# Introduction to non-perturbative Heavy Quark Effective Theory

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## Outline

## <u>LECTURE 1:</u> Non-perturbative formulation of HQET

- Motivation
- Basics of HQET as an effective theory of QCD
- Non-perturbative formulation of HQET
- Matching of HQET and QCD in finite volume

## LECTURE 2: Applications

- Tests of HQET in finite volume
- Advances in B-physics applications:  $M_{\rm b}$  and  $F_{\rm B_s}$
- Status of (quenched) physics results
- Perspectives

# LECTURE 1

Non-perturbative formulation of HQET

## Lattice QCD calculations with b-quarks

- valuably contribute to precision CKM-physics (unitarity triangle)
- provide an 'ab initio' approach to determine experimentally inaccessible key parameters such as
  - the b-quark mass, M<sub>b</sub>
  - B-meson decay constants, e.g.

 $\left<\,\mathrm{B_s}(\textbf{\textit{p}})\,|\,[\,\overline{\psi}_{\mathrm{s}}\gamma_{\mu}\gamma_{5}\psi_{\mathrm{b}}\,](0)\,|\,0\,\right>=\textit{i}\textbf{\textit{p}}_{\mu}\textbf{\textit{F}}_{B_{\mathrm{s}}}$ 

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Challenge of a realistic treatment of lattice B-systems:

- The b-quark is too heavy ⇔ highly localized
  - Very fine lattice resolutions (not  $m_{
    m b}^{-1} \simeq (4\,{
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Viable framework for heavy quarks in the lattice regularization: *Effective* theories  $\rightarrow$  NRQCD **HQET** (even took its origin for the lattice [Eichten, 1988])

# Lattice QCD

'Ab initio' approach to determine phenomenologically relevant key parameters

$$\begin{split} \mathcal{L}_{\text{QCD}}\left[g_{0}, m_{f}\right] &= -\frac{1}{2g_{0}^{2}} \operatorname{Tr}\left\{F_{\mu\nu}F_{\mu\nu}\right\} + \sum_{f=\text{u,d,s,...}} \overline{\psi}_{f}\left\{\gamma_{\mu}\left(\partial_{\mu} + g_{0}A_{\mu}\right) + m_{f}\right\}\psi \\ & \left[\begin{array}{c}F_{\pi}\\m_{\pi}\\m_{K}\\m_{D}\\m_{B}\\m_{B}\end{array}\right] & \mathcal{L}_{\text{QCD}}\left[g_{0}, m_{f}\right] \\ & \left[\begin{array}{c}\Lambda_{\text{QCD}}\\\frac{1}{2}(M_{u} + M_{d})\\M_{s}\\M_{c}\\M_{b}\end{array}\right] + \left[\begin{array}{c}F_{D}\\F_{B}\\B_{B}\\\xi\\\dots\\\end{array}\right] \\ & \text{Experiment} \end{array}\right] \\ & \text{Experiment} \qquad \text{QCD parameters}\left(\text{RGIs}\right) \qquad \text{Predictions} \end{split}$$

# Lattice QCD

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$$\begin{bmatrix} F_{\pi} \\ m_{\pi} \\ m_{K} \\ m_{D} \\ m_{B} \\ m_{D} \\ m_$$

Typical momentum scales in heavy-light and heavy-heavy mesons:



- Q almost at rest at bound state's center, surrounded by light DOFs
- Motion of the heavy quark is suppressed by  $\Lambda_{\rm QCD}/m_{\rm Q}$

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• Separate:  $m_Q$ ,  $\langle p \rangle \simeq m_Q v$  and binding energy  $\langle p^2 \rangle / m_Q \simeq m_Q v^2$ 

# Problems with lattice regularized HQET

In the past: Difficulties/Limitations on the

### theoretical side

At each order in  $\frac{1}{m}$ , new parameters arise in the effective theory, which (due to mixings among operators of different dimensions) leave power divergences in the lattice spacing if only estimated *perturbatively* 

⇒ Continuum limit does not exist

### technical side

Rapid growth of statistical errors as the time separation of B-meson correlation functions increases:

$$S_{\rm h}^{\rm Eichten-Hill} = a^4 \sum_{x} \overline{\psi}_{\rm h}(x) D_0 \psi_{\rm h}(x)$$

