TMD) PDFs which are functions of three variables: x, k_T, Q^2 . These TMD PDFs are: correlation between the transverse polarization of nucleon (transverse spin) and the transverse momentum of non-polarized quarks (*Sivers*), f_{1T}^\perp ; correlation between the transverse spin and the longitudinal quark polarization (*worm-gear-T*), g_{1T}^\perp ; distribution of the quark transverse momentum in the non-polarized nucleon (*Boer-Mulders*), h_{1T}^\perp ; correlation between the longitudinal polarization of the nucleon (longitudinal spin) and the transverse momentum of quarks (*worm-gear-L*), h_{1L}^\perp ; distribution of the transverse momentum of quarks in the transversely polarized nucleon (*pretzelocity*), h_{1T}^\perp . All new PDFs, except f_{1T}^\perp , are chiral-odd. The Sivers and Boer-Mulders PDFs are T-odd ones. At the sub-leading twist (twist-3), there are still 16 TMD PDFs containing the information on the nucleon structure. They have no definite physics interpretation. The PDFs

152 f_1 and g_1 are measured rather well (Section 1.2). The h_1 has been measured recently but is still
 153 poorly investigated. All TMD PDFs are currently studied (Section 1.3).
 154

	U	L	T	
U	f_1 Number Density		h_1^\perp Boer-Mulders	T-odd
L		g_1 Helicity	h_{1L}^\perp Worm-gear-L	
T	f_{1T}^\perp Sivers	g_{1T}^\perp Worm-gear-T	h_1 Transversity h_{1T}^\perp Pretziosity	chiral-odd

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 156

157 **Fig.1.1:** the twist-2 PDFs characterizing the nucleon structure.
 158

159 1.2. Deep Inelastic Scattering as a microscope for the nucleon structure study.

160 The PDF f_1 and g_1 .

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162 A powerful method to study the quark-parton structure of nucleons is the Deep Inelastic
 163 lepton-nucleon Scattering (DIS). High energy DIS of leptons off polarized nucleons also probes
 164 the polarization of quarks inside the polarized target and allows measuring the contribution of
 165 quarks to the spin of the nucleon. There are three types of DIS reactions:

- 166 - Inclusive (IDIS), when characteristics of incident (l), polarized or non-polarized, and scattered
 167 lepton (l') are known (measured): $l + N \rightarrow l' + X$, nucleon (N) can be polarized or not;
- 168 - Semi-inclusive (SIDIS), when, additionally to the above mentioned, characteristics of one or
 169 more the final state hadron (h) are known: $l + N \rightarrow l' + nh + X$, $n \geq 1$, and
- 170 - Exclusive (EDIS), initial and final states of the reaction are fully determined.

171 A quantitative characteristic of the IDIS reaction is a double differential cross section [2]. This
 172 cross section can be calculated theoretically assuming that the main contribution to it comes
 173 from the one-photon exchange process, represented by the Feynman diagram in Fig.1.2 (a).
 174 It is known that the one-photon exchange IDIS cross section is defined as

175

$$\bar{\sigma}_{one-photon} \equiv \frac{d^2 \bar{\sigma}^{S_l S_N}}{d\Omega dE'} = \left(\frac{4\alpha^2}{Q^4} \cdot \frac{E'}{E} \right) \cdot L_{\mu\nu} \cdot W^{\mu\nu}.$$

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The term in brackets characterizes the point-like interaction; $L_{\mu\nu}$ is the lepton current tensor
 representing the lepton vertex in Fig.1.2 (a) and $W^{\mu\nu}$ is the hadronic tensor amplitude
 characterizing the hadron structure. Each tensor has two parts, one of which (SIM) is
 independent of the spin orientations and the second one (ASIM) is spin-dependent:

180

$$L_{\mu\nu} = L_{\mu\nu}^{SIM} + iL_{\mu\nu}^{ASIM},$$

$$W^{\mu\nu} = W_{SIM}^{\mu\nu} + iW_{ASIM}^{\mu\nu}.$$

181

182 The form of $L_{\mu\nu}$ is exactly known from Quantum ElectroDynamics (QED). The hadronic tensor
 183 $W^{\mu\nu}$ is not calculated theoretically. It is a pure phenomenological quantity characterizing the
 184 nucleon structure. Theory tells us that, from the most common considerations, for
 185 electromagnetic interactions $W^{\mu\nu}$ should have the form:

186

$$W_{SIM}^{\mu\nu} = A_1^{\mu\nu}(q, q') \cdot W_1(Q^2, \nu) + A_2^{\mu\nu}(q, q') \cdot W_2(Q^2, \nu),$$

$$W_{ASIM}^{\mu\nu} = B_1^{\mu\nu}(q, q') \cdot G_1(Q^2, \nu) + B_2^{\mu\nu}(q, q') \cdot G_2(Q^2, \nu),$$

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where A_1, A_2, B_1 and B_2 are known kinematic expressions, $W_1(Q^2, \nu)$ and $W_2(Q^2, \nu)$ are spin independent and $G_1(Q^2, \nu)$ and $G_2(Q^2, \nu)$ are spin dependent structure functions representing the nucleon structure. In general, these structure functions should be functions of two independent variables - either (Q^2, ν) ; or (Q^2, x) ; or (x, y) , etc. Bjorken has assumed that in the DIS (scaling) limit ($Q^2, \nu \rightarrow \infty, x$ fixed), the structure functions became the functions of the only one (Bjorken) scaling variable x :

$$M \cdot W_1(Q^2, \nu) \rightarrow F_1(x),$$

$$\nu \cdot W_2(Q^2, \nu) \rightarrow F_2(x),$$

193

$$\nu M^2 \cdot G_1(Q^2, \nu) \rightarrow g_1(x),$$

$$\nu^2 M \cdot G_2(Q^2, \nu) \rightarrow g_2(x).$$

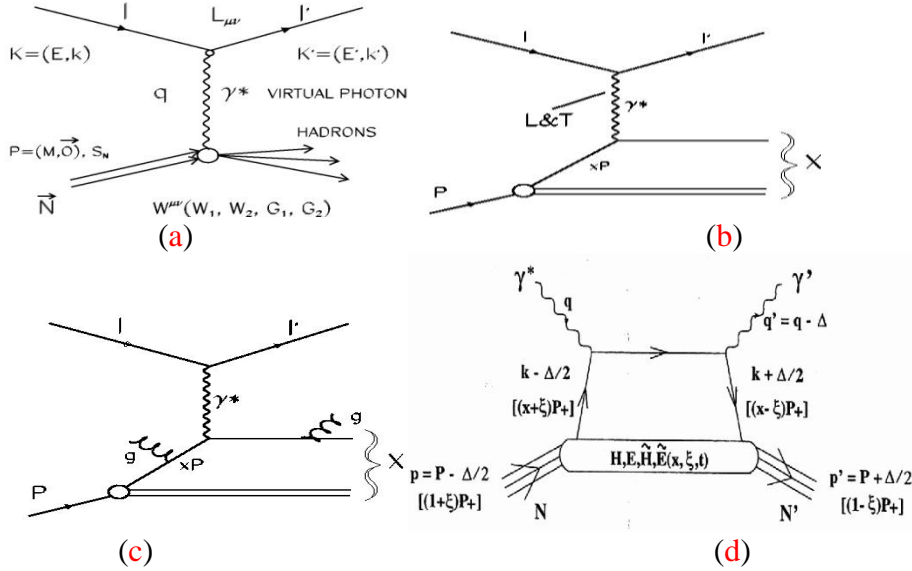
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But at the Q^2 of current experiments, this hypothesis is true only in the limited range of x .

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Fig.1.2: Feynman diagrams of DIS in one-photon exchange approximation:
 (a) IDIS. The virtual photon transfers a four momentum squared, Q^2 , and energy, ν , from the incident lepton to the nucleon. Variables: $-q^2 \equiv Q^2 = -(k - k')^2 = 4EE' \sin^2(\theta/2)$;

203

$$\nu = \frac{P \cdot Q}{M} = E - E'; \quad x = Q^2 / 2M\nu, \quad y = \nu / E$$

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(b) IDIS in QPM. The virtual photon is absorbed by the constituent quark carrying the fraction of the nucleon momentum x ;

(c) IDIS in QCD improved QPM. The quark absorbing the virtual photon can emit gluons before or after absorption;

(d) EDIS: the hand-bag diagram introducing Generalized Parton Distributions, GPD.

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211

Performing the calculations as prescribed above and summing over the spin orientations of scattered leptons, S_e , which are usually not known, one can get the cross section

212

$$\frac{d^2 \bar{\sigma}^{S_e S_N}}{d\Omega dE'} = \frac{d^2 \sigma^{ump}}{d\Omega dE'} + S_N S_e \frac{d^2 \sigma^{pol}}{d\Omega dE'},$$

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214

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where σ^{ump} (σ^{pol}) is the non-polarized (polarized) part of the cross section and $S_N = \pm 1$ is the orientation (helicity) of the nucleon spin. In the most commonly used notations the spin-independent part of the cross section, σ^{ump} , is expressed via two spin-independent structure

216 functions F_1 and F_2 :

$$217 \quad \frac{d^2 \sigma^{unp}}{dx dQ^2} = \frac{4\pi\alpha^2}{Q^2 x} \left[xy^2 \left(1 - \frac{2m_e^2}{Q^2}\right) F_1(x, Q^2) + \left(1 - y - \frac{\gamma^2 y^2}{4}\right) F_2(x, Q^2) \right].$$

218 Here m_e is the lepton mass and $\gamma = 2Mx / \sqrt{Q^2} = \sqrt{Q^2} / \nu$. There is a theoretical relationship
 219 between the structure functions F_1 and F_2 known under the name of Callan-Gross:

$$220 \quad F_2(x, Q^2) = 2xF_1(x, Q^2).$$

221 The σ^{unp} is often expressed via $F_2(x, Q^2)$ and $R(x, Q^2) = \sigma_L / \sigma_T$ where $\sigma_L(\sigma_T)$ is the nucleon
 222 absorption cross section of the virtual photon with longitudinal (transverse) polarization:

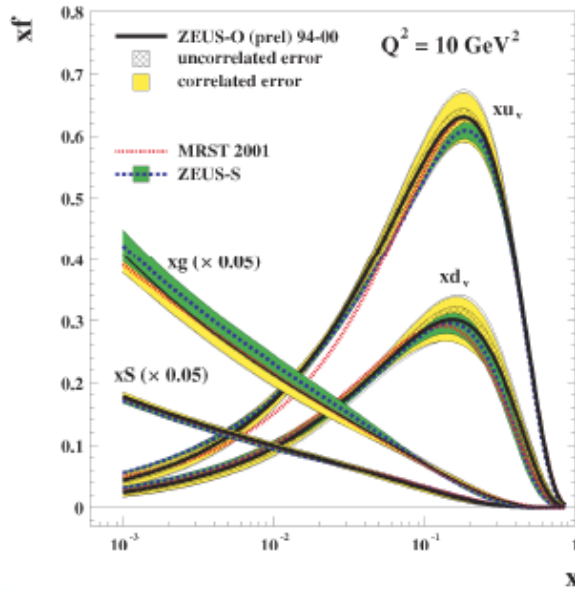
$$223 \quad \sigma^{unp} \equiv \frac{d^2 \sigma^{unp}}{dx dQ^2} = \frac{4\pi\alpha^2}{Q^4 x} F_2(x, Q^2) \left[1 - y - \frac{y^2 \gamma^2}{4} + \frac{y^2 (1 + \gamma^2)}{2(1 + R(x, Q^2))} \right].$$

224 The structure functions $R(x, Q^2)$ and $F_2(x, Q^2)$ have been measured by the well-known
 225 collaborations SLAC-MIT, EMC, BCDMS, NMC, ZEUS, H₁ and others.

226 By definition, the structure functions F_1 and F_2 are pure phenomenological. Their physics
 227 interpretations can be given only within certain models. In QPM of nucleons IDIS is represented
 228 by the diagram in Fig.1.2 (b) in which the virtual photon is absorbed by the nucleon's constituent
 229 quark carrying fraction x of the nucleon momentum. In the QCD improved QPM, the quark can
 230 emit a gluon before or after absorption. Then

$$231 \quad F_2(x, Q^2) = x \sum_q e_q^2 [q(x, Q^2) + \text{anti-}q(x, Q^2)], \quad q=u, d, s,$$

232 where e_q is the charge of the quark. From the global QCD analysis of all DIS data one can find
 233 PDFs f_1^a (the superscript a is usually omitted) in the non-polarized nucleon for each parton
 234 (Fig.1.3).



235
 236 **Fig.1.3:** parton (density) distributions in non-polarized nucleons at $Q^2 = 10 \text{ GeV}^2$ vs. x .
 237

238 The spin-dependent part of the cross section, σ^{pol} , can be extracted from so-called
 239 asymmetries which are proportional to the difference between cross sections for two opposite
 240 target polarizations. The difference between cross sections, $\Delta\sigma_{//}$, for two opposite longitudinal
 241 target polarizations is given by the expression:

$$242 \quad \Delta\sigma_{//} \equiv \Delta \left(\frac{d^2 \sigma_{//}^{pol}}{dx dQ^2} \right) = \frac{16\pi\alpha^2 y}{Q^4} \left[\left(1 - \frac{y}{2} - \frac{y^2 \gamma^2}{4} \right) g_1 - \frac{y\gamma^2}{2} g_2 \right],$$

243 The polarized part of the cross section, σ^{pol} , is small compared to σ^{unp} and its contribution to the
 244 experimental counting rate is further reduced by incomplete beam and target polarizations. So, to
 245 separate σ^{pol} , instead of measurements of differences between the cross sections, experiments

246 measure asymmetries. The longitudinal asymmetry, $A_{//}$, is defined as

$$247 \quad A_{//} = \frac{\Delta\sigma_{//}}{2\sigma^{unp}} = \frac{\sigma^{\rightarrow\rightarrow} - \sigma^{\rightarrow\leftarrow}}{\sigma^{\rightarrow\leftarrow} + \sigma^{\rightarrow\rightarrow}} .$$

248 The arrows \rightarrow and \Rightarrow indicate the directions of the incident lepton and the polarisation of the
249 target, respectively. The asymmetry $A_{//}$ is related to the virtual photon asymmetries A_1 and A_2 :

$$250 \quad A_{//} = D (A_1 + \eta A_2) \approx D A_1.$$

251 Here

$$252 \quad D = \frac{y(2-y)(1+\gamma^2 y/2)}{(1+\gamma^2)[y^2(1-2m_e^2/Q^2) + 2(1-y-\gamma^2 y^2/4)(1+R)/(1+\gamma^2)]},$$

$$253 \quad A_2 = \gamma (g_1 + g_2)/F_1.$$

254 The A_2 is estimated to be small. So, using the above mentioned expressions for σ^{pol} and σ^{unp} , in
255 the first approximation one can obtain a relation connecting $A_{//}$ and g_1 :

$$256 \quad A_{//}/D \approx A_1 \approx (g_1 - \gamma^2 g_2)/F_1 \approx g_1/F_1, \text{ term } \gamma^2 g_2 \text{ is small.}$$

257 The F_1 is expressed in terms of structure functions $F_2(x, Q^2)$ and $R(x, Q^2)$:

$$258 \quad F_1 = \frac{1+\gamma^2}{2x(1+R)} \cdot F_2$$

259 In QPM, IDIS is represented by the diagram in Fig.1.2 (b, c): the virtual photon is absorbed by
260 the constituent quark carrying the fraction x of the nucleon momentum. Due to conservation of
261 the total angular momentum, this photon can be absorbed only by a quark having the spin
262 oriented in the opposite direction to the photon angular momentum. Taking this into account, one
263 can obtain the QPM expression for virtual photon asymmetry A_1 :

$$264 \quad A_1^p = \frac{\sigma_{1/2}^p - \sigma_{3/2}^p}{\sigma_{1/2}^p + \sigma_{3/2}^p} = \frac{\sum_i e_i^2 [q_i^\uparrow(x) - q_i^\downarrow(x)]}{\sum_i e_i^2 [q_i^\uparrow(x) + q_i^\downarrow(x)]}.$$

265 In this expression $\sigma_{1/2}$ and $\sigma_{3/2}$ are absorption cross sections of the virtual photon (γ^*) by the
266 nucleon with the total photon-nucleon angular momentum along the γ^* axis equal to 1/2 or 3/2,
267 respectively. The denominator of this expression by definition is equal to the non-polarized
268 structure function $F_1^p(x)$. So, the numerator is associated with the structure function g_1 :

$$269 \quad g_1(x) = \sum_i e_i^2 [q_i^\uparrow(x) - q_i^\downarrow(x)].$$

270 It gives information on the quark spin orientation (helicity) with respect to the nucleon spin in
271 the longitudinally polarized nucleon.

272 The structure functions $g_1^p(x, Q^2)$ and $g_1^d(x, Q^2)$ for protons and deuterons have been
273 determined from inclusive asymmetries A_1 measured by various collaborations at SLAC, CERN,
274 DESY, JLAB. The summary of present g_1 data is shown in Fig.1.4 [2]. The data are in very good
275 agreement between themselves and with the QCD NLO predictions.

276 Inclusive and semi-inclusive asymmetries for proton and deuteron of the type shown in
277 Fig.1.5 permit to determine quark helicity distributions Δq , Fig.1.5, right by using the following
278 expression:

$$A_1^{h(p/d)}(x, z, Q^2) \approx \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) D_q^h(z, Q^2)}$$

279 in which parameterizations of non-polarized quark distributions $q(x, Q^2)$ and quark
280 fragmentation functions (**FF**) $D_q^h(z, Q^2)$ measured in other experiments are used. The precision
281 of this determination depends very much on the precision of the FFs. This is especially important
282 for the strange quarks. Data shown in Fig.1.5 give only values for $x\Delta S$, where S is the sum of
283 strange quarks and anti-quarks.
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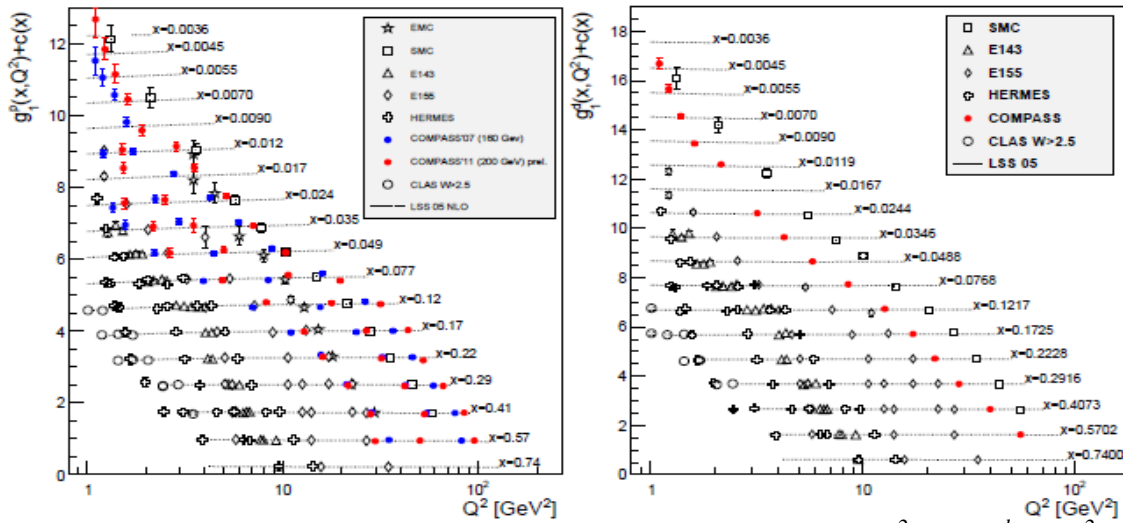
285 One can estimate the quark contributions to the nucleon spin integrating the helicity
286 distributions over the covered x -range. As it is known, the longitudinal projection of the nucleon

287 spin is equal to $\frac{1}{2}$ in units of the Max Plank constant. In QPM it is defined as a sum of
 288 contributions of quarks, gluons and their orbital momenta:

$$289 \quad S_N = \frac{1}{2} = \frac{1}{2} (\Delta \Sigma + \Delta G + L_z^q + L_z^g).$$

290 The present value of the quark contributions determined from the helicity distributions amounts
 291 to about 33% of the S_N . This result confirms with high precision the original EMC observation
 292 that the quarks contribute little to the total nucleon spin (spin crisis). The COMPASS
 293 collaboration in the separate measurements, Fig.1.6, has shown that the gluons contribute to the
 294 nucleon spin even smaller than that of quarks, almost zero. This is confirmed by the RHIC
 295 experiments. At the present knowledge, the nucleon spin crisis can be solved by future
 296 measurements of Generalized Parton Distributions (GPD) accounting also for orbital momenta of
 297 nucleon constituents.

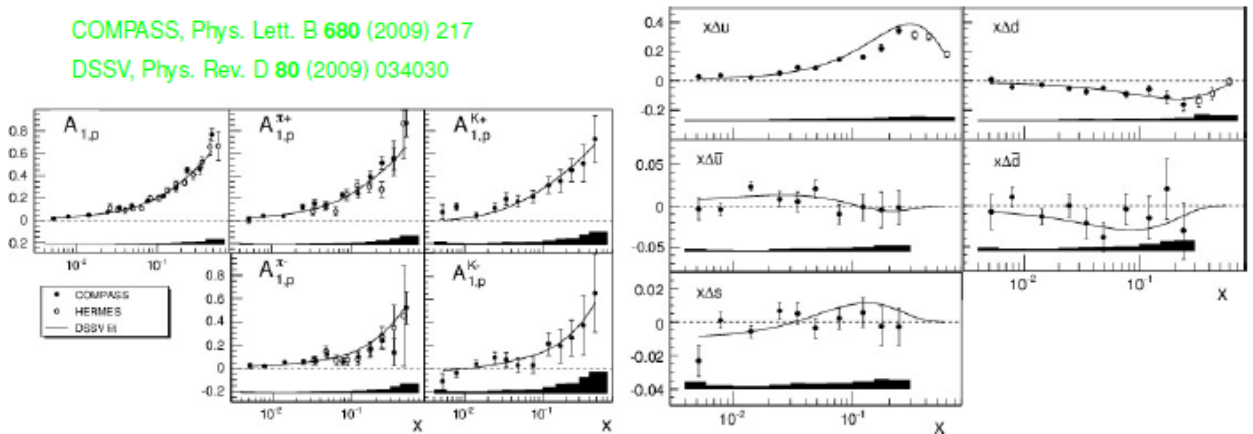
298 Similarly to the non-polarized PDF, the latest QCD analysis [3] of the $g^p_{1j}(x, Q^2)$ and $g^d_{1j}(x, Q^2)$
 299 data produce the helicity distribution PDF g^a_{1j} (Fig.1.7).



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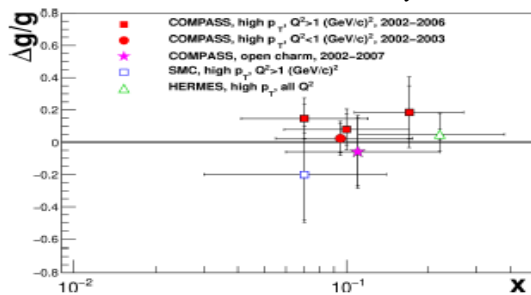
Fig.1.4: summary of the world data on the structure functions $g^p_{1j}(x, Q^2)$ and $g^d_{1j}(x, Q^2)$.