 $\frac{\text{noise}}{\text{signal}} \propto \exp(\mathbf{x}_0 \Delta)$ 

 $\Delta = E_{
m stat} - m_{\pi} \ E_{
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Progress by two recent developments:

Non-perturbative renormalization of HQET through its *non-perturbative matching to QCD in finite volume* [H. & Sommer, 2004] Alternative discretizations of HQET, leading to a substantial reduction of statistical fluctuations in correlators  $[\overline{ALPHA}]$ , Della Morte et al., 2003 & 2005] HQET An asymptotic expansion of QCD

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{2g_0^2} \operatorname{Tr} \left\{ F_{\mu\nu} F_{\mu\nu} \right\} + \sum_{f} \overline{\psi}_{f} \left\{ \gamma_{\mu} \left( \vartheta_{\mu} + g_0 A_{\mu} \right) + m_{f} \right\} \psi_{f}$$

Consider:

Energies & matrix elements of states containing a single b-quark at rest

HQET Lagrangian by formal  $1/m_{\rm b}$ -expansion of continuum QCD

$$\begin{split} \overline{\psi}_{\rm b} \{ \gamma_{\mu} D_{\mu} + m_{\rm b} \} \psi_{\rm b} & \to & \mathcal{L}_{\rm stat} + \mathcal{L}^{(1)} + \dots \\ & \mathcal{L}_{\rm stat}(x) = \overline{\psi}_{\rm h}(x) \{ D_0 + \delta m \} \psi_{\rm h}(x) \end{split}$$

• 4–component effective heavy quark field  $\psi_h$  with constraint

$$\label{eq:phi} P_+\psi_{\rm h}=\psi_{\rm h} \qquad \overline{\psi}_{\rm h} P_+=\overline{\psi}_{\rm h} \qquad P_+=\tfrac{1}{2}\left(1+\gamma_0\right) \qquad \Rightarrow \quad 2 \text{ d.o.f.}$$

- Composite fields involving b-quarks translate to the effective theory:  $A_0(x) = Z_A \overline{\psi}_l(x) \gamma_0 \gamma_5 \psi_b(x) \rightarrow A_0^{\text{stat}} = Z_A^{\text{stat}} \overline{\psi}_l(x) \gamma_0 \gamma_5 \psi_h(x)$  $Z_A$ ,  $Z_A^{\text{stat}}$ : renormalization constants of the axial currents
- Expansion is accurate for heavy quark masses m ≡ m<sub>h</sub> ≫ Λ<sub>QCD</sub>, yields valid description for low-lying energy levels & matrix elements

### Example

$$\Phi^{\rm QCD} \equiv F_{\rm B} \sqrt{m_{\rm B}} = Z_{\rm A} \langle \, {\rm B} \, | \, A_0 \, | \, 0 \, \rangle$$

- Scale independent due to the chiral symmetry of (massless) QCD
- In HQET: chiral symmetry absent  $\Rightarrow Z_A^{stat} = Z_A^{stat}(\mu)$

Rather than  $\Phi^{\rm stat}(\mu) \equiv Z_{\rm A}^{\rm stat}(\mu) \langle \, {\rm B} \, | \, A_0^{\rm stat} \, | \, 0 \, \rangle$ , focus on the  $\mu$  & scheme independent renormalization group invariant (RGI) matrix element

$$\Phi_{\rm RGI} = \lim_{\mu \to \infty} \left[ 2b_0 \bar{g}^2(\mu) \right]^{-\gamma_0/(2b_0)} \times \Phi^{\rm stat}(\mu)$$