COMPASS, Phys. Lett. B 680 (2009) 217
 DSSV, Phys. Rev. D 80 (2009) 034030



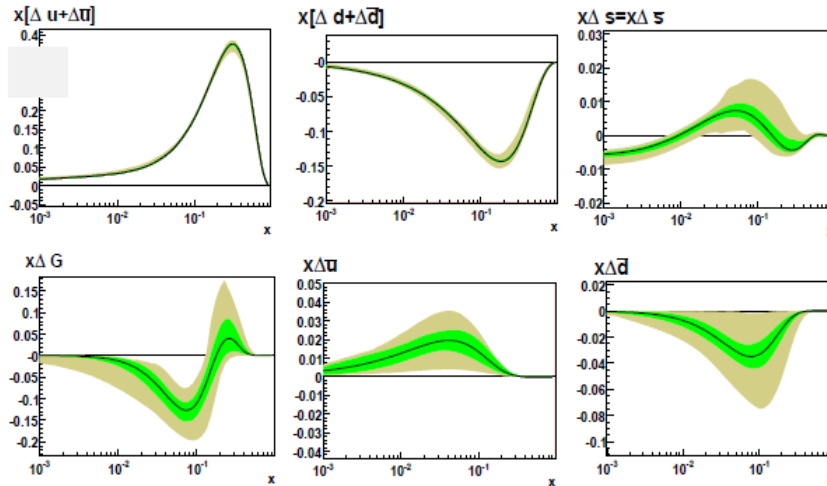
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Fig.1.5: left: inclusive and semi-inclusive asymmetries for protons. **Right:** quark helicity PDFs.



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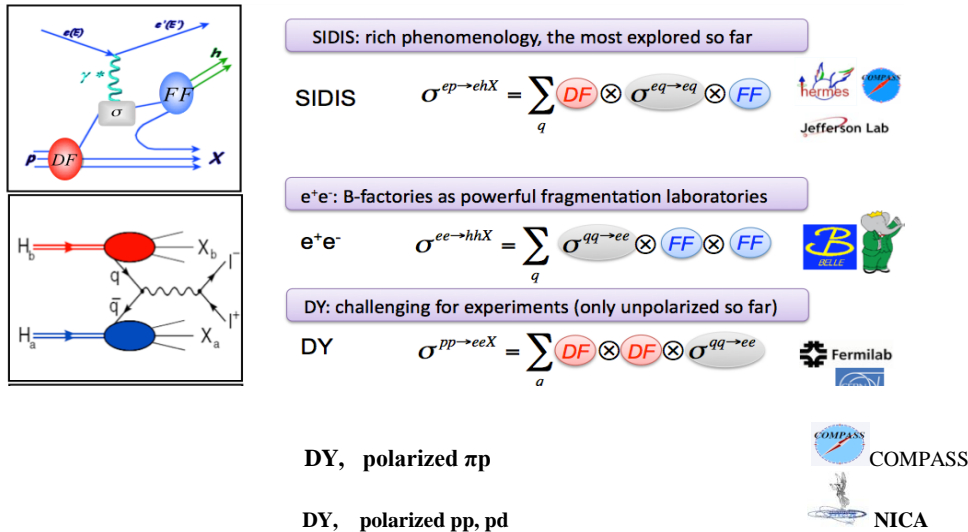
Fig.1.6: direct measurements of the gluon polarization in the nucleon.



308
309 **Fig.1.7:** parton helicity distributions in the longitudinally polarized nucleon.
310

311 1.3. TMD PDFs.

312
313 The new TMD PDFs are chiral odd and can be measured only in the SIDIS or DY processes,
314 Fig.1.8. So far data have been obtained for the polarized nucleon only from SIDIS by the
315 HERMES and COMPASS collaborations. Polarized TMD PDFs from the DY processes in $\pi\pi$
316 interactions are to be measured at COMPASS-II. There is a real opportunity and challenge to
317 study TMD PDFs at NICA in polarized pp and pd collisions (see Section2.1).
318



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320
321 **Fig.1.8:** reactions for TMD PDF studies.
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324 In SIDIS, the chiral TMD PDFs can be obtained studying the azimuthal modulations of hadrons
325 which are sensitive to convolution of PDF with the corresponding FF:
326
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328

- Transversity: $A_{UT}^{\sin(\phi_h + \phi_S)} \propto h_1 \otimes H_1^\perp$
- Sivers: $A_{UT}^{\sin(\phi_h - \phi_S)} \propto f_{1T}^\perp \otimes D_1$
- Pretzelosity: $A_{UT}^{\sin(3\phi_h - \phi_S)} \propto h_{1T}^\perp \otimes H_1^\perp$
- Boer-Mulders: $A_{UU}^{\cos(2\phi_h)} \propto h_1^\perp \otimes H_1^\perp$
- Worm-Gears: $A_{UL}^{\sin(2\phi_h)} \propto h_{1L}^\perp \otimes H_1^\perp$; $A_{LT}^{\cos(\phi_h - \phi_S)} \propto g_{1T}^\perp \otimes D_1$

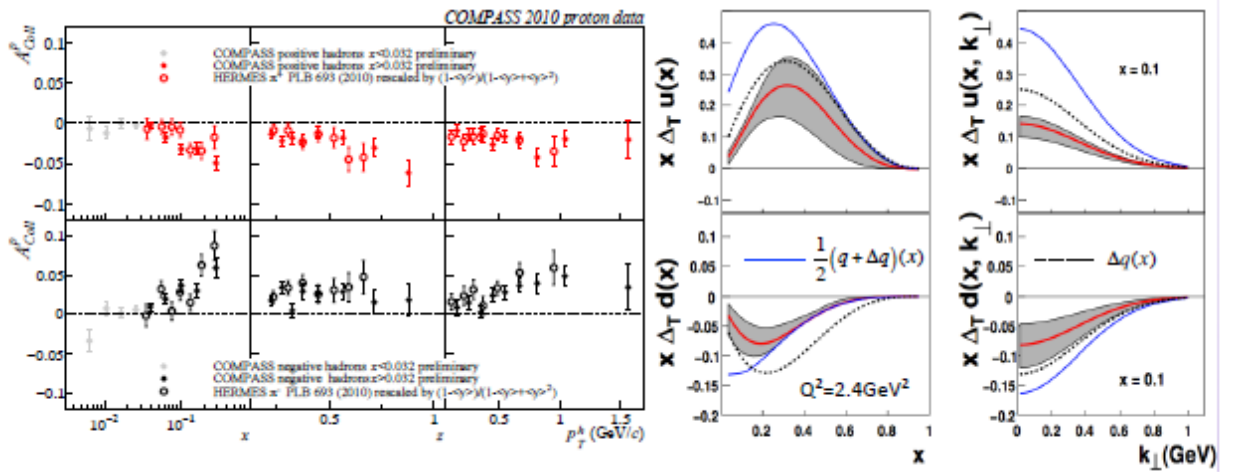
330 The first and second subscript labeling azimuthal modulations indicate beam and target
 331 polarizations; ϕ_h and ϕ_s are the azimuthal angles of produced hadron and initial nucleon spin,
 332 defined with respect to the direction of the virtual photon in the lepton scattering plane; H_{1T}^\perp is
 333 the Collins FF which describes the distribution of non-polarized hadrons in the fragmentation of
 334 the transversely polarized quark and D_1 is the non-polarized k_T dependent FF. The Collins FF is
 335 chiral-odd; it is a partner of transversity. The status of these PDFs measurement is summarized
 336 in [4] and updated in [5].

337

338 1.3.1. Transversity PDF h_{1T} .

339 The azimuthal modulations of hadrons' production measured in the SIDIS process $l+p(d)$
 340 $\rightarrow l+h+X$ on polarized protons and deuterons have been observed by the HERMES and
 341 COMPASS collaborations. The proton data are shown in Fig.1.9. The COMPASS deuteron data
 342 on asymmetries are compatible with zero due to cancelations between the u and d quarks
 343 contributions. The Collins FF has been measured recently by the BELLE collaboration at KEK.
 344 The global analysis of the HERMES, COMPASS and BELLE data allowed obtaining the
 345 transversity distributions for u and d quarks (Fig.1.9, right) although still with rather large
 346 uncertainties.

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Fig.1.9: Left: Collins asymmetry from COMPASS & HERMES. Right: transversity PDFs extracted from the global analysis.

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352 1.3.2. Sivers PDF f_{1T}^\perp .

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The Sivers correlation between the transverse nucleon spin and transverse momentum of its partons was originally proposed to explain large single-spin asymmetries observed in the hadron productions at Protvino and Fermilab. Later on, possibility of the Sivers effect existence has been confirmed for the Wilson-line TMD PDFs to enforce gauge invariance of QCD. The final state interactions in SIDIS (or initial state interactions in DY) allowed for the non-zero T-odd Sivers PDFs but they must have opposite signs in SIDIS and DY.

Sivers asymmetries have been measured by the HERMES, COMPASS and JLAB collaborations on proton, deuteron, and ^3He targets, respectively. Definite signals are observed for protons (Fig.1.10). Because of cancelations between u and d quark contributions, Sivers asymmetries for the isoscalar targets are compatible with zero. From the global analysis of the HERMES and COMPASS data, the Sivers TMD PDFs for u and d quarks are determined (Fig.1.10, right).

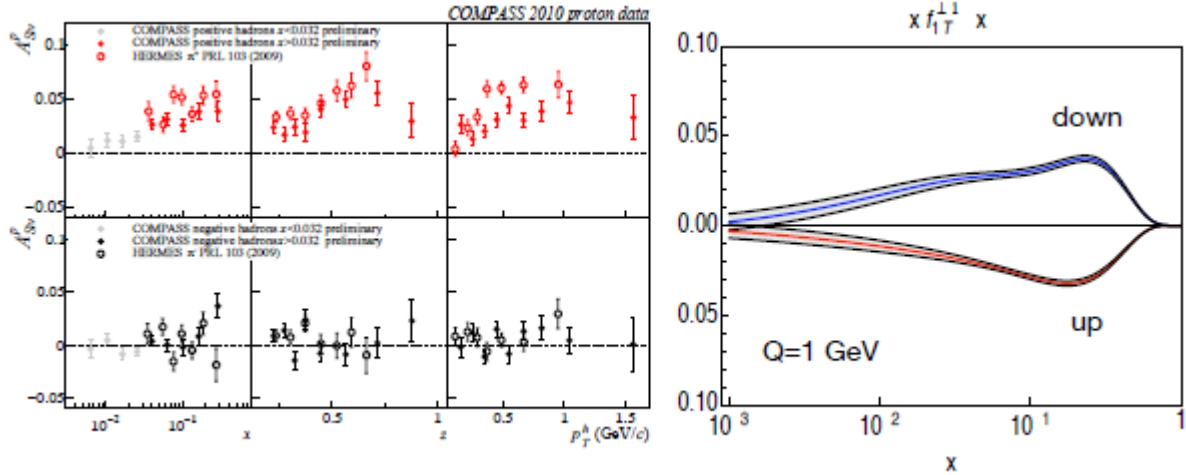
366 1.3.3. Boer-Mulders h_{1T}^\perp , worm-gear-T (g_{1T}^\perp) and worm-gear-L (h_{1L}^\perp) PDFs.

The **Boer-Mulders** TMD PDF, like the Sivers one, is T-odd and must have opposite signs once measured in SIDIS or DY. It can be observed (in convolution with the Collins FF) from

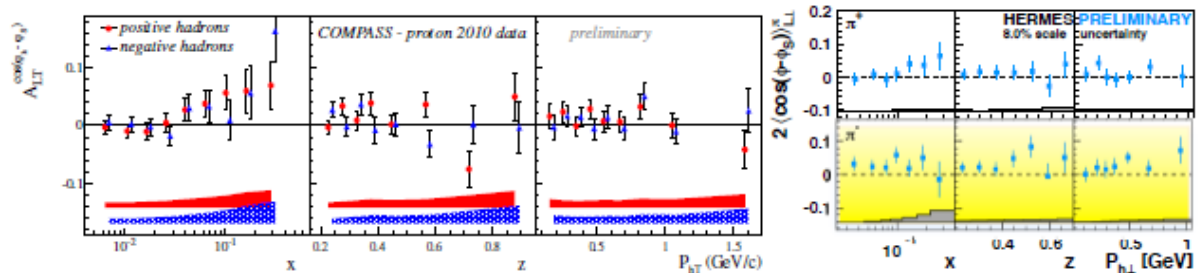
369 the $\cos(2\phi)$ azimuthal modulation of hadrons produced in the non-polarized SIDIS. Signals of
 370 this modulation have been seen by HERMES and COMPASS.

371 The *worm-gear-T* PDF characterizing correlation between longitudinally polarized quarks
 372 inside a transversely polarized nucleon is very interesting. It is chiral-even and can be observed
 373 in SIDIS convoluted with non-polarized FF studying $\cos(\phi_h - \phi_S)$ modulation in hadron
 374 production by longitudinally polarized leptons on the transversely polarized target. Preliminary
 375 results were obtained by COMPASS and HERMES (Fig.1.11).

376 Attempts to see the *worm-gear-L* PDF were made by COMPASS. No signal is observed
 377 within the available statistical accuracy.



378 **Fig.1.10: left: Sivers asymmetry from COMPASS and HERMES. Right: Sivers PDFs for the u**
 379 **and d quarks determined from the global analysis.**
 380



381 **Fig.1.11: preliminary data on modulations characterizing the worm-gear-T TMD PDF.**
 382 **Left: COMPASS, right: HERMES.**
 383

384 1.3.4. Pretzelocity PDF h_{1T}^\perp .

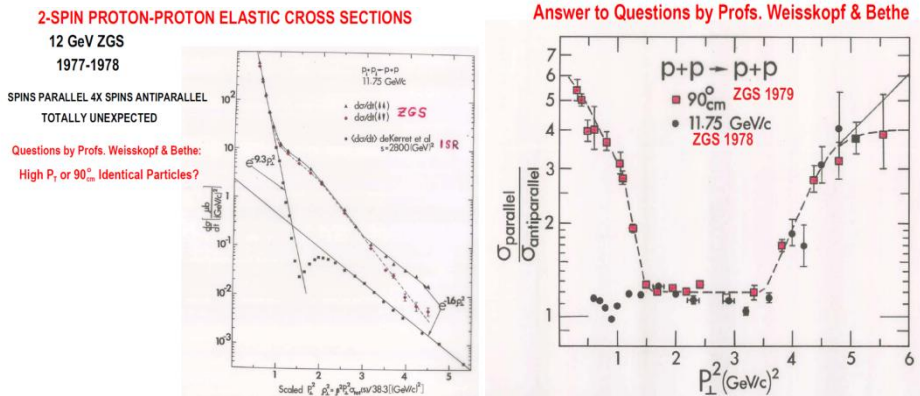
385 **Pretzelocity** has been looked for by COMPASS. The $\sin(3\phi_h - \phi_S)$ asymmetry modulations
 386 in hadrons' production are found to be compatible with zero within the available statistical
 387 accuracy. So, no signal of pretzelocity is observed yet.
 388

389 **Concluding the Section 1.3, one can summarize that the collinear and TMD PDFs are**
 390 **necessary for complete description of the nucleon structure at the level of twist-2**
 391 **approximation. Its precision measurement at NICA can be the main subject of the NICA**
 392 **SPD spin program.**
 393

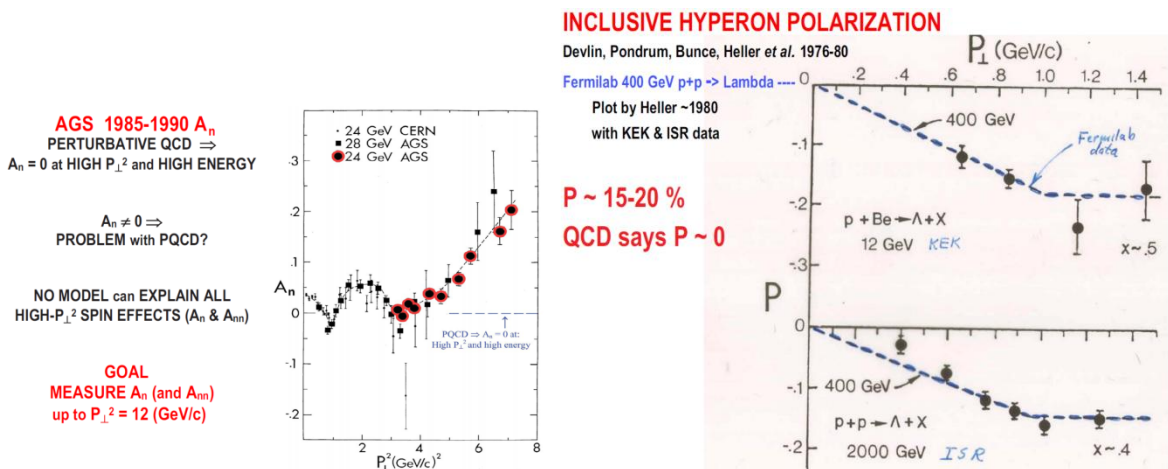
394 1.4. Other actual problems of high energy physics.

395 There are actual problems in high energy physics which are partially solved or not solved at
 396 all. Among them one can mention the high- p_T behavior of elastic cross sections (Fig.1.12), the
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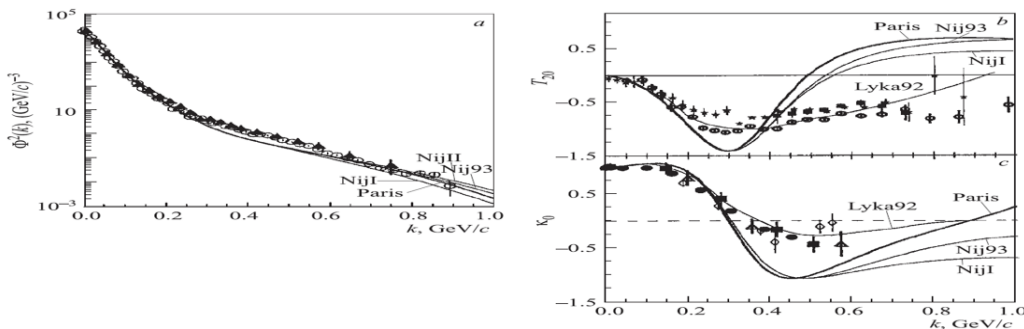
399 high- p_T behavior of the asymmetry A_n in elastic pp scattering and inclusive hyperons polarization
 400 (Fig.1.13), the deuteron wave function behavior as a function of k , (Fig.1.14), and some others.



401
 402 **Fig.1.12:** the famous pp elastic scattering data at large p_T .
 403



404
 405 **Fig.1.13:** right- the Λ hyperons polarization in inclusive pp reactions.
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 408 **Fig.1.14:** world data on the deuteron wave function.
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412 **2. Physics motivations. (UPDATING)**

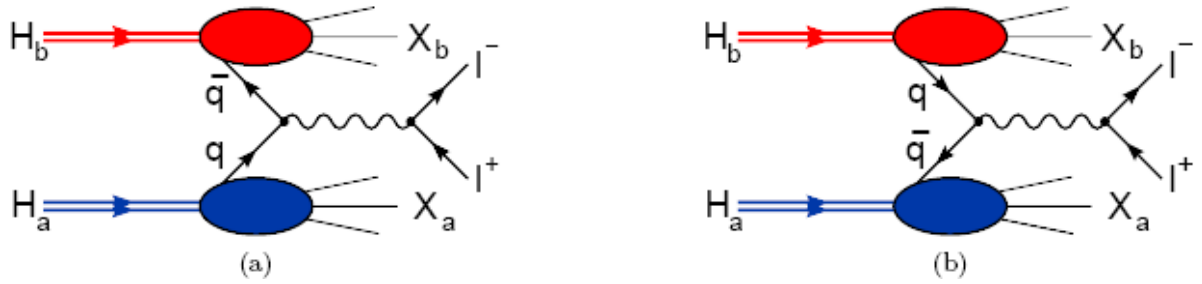
413
 414 2.1. Nucleon structure studies using the Drell-Yan mechanism.

415
 416 2.1.1. The PDFs studies via asymmetry of cross sections.

417 The Drell-Yan (DY) process of the di-lepton production in high-energy hadron-hadron
 418 collisions (Fig. 2.1) is playing an important role in the hadron structure studies:
 419

420
 421
$$H_a(P_a, S_a) + H_b(P_b, S_b) \rightarrow l^-(l, \lambda) + l^+(l', \lambda') + X, \quad (2.1.1)$$

422 where \mathbf{P}_a (\mathbf{P}_b) and \mathbf{S}_a (\mathbf{S}_b) are the momentum and spin of the hadron H_a (H_b), respectively,
 423 while \mathbf{l} (\mathbf{l}') and λ (λ') are the momentum and spin of the lepton, respectively.
 424



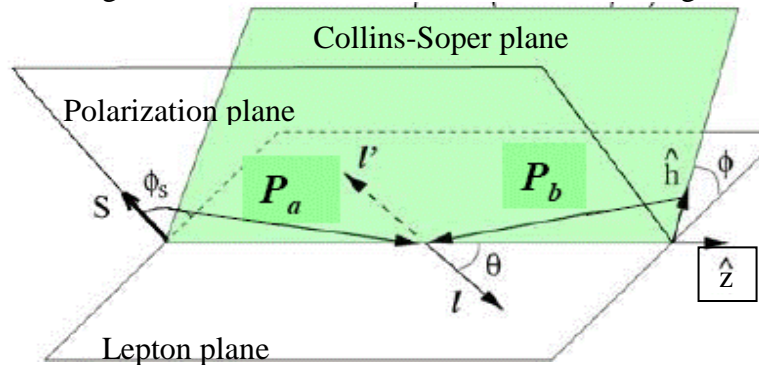
425
 426 **Fig. 2.1:** the parton model diagrams of the di-lepton production in collisions of hadrons
 427 H_a ($\mathbf{P}_a, \mathbf{S}_a$) with hadrons H_b ($\mathbf{P}_b, \mathbf{S}_b$). The constituent quark (anti-quark) of the hadron H_a
 428 annihilates with constituent anti-quark (quark) of the hadron H_b producing the virtual photon
 429 which decays into a pair of leptons \mathbf{l}^\pm (electron-positron or μ^\pm). The hadron spectator systems X_a
 430 and X_b are usually not detected. Both diagrams have to be taken into account.

431 The kinematics of the Drell-Yan process can be most conveniently considered in the Collins-
 432 Soper (CS) reference frame [1-4], Fig. 2.2. The transition from the hadrons-center-of-mass frame
 433 (cm -frame) to the CS-frame is described in [1]. The CS-frame includes three intersecting planes.
 434 The first one is the *Lepton plane* containing vectors of the lepton momenta, \mathbf{l}, \mathbf{l}' (in the lepton
 435 rest frame), and the unit vector in the z -direction, $\hat{\epsilon}_{z,CS}$,

$$436 \hat{\epsilon}_{z,CS} = (\vec{P}_{a,CS} / |\vec{P}_{a,CS}| - \vec{P}_{b,CS} / |\vec{P}_{b,CS}|) / 2 \cos \alpha, \quad (\hat{\epsilon}_{x,CS} = -(\vec{P}_{a,CS} / |\vec{P}_{a,CS}| + \vec{P}_{b,CS} / |\vec{P}_{b,CS}|) / 2 \sin \alpha),$$

437 where $\text{tg} \alpha = \mathbf{q}_T / q$, \mathbf{q}_T ($q = l + l'$, $q \equiv Q$) is the transverse momentum (momentum) of the virtual
 438 photon in the cm -frame. The second plane, the *Hadron or Collins-Soper plane*, contains the
 439 momentum of colliding hadrons, $\mathbf{P}_a, \mathbf{P}_b$, and vector $\hat{\mathbf{k}}$ – is the unit vector in the direction of the
 440 photon transverse momentum, $\hat{\mathbf{k}} = \vec{q}_T / q_T$, and the third plane – *Polarization plane* – contains the
 441 polarization vector $\mathbf{S} \equiv \mathbf{S}_T$ ($\mathbf{S}_{aT}, \mathbf{S}_{bT}$) and the unit vector $\hat{\epsilon}_{z,CS}$. The ϕ is the azimuthal angle
 442 between the *Lepton* and *Hadron* planes; ϕ_s (i.e. ϕ_{s_a} or ϕ_{s_b}) is the angle between the *Lepton* and
 443 *Polarization* planes and θ is the polar angle of \mathbf{l} in the CS-frame.

444 The most complete theoretical analysis of this process, for cases when both hadrons H_a and H_b ,
 445 in our case protons or deuterons, are polarized or non-polarized, was performed in [5] which we
 446 will follow below. Let us consider the regime where $q_T \ll q$. In this region the TMD PDFs enter
 447 the description of the DY process in a natural way. Our treatment is restricted to the leading
 448 twist, i.e. to the leading order of TMDs expansion in powers of $1/q$. Because of the potential
 449 problems of the sub-leading-twist -TMD PDFs- factorization pointed out in Refs. [6, 7], we
 450 refrain from including in considerations the twist-3 case. Moreover, we neither take into account
 451 higher order hard scattering corrections nor effects associated with soft gluon radiation.



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 455 **Fig. 2.2:** kinematics of the Drell-Yan process in the Collins-Soper reference frame.

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In this approximation the Eq. (57) of Ref. [5] for the differential cross section of the DY pair's production in the quark-parton model via PDFs is rewritten by us in the more convenient variables with a change of notations of the azimuthal angle polarizations corresponding to Fig.2.2:

$$\begin{aligned}
\frac{d\sigma}{dx_a dx_b d^2q_T d\Omega} &= \frac{\alpha^2}{4Q^2} \times \\
&\left\{ \left((1 + \cos^2 \theta) F_{UU}^1 + \sin^2 \theta \cos 2\phi F_{UU}^{\cos 2\phi} \right) + S_{aL} \sin^2 \theta \sin 2\phi F_{LU}^{\sin 2\phi} + S_{bL} \sin^2 \theta \sin 2\phi F_{UL}^{\sin 2\phi} \right. \\
&+ \left| \vec{S}_{aT} \right| \left[\sin(\phi - \phi_{S_a}) (1 + \cos^2 \theta) F_{TU}^{\sin(\phi - \phi_{S_a})} + \sin^2 \theta \left(\sin(3\phi - \phi_{S_a}) F_{TU}^{\sin(3\phi - \phi_{S_a})} + \sin(\phi + \phi_{S_a}) F_{TU}^{\sin(\phi + \phi_{S_a})} \right) \right] \\
&+ \left| \vec{S}_{bT} \right| \left[\sin(\phi - \phi_{S_b}) (1 + \cos^2 \theta) F_{UT}^{\sin(\phi - \phi_{S_b})} + \sin^2 \theta \left(\sin(3\phi - \phi_{S_b}) F_{UT}^{\sin(3\phi - \phi_{S_b})} + \sin(\phi + \phi_{S_b}) F_{UT}^{\sin(\phi + \phi_{S_b})} \right) \right] \\
&+ S_{aL} S_{bL} \left[(1 + \cos^2 \theta) F_{LL}^1 + \sin^2 \theta \cos 2\phi F_{LL}^{\cos 2\phi} \right] \\
&+ S_{aL} \left| \vec{S}_{bT} \right| \left[\cos(\phi - \phi_{S_b}) (1 + \cos^2 \theta) F_{LT}^{\cos(\phi - \phi_{S_b})} + \sin^2 \theta \left(\cos(3\phi - \phi_{S_b}) F_{LT}^{\cos(3\phi - \phi_{S_b})} + \cos(\phi + \phi_{S_b}) F_{LT}^{\cos(\phi + \phi_{S_b})} \right) \right] \\
&+ \left| \vec{S}_{aT} \right| S_{bL} \left[\cos(\phi - \phi_{S_a}) (1 + \cos^2 \theta) F_{TL}^{\cos(\phi - \phi_{S_a})} + \sin^2 \theta \left(\cos(3\phi - \phi_{S_a}) F_{TL}^{\cos(3\phi - \phi_{S_a})} + \cos(\phi + \phi_{S_a}) F_{TL}^{\cos(\phi + \phi_{S_a})} \right) \right] \\
&+ \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left[(1 + \cos^2 \theta) \left(\cos(2\phi - \phi_{S_a} - \phi_{S_b}) F_{TT}^{\cos(2\phi - \phi_{S_a} - \phi_{S_b})} + \cos(\phi_{S_b} - \phi_{S_a}) F_{TT}^{\cos(\phi_{S_b} - \phi_{S_a})} \right) \right] \\
&+ \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left[\sin^2 \theta \left(\cos(\phi_{S_a} + \phi_{S_b}) F_{TT}^{\cos(\phi_{S_a} + \phi_{S_b})} + \cos(4\phi - \phi_{S_a} - \phi_{S_b}) F_{TT}^{\cos(4\phi - \phi_{S_a} - \phi_{S_b})} \right) \right] \\
&+ \left. \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left[\sin^2 \theta \left(\cos(2\phi - \phi_{S_a} + \phi_{S_b}) F_{TT}^{\cos(2\phi - \phi_{S_a} + \phi_{S_b})} + \cos(2\phi + \phi_{S_a} - \phi_{S_b}) F_{TT}^{\cos(2\phi + \phi_{S_a} - \phi_{S_b})} \right) \right] \right\}
\end{aligned} \tag{2.1.2}$$

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where F_j^i are the Structure Functions (SFs) connected to the corresponding PDFs. The SFs depend on four variables $P_a \cdot q$, $P_b \cdot q$, q_T and q^2 or on q_T , q^2 and the Bjorken variables of colliding hadrons, x_a , x_b ,

$$x_a = \frac{q^2}{2P_a \cdot q} = \sqrt{\frac{q^2}{s}} e^y, \quad x_b = \frac{q^2}{2P_b \cdot q} = \sqrt{\frac{q^2}{s}} e^{-y}, \quad y \text{ is the } cm \text{ rapidity.} \tag{2.1.3}$$

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The SFs F_j^i introduced here give more detailed information on the nucleon structure than usual structure functions depending on two variables x_{Bj} and Q^2 . Equation (2.1.2) includes 24 leading twist SFs. Each of them is expressed through a weighted convolution, C , of corresponding leading twist TMD PDF in the transverse momentum space,

$$\begin{aligned}
C \left[w(\vec{k}_{aT}, \vec{k}_{bT}) f_1 \bar{f}_2 \right] &\equiv \frac{1}{N_c} \sum_q e^2 \int d^2 \vec{k}_{aT} d^2 \vec{k}_{bT} \delta^2(\vec{q}_T - \vec{k}_{aT} - \vec{k}_{bT}) w(\vec{k}_{aT}, \vec{k}_{bT}) \times \\
&\left[f_{1q}(x_a, \vec{k}_{aT}^2) \bar{f}_{2q}(x_b, \vec{k}_{bT}^2) + \bar{f}_{1q}(x_a, \vec{k}_{aT}^2) f_{2q}(x_b, \vec{k}_{bT}^2) \right], \tag{2.1.4}
\end{aligned}$$

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where $k_{aT}(k_{bT})$ is the transverse momentum of quark in the hadron $H_a(H_b)$ and $f_1(f_2)$ is a TMD PDF of the corresponding hadron. The particular SF can include a linear combination of several PDFs. Eventually; one can find expressions for all leading twist SFs of **quarks** and **antiquarks** entering Eq. (2.1.2). For the non-polarized hadrons they are:

$$F_{UU}^1 = C \left[f_1 \bar{f}_1 \right], \quad F_{UU}^{\cos 2\phi} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}}{M_a M_b} h_1^\perp \bar{h}_1^\perp \right], \tag{2.1.5}$$

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for the single polarized hadrons (protons or deuterons):

480

$$F_{LU}^{\sin 2\phi} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}}{M_a M_b} h_{1L}^\perp \bar{h}_1^\perp \right], \quad F_{UL}^{\sin 2\phi} = -C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}}{M_a M_b} h_1^\perp \bar{h}_{1L}^\perp \right],$$

$$F_{UT}^{\sin(\phi - \phi_{s_b})} = C \left[\frac{\vec{h} \cdot \vec{k}_{bT}}{M_b} f_1 \bar{f}_{1T}^\perp \right], \quad F_{TU}^{\sin(\phi - \phi_{s_a})} = -C \left[\frac{\vec{h} \cdot \vec{k}_{aT}}{M_a} f_{1T}^\perp \bar{f}_1 \right],$$

$$481 \quad F_{TU}^{\sin(3\phi - \phi_{s_a})} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})[2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}] - \vec{k}_{aT}^2 (\vec{h} \cdot \vec{k}_{bT})}{2M_a^2 M_b} h_{1T}^\perp \bar{h}_1^\perp \right],$$

$$F_{UT}^{\sin(3\phi - \phi_{s_b})} = -C \left[\frac{2(\vec{h} \cdot \vec{k}_{bT})[2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}] - \vec{k}_{bT}^2 (\vec{h} \cdot \vec{k}_{aT})}{2M_a M_b^2} h_1^\perp \bar{h}_{1T}^\perp \right],$$

$$F_{TU}^{\sin(\phi + \phi_{s_a})} = C \left[\frac{\vec{h} \cdot \vec{k}_{bT}}{M_b} h_1 \bar{h}_1^\perp \right], \quad F_{UT}^{\sin(\phi + \phi_{s_b})} = -C \left[\frac{\vec{h} \cdot \vec{k}_{aT}}{M_a} h_1^\perp \bar{h}_1 \right],$$

482

(2.1.6)

483

for the both polarized hadrons:

484

$$F_{LL}^1 = -C [g_{1L} \bar{g}_{1L}], \quad F_{LL}^{\cos 2\phi} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}}{M_a M_b} h_{1L}^\perp \bar{h}_{1L}^\perp \right],$$

$$485 \quad F_{LT}^{\cos(\phi - \phi_{s_b})} = -C \left[\frac{\vec{h} \cdot \vec{k}_{bT}}{M_b} g_{1L} \bar{g}_{1T} \right], \quad F_{TL}^{\cos(\phi - \phi_{s_a})} = -C \left[\frac{\vec{h} \cdot \vec{k}_{aT}}{M_a} g_{1T} \bar{g}_{1L} \right],$$

$$F_{TL}^{\cos(\phi + \phi_{s_a})} = C \left[\frac{\vec{h} \cdot \vec{k}_{bT}}{M_b} h_1 \bar{h}_{1L}^\perp \right], \quad F_{LT}^{\cos(\phi + \phi_{s_b})} = C \left[\frac{\vec{h} \cdot \vec{k}_{aT}}{M_a} h_{1L}^\perp \bar{h}_1 \right],$$

486

$$F_{LT}^{\cos(3\phi - \phi_{s_b})} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{bT})[2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}] - \vec{k}_{bT}^2 (\vec{h} \cdot \vec{k}_{aT})}{2M_a M_b^2} h_{1L}^\perp \bar{h}_{1T}^\perp \right],$$

487

$$F_{TL}^{\cos(3\phi - \phi_{s_a})} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})[2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}] - \vec{k}_{aT}^2 (\vec{h} \cdot \vec{k}_{bT})}{2M_a^2 M_b} h_{1T}^\perp \bar{h}_{1L}^\perp \right],$$

488

$$F_{TT}^{\cos(2\phi - \phi_{s_a} - \phi_{s_b})} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}}{2M_a M_b} (f_{1T}^\perp \bar{f}_{1T}^\perp - g_{1T} \bar{g}_{1T}) \right], \quad (2.1.7)$$

$$489 \quad F_{TT}^{\cos(\phi_{s_b} - \phi_{s_a})} = -C \left[\frac{\vec{k}_{aT} \cdot \vec{k}_{bT}}{2M_a M_b} (f_{1T}^\perp \bar{f}_{1T}^\perp + g_{1T} \bar{g}_{1T}) \right], \quad F_{TT}^{\cos(\phi_{s_b} + \phi_{s_a})} = C [h_1 \bar{h}_1],$$

$$F_{TT}^{\cos(2\phi - \phi_{s_a} + \phi_{s_b})} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})^2 - \vec{k}_{aT}^2}{2M_a^2} h_{1T}^\perp \bar{h}_1 \right], \quad F_{TT}^{\cos(2\phi + \phi_{s_a} - \phi_{s_b})} = C \left[\frac{2(\vec{h} \cdot \vec{k}_{bT})^2 - \vec{k}_{bT}^2}{2M_b^2} h_1 \bar{h}_{1T}^\perp \right],$$

490

$$491 \quad F_{TT}^{\cos(4\phi - \phi_{s_a} - \phi_{s_b})} = C \left[\left(\frac{4(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT})[2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}]}{4M_a^2 M_b^2} \right. \right. \\ \left. \left. + \frac{\vec{k}_{aT}^2 \vec{k}_{bT}^2 - 2\vec{k}_{aT}^2 (\vec{h} \cdot \vec{k}_{bT})^2 - 2\vec{k}_{bT}^2 (\vec{h} \cdot \vec{k}_{aT})^2}{4M_a^2 M_b^2} \right) h_{1T}^\perp \bar{h}_{1T}^\perp \right].$$

492

493 Note that the exchange $H_a \leftrightarrow H_b$ in these expressions leads to the reversal of the z-direction
 494 which, in particular, implies exchanges:

$$495 \quad \phi_{S_a} \leftrightarrow -\phi_{S_b}, \quad \phi \rightarrow -\phi, \quad \theta \rightarrow \pi - \theta. \quad (2.1.8)$$

496 The cross section (2.1.2) cannot be measured directly because there is no single beam
 497 containing particles with the U , L and T polarization. To measure SFs entering this equation one
 498 can use the following procedure: first, integrate Eq. (2.1.2) over the azimuthal angle ϕ , second,
 499 following the SIDIS practice, to measure azimuthal asymmetries of the DY pair's production
 500 cross sections.

501 The integration over the azimuthal angle ϕ gives:

$$502 \quad \sigma_{\text{int}} \equiv \frac{d\sigma}{dx_a dx_b d^2 q_T d \cos \theta} = \frac{\pi \alpha^2}{2q^2} \times (1 + \cos^2 \theta) \left[F_{UU}^1 + S_{aL} S_{bL} F_{LL}^1 \right. \\ \left. + \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left(\cos(\phi_{S_b} - \phi_{S_a}) F_{TT}^{\cos(\phi_{S_b} - \phi_{S_a})} + D \cos(\phi_{S_a} + \phi_{S_b}) F_{TT}^{\cos(\phi_{S_a} + \phi_{S_b})} \right) \right] \quad (2.1.9)$$

503 The azimuthal asymmetries can be calculated as ratios of cross sections differences to the sum
 504 of the integrated over ϕ cross sections. The numerator of the ratio is calculated as a difference of
 505 the DY pair's production cross sections in the collision of hadrons H_a and H_b with different
 506 polarizations. The difference is considered as a function of the azimuthal angle ϕ and q_T , first in
 507 the whole region of \mathbf{x}_a and \mathbf{x}_b , and then in bins of \mathbf{x}_a , \mathbf{x}_b . The denominator of the ratio is
 508 calculated as a sum of σ_{int} 's calculated for the same hadron polarizations and same \mathbf{x}_a , \mathbf{x}_b regions
 509 as in numerator.

510 The azimuthal distribution of DY pair's production in non-polarized collisions, A_{UU} , and
 511 azimuthal asymmetries of the cross sections in polarized collisions given by expressions (2.1.10)
 512 can be measured. In these expressions $D = \sin^2 \theta / (1 + \cos^2 \theta)$ is the depolarization factor and

513 $A_{jk}^i = F_{jk}^i / F_{UU}^1$ with the SFs defined in Eqs. (2.1.5-7). The superscripts of the σ^{pq} mean:

514 $\rightarrow (\leftarrow)$ – for positive (negative) longitudinal beam polarization in the direction of $\mathbf{P}_{a \text{ cm}}$;

515 $\uparrow (\downarrow)$ – for transverse beam polarization with the azimuthal angle ϕ_{S_a} or ϕ_{S_b} ($\phi_{S_a} + \pi$ or $\phi_{S_b} + \pi$);

516 0 – for the non-polarized hadron H_a or H_b . Applying the Fourier analysis to the measured

517 asymmetries, one can separate each of all ratios $A_{jk}^i = F_{jk}^i / F_{UU}^1$ entering Eq. (2.1.10). This will

518 be the ultimate task of the proposed experiments. Extraction of different TMD PDFs from these

519 ratios is a task of the global theoretical analysis (a challenge for the theoretical community) since

520 each of the SFs F_{jk}^i is a result of convolutions of different TMD PDFs in the quark transverse

521 momentum space. For this purpose one needs either to assume a factorization of the transverse

522 momentum dependence for each TMD PDFs, having definite (usually Gaussian) form with some

523 fitting parameters [8], or to transfer F_{jk}^i to impact parameter representation and to use the Bessel

524 weighted TMD PDFs [9].

525 A number of conclusions can be drawn comparing some asymmetries to be measured. Let us
 526 compare the measured asymmetries A_{LU} and A_{UL} and assume that during these measurements the

527 beam polarizations are equal, i.e. $|S_{aL}| = |S_{bL}|$ and hadrons a, b are identical. Then one can

528 intuitively expect that the integrated over x_a and x_b asymmetries $A_{LU} = A_{UL}$. Similarly, comparing

529 the asymmetries A_{TU} and A_{UT} or A_{TL} and A_{LT} one can expect that $A_{TU}^1 = A_{UT}^1$ and $A_{TL}^1 = A_{LT}^1$.

530 Tests of these expectations would be a good check of the parton model approximations.

531 We close this section with following comments.

532 1. The Structure Functions F_j^i depend on the variables (x_a, x_b, q_T, q^2) . Instead of q_T one may also

533 work with the transverse momentum of one of the hadrons in the CS-frame.

534 2. Eqs. (2.1.5 - 2.1.7) define 24 SFs out of the 48 [5]. This means that in the considered

535 kinematic region $q_T \ll q$ there is exactly half of the total leading twist SFs.

536 3. The Structure Functions in Eq. (2.1.2) are understood in the CS-frame. Exactly the same
 537 expressions for SFs can be obtained in the Gottfried-Jackson frame, because difference between
 538 them is of the order of $O(q_T/q)$.
 539

$$\begin{aligned}
 A_{UU} &\equiv \frac{\sigma^{00}}{\sigma_{\text{int}}^{00}} = \frac{1}{2\pi} (1 + D \cos 2\phi A_{UU}^{\cos 2\phi}) \\
 A_{LU} &\equiv \frac{\sigma^{\rightarrow 0} - \sigma^{\leftarrow 0}}{\sigma_{\text{int}}^{\rightarrow 0} + \sigma_{\text{int}}^{\leftarrow 0}} = \frac{|S_{aL}|}{2\pi} D \sin 2\phi A_{LU}^{\sin 2\phi} \\
 A_{UL} &\equiv \frac{\sigma^{0\rightarrow} - \sigma^{0\leftarrow}}{\sigma_{\text{int}}^{0\rightarrow} + \sigma_{\text{int}}^{0\leftarrow}} = \frac{|S_{bL}|}{2\pi} D \sin 2\phi A_{UL}^{\sin 2\phi} \\
 A_{TU} &\equiv \frac{\sigma^{\uparrow 0} - \sigma^{\downarrow 0}}{\sigma_{\text{int}}^{\uparrow 0} + \sigma_{\text{int}}^{\downarrow 0}} = \frac{|\vec{S}_{aT}|}{2\pi} \left[A_{TU}^{\sin(\phi-\phi_{S_a})} \sin(\phi - \phi_{S_a}) + D \left(A_{TU}^{\sin(3\phi-\phi_{S_a})} \sin(3\phi - \phi_{S_a}) + A_{TU}^{\sin(\phi+\phi_{S_a})} \sin(\phi + \phi_{S_a}) \right) \right] \\
 A_{UT} &\equiv \frac{\sigma^{0\uparrow} - \sigma^{0\downarrow}}{\sigma_{\text{int}}^{0\uparrow} + \sigma_{\text{int}}^{0\downarrow}} = \frac{|\vec{S}_{bT}|}{2\pi} \left[A_{UT}^{\sin(\phi-\phi_{S_b})} \sin(\phi - \phi_{S_b}) + D \left(A_{UT}^{\sin(3\phi-\phi_{S_b})} \sin(3\phi - \phi_{S_b}) + A_{UT}^{\sin(\phi+\phi_{S_b})} \sin(\phi + \phi_{S_b}) \right) \right] \\
 A_{LL} &\equiv \frac{\sigma^{\rightarrow\rightarrow} + \sigma^{\leftarrow\leftarrow} - \sigma^{\rightarrow\leftarrow} - \sigma^{\leftarrow\rightarrow}}{\sigma_{\text{int}}^{\rightarrow\rightarrow} + \sigma_{\text{int}}^{\leftarrow\leftarrow} + \sigma_{\text{int}}^{\rightarrow\leftarrow} + \sigma_{\text{int}}^{\leftarrow\rightarrow}} = \frac{|S_{aL} S_{bL}|}{2\pi} \left(A_{LL}^1 + D A_{LL}^{\cos 2\phi} \cos 2\phi \right) \\
 A_{TL} &\equiv \frac{\sigma^{\uparrow\rightarrow} + \sigma^{\downarrow\leftarrow} - \sigma^{\downarrow\rightarrow} - \sigma^{\uparrow\leftarrow}}{\sigma_{\text{int}}^{\uparrow\rightarrow} + \sigma_{\text{int}}^{\downarrow\leftarrow} + \sigma_{\text{int}}^{\downarrow\rightarrow} + \sigma_{\text{int}}^{\uparrow\leftarrow}} = \frac{|\vec{S}_{aT}| |S_{bL}|}{2\pi} \left[A_{TL}^{\cos(\phi-\phi_{S_a})} \cos(\phi - \phi_{S_a}) + D \left(A_{TL}^{\cos(3\phi-\phi_{S_a})} \cos(3\phi - \phi_{S_a}) \right) \right. \\
 &\quad \left. + A_{TL}^{\cos(\phi+\phi_{S_a})} \cos(\phi + \phi_{S_a}) \right] \\
 A_{LT} &\equiv \frac{\sigma^{\rightarrow\uparrow} + \sigma^{\leftarrow\downarrow} - \sigma^{\rightarrow\downarrow} - \sigma^{\leftarrow\uparrow}}{\sigma_{\text{int}}^{\rightarrow\uparrow} + \sigma_{\text{int}}^{\leftarrow\downarrow} + \sigma_{\text{int}}^{\rightarrow\downarrow} + \sigma_{\text{int}}^{\leftarrow\uparrow}} = \frac{S_{aL} |\vec{S}_{bT}|}{2\pi} \left[A_{LT}^{\cos(\phi-\phi_{S_b})} \cos(\phi - \phi_{S_b}) + D \left(A_{LT}^{\cos(3\phi-\phi_{S_b})} \cos(3\phi - \phi_{S_b}) \right) \right. \\
 &\quad \left. + A_{LT}^{\cos(\phi+\phi_{S_b})} \cos(\phi + \phi_{S_b}) \right] \\
 A_{TT} &\equiv \frac{\sigma^{\uparrow\uparrow} + \sigma^{\downarrow\downarrow} - \sigma^{\uparrow\downarrow} - \sigma^{\downarrow\uparrow}}{\sigma_{\text{int}}^{\uparrow\uparrow} + \sigma_{\text{int}}^{\downarrow\downarrow} + \sigma_{\text{int}}^{\uparrow\downarrow} + \sigma_{\text{int}}^{\downarrow\uparrow}} = \frac{|\vec{S}_{aT}| |\vec{S}_{bT}|}{2\pi} \left[A_{TT}^{\cos(2\phi-\phi_{S_a}-\phi_{S_b})} \cos(2\phi - \phi_{S_a} - \phi_{S_b}) + A_{TT}^{\cos(\phi_{S_b}-\phi_{S_a})} \cos(\phi_{S_b} - \phi_{S_a}) \right. \\
 &\quad + D \left(A_{TT}^{\cos(\phi_{S_b}+\phi_{S_a})} \cos(\phi_{S_a} + \phi_{S_b}) + A_{TT}^{\cos(4\phi-\phi_{S_a}-\phi_{S_b})} \cos(4\phi - \phi_{S_a} - \phi_{S_b}) \right. \\
 &\quad \left. \left. + A_{TT}^{\cos(2\phi-\phi_{S_a}+\phi_{S_b})} \cos(2\phi - \phi_{S_a} + \phi_{S_b}) + A_{TT}^{\cos(2\phi+\phi_{S_a}-\phi_{S_b})} \cos(2\phi + \phi_{S_a} - \phi_{S_b}) \right) \right] \quad (2.1.10)
 \end{aligned}$$

542

543

544 4. In the q_T -dependent cross section, all the chiral-odd parton distributions disappear after
 545 integrating over the azimuthal angle ϕ . On the other hand, all the chiral-even effects survive this
 546 integration.