### Example

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 $\Rightarrow$  Generic form of the HQET-expansion of the QCD matrix elements:

$$\begin{split} \Phi^{\text{QCD}} &= \mathcal{C}_{\text{PS}} \left( \mathcal{M}_{\text{b}} / \Lambda_{\overline{\text{MS}}} \right) \times \Phi_{\text{RGI}} + \text{O} \left( 1 / \mathcal{M}_{\text{b}} \right) \\ \mathcal{M}_{\text{b}} &= \lim_{\mu \to \infty} \left[ 2b_0 \bar{g}^2(\mu) \right]^{-d_0 / (2b_0)} \times \overline{m}_{\text{b}}(\mu) \\ \Lambda_{\overline{\text{MS}}} &= \lim_{\mu \to \infty} \mu \left[ b_0 \bar{g}_{\overline{\text{MS}}}^2(\mu) \right]^{-b_1 / (2b_0^2)} e^{-1 / (2b_0 \bar{g}_{\overline{\text{MS}}}^2(\mu))} \\ \text{with } \beta(\bar{g}) &= \mu \left( \partial \bar{g} / \partial \mu \right) = -b_0 \bar{g}^3 + \text{O}(\bar{g}^5) \text{ and associated anomalous dimensions} \\ \tau(\bar{g}) &= \frac{\mu}{\overline{m}} \frac{\partial \overline{m}}{\partial \mu} = -d_0 \bar{g}^2 + \text{O}(\bar{g}^4) \qquad \gamma(\bar{g}) = \frac{\mu}{Z^{\text{stat}}} \frac{\partial Z_{\text{stat}}^{\text{stat}}}{\partial \mu} = -\gamma_0 \bar{g}^2 + \text{O}(\bar{g}^4) \end{split}$$

# What is the meaning of $C_{\rm PS}(M_{\rm b}/\Lambda_{\overline{\rm MS}})$ ?

Conversion to the matching scheme

To extract QCD predictions from results obtained in the (static) effective theory, its RGIs must be related to QCD observables at finite quark mass

 $\Phi^{\rm QCD} \;=\; \Phi^{\rm HQET}(\mu) \left|_{\mu \,=\, m} \;+\; {\rm O}\left(1/m\right) \right. \label{eq:QCD}$ 

(in PT, one typically identifies  $m = m_Q$  = pole mass or  $m = \overline{m}_* = \overline{\mathrm{MS}}$  mass)

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Translation to another renormalization scheme:
 The matching scheme — defined by the condition that for arbitrary renormalized matrix elements Φ in QCD and in the effective theory

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m pole\ mass}$  or  $m=\overline{m}_*=\overline{
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In case of the static axial current:

 $\Phi^{\text{QCD}} = C_{\text{match}}(m_{\text{b}}/\mu) \times \Phi_{\overline{\text{MS}}}(\mu) + O(1/m_{\text{b}})$  (\*)

- $\Phi_{\overline{\mathrm{MS}}}(\mu)$ : renormalized in HQET in the  $\overline{\mathrm{MS}}$  scheme
- $C_{\rm match}(m_{\rm b}/\mu)$ : Matching coefficient depending on  $m_{\rm b}$ , defined by  $\overline{m}_{\overline{\rm MS}}(m_{\rm b}) = m_{\rm b}$
- Once C<sub>match</sub> is determined (usually in PT) such that (\*) holds for some particular current matrix element, it applies to *all* of them

Change to a more convenient argument of the conversion function via

$$\frac{\Phi_{\rm RGI}}{\Phi_{\rm \overline{MS}}(\mu)} = \left[2b_0\bar{g}^2(\mu)\right]^{-\gamma_0/(2b_0)} \exp\left\{\int_0^{\bar{g}(\mu)} \mathrm{d}g \left[\frac{\gamma_{\rm \overline{MS}}(g)}{\beta_{\rm \overline{MS}}(g)} - \frac{\gamma_0}{b_0g}\right]\right\} \quad [\bar{g} = \bar{g}_{\rm \overline{MS}}]$$

and choosing the arbitrary renormalization point as  $\mu=\textit{m}_{\rm b}$ 

$$\Rightarrow \quad C_{\rm PS} \left( M_{\rm b} / \Lambda_{\overline{\rm MS}} \right) = C_{\rm match}(1) \times \frac{\Phi_{\overline{\rm MS}}(\mu)}{\Phi_{\rm RGI}} = \\ \left[ 2b_0 \bar{g}^2(m_{\rm b}) \right]^{\gamma_0 / (2b_0)} \exp\left\{ \int_0^{\bar{g}(m_{\rm b})} dg \left[ \frac{\gamma^{\rm match}(g)}{\beta_{\overline{\rm MS}}(g)} - \frac{\gamma_0}{b_0 g} \right] \right\}$$