547 5. The large number of independent SFs to be determined from the polarized DY processes at
 548 NICA (24 for identical hadrons in the initial state) is sufficient to map out all eight leading twist
 549 TMD PDFs for quarks and anti-quarks. This fact indicates the high potential of the polarized DY
 550 process for studying new PDFs. This process has also a certain advantage over SIDIS [10, 11]
 551 which also capable of mapping out the leading twist TMD PDFs but requires knowledge of
 552 fragmentation functions.

553 6. The transverse single spin asymmetries depending on the Structure Functions F_{UT}^1 or F_{TU}^1 are
 554 of the particular interests. The both SFs contain the Sivers PDF which was predicted to have the
 555 opposite sign in DY as compared to SIDIS [12, 13, 14]. As the sign reversal is at the core of our
 556 present understanding of transverse single spin asymmetries in hard scattering processes, the
 557 experimental check of this prediction is of the utmost importance.

558 7. The expected sign reversal of T-odd TMDs can also be investigated through the structure
559 functions $F_{TU}^{\sin(2\phi-\phi_a)}$ or $F_{UT}^{\sin(2\phi-\phi_b)}$ in which the Boer-Mulders PDF enters (see [15, 16, 17]).
560 8. It is very important to measure those new TMD PDFs which are still not measured or
561 measured with large uncertainties. These are worm-gear-T, L and pretzelosity PDFs. The last
562 one would give new information on possible role of the constituent's orbital momenta in
563 resolution of the nucleon spin crisis.
564 9. For the complete success of the nucleon structure study program it is mandatory that NICA
565 provides beams of all above mentioned configurations (see also Section 3). The expected effects
566 are of the order of a few percent. So the high luminosity, $\geq 10^{32}$, is necessary to guaranty a
567 corresponding statistical accuracy of measurements.
568 10. As usual, the new facility, i.e. NICA and SPD, prior to measurements of something
569 unknown, should show its potentials measuring already known quantities. So, the program of the
570 nucleon structure study at NICA should start with measurements of non-polarized SFs.
571 Measuring σ_{int}^{00} (Eq. 2.1.9) we could obtain the structure function F_{UU}^I which is proportional to
572 the PDF f_l (Eq.2.1.5) – quite well measured in SIDIS experiments. Additionally from
573 measurements of A_{UU} (Eq. 2.1.10) we obtain $F^{\cos 2\phi}_{UU}$ which is proportional to the Boer-Mulders
574 PDF and still poor measured.
575 11. Next step in the program should be measurements of the A_{LL} asymmetry which provide the
576 access to the SFs F_{LL}^I and $F^{\cos 2\phi}$. The first one is proportional to the helicity PDF, well measured
577 in SIDIS, while the second one is proportional to the still unknown worm-gear-L PDF.

578 2.1.2. Studies of PDFs via integrated asymmetries.

579 The set of asymmetries (2.1.10) gives the access to all eight leading twist TMD PDFs.
580 However, sometimes one can work with integrated asymmetries. Integrated asymmetries are
581 useful for the express analysis of data and checks of expected relations between asymmetries
582 mentioned in Section 2.1. They are also useful for model estimations and determination of
583 required statistics (see Section 6.2). Let us consider several examples starting from the case
584 when only one of colliding hadrons (for instance, hadron “b”) is transversely polarized. In this
585 case the DY cross section Eq. (2.1.2) with SFs given by Eq. (2.1.6) is reduced to the expression
586 (2.1.11) which, being integrated over ϕ_{S_b} , allows to construct the weighted asymmetries given by
587 Eqs. (2.1.12) where $\phi_{S_b} \equiv \phi_S$ (the weight function is shown in the superscript of the asymmetry).
588 They provide access to the Boer-Mulders, Sivers, and pretzelosity TMD PDFs. The integrated
589 and additionally q_T -weighted asymmetries $A_{UT}^{w\left[\sin(\phi+\phi_S)\frac{q_T}{M_N}\right]}$ and $A_{UT}^{w\left[\sin(\phi-\phi_S)\frac{q_T}{M_N}\right]}$ given by Eqs. (2.1.13-
590 14) provide access to the first moments of the Boer-Mulders, $h_{1q}^\perp(x, k_T^2)$, and Sivers, $f_{q1T}^{\perp(1)}(x, k_T^2)$
591 , PDFs given by Eqs. (2.1.15).

$$\begin{aligned}
\frac{d\sigma}{dx_a dx_b d^2\mathbf{q}_T d\Omega} &= \frac{\alpha^2}{4Q^2} \left\{ (1 + \cos^2 \theta) C \left[f_1 \bar{f}_1 \right] \right. \\
&+ \sin^2 \theta \cos 2\phi C \left[\frac{2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}}{M_a M_b} h_1^\perp \bar{h}_1^\perp \right] \\
&+ |S_{bT}| \left[(1 + \cos^2 \theta) \sin(\phi - \phi_{S_b}) C \left[\frac{\vec{h} \cdot \vec{k}_{bT}}{M_b} f_1 \bar{f}_{1T}^\perp \right] - \sin^2 \theta \sin(\phi + \phi_{S_b}) C \left[\frac{\vec{h} \cdot \vec{k}_{aT}}{M_a} h_1^\perp \bar{h}_1^\perp \right] \right. \\
&\left. - \sin^2 \theta \sin(3\phi - \phi_{S_b}) C \left[\frac{2(\vec{h} \cdot \vec{k}_{bT})[2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}] - \vec{k}_{bT}^2 (\vec{h} \cdot \vec{k}_{aT})}{2M_a M_b^2} h_1^\perp \bar{h}_{1T}^\perp \right] \right] \left. \right\}, \tag{2.1.11}
\end{aligned}$$

597 For the pp collisions there are two limiting cases when one can neglect contributions to the
 598 asymmetries from sea part of PDFs either of polarized or non-polarized protons. The first case
 599 corresponds to the region of x_{Bj} values where $x_{unpol} \gg x_{pol}$ while the second one-- to the region
 600 $x_{unpol} \ll x_{pol}$. In these cases one can obtain the approximate expressions for asymmetries
 601 (2.1.13-14) which are given by Eqs. (2.1.16-17)

602 So far we have considered the pp collisions. At NICA we are planning to study the pd and dd
 603 collisions as well. As is known from COMPASS experiment, the SIDIS asymmetries on
 604 polarized deuterons are consisted with zero. At NICA we can expect that asymmetries

$$605 \quad A_{UT}^{w\left[\sin(\phi \pm \phi_S) \frac{q_T}{M_N}\right]} \Bigg|_{pD^\uparrow}, \quad A_{UT}^{w\left[\sin(\phi \pm \phi_S) \frac{q_T}{M_N}\right]} \Bigg|_{DD^\uparrow} \quad \text{also will be consisted with zero (subject of tests).}$$

606 But asymmetries in Dp^\uparrow collisions are expected to be non-zero. In the limiting cases
 607 $x_D \gg x_{p^\uparrow}$ and $x_D \ll x_{p^\uparrow}$ these asymmetries (**accessible only at NICA**) are given by expressions
 608 (2.1.18).
 609

$$610 \quad A_{UT}^{w[\sin(\phi + \phi_S)]} = \frac{\int d\Omega d\phi_S \sin(\phi + \phi_S) [d\sigma^\uparrow - d\sigma^\downarrow]}{\int d\Omega d\phi_S [d\sigma^\uparrow + d\sigma^\downarrow]/2} = -\frac{1}{2} \frac{C\left[\frac{\vec{h} \cdot \vec{k}_{aT} h_1^\perp \bar{h}_1}{M_a}\right]}{C[f_1 \bar{f}_1]},$$

$$A_{UT}^{w[\sin(\phi - \phi_S)]} = \frac{\int d\Omega d\phi_S \sin(\phi - \phi_S) [d\sigma^\uparrow - d\sigma^\downarrow]}{\int d\Omega d\phi_S [d\sigma^\uparrow + d\sigma^\downarrow]/2} = \frac{1}{2} \frac{C\left[\frac{\vec{h} \cdot \vec{k}_{bT} f_1 \bar{f}_{1T}^\perp}{M_b}\right]}{C[f_1 \bar{f}_1]}, \quad (2.1.12)$$

$$A_{UT}^{w[\sin(3\phi - \phi_S)]} = \frac{\int d\Omega d\phi_S \sin(3\phi - \phi_S) [d\sigma^\uparrow - d\sigma^\downarrow]}{\int d\Omega d\phi_S [d\sigma^\uparrow + d\sigma^\downarrow]/2} =$$

$$= -\frac{1}{2} \frac{C\left[\frac{2(\vec{h} \cdot \vec{k}_{bT})[2(\vec{h} \cdot \vec{k}_{aT})(\vec{h} \cdot \vec{k}_{bT}) - \vec{k}_{aT} \cdot \vec{k}_{bT}] - \vec{k}_{bT}^2 (\vec{h} \cdot \vec{k}_{aT})}{2M_a M_b^2} h_1^\perp \bar{h}_{1T}^\perp\right]}{C[f_1 \bar{f}_1]},$$

611
 612

$$613 \quad A_{UT}^{w\left[\sin(\phi + \phi_S) \frac{q_T}{M_N}\right]} = \frac{\int d\Omega \int d^2 \mathbf{q}_T (|\mathbf{q}_T|/M_p) \sin(\phi + \phi_S) [d\sigma^\uparrow - d\sigma^\downarrow]}{\int d\Omega \int d^2 \mathbf{q}_T [d\sigma^\uparrow + d\sigma^\downarrow]/2}$$

$$= -\frac{\sum_q e_q^2 \left[\bar{h}_{1q}^{\perp(1)}(x_p) h_{1q}(x_{p^\uparrow}) + (q \leftrightarrow \bar{q}) \right]}{\sum_q e_q^2 \left[\bar{f}_{1q}(x_p) f_{1q}(x_{p^\uparrow}) + (q \leftrightarrow \bar{q}) \right]}, \quad (2.1.13)$$

614

$$615 \quad A_{UT}^{w\left[\sin(\phi - \phi_S) \frac{q_T}{M_N}\right]} = \frac{\int d\Omega \int d^2 \mathbf{q}_T (|\mathbf{q}_T|/M_p) \sin(\phi - \phi_S) [d\sigma^\uparrow - d\sigma^\downarrow]}{\int d\Omega \int d^2 \mathbf{q}_T [d\sigma^\uparrow + d\sigma^\downarrow]/2}$$

$$= 2 \frac{\sum_q e_q^2 \left[f_{1T}^{\perp(1)q}(x_{p^\uparrow}) f_{1q}(x_p) + (q \leftrightarrow \bar{q}) \right]}{\sum_q e_q^2 \left[\bar{f}_{1q}(x_{p^\uparrow}) f_{1q}(x_p) + (q \leftrightarrow \bar{q}) \right]}, \quad (2.1.14)$$

616 where

$$617 \quad h_{1q}^{\perp(1)}(x) = \int d^2k_T \left(\frac{k_T^2}{2M_p^2} \right) h_{1q}^{\perp}(x_p, k_T^2) \quad ; \quad f_{q1T}^{\perp(1)}(x) = \int d^2k_T \left(\frac{k_T^2}{2M_p^2} \right) f_{q1T}^{\perp}(x, k_T^2). \quad (2.1.15)$$

618
619

$$620 \quad A_{UT}^w \left[\frac{\sin(\phi - \phi_S) \frac{q_T}{M_N}}{M_N} \right] \Bigg|_{x_p \gg x_{p\uparrow}} \approx 2 \frac{\bar{f}_{1uT}^{\perp(1)}(x_{p\uparrow})}{\bar{f}_{1u}(x_{p\uparrow})} \quad ; \quad A_{UT}^w \left[\frac{\sin(\phi + \phi_S) \frac{q_T}{M_N}}{M_N} \right] \Bigg|_{x_p \gg x_{p\uparrow}} \approx - \frac{h_{1u}^{\perp(1)}(x_p) \bar{h}_{1u}(x_{p\uparrow})}{f_{1u}(x_p) \bar{f}_{1u}(x_{p\uparrow})} \quad (2.1.16)$$

621

$$622 \quad A_{UT}^w \left[\frac{\sin(\phi - \phi_S) \frac{q_T}{M_N}}{M_N} \right] \Bigg|_{x_p \ll x_{p\uparrow}} \approx 2 \frac{f_{1uT}^{\perp(1)}(x_{p\uparrow})}{f_{1u}^{\perp(1)}(x_{p\uparrow})} \quad ; \quad A_{UT}^w \left[\frac{\sin(\phi + \phi_S) \frac{q_T}{M_N}}{M_N} \right] \Bigg|_{x_p \ll x_{p\uparrow}} \approx - \frac{\bar{h}_{1u}^{\perp(1)}(x_p) h_{1u}(x_{p\uparrow})}{\bar{f}_{1u}(x_p) f_{1u}(x_{p\uparrow})} \quad (2.1.17)$$

623
624

$$625 \quad A_{UT}^w \left[\frac{\sin(\phi - \phi_S) \frac{q_T}{M_N}}{M_N} \right] (x_D \gg x_{p\uparrow}) \Bigg|_{Dp\uparrow \rightarrow l^+ \Gamma X} \approx \frac{4\bar{f}_{1uT}^{\perp(1)}(x_{p\uparrow}) + \bar{f}_{1dT}^{\perp(1)}(x_{p\uparrow})}{4\bar{f}_{1u}^{\perp(1)}(x_{p\uparrow}) + \bar{f}_{1d}^{\perp(1)}(x_{p\uparrow})},$$

$$626 \quad A_{UT}^w \left[\frac{\sin(\phi - \phi_S) \frac{q_T}{M_N}}{M_N} \right] (x_D \ll x_{p\uparrow}) \Bigg|_{Dp\uparrow \rightarrow l^+ \Gamma X} \approx 2 \frac{4f_{1uT}^{\perp(1)}(x_{p\uparrow}) + f_{1dT}^{\perp(1)}(x_{p\uparrow})}{4f_{1u}^{\perp(1)}(x_{p\uparrow}) + f_{1d}^{\perp(1)}(x_{p\uparrow})}, \quad (2.1.18)$$

627

$$628 \quad A_{UT}^w \left[\frac{\sin(\phi + \phi_S) \frac{q_T}{M_N}}{M_N} \right] (x_D \gg x_{p\uparrow}) \Bigg|_{Dp\uparrow \rightarrow l^+ \Gamma X} \approx - \frac{[h_{1u}^{\perp(1)}(x_D) + h_{1d}^{\perp(1)}(x_D)][4\bar{h}_{1u}(x_{p\uparrow}) + \bar{h}_{1d}(x_{p\uparrow})]}{[f_{1u}(x_D) + f_{1d}(x_D)][4\bar{f}_{1u}(x_{p\uparrow}) + \bar{f}_{1d}(x_{p\uparrow})]},$$

$$629 \quad A_{UT}^w \left[\frac{\sin(\phi + \phi_S) \frac{q_T}{M_N}}{M_N} \right] (x_D \ll x_{p\uparrow}) \Bigg|_{Dp\uparrow \rightarrow l^+ \Gamma X} \approx - \frac{[\bar{h}_{1u}^{\perp(1)}(x_D) + \bar{h}_{1d}^{\perp(1)}(x_D)][4h_{1u}(x_{p\uparrow}) + h_{1d}(x_{p\uparrow})]}{[\bar{f}_{1u}(x_D) + \bar{f}_{1d}(x_D)][4f_{1u}(x_{p\uparrow}) + f_{1d}(x_{p\uparrow})]}.$$

630

631 In case of double transversely polarized hadrons, instead of complicated analysis of the A_{TT}
632 asymmetry given by Eq. (2.1.10), the direct access to the transversity PDF h_1 one can have via

633 the weighted asymmetry, $A^{w[\cos(\phi_{Sb} + \phi_{Sa})q_T/M]}$, integrated over the angles ϕ_{Sb} and ϕ_{Sa} :

$$634 \quad A_{TT}^{w[\cos(\phi_{Sb} + \phi_{Sa})q_T/M]} \equiv A_{TT}^{\text{int}} = \frac{\sum_q e_q^2 (\bar{h}_{1q}(x_1) h_{1q}(x_2) + (x_1 \leftrightarrow x_2))}{\sum_q e_q^2 (\bar{f}_{1q}(x_1) f_{1q}(x_2) + (x_1 \leftrightarrow x_2))}. \quad (2.1.19)$$

635 The method of integrated asymmetries requires calculations of corresponding cross sections
636 prior their integration. It means that the detector acceptance and luminosity should be under
637 control.

638

639 2.2. New nucleon PDFs and J/Ψ production mechanisms. **(TO BE UPDATED)**

640

641 The J/Ψ meson, a bound state of charm and anti-charm quarks, was discovered in 1974 at
642 BNL [18] and SLAC [19]. The production and binding mechanisms of these two quarks are still
643 not completely known. It is important to note that many of J/Ψ mesons observed so far are not
644 directly produced from collisions but are the result of decays of other charmonium states.
645 Recently it has been estimated that 30 ± 10 % of J/Ψ mesons come from χ_c decays, and 59 ± 10 %
646 of them are produced directly [20]. The J/Ψ production mechanism, included in the PYTHIA

647 simulation code and intended for collider applications, considers two approaches: “colour
 648 singlet” and “colour octet” ones. The “colour singlet” approach considers gg fusion processes,
 649 while “colour octet” considers gg , gq and qq processes. According to PYTHIA [21], in pp
 650 collisions at $\sqrt{s}=24$ GeV the cross section of the J/Ψ production in gg processes (singlet and
 651 octet) and in gq plus qq processes are about equal (~ 53 and ~ 50 nb, respectively). The gq and qq
 652 processes proceed via various charmonium states subsequently decaying into J/Ψ . So, these
 653 processes could be sensitive to the TMD PDFs. It is interesting to note that the gq -bar processes
 654 have the largest cross sections (see the Table 1 in Appendix 1).

655 The production of J/Ψ with it subsequent decay into a lepton pair, proceeding via the $q\bar{q}$ - or
 656 $g\bar{q}$ processes, $H_a + H_b \rightarrow J/\Psi + X \rightarrow l^+ + l^- + X$, is analogous to the DY production mechanism
 657 (Eq. 2.1.1) if the J/Ψ interaction with quarks and leptons is of the vector type. This analogy is
 658 known under the name “duality model” [22, 23]. In the case of the TMD PDFs studies, the
 659 “duality model” can predict [24] a similar behavior of asymmetries $A_{jk}^i = F_{jk}^i / F_{UU}^1$ in the lepton
 660 pair’s production calculated via DY (Eq. 2.1.10) and via J/Ψ events. This similarity follows from
 661 the duality model idea to replace the coupling e_q^2 in the convolutions for F_{jk}^i (Eq.2.1.4) by J/Ψ
 662 vector coupling with $q\bar{q}$ (g_q^V)². The vector couplings are expected to be the same for u and d
 663 quarks [22] and cancel in the ratios $A_{jk}^i = F_{jk}^i / F_{UU}^1$ for large x_a or x_b . For instance, we can

664 compare the Sivers asymmetry $A_{UT}^i \left[\sin(\phi - \phi_s) \frac{q_T}{M_N} \right]$ given in the DY case by Eq. (2.1.14) with the same
 665 asymmetry given in J/Ψ case by Eq. (2.1.14) with omitted quark charges. At NICA such a
 666 comparison can be performed at various colliding beam energies.

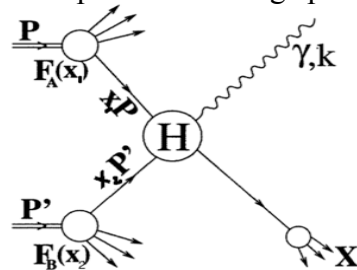
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669 2.3. Direct photons.

670

671 Direct photon productions in the non-polarized and polarized pp (pd) reactions provide
 672 information on the gluon distributions in nucleons (Fig. 2.3). There are two main hard processes
 673 where direct photons can be produced: gluon Compton scattering, $g+q \rightarrow \gamma+X$, and quark-
 674 antiquark annihilation, $q+qbar \rightarrow \gamma+X$. As it has been pointed out in [25], “the direct photon
 675 production in non polarized pp collisions can provide a clear test of short-distance dynamics as
 676 predicted by the perturbative QCD, because the photon originates in the hard scattering sub-
 677 process and does not fragment. This immediately means that Collins effect is not present. The
 678 process is very sensitive to the non polarized gluon structure function, since it is dominated by
 679 quark-gluon Compton sub process in a large photon transverse momentum range”.



680

681

682 **Fig.2.3:** diagram of the direct photon production. Vertex H corresponds to

683

684 $q + qbar \rightarrow \gamma + g$ or $g + q \rightarrow \gamma + q$ hard processes.

685

686

The non-polarized cross section for production of a photon with the transverse momentum p_T and rapidity y in the reaction $p+p \rightarrow \gamma+X$ is written [25] as follows:

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$$d\sigma = \sum_i \int_{x_{min}}^1 dx_a \int d^2\mathbf{k}_{Ta} d^2\mathbf{k}_{Tb} \frac{x_a x_b}{x_a - (p_T/\sqrt{s})e^y} [q_i(x_a, \mathbf{k}_{Ta}) G(x_b, \mathbf{k}_{Tb}) \times \frac{d\hat{\sigma}}{d\hat{t}}(q_i G \rightarrow q_i \gamma) + G(x_a, \mathbf{k}_{Ta}) q_i(x_b, \mathbf{k}_{Tb}) \frac{d\hat{\sigma}}{d\hat{t}}(G q_i \rightarrow q_i \gamma)] ,$$

691 where k_{Ta} (k_{Tb}) is the transverse momentum of the interacting quark (gluon), x_a (x_b) is the
692 fraction of the proton momentum carried by them and $q_i(x, k_T)$, $[G(x, k_T)]$ is the quark (gluon)
693 distribution function with the specified k_T [25]. The total cross section of the direct photon
694 production in the pp -collision at $\sqrt{s}=24$ GeV via the first process (according to PYTHIA 6.4) is
695 equal to 1100 nb, while the cross section of the second process is about 200 nb. So, the gluon
696 Compton scattering is the main mechanism of the direct photon production. One can show [25],
697 that the above expression can be used also for extraction of the polarized gluon distribution
698 (Sivers gluon function) from measurement of the transverse single spin asymmetry A_N defined as
699 follows:

$$A_N = \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow}$$

702 Here σ^\uparrow and σ^\downarrow are the cross sections of the direct photon production for the opposite transverse
703 polarizations of one of the colliding protons. In [26] it has been pointed out that the asymmetry
704 A_N at large positive x_F is dominated by quark-gluon correlations while at large negative x_F [27] it
705 is dominated by pure gluon-gluon correlations. The further development of the corresponding
706 formalism can be found in [28], [29].

707 Predictions for the value of A_N at $\sqrt{s}=30$ GeV, $p_T=4$ GeV/c can be found in [28] for negative
708 x_F (Fig. 2.4 (left)) and in [26] for positive x_F (Fig. 2.4 (right)). In both cases the A_N values remain
709 sizable.

710 The first attempt to measure A_N at $\sqrt{s}=19.4$ GeV was performed in the fixed target
711 experiment E704 at Fermilab [30] in the kinematic range $-0.15 < x_F < 0.15$ and $2.5 < p_T < 3.1$ GeV/c.
712 Results are consistent with zero within large statistical and systematic uncertainties (Fig.2.5).

713 The single spin asymmetries in the direct photon production will be measured also by
714 PHENIX [31] and STAR [32] at RHIC.

715 Production of direct photons at large transverse momentum with longitudinally polarized
716 proton beams is a very promising method to measure gluon polarization Δg [33]. Longitudinal
717 double spin asymmetry A_{LL} , defined as:

$$A_{LL} = \frac{(\sigma_{++} + \sigma_{--}) - (\sigma_{+-} + \sigma_{-+})}{(\sigma_{++} + \sigma_{--}) + (\sigma_{+-} + \sigma_{-+})}$$

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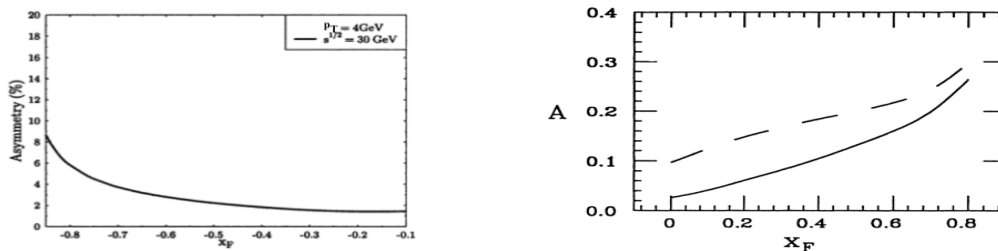
719 where $\sigma_{\pm\pm}$ are cross sections for all four helicity combinations, can be written (assuming
720 dominance of the Compton process) as [34]:

$$A_{LL} \approx \frac{\Delta g(x_1)}{g(x_1)} \cdot \left[\frac{\sum_q e_q^2 [\Delta q(x_2) + \Delta \bar{q}(x_2)]}{\sum_q e_q^2 [q(x_2) + \bar{q}(x_2)]} \right] \cdot \hat{a}_{LL}(gq \rightarrow \gamma q) + (1 \leftrightarrow 2),$$

721

722 where the second factor is known as A_1^p asymmetry (Section 1.1) from polarized SIDIS and
723 $a_{LL}(gq \rightarrow \gamma q)$ is spin asymmetry for sub-process $gq \rightarrow \gamma q$.

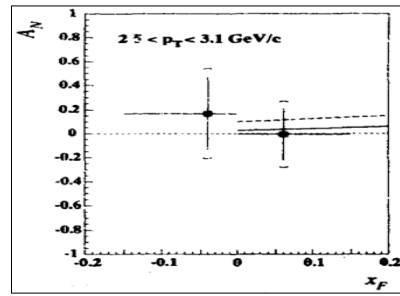
724 Measurement of A_{LL} at $\sqrt{s} > 100$ GeV is included in the long range program of RHIC [34].



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Fig. 2.4: predictions for A_N at $\sqrt{s}=30$ GeV, $p_T=4$ GeV/c: from [28](left), from [26] (right).

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737 **Fig.2.5:** the single transverse spin asymmetry A_N measured in the E704 experiment. Curves are
738 predictions of [26].

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741 **Sections 2.4 – 2.6 to be updated.**

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743 **2.6. Spin-dependent reactions in heavy ion collisions. (to be updated)**

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745 **2.6.1. Proposal for the birefringence phenomenon investigation at NICA facility.**

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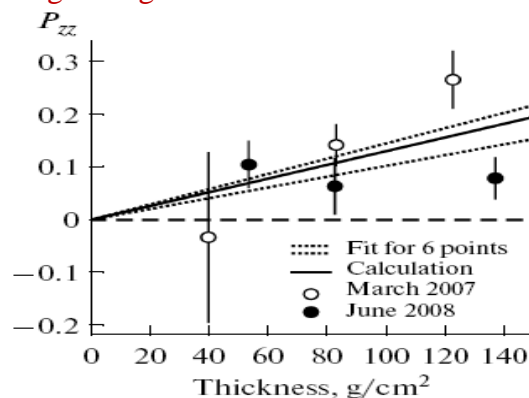
747 One of the most interesting quasi-optical effects – the birefringence phenomenon for
748 deuterons (or other particles with spin $S \geq 1$) passing through matter – has recently become the
749 area of research [35]. Birefringence occurs when spin $S \geq 1$ particles pass through isotropic non-
750 polarized matter and is due to the inherent anisotropy of particles with spin $S \geq 1$ (as distinct
751 from spin $\frac{1}{2}$ particles). The birefringence effect leads to the rotation of the beam polarization
752 vector when a non-polarized deuteron beam passes through a non-polarized target. Moreover, the
753 appearing spin dichroism effect (the different absorption of deuterons in states with $m = \pm 1$ and
754 0) gives rise to a tensor polarization of the initially non-polarized deuteron beam that has passed
755 through the non-polarized target [35]. It is noteworthy that the rotation angle of the polarization
756 vector and the spin dichroism are determined by the real and imaginary parts of the amplitude of
757 zero-angle coherent elastic scattering, respectively. For this reason it is possible to measure these
758 amplitudes in experiments.

759

760 The experimental investigation of the birefringence effect began with the observation of
761 the spin dichroism effect for low- and high-energy deuterons. The experiments with 5-20 MeV
762 deuterons were performed on the electrostatic accelerator at Cologne University (Germany) [36].
763 Tensor polarization acquired by the beam was obtained by varying the thickness of carbon
764 targets and the initial energy of the beam.

765

766 The experiments using carbon targets and deuterons with a momentum of 5 GeV/c were
767 performed at «Nuclotron-M» accelerator. The measured values of tensor polarization acquired
768 by the beam passing through a set of variable-thickness targets are given in Fig.2.6 [37].



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Fig.2.6: tensor polarization value acquired by deuterons of 5 GeV/c crossing the carbon target of various thickness.

769 Based on the performed theoretical and experimental studies, we can highlight the
 770 following directions for future research in fixed target and collider experiments of the NICA
 771 complex:

- 772 1. The study of birefringence (spin rotation, spin dichroism) in few-nucleon systems
 773 involving protons and deuterons.
- 774 2. The study of birefringence appearing through the interaction of protons or deuterons
 775 with heavy nuclei.
- 776 3. The study of birefringence for heavy nuclei with spin $S \geq 1$.
- 777 4. The study of the birefringence effect in the nuclear matter of vector particles produced
 778 in inelastic collisions.

779 2.7. Future experiments on nucleon structure in the world. (to be updated)

782 The measurements of DY processes using various beams and targets have started in 1970
 783 with the unpolarized proton beam of AGS accelerator in Brookhaven. Since that time series of
 784 DY experiments were performed at FNAL and CERN but only two of them directly connected
 785 with studies of the nucleon structure. These are experiments NA51 [38] and E866 [39]. Both of
 786 them have measured the ratio of the anti-d and anti-u quarks in the nucleons.

787 Present list of the DY experiment in the world (Table below) includes fixed target and collider
 788 experiments aimed to study spin-dependent and spin-independent processes in a wide range of
 789 energies. Physics goals of the experiments include studies of one or several TMD PDFs.

790 The first fixed target polarized DY measurements will be performed at CERN by the
 791 COMPASS-II experiment [40]. It will start the data taking in 2014 with 160 GeV (or $\sqrt{s} \sim$
 792 18GeV) π^- beam and polarized hydrogen target. The FNAL E-906 [41] non-polarized experiment
 793 has started already. Recently FNAL has initiated the workshops on polarized DY experiments.
 794 The PANDA [42] at FAIR will start somewhat later.

795 Future collider DY experiments are included in the long range programs of the PHENIX and
 796 STAR at RHIC [43]. They are planning to carry out DY measurements with 500 GeV
 797 longitudinally polarized as well as with 200 GeV transversely polarized protons.

798 The Spin Physics Detector (SPD) experiments, proposed at the second interaction point of the
 799 NICA collider, will have a number of advantages for DY measurements related to nucleon
 800 structure studies. These advantages include:

- 801 - operations with pp , pd and dd beams,
- 802 - scan of effects on beam energies,
- 803 - measurement of effects via muon and electron-positron pairs simultaneously,
- 804 - operations with non-polarized, transversely and longitudinally polarized beams or their
 805 combinations. Such possibilities permit for **the first time** to perform comprehensive studies of
 806 **all leading twist PDFs** of nucleons in a single experiment with minimal systematic errors.

Experiment	CERN, COMPASS	FAIR, PANDA	FNAL, E-906	RHIC, STAR	RHIC- PHENIX	NICA, SPD
<i>mode</i>	<i>fixed target</i>	<i>fixed target</i>	<i>fixed target</i>	<i>collider</i>	<i>collider</i>	<i>collider</i>
<i>Beam/target</i>	π^- , p	<i>anti-p</i> , p	π^- , p	pp	pp	pp , pd , dd
<i>Polarization:b/t</i>	0; 0.8	0; 0	0; 0	0.5	0.5	0.5
<i>Luminosity</i>	10^{32}	10^{32}	10^{42}	10^{32}	10^{32}	10^{32}
<i>\sqrt{s}, GeV</i>	17	6	16	200, 500	200, 500	10-26
<i>$x_{1(beam)}$ range</i>	0.1-1.0	0.1-1.0	0.1-1.0	0.1-0.9	0.1-0.9	0.1-0.8
<i>q_T, GeV</i>	0.5 -4.0	0.5 -1.5	0.5 -3.0	1.0 -10.0	1.0 -10.0	0.5 -6.0
<i>Lepton pairs,</i>	$\mu-\mu^+$	$\mu-\mu^+$	$\mu-\mu^+$	$\mu-\mu^+$	$\mu-\mu^+$	$\mu-\mu^+$, $e+e^-$
<i>Data taking</i>	2014	>2016	2013	>2016	>2016	>2017
<i>Transversity</i>	YES	NO	NO	YES	YES	YES

Boer-Mulders	YES	YES	YES	YES	YES	YES
Sivers	YES	YES	YES	YES	YES	YES
Pretzelocity	YES	NO	NO	NO	YES	YES
Worm Gear	YES	NO	NO	NO	NO	YES
J/Ψ	YES	YES	NO	NO	NO	YES
Flavour separ	NO	NO	YES	NO	NO	YES

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3. Requirements to the NUCLOTRON-NICA complex

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The research program outlined in Section 2 requires definite characteristics of beams and technical infrastructure.

813

Beams. The following beams will be needed, polarized and non-polarized:

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$$pp, pd, dd, pp \uparrow, pd \uparrow, p \uparrow p \uparrow, p \uparrow d \uparrow, d \uparrow d \uparrow.$$

815

Beam polarizations both at MPD and SPD: longitudinal and transversal. Absolute values of polarizations should be $\geq 50\%$. The life time of the beam polarization should be long enough, ≥ 24 h. Measurements of Single Spin and Double Spin asymmetries in DY require running in different beam polarization modes: $UU, LU, UL, TU, UT, LL, LT$ and TL (spin flipping for every bunch or group of bunches should be considered).

819

Beam energies: $p \uparrow p \uparrow (\sqrt{s_{pp}}) = 12 \div \geq 27$ GeV ($5 \div \geq 12.6$ GeV kinetic energy),

820

$$d \uparrow d \uparrow (\sqrt{s_{NN}}) = 4 \div \geq 13.8$$
 GeV ($2 \div \geq 5.9$ GeV/u ion kinetic energy).

821

Asymmetric beam energies should be considered also.

822

Beam luminosities: in the pp mode: $L_{\text{average}} \geq 1 \cdot 10^{32}$ cm⁻²s⁻¹ (at $\sqrt{s_{pp}} = 27$ GeV),

823

$$\text{in the } dd \text{ mode: } L_{\text{average}} \geq 1 \cdot 10^{30} \text{ cm}^{-2}\text{s}^{-1} \text{ (at } \sqrt{s_{NN}} = 14 \text{ GeV).}$$

824

For estimations of the expected statistics of events, we assume that total efficiency of the NICA complex will be $\geq 80\%$, i.e. total working hours per year will be ≥ 7000 hours.

825

Infrastructure. The infrastructure of the Nuclotron-NICA complex should include:

827

- a source(s) of polarized (non-polarized) protons and deuterons,
- a system of polarization control and absolute measurements (3-5%),
- a system of luminosity control and absolute measurements,
- a system(s) of data distribution on polarization and luminosity to the experiments.

831

The infrastructure tasks should be subjects of the separate project(s).

832

Local SPD polarization and luminosity monitors are discussed in Section 5.6.

833

Beams intersection area. The area of ± 3 m along and across of the beams second intersection point, where the detector for the spin physics experiment will be situated, must be free of any collider elements and equipment. The beam pipe diameter in this region should be minimal, 10 cm or less, to guaranty the angular detector acceptance close to 4π . The walls of the beam pipe in the region ± 1 m of the beams intersections should have a minimal thickness and made of the low-Z material (Be?).

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4. Polarized beams at NICA. (TO BE UPDATED)

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The NICA complex at JINR has been approved in 2008 assuming two phases of the construction. The first phase being realized now includes construction of facilities for heavy ion physics program [1] while the second phase should include facilities for the program of spin physics studies with polarized protons and deuterons. In this document we communicate briefly the status of the NICA project in relation to research with polarized beams.

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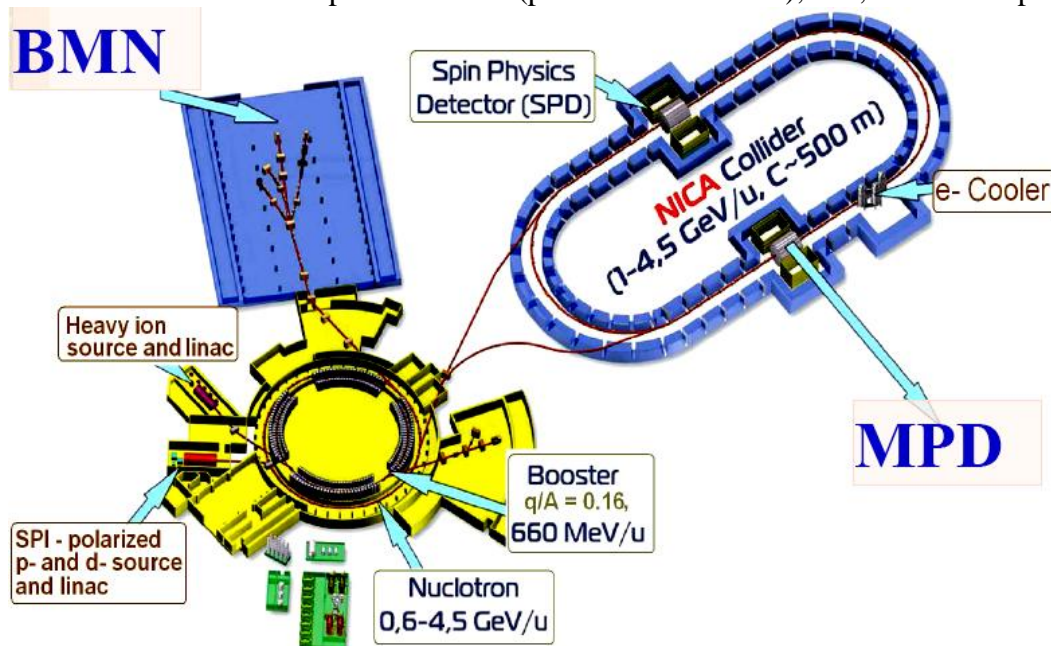
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851 4.1. Scheme of the complex.

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853 The main elements of NICA complex are shown in Figure 4.1. They include: the heavy
854 ion source and source of polarized ions (proton and deuteron), SPI, with corresponding linacs,



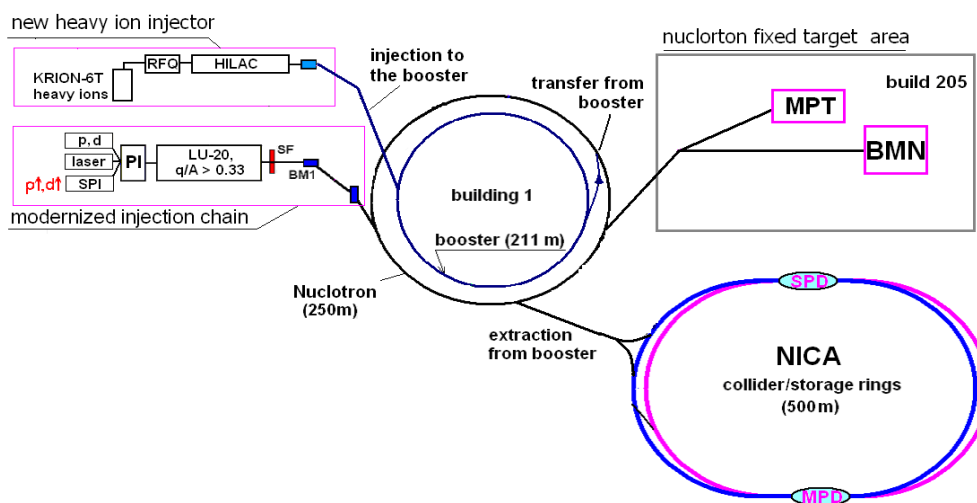
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856 *Fig. 4.1: The NICA complex of JINR.*

857 existing superconducting accelerator Nuclotron upgraded to Nuclotron M, new superconducting
858 Booster synchrotron, new collider NICA with two detectors – MPD (Multi-Purpose Detector for
859 heavy ion studies) and SPD (Spin Physics Detector), as well as experimental hall for fixed target
860 experiments with beams extracted from Nuclotron M.

861 The functional scheme of facility approved for the first phase of construction scenario is
862 presented in Fig.4.2. The chain of beams injection to the collider rings in the case of polarized
863 protons and deuterons includes: SPI, the modernized injection linac LU-20 equipped with the
864 new pre-injector (PI), (Booster), Nuclotron, NICA. The main goals of the Booster in polarized
865 case are the following: 1) formation of the required beam emittance with electron cooling and 2)
866 fast extraction of the accelerated beam. The chain bypassing Booster is also considered [2].

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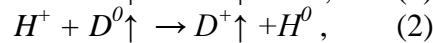
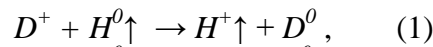
869 *Fig. 4.2: The functional scheme of NICA complex.*

870 Feasibilities to fulfill requirements to the NICA complex formulated in previous Section are
871 considered below moving along the chain: SPI – LU-20 – Nuclotron (Booster) – NICA.

4.2. Source of polarized ions and injector.

The new polarized ion source is being commissioned now. It was designed and constructed as a universal pulsed high intensity source of polarized deuterons and protons based on a charge-exchange plasma ionizer. The output $\uparrow D^+$ ($\uparrow H^+$) current of the source is expected to be at a level of 10 mA. The expected polarization is about 90% in the vector (± 1) for $\uparrow D^+$ and $\uparrow H^+$ and tensor (+1,-2) for $\uparrow D^+$ modes. The project is carried out in cooperation with INR of RAS (Moscow). The equipment available from the CIPIOS ion source (IUCF, Bloomington, USA) is partially used for SPI. The source will deliver the 10 mks pulsed polarized proton or deuteron beam with intensity up to $\sim 2 \cdot 10^{11}$ per pulse and repetition rate of 1 Hz [3].

Briefly, the SPI consists of several sections. The atomic section uses the permanent ($B = 1.4$ T) and conventional electromagnet sextupoles ($B = 0.9$ T) for beam focusing. The cryocooler section is used for cooling the atomic beam. In the radio-frequency transition section the atoms are polarized before they are focused into the ionizer. The resonant charge-exchange ionizer [4] produces pulses of positive ion plasma inside the solenoid. Nearly resonant charge-exchange reactions:



are used to produce polarized protons or deuterons. Spin orientation of $\uparrow D^+$ ($\uparrow H^+$) at the exit of SPI is vertical. The polarized particles are focused through the extraction section into the injection linac.

The Alvarez-type linac LU-20 used as the Nuclotron injector was put into operation in 1974. It was originally designed as proton accelerator from 600 KeV to 20 MeV. Later it was modified to accelerate ions with charge-to-mass ratio $q/A > 0.33$ to 5 MeV/u at $2\beta\lambda$ mode. The pulse transformer voltage up to 700 kV is now used to feed the accelerating tube of the LU-20 pre-injector. The new pre-injector will be based on the RFQ section [5].

4.3. Acceleration of polarized protons and deuterons at Nuclotron.

4.3.1. Polarized deuterons.

Acceleration of polarized deuterons at the Synchrophasotron was achieved for the first time in 1984 [6] and at Nuclotron in 2002 [7]. There are no dangerous spin resonances which could occur during the polarized deuterons acceleration in Nuclotron up to the energy of 5.6 GeV/u. This limit is practically very close to the maximum design energy of the Nuclotron (6 GeV/u for $q/A = 1/2$). There are no doubts about the realization of the project in this case. The only problem in case of deuterons is changing the polarization direction from vertical to horizontal and back.

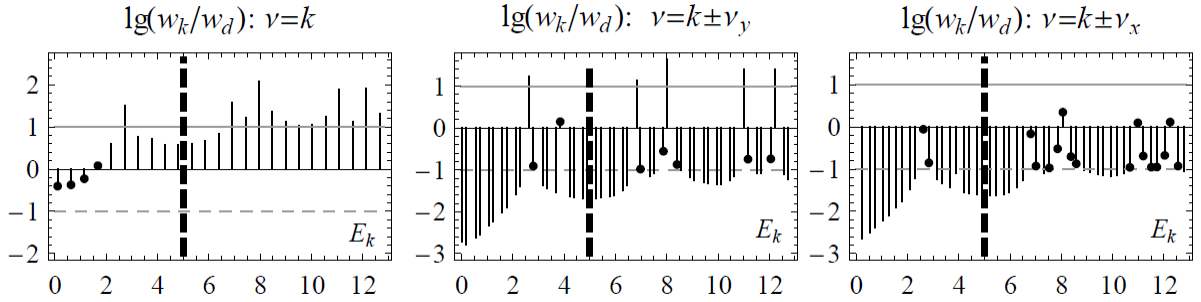
4.3.2. Polarized protons.