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•  $C_{\rm PS}$  'defines' the anomalous dimension  $\gamma^{\rm match}$  in the matching scheme:

$$\gamma^{ ext{match}}(ar{m{g}})=\gamma^{ ext{MS}}(ar{m{g}})+
ho(ar{m{g}})$$

with a contribution  $\rho(\bar{g})$  from  $C_{\rm match}$ 

- advantages of the ratio of RGIs  $M/\Lambda$ :
  - can be fixed in lattice calculations without perturbative uncertainties
  - C<sub>PS</sub> independent of the choice of scheme for the effective operators

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### • advantages of the ratio of RGIs $M/\Lambda$ :

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- ✓ weak logarithmic mass dependence
- ✓ PT under control ⇐ 3-loop AD [Chetyrkin & Grozin, 2003]
- $\checkmark$  remaining  ${
  m O}(ar{g}^6(m_{
  m b}))$  errors small

## Non-perturbative formulation of HQET

Let the effective theory be regularized on a space-time lattice

$$\begin{split} S_{\mathrm{HQET}} &= a^{4} \sum_{x} \left\{ \mathcal{L}_{\mathrm{stat}}(x) + \sum_{\nu=1}^{n} \mathcal{L}^{(\nu)}(x) \right\} \qquad \mathcal{L}^{(\nu)}(x) = \sum_{i} \omega_{i}^{(\nu)} \mathcal{L}_{i}^{(\nu)}(x) \\ \text{with static action } \mathcal{L}_{\mathrm{stat}}(x) &= \overline{\psi}_{\mathrm{h}}(x) \left[ \nabla_{0}^{*} + \delta m \right] \psi_{\mathrm{h}}(x) \text{ and the } 1/m\text{-parts} \\ \mathcal{L}_{1}^{(1)} &= \overline{\psi}_{\mathrm{h}} \left( -\frac{1}{2} \, \boldsymbol{\sigma} \cdot \boldsymbol{B} \right) \psi_{\mathrm{h}} \quad \rightarrow \text{ chromomagnetic interaction with the gluon field} \\ \mathcal{L}_{2}^{(1)} &= \overline{\psi}_{\mathrm{h}} \left( -\frac{1}{2} \, \boldsymbol{D}^{2} \right) \psi_{\mathrm{h}} \quad \rightarrow \text{ kinetic energy from the heavy quark's residual motion} \end{split}$$

 $\delta m$  and local composite fields  $\mathcal{L}_i^{(\mathbf{v})}$  have mass dimensions 1 and 4 +  $\mathbf{v}$ 

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coefficients  $\omega = \omega(g_0, m)$ 

- must be determined such that HQET matches QCD
- at the classical level this fixes

$$\omega_1^{(1)} = \omega_2^{(1)} = 1/m + O(g_0^2) \qquad \delta m = 0 + O(g_0^2)$$

(Removal of  $m\overline{\psi}_h\psi_h$  from the action, corresponding to a universal energy shift, reflects the heavy-light dynamics' independence of the scale *m* at lowest order)

## Insert: Derivation of the HQET Lagrangian

Start from the Euclidean Dirac-Lagrangian in the continuum

$$\mathcal{L} = \overline{\psi} (D_{\mu} \gamma_{\mu} + m) \psi = \psi^{\dagger} \mathcal{D} \psi$$
  
 
$$\mathcal{D} \equiv m \gamma_0 + D_0 + \gamma_0 D_k \gamma_k$$

and perform a field rotation (i.e. a Foldy-Wouthuysen-Tani transformation) to decouple 'large' and 'small' components:

$$\begin{split} \psi &\to \phi = e^{S}\psi \qquad \psi^{\dagger} \to \phi^{\dagger} = \psi^{\dagger}e^{-S} \\ \mathcal{L} &= \phi^{\dagger}\mathcal{D}'\phi \\ \text{with} \qquad \mathcal{D}' = e^{S}\mathcal{D}e^{-S} \\ \text{and} \qquad S &\equiv \frac{1}{2m}D_{k}\gamma_{k} = -S^{\dagger} = O\left(\frac{1}{m}\right) \qquad \left[\mathcal{D} = O\left(m\right)\right] \end{split}$$