According to the NICA project, Nuclotron as the strong focusing synchrotron should accelerate polarized protons from the injection energy (20 MeV) up to the maximum design value of 12.6 GeV. Let us estimate first the expected proton beam intensity at the Nuclotron output. The limitations and particle losses could come due to different reasons. Taking the SPI design current (10 mA) and estimated particle loss coefficient between the source and Nuclotron (0.5), RF capture (0.8), extraction efficiency (0.86) and other factors in the synchrotron (0.9), one can expect the output intensity up to $1.6 \cdot 10^{11}$ polarized protons per pulse.

For the successful crossing of numerous spin resonances in Nuclotron, the inserted devices like "siberian snakes" will be designed and installed into the accelerator lattice. Spin resonances, occurring during the acceleration cycle at different combinations of the betatron (ν_x, ν_y) and spin (ν) oscillation frequencies, were analyzed in [8]. Three cases were considered: $\nu = k$, $\nu = k \pm \nu_y$, $\nu = k \pm \nu_x$, where $k = 0, 1, 2, \dots$. Dependence of the spin resonance frequency, w_k (normalized to the value $w_d = 7.3 \cdot 10^{-4}$ corresponding to complete beam depolarization) on the proton energy for

923 each of these cases is shown in Fig.4.3. The “dangerous” resonances marked with black dots
 924 occur when the values of $\lg(w_k/w_d)$ approach zero or -1. As one can see, there are four
 925 resonances in the first case and two resonances in the second and third cases.

926 To preserve polarization, we consider the Siberian snake with solenoid magnetic field as an
 927 inserted device. The snake containing transverse magnetic field will cause very big closed orbit
 928 distortions especially at low energies. The maximum magnetic field integral of the snake
 929 depends on the particle momentum and approximately equal to 21 T·m at the Lorenz factor $\gamma=6$.
 930 It is not necessary to use a full snake to suppress the influence of spin resonances. One can use a
 931 partial snake with small longitudinal magnetic field integral.



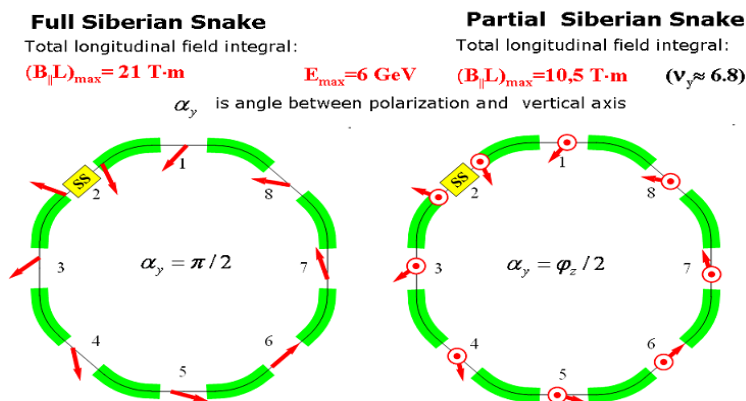
932 **Fig.4.3:** values of $\lg(w_k/w_d)$, characterizing proton spin resonances in the Nuclotron, vs. the
 933 proton energy in GeV, calculated for: $\nu = k$, $\nu = k \pm \nu_y$, $\nu = k \pm \nu_x$.
 934
 935

936 If the longitudinal magnetic field is introduced in the synchrotron straight section, the
 937 dependence of spin frequency ν on particle energy and spin angle φ_z in the solenoid is defined

938 by a relation: $\cos(\pi\nu) = \cos(\pi\gamma G)\cos\frac{\varphi_z}{2}$. Thus, even with a small longitudinal magnetic

939 field, $\varphi_z/2\pi > |w_k|$, one can completely “exclude” the set of integer resonances, whereas
 940 suppressing of the intrinsic resonances is occurred if $\varphi_z/2\pi > |w_k|$. The maximum longitudinal
 941 magnetic field integral at $\gamma = 6$ is reached a value of 8.5 T·m, i.e. about twice as less than in the
 942 case of the full snake, ($\varphi_z = \pi$).

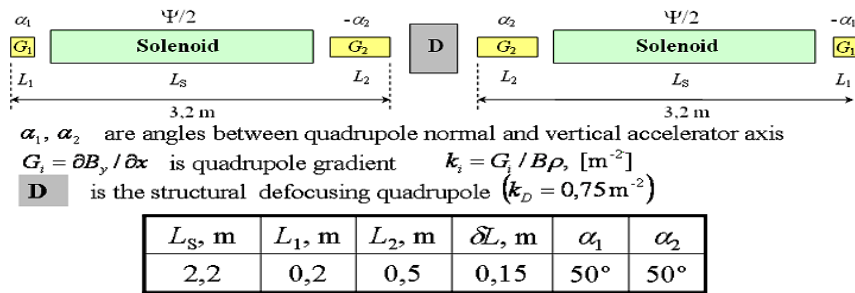
943 The proton spin dynamics along the Nuclotron ring is shown in Fig. 4.4 [9] assuming the snake
 944 (full or partial) is placed in the second (after injection) straight section.
 945



946 **Fig.4.4:** proton spin dynamics in the Nuclotron ring in the case of a full or partial snake.
 947

948 The snake structure – two solenoids and two pair of quadrupoles (G_1, G_2) – and parameters of the
 949 insertion are shown in Fig. 4.5.

950



Ψ	E_k, GeV	$B_{ }, T$	k_1, m^{-2}	k_2, m^{-2}	$G_1, T/m$	$G_2, T/m$	$G_D, T/m$
$\pi/2$	5/13	2,4/5,6	0,36	0,75	6,7/16	14/33	14/33
π	5/13	4,8/11	0,63	1,13	12/28	21/50	14/33

Fig.4.5: snake structure and parameters of insertion.

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It has been suggested [8] to design universal snakes suitable for any strong focusing magnetic structure of synchrotron or collider, for example to use snakes consisting of solenoids only. In this case the betatron tunes coupling caused by the snake solenoid fringe fields can be compensated by fine tuning of the betatron frequencies. The corresponding case for Nuclotron is shown in Fig.4.6.

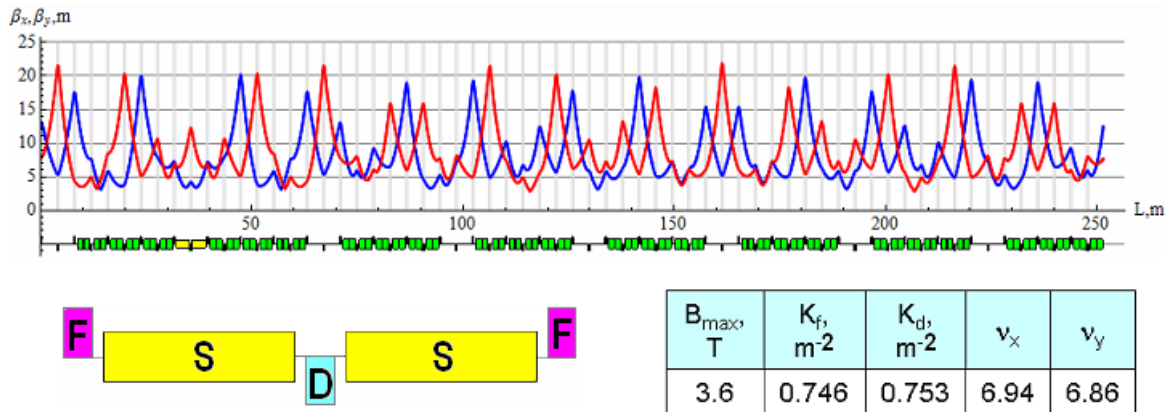


Fig.4.6: snake consisting of the solenoids only. The snake magnetic field and betatron tune numbers are shown assuming the solenoid length is of 1.5 m.

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4.4. NICA in the polarized proton and deuteron modes.

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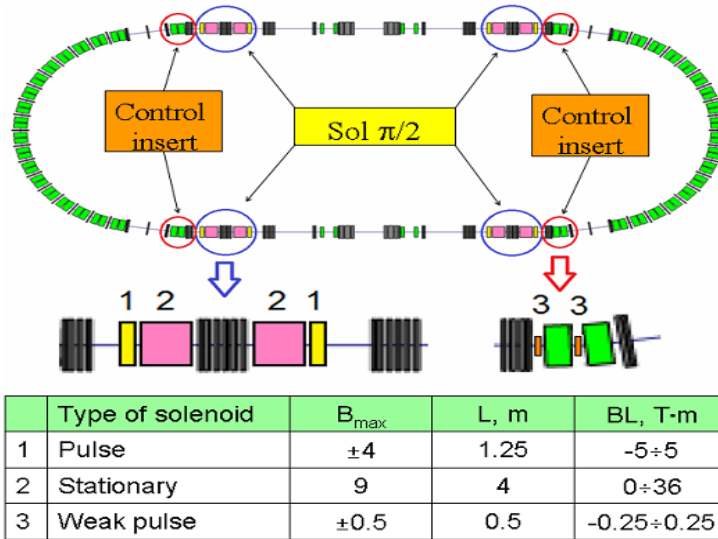
The novel scheme of the polarization control at NICA, suitable for protons and deuterons, is based on the idea of manipulating polarized beams in the vicinity of the zero spin tune. This approach is actively developed at JLAB for the 8-shaped ring accelerator project. The zero spin tune is a natural regime for the mentioned case.

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To provide zero spin tune regime at the collider of the racetrack symmetry, it is necessary to install two identical siberian snakes (Sol $\pi/2$) in the opposite straight sections (Fig.4.7). In this scheme any direction of the polarization is reproduced at any azimuth point after every turn. However, if one fixes the longitudinal (or vertical) polarization at SPD, the polarization vector at MPD will be rotated by some angle with respect to the direction of the particle velocity vector. This angle depends on the beam energy. If the direction of the polarization is fixed at MPD, some arbitrary polarization angle will occur at SPD. The control insertions can correct this angle. Solenoid magnetic field integral in a single (Sol $\pi/2$)-rotator at maximum energy is about 25 T·m and 80 T·m for protons and deuterons, respectively.

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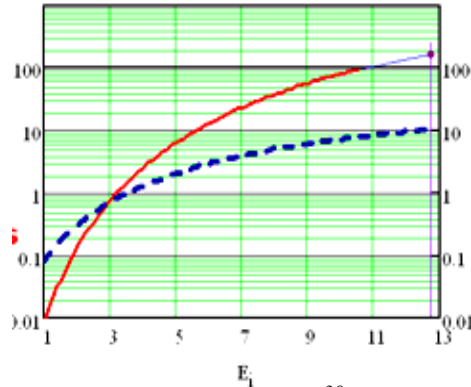
So, feasible schemes of manipulations with polarized protons and deuterons are suggested [10]. The final scheme will be approved at the later stages of the project.



982 **Fig. 4.7:** position of the polarization control elements in the NICA structure.

983
984
985 **4.4.1. NICA luminosity.**

986 The NICA luminosity in the polarized proton mode is estimated for the proton kinetic energy
987 region from 1 to 12.7 GeV [11]. The last value corresponds to the total collision energy $\sqrt{s} = 27$
988 GeV and equivalent to the fixed target beam kinetic energy $E_{\text{kin_equi}} = 388$ GeV, Fig. 4.8.



989 **Fig. 4.8:** NICA pp luminosity in units 10^{30} (left scale, solid line) and number of particle per
990 bunch in units 10^{11} (right scale, dotted line).

991
992
993 The luminosity and total number of the stored particles has been calculated taking into account
994 the beam space charge limits and other parameters listed below.

995 Parameters of NICA:

- 996 circumference - 503 m,
- 997 number of collision points (IP) - 2,
- 998 beta function β_{\min} in the IP - 0.35 m,
- 999 number of protons per bunch - $\sim 1 \cdot 10^{12}$,
- 1000 number of bunches - 22,
- 1001 RMS bunch length - 0.5 m,
- 1002 incoherent tune shift, Δ_{Lasslett} - 0.027,
- 1003 beam-beam parameter, ξ - 0.067,
- 1004 beam emittance ϵ_{nm} (normalized)
- 1005 at 12.5 GeV, π mm mrad - 0.15.

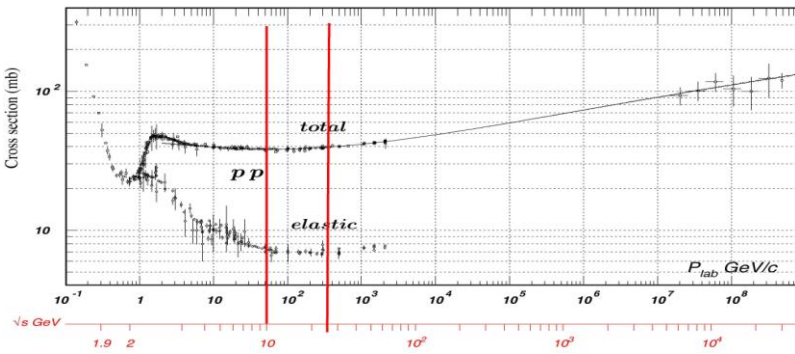
1006 The number of particles reaches a value about $2.2 \cdot 10^{13}$ in each ring and the peak luminosity

1007 $L_{\text{peack}} = 2 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ at 12.7 GeV. One can estimate also an average luminosity. Assuming the
 1008 cooling time $T_{\text{cool}} = 1500 \text{ s}$, the luminosity life time $T_{\text{Lif}} = 20000 \text{ s}$ and the machine reliability
 1009 coefficient $k_r = 0.95$, the average luminosity will be $L_{\text{aver}} = L_{\text{peack}} \cdot 0.86$ or $1.7 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ [12].
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1011 4.5. Polarimetry at SPI, Nuclotron and NICA. (to be written)
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1013 **5. Requirements to the spin physics detector (SPD). (UPDATING)**
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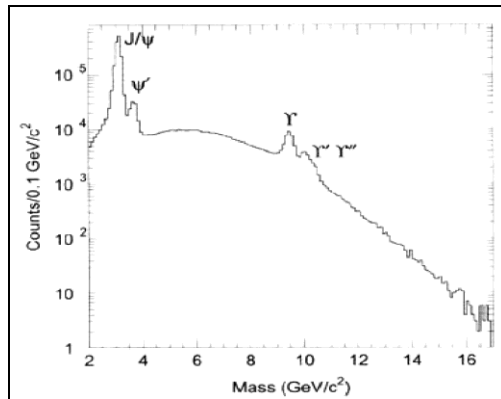
1015 Requirements for SPD are motivated by physics outlined in Section 2 and, first of all, by a
 1016 topology of events and particles to be recorded. SPD should work at the highest possible
 1017 luminosity. So, all the SPD sub detectors should have high rate capabilities and preserve high
 1018 efficiency during a long time. It is useful to remember that in the energy range of NICA the total
 1019 cross section of pp interactions is almost constant, about 40 mb, (Fig.5.1), and expected event
 1020 rates at the luminosity about $10^{32} \text{ sm}^{-2} \text{ s}^{-1}$ will be about $4 \cdot 10^6$ per second.
 1021



1022 **Fig. 5.1:** cross sections of pp interactions versus \sqrt{s} .
 1023

1024
 1025 The average particle multiplicities estimated with PYTHIA at $\sqrt{s} = 24 \text{ GeV}$ are following:
 1026 charged particles 13.5; neutral particles 22.5; π mesons (+,-,0) 4.6, 3.9, 4.8; K mesons (+,-,0)
 1027 0.4, 0.3, 0.7.

1028 The typical invariant mass plot for di-lepton production is given in Fig. 5.2. The clean DY
 1029 events can be detected in region of invariant mass 4 – 9 GeV, below J/Ψ resonances.
 1030



1031 **Fig.5.2:** the typical di-lepton invariant mass plot.
 1032
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1034 5.1. Event topology.
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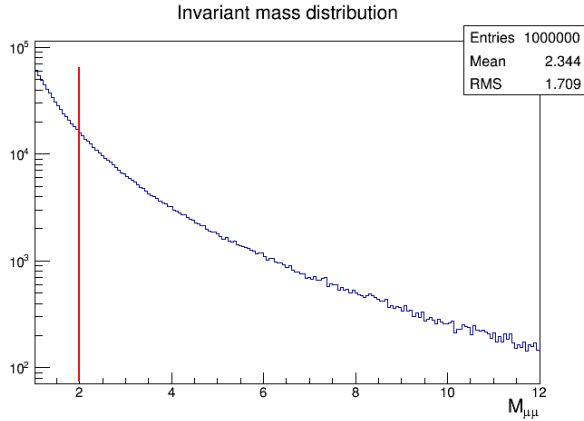
1036 5.1.1. Topology of DY events.

1037 The Feynman diagram of the DY process and configuration of relevant vectors are given in
 1038 Section 2. For physics purpose lepton pairs must be fully reconstructed using the sub detectors of
 1039 SPD. To determine a set and characteristics of the SPD sub detectors, the DY (μ^-, μ^+) pairs to be

1040 recorded were generated by MC method using the PYTHIA 6.4 code. The center of coordinates
 1041 system was put at the beam intersection point ($Z=0$, the Z axis is along the beam).

1042 The generated reaction is $pp \rightarrow (\mu^-, \mu^+) + X$, which includes the leading order 2-2 quark level
 1043 hard scattering sub-processes $q\bar{q} \rightarrow \gamma^* \rightarrow (\mu^-, \mu^+)$. The initial-state radiation (ISR) and final-state
 1044 radiation (FSR) was switched on. The GRV 94L parameterization [1] of parton distributions was
 1045 used. The distributions of the di-muon events relevant to this section are shown below.

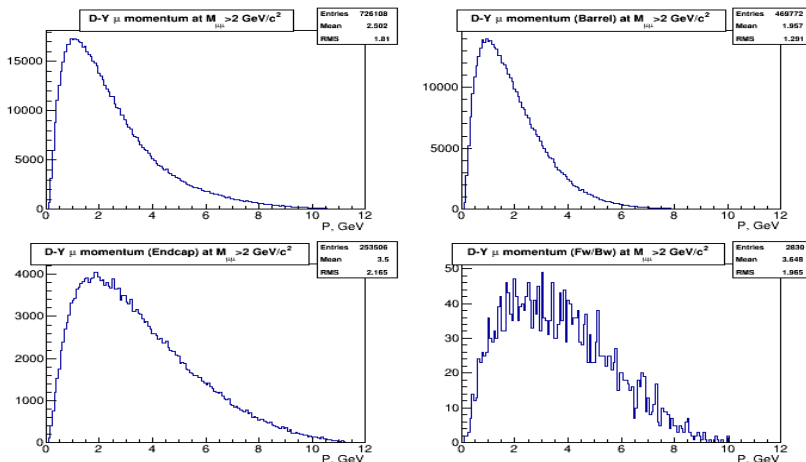
1046 The di-muon invariant mass distribution is presented in Fig.5.3. The cut $M_{\mu\mu} > 2 \text{ GeV}/c^2$ was
 1047 applied for other distributions.



1048
 1049 **Fig. 5.3:** invariant mass distributions of di-muons.

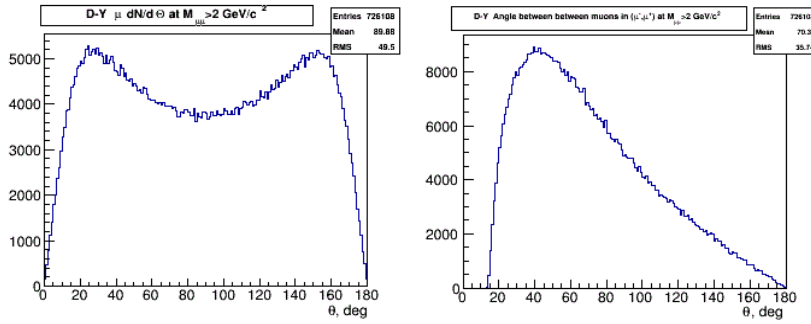
1050 Momentum distributions of the single muon from the DY pair with the invariant mass $M_{\mu\mu} > 2$
 1051 GeV/c^2 for different angular intervals looking from the beam intersection point are shown
 1052 below (Fig.5.4). The corresponding average momentum is equal to 2.5 GeV/c for all, 1.95
 1053 GeV/c for the barrel and 3.5 GeV/c for the end cap muons. So, the momentum of particles to be
 1054 measured in SPD is in the range from 0 up to 12 GeV . The particle identification system should
 1055 be able to identify electrons, muons and hadrons in the same momentum range. This is quite
 1056 simple task for present detectors. For the muon identification the energy-range correlations
 1057 should be considered.

1058 The distributions of the single muon polar angle measured from $Z=0$ and the angle between
 1059 muons in the Drell-Yan pair are shown in Fig.5.5. Most of the single muons are within the barrel
 1060 part of the volume. A small part of them passing through the beam pipe will be lost. The minimal
 1061 and maximal angles between muons are 20° and 180° , respectively. The maximal angles will be
 1062 also limited by the beam pipe diameter of which should be minimal. These types of angular
 1063 distributions require almost 4π geometry for the SPD.
 1064



1065
 1066 **Fig.5.4:** distributions of single muon momentum from the DY events for different angular
 1067 intervals. **Upper:** left- all angles; right - $35^\circ \div 145^\circ$. **Bottom:** left- $3^\circ \div 35^\circ$, right - $0^\circ \div 3^\circ$.

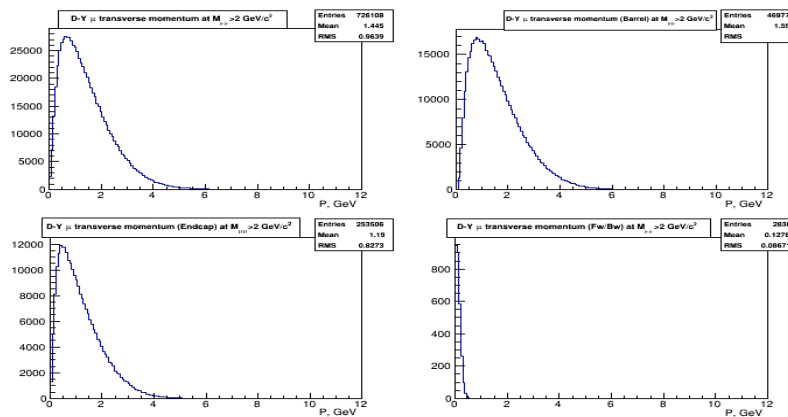
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1069 **Fig.5.5:** left - single muon polar angle distribution. Right: angle between muons in the pair.

1070 As it has been checked, generated e^+e^- -pairs have almost the same momentum and angular
 1071 distributions as di-muon pairs.

1072 The distributions of the muon transverse momenta are shown in Fig.5.6.



1073 **Fig.5.6:** distributions of the muon transverse momenta from the DY events for different angular
 1074 intervals. Upper: left- all angles; right - $35^{\circ} \div 145^{\circ}$. Bottom: left - $3^{\circ} \div 35^{\circ}$; right - $0^{\circ} \div 3^{\circ}$.

1075 Taking into account above distributions, for the effective registration of the DY pairs SPD
 1076 should have :

- 1077 • almost 4π geometry;
- 1078 • precision vertex detector;
- 1079 • precision tracking system ;
- 1080 • precision momentum measurement;
- 1081 • muon and electron identification systems.