In this way the  $D_k \gamma_k$ -term is rotated away

 $\Rightarrow$ 

Classical theory: One has smooth fields and thus can count

$$D_{\mu} = \mathcal{O}\left(\left[\frac{1}{m}\right]^{0}\right)$$

so that it makes sense to expand in 1/m

$$\mathcal{D}' = \mathcal{D} + \frac{1}{2m} [D_k \gamma_k, \mathcal{D}] + \frac{1}{8m^2} [D_l \gamma_l, [D_k \gamma_k, \mathcal{D}]] + O(1/m^2)$$
  
$$= \mathcal{D} + \frac{1}{2m} [D_k \gamma_k, \mathcal{D}] - \frac{1}{4m} [D_l \gamma_l, \gamma_0 D_k \gamma_k] + O(1/m^2)$$
  
$$= \gamma_0 \left\{ \gamma_0 D_0 + m + \frac{1}{2m} \left( -D_k D_k - \frac{1}{2i} F_{kl} \sigma_{kl} \right) + \frac{1}{2m} F_{k0} \gamma_0 \gamma_k \right\}$$
  
$$+ O(1/m^2)$$

$$\mathcal{L} = \mathcal{L}_{\mathrm{h}}^{\mathrm{stat}} + \mathcal{L}_{\bar{\mathrm{h}}}^{\mathrm{stat}} + \frac{1}{2m} \left\{ \mathcal{L}_{\mathrm{h}}^{(1)} + \mathcal{L}_{\bar{\mathrm{h}}}^{(1)} + \mathcal{L}_{\mathrm{h}\bar{\mathrm{h}}}^{(1)} \right\} + \mathrm{O}\left(1/m^{2}\right)$$

#### Here we have introduced

$$\begin{split} \mathcal{L}_{\mathrm{h}}^{\mathrm{stat}} &= \overline{\psi}_{\mathrm{h}} (D_{0} + m) \psi_{\mathrm{h}} \\ \mathcal{L}_{\mathrm{h}}^{\mathrm{stat}} &= \overline{\psi}_{\mathrm{\bar{h}}} (D_{0} - m) \psi_{\mathrm{\bar{h}}} \\ \mathcal{L}_{\mathrm{h}}^{(1)} &= \overline{\psi}_{\mathrm{h}} \left( -D_{k} D_{k} - \frac{1}{2i} F_{kl} \sigma_{kl} \right) \psi_{\mathrm{h}} = \overline{\psi}_{\mathrm{h}} \left( -\mathbf{D}^{2} - \mathbf{B} \sigma \right) \psi_{\mathrm{h}} \end{split}$$

with

$$\begin{aligned} P_{+}\psi_{\rm h} &= \psi_{\rm h} & \overline{\psi}_{\rm h} P_{+} &= \overline{\psi}_{\rm h} & P_{\pm} &= \frac{1 \pm \gamma_{0}}{2} \\ P_{-}\psi_{\bar{\rm h}} &= \psi_{\bar{\rm h}} & \overline{\psi}_{\bar{\rm h}} P_{-} &= \overline{\psi}_{\bar{\rm h}} \\ \sigma_{\mu\nu} &= \frac{i}{2} \left[ \gamma_{\mu}, \gamma_{\nu} \right] & F_{kl} &= \left[ D_{k}, D_{l} \right] \end{aligned}$$

•  $\mathcal{L}_{h\bar{h}}^{(1)}$  – terms may be dropped in  $\mathcal{L}$  at the order considered

The expressions are discretized in a straightforward way:

 $D_0 \to \nabla_0^*$ : backward lattice derivative  $D_k D_k \to \nabla_k^* \nabla_k \qquad F_{kl} \to \widehat{F}_{ij}$ 

 The prefactors of the various operators are to be determined by a non-trivial matching of HQET and QCD in the quantum theory Eichten-Hill action for static quarks on the lattice:

$$\begin{split} \mathbf{S}_{\mathrm{h}}[\boldsymbol{U},\overline{\psi}_{\mathrm{h}},\psi_{\mathrm{h}}] &= a^{4} \, \frac{1}{1+a\,\delta m} \, \sum_{\boldsymbol{x}} \overline{\psi}_{\mathrm{h}}(\boldsymbol{x}) \, (\nabla_{0}^{*}+\delta m) \, \psi_{\mathrm{h}}(\boldsymbol{x}) \\ \nabla_{0}^{*}\psi_{\mathrm{h}}(\boldsymbol{x}) &= \frac{1}{a} \left[ \psi_{\mathrm{h}}(\boldsymbol{x}) - \boldsymbol{U}^{\dagger}(\boldsymbol{x}-a\hat{0},0)\psi_{\mathrm{h}}(\boldsymbol{x}-a\hat{0}) \right] \end{split}$$

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• Static quarks propagate only forward in time  $\Rightarrow$  Associated quark propagator reads  $S_{h}(x, v) = U(x - a\hat{0}, 0)^{-1} U(x - 2a\hat{0}, 0)^{-1} \cdots$ 

$$S_{\rm h}(\mathbf{x}, \mathbf{y}) = U(\mathbf{x} - a\hat{0}, 0)^{-1} U(\mathbf{x} - 2a\hat{0}, 0)^{-1} \cdots U(\mathbf{y}, 0)^{-1} \\ \times \theta(\mathbf{x}_0 - \mathbf{y}_0) \delta(\mathbf{x} - \mathbf{y}) (1 + a \, \delta m)^{-(\mathbf{x}_0 - \mathbf{y}_0)/a} P_+$$

(timelike Wilson line,  $\delta m$  cancels divergence in static quark's self-energy)

Eichten-Hill action for static quarks on the lattice:

$$\begin{split} \mathsf{S}_{\mathrm{h}}[U,\overline{\psi}_{\mathrm{h}},\psi_{\mathrm{h}}] &= a^{4} \, \frac{1}{1+a\,\delta m} \, \sum_{x} \overline{\psi}_{\mathrm{h}}(x) \left(\nabla_{0}^{*}+\delta m\right) \psi_{\mathrm{h}}(x) \\ \nabla_{0}^{*}\psi_{\mathrm{h}}(x) &= \frac{1}{a} \left[\psi_{\mathrm{h}}(x) - U^{\dagger}(x-a\hat{0},0)\psi_{\mathrm{h}}(x-a\hat{0})\right] \end{split}$$

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  - $\Rightarrow$  Associated quark propagator reads

$$\begin{split} S_{\rm h}(x,y) &= U(x-a\hat{0},0)^{-1} \, U(x-2a\hat{0},0)^{-1} \, \cdots \, U(y,0)^{-1} \\ &\times \theta(x_0-y_0) \delta(\mathbf{x}-\mathbf{y}) (1+a\,\delta m)^{-(x_0-y_0)/a} \, \mathcal{P}_+ \end{split}$$

(timelike Wilson line,  $\delta m$  cancels divergence in static quark's self-energy)

### • O(*a*) improvement:

Preserving on the lattice the symmetries of the static theory

- heavy quark spin-symmetry,
- local conservation of heavy quark flavour number
- plus gauge invariance, parity and cubic symmetry

guarantees that both universality class and  ${\rm O}(a)$  improvement are unchanged w.r.t. the Eichten-Hill action, i.e. the static-light action is already improved if the light quark sector is

[Kurth & Sommer, 2001]

## Correlation functions of composite fields ...

... are of interest for applications involving transition matrix elements

Example

Expansion of the (time component of the) axial current in HQET:

where  $\psi_l$  denotes a light quark field and  $\mathcal{A}_i^{(\nu)}$  is of mass dimension  $3 + \nu$ 