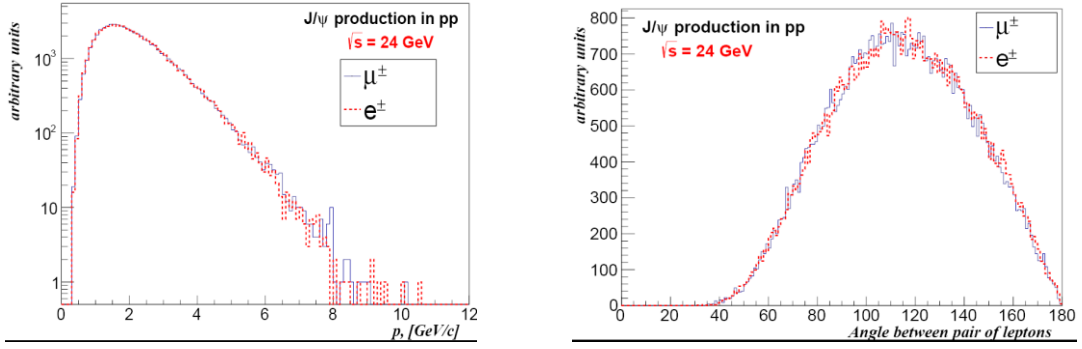
1082 5.1.2. Topology of J/Ψ events

1083 The J/Ψ events produced in pp collisions at $\sqrt{s} = 24$ GeV and decayed into the charged lepton
 1084 pairs have been simulated by MC with the PYTHIA 6.4 generator for the direct production
 1085 mechanism. This mechanism includes the J/Ψ production via the processes of the gluon-gluon,
 1086 gluon-quark and quark-quark fusions with production of intermediate states and its subsequent
 1087 decays into the J/Ψ . The CTEQ 5L, LO parameterization [2] is used for the PDFs.

1088 The momentum distributions of leptons from J/Ψ decays and of the angle between
 1089 leptons are shown in Fig.5.7.

1090 The correlation between lepton polar angles is shown in Fig.5.8. Most of the lepton pairs
 1091 (61%) are within the $35^{\circ} \div 145^{\circ}$ angular interval; in 35% of pairs one lepton could be found in the
 1092 $35^{\circ} \div 145^{\circ}$ angular interval whiles the other – in the $3^{\circ} \div 35^{\circ}$ interval. About 3% of leptons could be
 1093 found in the $0^{\circ} \div 3^{\circ}$ interval.

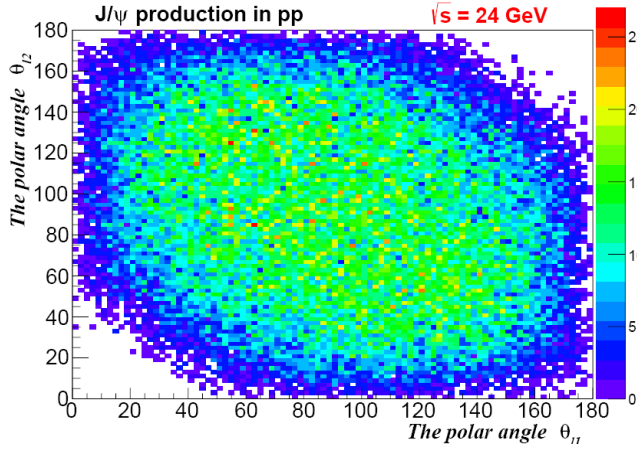
1100 registered in the forward and backward $3^0 \div 35^0$ angular intervals. A small part of the pairs will be
 1101 lost due to beam pipe. These types of angular distributions require almost 4π geometry for SPD.



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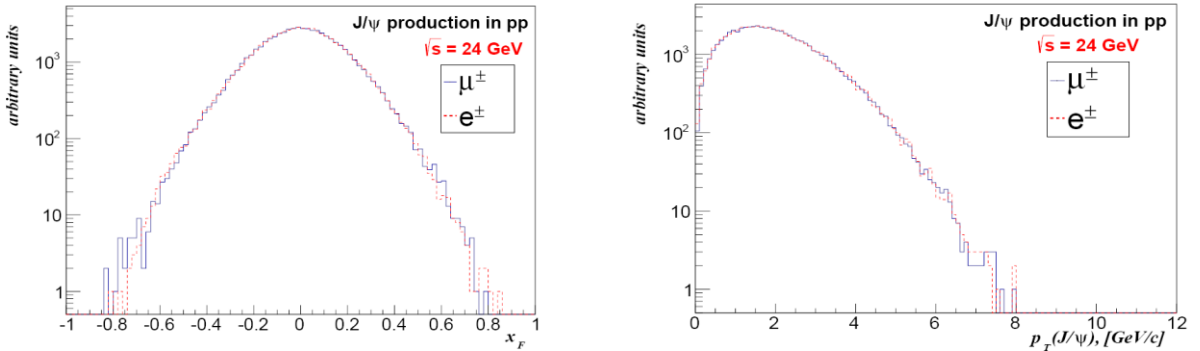
Fig. 5.7: left - momentum distribution of leptons from J/Ψ decays; right - angle between leptons in the pair.

The Feynman variable, x_F , and the transverse momentum, p_T , of directly produced J/Ψ meson distributions are shown in Fig. 5.9.



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Fig. 5.8: correlation between lepton polar angles in J/Ψ decays.



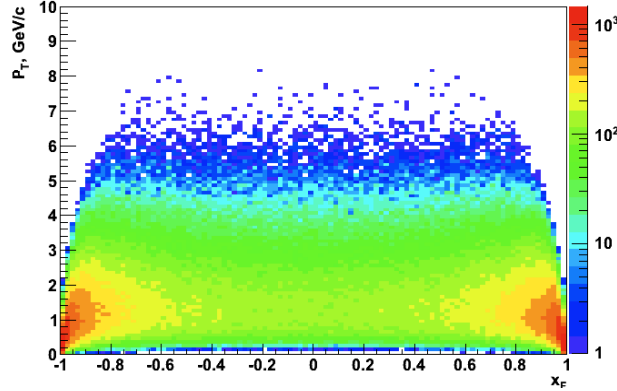
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Fig. 5.9: distributions of directly produced J/Ψ vs. the Feynman variable x_F (left) and vs. the transverse momentum p_T (right).

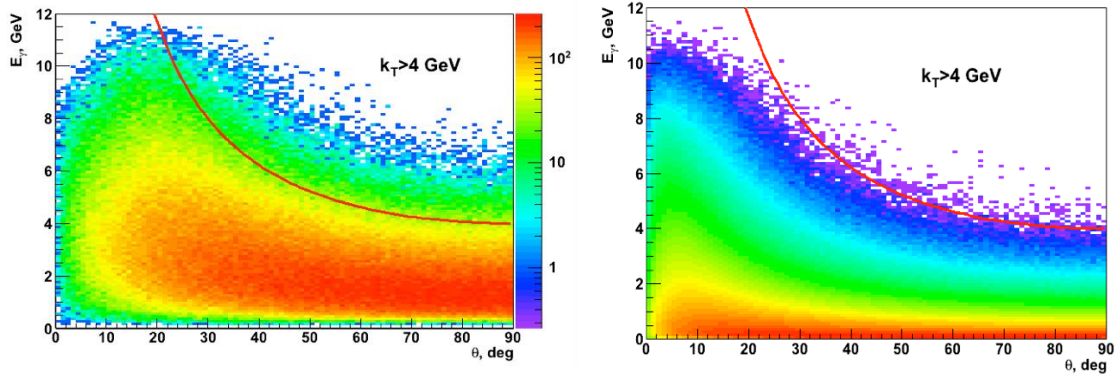
5.1.3. Topology of the direct photon production.

A sample of direct photons produced in pp collisions at $\sqrt{s}=24$ GeV has been generated by the MC method using the PYTHIA 6.4.2 code. The five hard processes with direct photons in the final state were used: $q+g \rightarrow q+\gamma$, $q+qbar \rightarrow g+\gamma$, $g+g \rightarrow g+\gamma$, $q+qbar \rightarrow \gamma+\gamma$ and $g+g \rightarrow \gamma+\gamma$. Relative probabilities of the first two processes are 85% and 15%, respectively, while the contribution of all others is less than 0.2%. CTEQ 5L is used for the set of PDFs. No special kinematic cuts are applied. The p_T vs. x_F distribution for direct photons is shown in Fig.5.10.

1122 The photon energy, E_γ , is plotted vs. the photon scattering angle, θ , in Fig. 5.11 (left). The
 1123 right part of this Figure shows the corresponding plot for minimum bias photons (mainly from π^0
 1124 decay). The MC simulations show that for $p_T > 4$ GeV signal-to-background ratio is about 5%
 1125 that is in good agreement with the data of the UA6 experiment for unpolarized protons at
 1126 $\sqrt{s}=24.3$ GeV [4].



1127
 1128 **Fig. 5.10:** the plot p_T vs. x_F for direct photons.



1129
 1130 **Fig.5.11:** distribution of energy E_γ as a function of scattering angle θ : left - direct photons, right -
 1131 minimum bias photons. Red lines correspond to the cut $p_T > 4$ GeV.

1132 For effective registration and identification of direct photons, SPD should have:

- 1133 • an electromagnetic calorimeter (ECAL) with a geometry close to 4π and with a granularity
 1134 optimized to the expected occupancy;
- 1135 • a tracking system capable to distinguish between clusters from neutral and charged particles in
 1136 ECAL. It also should be capable to reconstruct the beam interaction point;
- 1137 • a trigger system based on ECAL. Since for A_N measurements quite energetic photons are
 1138 needed only, for the main trigger one can require an energy of above 2-3 GeV deposited in any
 1139 cell of ECAL;
- 1140 • a DAQ system with a bandwidth up to 100 kHz;
- 1141 • a luminosity monitor.

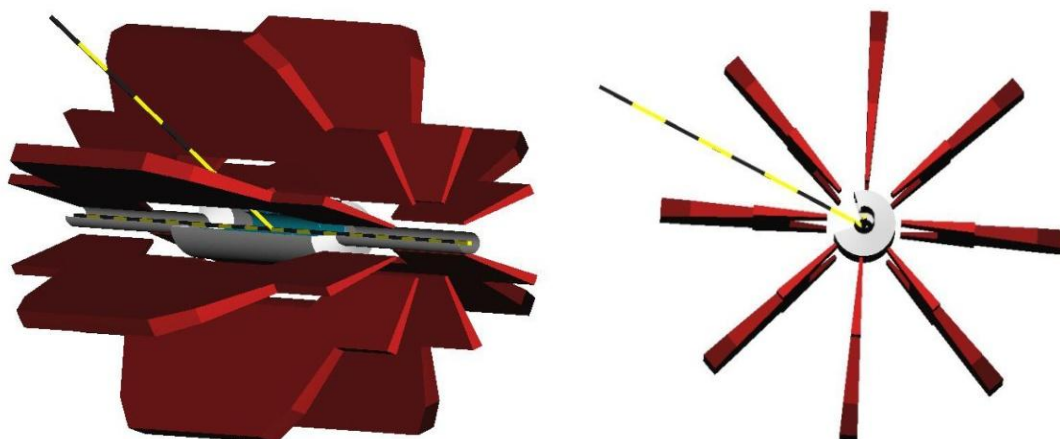
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 1144 **5.1.4. Topology of high- p_T reactions. (To be written)**

1145
 1146 **5.2. Possible layout of SPD.**

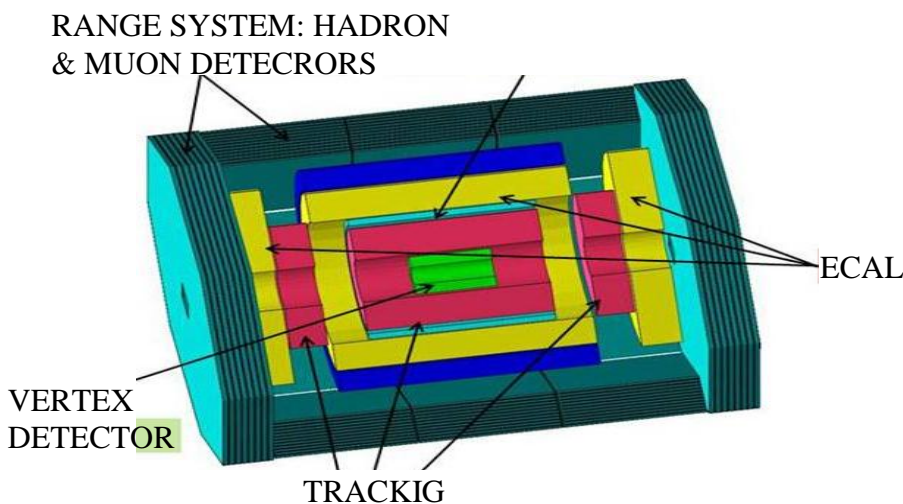
1147
 1148 **5.2.1. Magnet: toroid vs. solenoid.**

1149 Preliminary considerations of the event topologies (Sections 5.1.1 – 5.1.3) require SPD to be
 1150 equipped with the following sub-detectors covering $\sim 4\pi$ angular region around the beam
 1151 intersection point: vertex detectors, tracking detectors, electromagnetic calorimeters, hadron
 1152 detectors and muon detectors. Some of them must be in the magnetic field for which there are
 1153 two options: toroid or solenoid.

1154 A toroid magnet provides a field free region around the interaction point and does not disturb
 1155 the beam trajectories and polarizations. It can consist of 8 superconducting coils symmetrically
 1156 placed around the beam axis (see Fig.5.2.1). A support ring upstream (downstream) of the coils
 1157 hosts the supply lines for electric power and for liquid helium. At the downstream end, a
 1158 hexagonal plate compensates the magnetic forces to hold the coils in place. The field lines of
 1159 ideal toroid magnet are always perpendicular to the particles originating from the beam
 1160 intersection point. Since the field intensity increases inversely proportional to the radial distance:
 1161 greater bending power is available for particles scattering at smaller angles and having higher
 1162 momenta. These properties help to design a compact spectrometer that keeps the investment
 1163 costs for the detector tolerable. The production of such a magnet requires insertion of the coils
 1164 into the tracking volume occupying a part of the azimuthal acceptance. Preliminary studies show
 1165 that the use of superconducting coils, made by the Nb_3Sn -Copper core surrounded by a winding
 1166 of aluminium for support and cooling, allows one to reach an azimuthal detector acceptance of
 1167 about 85%.



1168
 1169
 1170 **Fig.5.12:** possible view of SPD with the toroid magnet.



1187 **Fig. 5.13:** possible view of SPD with the solenoid magnet.

1189 Possible SPD layout with the solenoid magnet is shown in Fig.5.13. The magnet part of SPD,
 1190 usually called “barrel”, contains a vertex detector, tracking detectors and electromagnetic
 1191 calorimeters (ECAL). Outside of the barrel one needs to have muon and hadron detectors (Range
 1192 system). The end cup part of SPD could contain a tracking, ECAL, muon and range systems. The
 1193 solenoid SPD version could have almost 100% azimuthal acceptance, which is important for
 1194 example for detection of some exclusive reactions. Disadvantage of the solenoid is presence of

1195 the magnetic field in the beam pipe region. This field can disturb beam particle trajectories and
1196 their polarization

1197 The dimension of the SPD volume is still an open question. It should be optimized basing on
1198 compromise between the precisions and costs. The “almost 4π geometry” requested by DY and
1199 direct photons can be realized in the solenoid version of SPD if it has overall length and diameter
1200 of about 6 m.

1201

1202 5.2.2. Vertex detector.

1203 The most obvious version of vertex detector is a silicon one. Several layers of double sided
1204 silicon strips can provide a precise vertex reconstruction and tracking of the particles before they
1205 reach the general SPD tracking system. The design should use a small number of silicon layers to
1206 minimize the radiation length of the material. With a pitch of 50-100 μm it is possible to reach a
1207 spatial resolution of 20-30 μm . Such a spatial resolution would provide 50-80 μm for precision
1208 of the vertex reconstruction. This permits to reject the secondary decay vertexes.

1209 The elements of the SPD vertex detector can be of the same design as for MPD [5].

1210

1211 5.2.3. Tracking.

1212 There are several candidates for a tracking system: multiwire proportional chambers (MWPC),
1213 conventional drift chambers (DC) and their modification – thin wall drift tubes (straw chambers).
1214 The DCs are the good candidates for tracking detectors in the end cup parts of SPD, while straw
1215 chambers are the best for the barrel part.

1216 Two groups have developed the technology of straw chamber production at JINR [6] with
1217 two-coordinate read out. The radial coordinate determination is organized via the electron drift
1218 time measurement while the measurement of the coordinate along the wire (z-coordinate) uses
1219 the cathode surface of the straw. Both technologies provide a radial coordinate resolution of 150-
1220 200 μm per plane. The chambers, assembled in modules consisting of several pairs of tracking
1221 planes, can have the radial coordinate resolution of about 50 μm . This can provide the
1222 momentum resolution of the order of 10 % over the kinematic range of the NICA. Straw tubes
1223 used by Baranov et al. are made of the 30 micron nylon tape and have the coordinate resolution
1224 along the anode of about 1mm, while the Bazilev et al. tubes are made of double layers kapton of
1225 25 micron thick (minimum) and have resolution along the anode of about 1 cm.

1226

1227 5.2.4. Electromagnetic calorimeters.

1228 The latest version of the electromagnetic calorimeter (ECAL) module, developed at JINR for
1229 the COMPASS-II experiment at CERN, Fig.5.14 [7], can be a good candidate for ECAL in the
1230 barrel and end cup parts of SPD. The module utilises new photon detector – Avalanche
1231 Multichannel Photon Detector (AMPD). AMPD can work in the strong magnetic field. The
1232 modules have rectangular shape but can be produced also in the projection geometry which is
1233 better for SPD. The energy resolution of the module is about 10% at 1GeV. The modules have a
1234 fast readout and can be used in the SPD trigger system.

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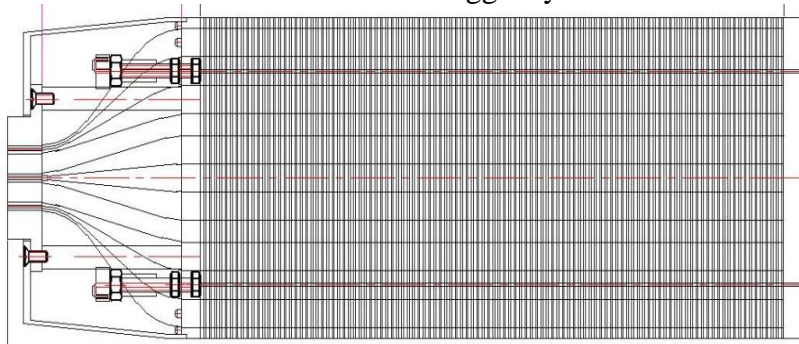


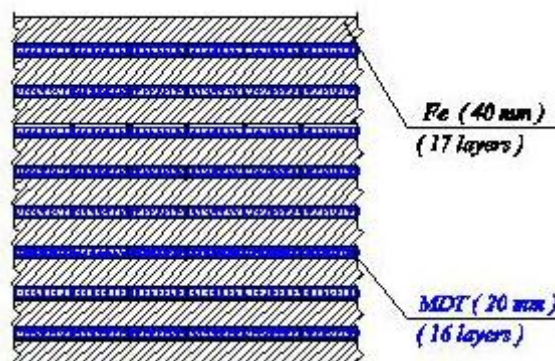
Fig.5.14: ECAL module structure.

1237 The module has 109 plates of the scintillator and absorber (Pb) of 12x12 cm in cross section and
 1238 0.8 and 1.5 mm thick, respectively. The radiation length and Moliere radius is 1.64 and 3.5 cm,
 1239 respectively. The light collection is performed with optical fibers dividing the module in nine
 1240 logical sections (towers).

1241
 1242 **5.2.5. Hadron (muon) detectors**

1243 A system of mini-drift chambers interleaved with layers of iron is called the Range System
 1244 (RS) developed at JINR for FAIR/PANDA [8] (see Fig.5.15). It can be used in the barrel part of
 1245 SPD as a hadron and (or) muon detector for the Particle IDentification system (PID). RS can
 1246 provide clean (> 99%) muon identification for muon energies greater than 1 GeV. The
 1247 combination of responses from ECAL, RS and momentum reconstruction can be used for the
 1248 identification of electrons, hadrons and muons in the energy range of the NICA SPD.

1249 The hadron and muon detectors in the end caps part of SPD are to be identified. As
 1250 candidates for these detectors the COMPASS muon wall [9] can be considered. It consists of two
 1251 layers of mini-drift chambers with a block of absorber between them.
 1252



1253
 1254
 1255 **Fig. 5.15:** scheme of the RS. Dimension and thickness are subjects of optimization.
 1256

1257
 1258 **5.3. Trigger system. (To be updated)**
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1260 The main task of the trigger system is to provide separation of a particular reaction from all
 1261 reactions occurred in collisions. Each of them will be pre-scaled with:

- 1262 - two muons in the final state;
- 1263 - electrons/positron pair in the final state;
- 1264 - direct photons (π^0 , ω , η ...);
- 1265 - various types of hadrons in final states ($\pi^{+/-}$, K, p, ...);
- 1266 - other reactions.

1267 **Hodoscopes of scintillating counters and resistive plate chambers (RPC, Fig.5.16 [10]) are**
 1268 **proposed as detectors for the SPD trigger system.** The hodoscopes can be located before and
 1269 after RS (or mounted in the last layers of RS) and before ECAL. The ECAL modules will also be
 1270 used in the trigger system. The trigger system should consist of several layers.

1271
 1272 **5.4. Local polarimeters and luminosity monitors (to be updated)**
 1273

1274 **5.4.1. Local polarimeters**

1275 Local polarimeters should provide information on the beam (s) polarization (s) at the beam
 1276 intersection point. It means they should be incorporated in the SPD sub-detector system.
 1277 Reactions, which can be used for this purpose, are inclusive production of π^0 and π^\pm mesons.
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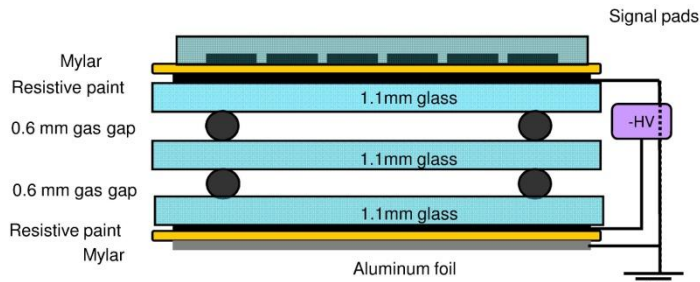


Fig.5.16: scheme of the RPC unit

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5.4.2. Local luminosity monitors.

The luminosity monitoring at SPD can be performed with the Zero Degree Calorimeters (ZDC) similar to those used at RHIC [10]. The design of ZDCs will be proposed after finalizing the design of SPD.

5.5. Engineering infrastructure (to be updated)

5.5.1. Experimental area.

The plan view of the experimental area for SPD, extracted from the official NICA construction documents (see draw. 318Б-063K-AP-AP, sheet 3), is shown in Fig.5.16.

SPD and technological equipment necessary for assembly and commissioning will be accommodated in a pavilion to be constructed around the second intersection point of the Collider. The detector itself in the working position will be located in the room 128/1. Assembling and maintenance of the detector can be performed in the room 128/2. This room is a garage position for SPD with all systems between the working sessions of the complex.