## Correlation functions of composite fields ...

... are of interest for applications involving transition matrix elements

Example

Expansion of the (time component of the) axial current in HQET:

$$\begin{split} {}^{\mathrm{HQET}}_{0}(\mathbf{x}) &= \sum_{\mathbf{v}=0}^{n} \mathcal{A}^{(\mathbf{v})}(\mathbf{x}) \\ \mathcal{A}^{(0)}(\mathbf{x}) &= \alpha_{0}^{(0)} \mathcal{A}^{\mathrm{stat}}_{0}(\mathbf{x}) \qquad \mathcal{A}^{\mathrm{stat}}_{0}(\mathbf{x}) = \overline{\psi}_{\mathrm{l}}(\mathbf{x}) \gamma_{0} \gamma_{5} \psi_{\mathrm{h}}(\mathbf{x}) \\ \mathcal{A}^{(\mathbf{v})}(\mathbf{x}) &= \sum_{i} \alpha_{i}^{(\mathbf{v})} \mathcal{A}^{(\mathbf{v})}_{i}(\mathbf{x}) \qquad \mathbf{v} > 0 \end{split}$$

where  $\psi_l$  denotes a light quark field and  $\mathcal{A}_i^{(\nu)}$  is of mass dimension  $3 + \nu$ 

Then, for the correlator [with  $(\overline{\psi}_i \Gamma \psi_j)^{\dagger} \equiv \overline{\psi}_j \gamma_0 \Gamma^{\dagger} \gamma_0 \psi_i$ ]

$$C_{\mathrm{AA}}^{\mathrm{HQET}}(x_0) = a^3 \sum_{\mathbf{x}} \left\langle A_0^{\mathrm{HQET}}(\mathbf{x}) \left( A_0^{\mathrm{HQET}} \right)^{\dagger}(\mathbf{0}) \right\rangle$$

the leading and subleading terms at the classical level are given by

$$\alpha_0^{(0)} = 1$$
  $\mathcal{A}_1^{(1)} = \overline{\psi}_l \gamma_j \gamma_5 \overleftarrow{D}_j \psi_h$   $\alpha_1^{(1)} = 1/m$ 

## **Expectation values**

At the quantum level:

Expectation values are defined via the path integral representation

$$\langle \mathbf{0} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}[\phi] \, \mathbf{0}[\phi] \, \mathrm{e}^{-(S_{\mathrm{rel}} + S_{\mathrm{HQET}})} \qquad \mathcal{Z} = \int \mathcal{D}[\phi] \, \mathrm{e}^{-(S_{\mathrm{rel}} + S_{\mathrm{HQET}})}$$

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Important element in the definition of the effective field theory

It is understood that the *integrand* of the path integral is expanded in a *power series* in 1/m with power counting according to

$$\omega_i^{(\mathbf{v})} = \mathrm{O}\left(1/m^{\mathbf{v}}\right) \qquad \alpha_i^{(\mathbf{v})} = \mathrm{O}\left(1/m^{\mathbf{v}}\right)$$

 $\Rightarrow$  Replace

$$\begin{split} \exp\left\{-\left(S_{\rm rel} + S_{\rm HQET}\right)\right\} &= \\ &\exp\left\{-\left(S_{\rm rel} + a^4 \sum_x \mathcal{L}_{\rm stat}(x)\right)\right\} \\ &\times \left\{1 - a^4 \sum_x \mathcal{L}^{(1)}(x) + \frac{1}{2} \left[a^4 \sum_x \mathcal{L}^{(1)}(x)\right]^2 - a^4 \sum_x \mathcal{L}^{(2)}(x) + \dots\right\} \end{split}$$

⇒ 1/m-terms appear only as insertions of local operators  $\mathcal{O}_i^{(\nu)}(x)$ and  $\mathcal{A}_i^{(\nu)}(x)$  into correlators, while the true PI average is taken w.r.t. the action in the static approximation for the heavy quark

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Discussion of the renormalization properties of lattice HQET

Power counting arguments:

- Static effective theory expected to be *renormalizable*, requiring a finite number of parameters to be fixed to obtain a continuum limit (Note: With one of the 1/m-terms kept in the exponent, as in NRQCD, renormalizability would be lost!)
- Consequences for renormalization of EVs  $\langle O \rangle$  after inserting the expanded form of exp $\{-(S_{\rm rel} + S_{\rm HQET})\}$ :
  - ✓ Problem of renormalizing correlation functions of local composite operators in the static effective theory