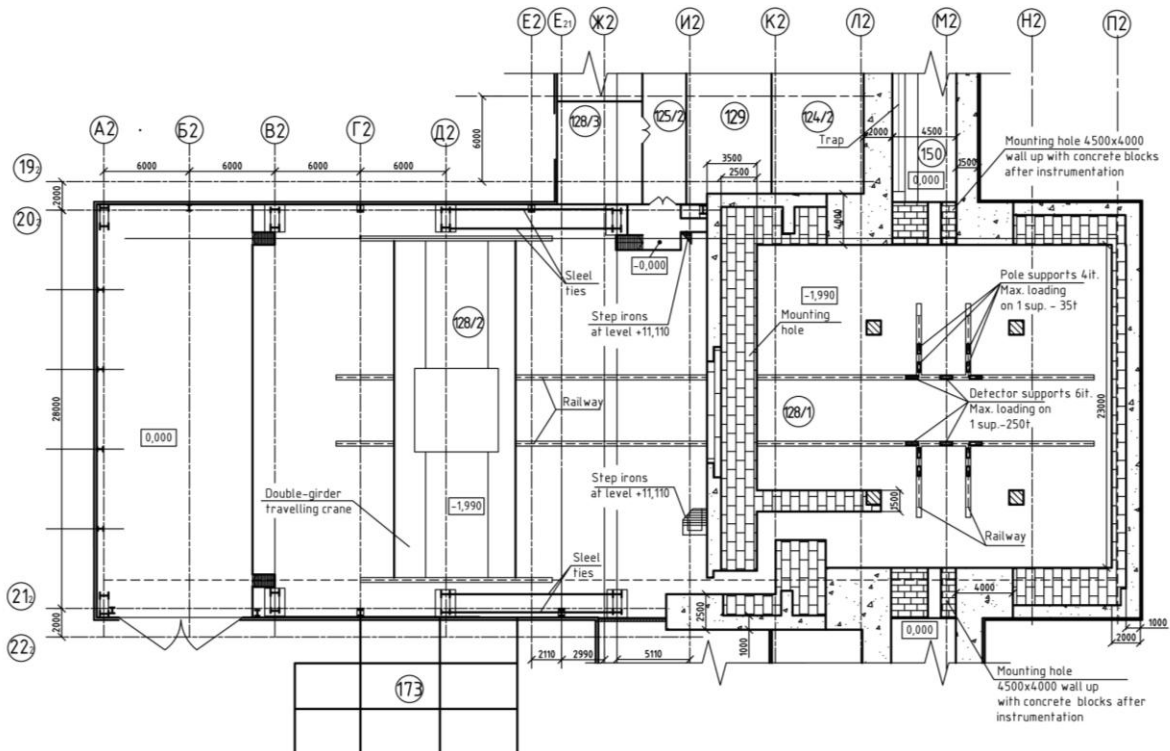


Fig.5.16: plan view of the SPD experimental area.

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Dimension of the room (along/across the beams) is: for 128/1 – 22.5 m x 25 m= 562.5 m², for

1304 128/2 – 24 m x 42 m = 1008 m². Both rooms have a height 19.85 m from the floor level to the
 1305 roof. The floor is reinforced to keep the uniformly distributed weight 2t/m² in the room 128/1
 1306 and 16t/m² in 128/2. The whole area (128/1 and 128/2) is located in a hollow, depth 3.49 m
 1307 below the median plane of the Collider (1.99 m below clean floor level of the Collider).
 1308 SPD, assembled on a rolling cart platform in the room 128/2, will be transported to 128/1 by
 1309 rails. The total weight of assembled SPD should be less than 600 tons.

1310 The assembly room 128/2 is equipped with a bridge crane of 50 tons lifting capacity. Crane
 1311 provides the movement of the SPD components from the unloading space to the assembly space.
 1312 The height from the floor to the bottom of the crane hook is 15 meters. The crane service zone is
 1313 22 m long in transverse direction. The crane has additional hook with lifting capacity of 10 tons.
 1314

1315 5.6. DAQ (to be written)

1316 5.7. SPD reconstruction software (to be written)

1317 5.8. Monte Carlo simulation software (to be written)

1318 5.9. Slow control (to be written)

1319

1320 6. Proposed measurements with SPD. (UPDATING)

1321

1322 We propose to perform measurements of asymmetries of the DY pairs production in collisions
 1323 of polarized protons and deuterons (Eqs.2.1.0) which provide an access to all collinear and TMD
 1324 PDFs of quarks and anti-quarks in nucleons. The measurements of asymmetries in production of
 1325 J/Ψ and direct photons will be performed simultaneously with DY using dedicated triggers. The
 1326 set of these measurements will supply complete information for tests of the quark-parton model
 1327 of nucleons at the twist-two level with minimal systematic errors.
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1329 6.1. Estimations of DY and J/Ψ production rates.

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1331 6.1.1. Estimations of the DY production rates and precisions of asymmetry measurements.

1332 Estimation of the DY pair's production rate at SPD was performed using the expression [1]
 1333 for the differential and total cross sections of the pp interactions:

$$\frac{d^2\sigma}{dQ^2 dx_1} = \frac{1}{sx_1} \frac{4\pi\alpha^2}{9Q^2} \sum_{f,\bar{f}} e_f^2 [f(x_1, Q^2) \bar{f}(x_2, Q^2)]_{x_2=Q^2/sx_1}$$

$$\sigma_{tot} = \int_{Q_{min}^2}^{Q_{max}^2} dQ^2 \int_{x_{min}}^1 dx_1 \frac{d^2\sigma}{dQ^2 dx_1},$$

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1335 where Q is the invariant mass of lepton pair, M_{l+l-} , $x_1(x_2) \equiv x_a(x_b)$ is the Bjorken variable of
 1336 colliding hadron, s is the pp center of mass energy squared. The Table 1 shows values of the
 1337 cross-sections and expected statistics for DY events per year (7000 hours of NICA and 100%
 1338 acceptance of SPD) at two energies.

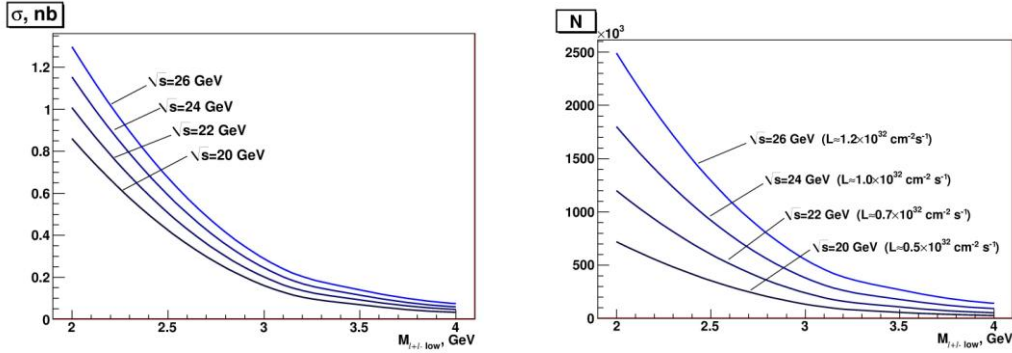
1339 Table 1: estimation of the cross-section and number of DY events for SPD-NICA per year.

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Lower cut on M_{l+l-} , GeV	2.0	3.0	3.5	4.0
$\sqrt{s}=24$ GeV ($L \approx 1.0 \cdot 10^{32}$ cm ⁻² s ⁻¹)				
σ_{DY} total, nb	1.15	0.20	0.12	0.06
events per year, 10 ³	1800	313	179	92
$\sqrt{s}=26$ GeV ($L \approx 1.2 \cdot 10^{32}$ cm ⁻² s ⁻¹)				
σ_{DY} total, nb	1.30	0.24	0.14	0.07
events per year, 10 ³	2490	460	269	142

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The dependence of the total cross section and of number of DY events per year versus the cut on the minimal M_{l-l^+} is shown in Fig.6.1.



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Fig.6.1: cross section (left) and number of DY events (right) versus the minimal invariant mass of lepton pair for various proton beam energies.

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To estimate the precision of measurements, the set of original software packages for MC simulations, including generators for Sivers, Boer-Mulders and transversity PDFs were developed [2]. With these packages we have generated a sample of 100K DY events in the region $Q^2 > 11 \text{ GeV}^2$ for comparison with expected asymmetries.

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Let us first estimate the q_T - weighted integrated asymmetry (Sivers) $A_{UT}^{\left[\sin(\phi - \phi_S) \frac{q_T}{M_N} \right]}_{pp \uparrow \rightarrow l^+ l^- X}$

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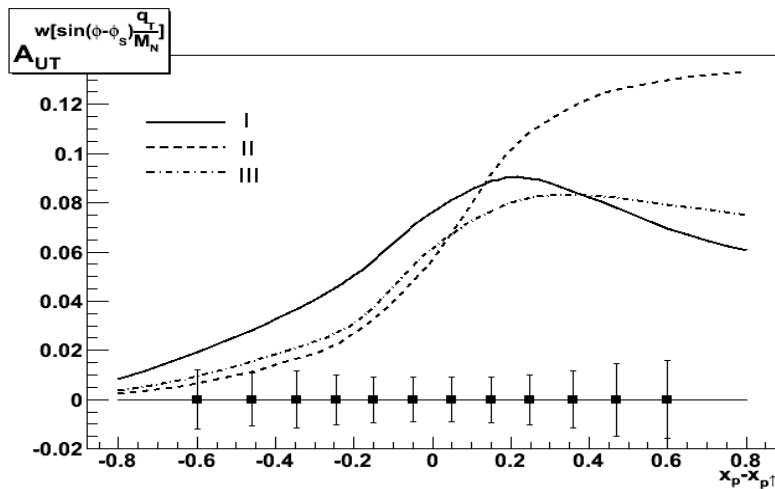
given by Eq. (2.1.12). For this purpose we have used three different fits for the Sivers function: Fit I: $x f_{1uT}^{\perp(1)} = -x f_{1dT}^{\perp(1)} = 0.4x(1-x)^5$ and Fit II: $x f_{1uT}^{\perp(1)} = -x f_{1dT}^{\perp(1)} = 0.1x^{0.3}(1-x)^5$ of Ref.[3] and Fit III: $x f_{1uT}^{\perp(1)} = -x f_{1dT}^{\perp(1)} = (0.17 \dots 0.18)x^{0.66}(1-x)^5$ of Ref. [4]. For the first moment of the Sivers PDF entering Eq. (2.1.12) we used the model (with the positive sign) proposed in Ref. [4]:

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$$\frac{\bar{f}_{1qT}^{\perp(1)}}{f_{1qT}^{\perp(1)}} = \frac{\bar{f}_{1u}(x) + \bar{f}_{1d}(x)}{f_{1u}(x) + f_{1d}(x)}. \quad (2.1.25)$$

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The estimated asymmetry as a function of $x_p - x_{p\uparrow}$ is shown in Fig.6.2.



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Fig.6.2: estimated Sivers asymmetry $A_{UT}^{\left[\sin(\phi - \phi_S) \frac{q_T}{M_N} \right]}$ at $\sqrt{s} = 26 \text{ GeV}$ with $Q^2 = 15 \text{ GeV}^2$. Numbers I, II, III denote corresponding fits. Points show the expected errors obtained with 100K of events.

1365 As one can see from this Figure, the expected integrated Sivvers asymmetries depend on the
 1366 Sivvers PDF parameterization and vary in the whole region of $x_p - x_{p\uparrow}$ from about 1 to 12%.
 1367 Statistics of 100K is marginally enough to distinguish the fits.

1368 Let us now estimate the asymmetry $A_{UT}^{\left[\frac{w[\sin(\phi+\phi_S) \frac{q_T}{M_N}]}{pp\uparrow} \right]}$ given by Eq. (2.1.13). Since the Boer-

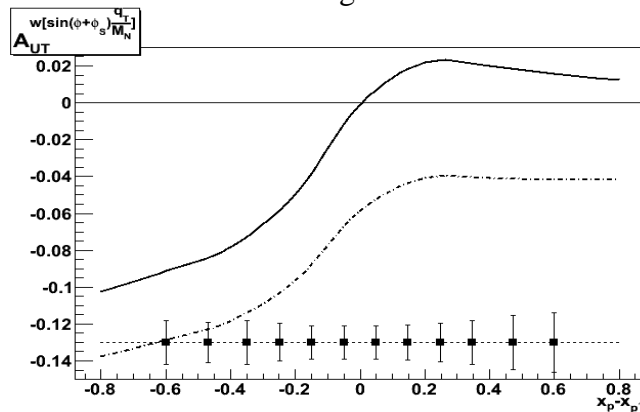
1369 Mulders PDF and its first moment are still poorly known, we have used the Boer's model (Eq.
 1370 (50) in Ref.[5]) which provides the good fit for the NA10 [6] and E615 [7] data on the
 1371 anomalously large $\cos(2\phi)$ dependence of DY cross sections. This model gives for the first
 1372 moment (2.1.15) entering Eq. (2.1.13) the value $h_{1q}^{\perp(1)}(x) = 0.163f_1(x)$. For the first moment of the
 1373 Boer-Mulders sea part PDF, we assumed a relation

$$1374 \quad \frac{\bar{h}_{1qT}^{\perp(1)}(x)}{h_{1qT}^{\perp(1)q}(x)} = \frac{\bar{f}_{1q}(x)}{f_{1q}(x)}.$$

1375 The transversity PDF h_1 was extracted recently from the combined data of HERMES,
 1376 COMPASS and BELLE collaborations. However, because of the rather big errors in the data, in
 1377 a course of extraction a number of approximations were used. Particularly the zero sea
 1378 transversity PDF was assumed. But, in the case of pp collisions, the sea PDFs play the important
 1379 role. That is why two versions of the evolution model for the transversity are considered here. In
 1380 the first version of the model the transversity for quarks and anti-quarks

$$1381 \quad h_{1q}(x, Q_0^2) = \frac{1}{2} \left[q(x, Q_0^2) + \Delta q(x, Q_0^2) \right], \quad \bar{h}_{1q}(x, Q_0^2) = \frac{1}{2} \left[\bar{q}(x, Q_0^2) + \Delta \bar{q}(x, Q_0^2) \right]$$

1382 are assumed to be equal to the helicity PDF Δq ($h_{1q} = \Delta q$, $\bar{h}_{1q} = \Delta \bar{q}$) at the low initial
 1383 $Q_0^2 = 0.23 \text{ GeV}^2$, and then they are evolved with DGLAP equations. In the second model [8, 9]
 1384 the transversity PDFs are assumed to be equal to $h_{1q} = (\Delta q + q)/2$ and $\bar{h}_{1q} = (\Delta \bar{q} + \bar{q})/2$ at the
 1385 same initial scale, and then h_{1q} and \bar{h}_{1q} are again evolved with DGLAP. This model we consider
 1386 as more realistic one. The results of estimations for the NICA energy are presented in Fig. 6.3.
 1387 As one can see, in the both models the Boer-Mulders asymmetry is rather large at negative
 1388 values of $x_p - x_{p\uparrow}$. At the positive values of $x_p - x_{p\uparrow}$ the asymmetry is model dependent. With
 1389 statistics of about 100K DY events one can distinguish the models.



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1391 **Fig.6.3:** estimations of Boer-Mulders asymmetry $A_{UT}^{\left[\frac{w[\sin(\phi+\phi_S) \frac{q_T}{M_N}]}{pp\uparrow} \right]}$ at $\sqrt{s} = 26 \text{ GeV}$ with $Q^2 = 15$
 1392 GeV^2 . The solid and dotted curves correspond to the first and second versions of the evolution
 1393 model, respectively. Points show the expected errors obtained with 100K of events.

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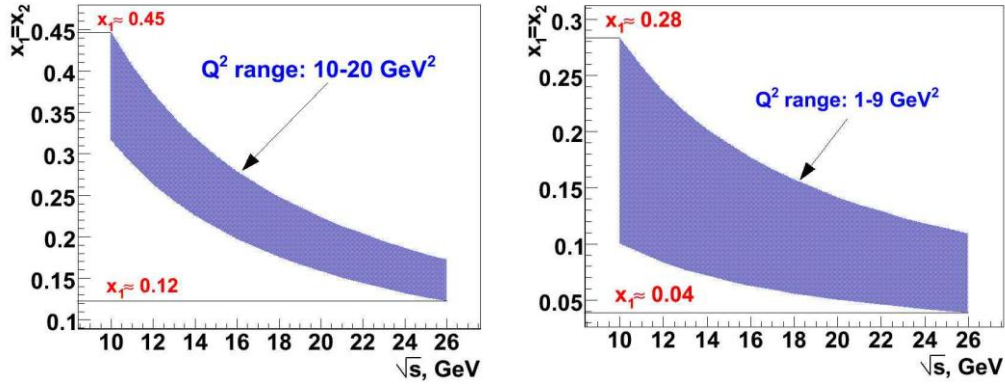
6.1.2. Estimations of the J/ψ production rates and precisions of asymmetry measurements.
Statistics of the J/ψ and DY events (with cut on $M_{l+l-} = 4$ GeV) expected to be recorded in one year of NICA operation (7000 hours) with 100% efficiency of SPD is given in Table 2 below.

Table 2: comparison of the J/ψ and DY statistics

\sqrt{s} , GeV	24	26	\sqrt{s} , GeV	24	26
$\sigma_{J/\psi}$, $B_{e^+e^-}$, nb	12	16	σ_{DY} , nb	0.06	0.07
Events per year	$18 \cdot 10^6$	$23 \cdot 10^6$	Events per year	$92 \cdot 10^3$	$142 \cdot 10^3$

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Accessible ranges of the Bjorken variables for asymmetry measurements with the DY or J/ψ events are shown in Fig.6.4.



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Fig.6.4: ranges of the Bjorken variable vs. \sqrt{s} for DY (left) and J/ψ (right) measurements.

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6.2. Estimations of direct photon production rates.

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Estimation of the direct photon production rates based on PYTHIA6 Monte-Carlo simulation is presented in Table 3 for two values of colliding proton energies. Event rates are given for all and for leading processes of direct photon production considered in PYTHIA (see Table in Appendix 1) assuming that 1 year is equivalent to 7000 hours of operation at maximal luminosity. The last column gives the rates with the cut on the transverse momentum of photons suggested in Section 5.1.3.

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To estimate statistical accuracy of A_N and A_{LL} measurement at NICA suggested in Section 2.3 one can assume that the beam polarizations (both transversal and longitudinal) are equal to $P = \pm 0.8$ and overall detector efficiency (acceptance, efficiency of event reconstruction and selection criteria) is about 50%. Under such assumption, after 1 year of data taking the A_N and A_{LL} could be measured with statistical accuracy $\sim 0.11\%$ and $\sim 0.18\%$, respectively, in each of 18 x_F bins ($-0.9 < x_F < +0.9$). Large statistics of events provide opportunities to measure the asymmetries as a function of x_F and p_T .

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To minimize systematic uncertainties, precision of luminosity and beam polarization should be under control, as well as accuracy of π^0 , η and other background rejection.

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Table 3: Estimated rates of the direct photon production.

$\sqrt{s}=24$ GeV $L = 1.0 \times 10^{32}, cm^{-1}s^{-1}$	σ_{tot} , nbarn	$\sigma_{P_T > 4 GeV/c}$, nbarn	Events/year, 10^6	Events/year, 10^6 ($P_T > 4 GeV/c$)
All processes	1290	42	3260	105
$qg \rightarrow q\gamma$	1080	33	2730	84
$q\bar{q} \rightarrow g\gamma$	210	9	530	21
$\sqrt{s}=26$ GeV $L = 1.2 \times 10^{32}, cm^{-1}s^{-1}$	σ_{tot} , nbarn	$\sigma_{P_T > 4 GeV/c}$, nbarn	Events/year, 10^6	Events/year, 10^6 ($P_T > 4 GeV/c$)
All processes	1440	48	4340	144
$qg \rightarrow q\gamma$	1220	38	3680	116
$q\bar{q} \rightarrow g\gamma$	240	10	660	28

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1427 **6.3 – 6.5. TO BE WRITTEN**

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1429 **7. Time lines of experiments.**

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1431 The participants of the LoI are planning to submit the document for discussions at the JINR
1432 and outside during the year 2014. If it will be approved at JINR by the end of 2014, the
1433 corresponding Proposal including the time lines of experiments could be prepared by the end of
1434 2015.

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APPENDIX 1.

Table 1: Cross sections of J/ψ production in pp collisions at $\sqrt{s} = 24$ GeV

ISUB	process	cross section, [mb]	Comments
‘colour singlet’ approach			
86	$gg \rightarrow J/\psi g$	$1.429 \cdot 10^{-6}$	
87	$gg \rightarrow \chi_{0c} g \rightarrow J/\psi \gamma$	$3.348 \cdot 10^{-6}$	
88	$gg \rightarrow \chi_{1c} g \rightarrow J/\psi$	$3.954 \cdot 10^{-7}$	
89	$gg \rightarrow \chi_{2c} g \rightarrow J/\psi$	$2.736 \cdot 10^{-6}$	
106	$gg \rightarrow J/\psi \gamma$	$3.894 \cdot 10^{-8}$	
‘colour octet’ mechanism			
421	$gg \rightarrow c\bar{c} [{}^3S_1^{(1)}] g$	$1.653 \cdot 10^{-6}$	
422	$gg \rightarrow c\bar{c} [{}^3S_1^{(8)}] g$	$5.762 \cdot 10^{-7}$	
423	$gg \rightarrow c\bar{c} [{}^1S_0^{(8)}] g$	$1.742 \cdot 10^{-6}$	
424	$gg \rightarrow c\bar{c} [{}^3P_J^{(8)}] g$	$3.609 \cdot 10^{-6}$	
425	$gq \rightarrow qc\bar{c} [{}^3S_1^{(8)}]$	$1.510 \cdot 10^{-6}$	
426	$gq \rightarrow qc\bar{c} [{}^1S_0^{(8)}]$	$1.817 \cdot 10^{-6}$	
427	$gq \rightarrow qc\bar{c} [{}^3P_J^{(8)}]$	$4.154 \cdot 10^{-6}$	
428	$q\bar{q} \rightarrow gc\bar{c} [{}^3S_1^{(8)}]$	$2.686 \cdot 10^{-7}$	
429	$q\bar{q} \rightarrow gc\bar{c} [{}^1S_0^{(8)}]$	$1.072 \cdot 10^{-8}$	
430	$q\bar{q} \rightarrow gc\bar{c} [{}^3P_J^{(8)}]$	$7.200 \cdot 10^{-8}$	
431	$gg \rightarrow c\bar{c} [{}^3P_0^{(1)}]$	$1.948 \cdot 10^{-5}$	χ_{0c}
432	$gg \rightarrow c\bar{c} [{}^3P_1^{(1)}]$	$2.300 \cdot 10^{-6}$	χ_{1c}
433	$gg \rightarrow c\bar{c} [{}^3P_2^{(1)}]$	$1.592 \cdot 10^{-5}$	χ_{2c}
434	$g\bar{q} \rightarrow qc\bar{c} [{}^3P_0^{(1)}]$	$1.844 \cdot 10^{-5}$	χ_{0c}
435	$g\bar{q} \rightarrow qc\bar{c} [{}^3P_1^{(1)}]$	$4.802 \cdot 10^{-6}$	χ_{1c}
436	$g\bar{q} \rightarrow qc\bar{c} [{}^3P_2^{(1)}]$	$1.836 \cdot 10^{-5}$	χ_{2c}
437	$q\bar{q} \rightarrow gc\bar{c} [{}^3P_0^{(1)}]$	$8.471 \cdot 10^{-9}$	χ_{0c}
438	$q\bar{q} \rightarrow gc\bar{c} [{}^3P_1^{(1)}]$	$4.703 \cdot 10^{-7}$	χ_{1c}
439	$q\bar{q} \rightarrow gc\bar{c} [{}^3P_2^{(1)}]$	$3.571 \cdot 10^{-7}$	χ_{2c}

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