### $\Rightarrow$ Conclusion:

Upon inclusion of all local operators with proper symmetries and dimensions up to that of the highest-dimensional one ( $v \le n$ ), their coefficients may be chosen so that all EVs have a continuum limit

### $\Rightarrow$ HQET truncated at any finite order in 1/m is renormalizable

Crucial for the lattice theory, because this means that the CL exists and is independent of the details of the lattice formulation (universality)

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#### Formally:

The effective field theory is now defined in terms of the parameter set

 $\mathcal{C}_{\mathrm{HQET}} \equiv \{\boldsymbol{c}_k\} = \mathcal{C}_{N_{\mathrm{f}}-1} \cup \left\{\delta \boldsymbol{m}\right\} \cup \left\{\boldsymbol{\omega}_i^{(\nu)}\right\} \cup \left\{\boldsymbol{\alpha}_j^{(\nu)}\right\} \cup \ldots \qquad \boldsymbol{c}_1 \equiv \boldsymbol{g}_0^2$ 

which for k > 1 must be adjusted as function of  $g_0^2$  to get a decent CL (i.e. renormalizations of composite fields are among  $C_{\rm HQET}$ , e.g.  $\alpha_0^{(0)} \equiv Z_{\rm A}^{\rm stat}$ )
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- Since the terms in S<sub>HQET</sub> are organized just by their mass dimension, the existence of a CL (non-perturbative renormalizability) is equivalent to expect that composite operators mix only with same- and lower-dimensional ones
- Generally, as the 1/m and a –expansion aren't independent but regarded as one expansion in the dimension of L<sub>i</sub><sup>(v)</sup>, A<sub>i</sub><sup>(v)</sup>, count a = O(1/m) and start with all O<sub>i</sub><sup>(v)</sup> of given dimension, restricted only by lattice symmetries
- In particular:  $S_{rel}$  has to be O(a) improved to go to order 1/m

Mixings are allowed between operators of different dimensions, e.g.

$$\mathcal{O}_{\rm R}^{\rm d=5} = \sum_{k} z_k \, \mathcal{O}_{k}^{\rm d=5} + \sum_{k} c_k \, \mathcal{O}_{k}^{\rm d=4} \qquad c_k = a^{-1} \times \left\{ c_k^{(0)} + c_k^{(1)} g_0^2 + \dots \right\}$$

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Perturbative precision insufficient to determine the coefficients  $\{c_k\}$ 

 $\Rightarrow$  Power-law divergences, remainders  $\sim a^{-p}$ , i.e. *no* continuum limit example: at the static level a linearly divergent, additive mass counterterm

 $\delta m = (c_1 g_0^2 + \ldots)/a$ 

originates from the mixing of  $\,\overline{\psi}_{\rm h} \textit{D}_0 \psi_{\rm h}\,$  with  $\,\overline{\psi}_{\rm h} \psi_{\rm h}$ 

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 $a_{\rm v} \sim \exp\left\{-1/(2b_0g_0^2)\right\}$  for small bare gauge coupling  $g_0$ 

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#### $\Rightarrow$ Non-perturbative method needed to determine (at least some) $\{c_k\}$

# Matching of HQET and QCD

Implication: Non-perturbative renormalization of the theory required

From the discussion so far we infer:

HQET is an approximation to QCD when the coefficients  $\{c_k\}$  are chosen correctly such that

 $\Phi^{\mathrm{HQET}}(\boldsymbol{M}) = \Phi^{\mathrm{QCD}}(\boldsymbol{M}) + \mathrm{O}\left(1/[r_0\boldsymbol{M}]^{n+1}\right)$ 

*M* = RGI (heavy) quark mass to be free of any renormalization scheme dependence

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#### Example

for a quantity  $\Phi^{QCD}$ : Correlation function of the heavy-light axial current

 $C_{\rm AA}(\textbf{\textit{x}}_0) = Z_{\rm A}^2 \textbf{\textit{a}}^3 \sum_{\textbf{\textit{x}}} \left\langle \textbf{\textit{A}}_0(\textbf{\textit{x}})(\textbf{\textit{A}}_0)^\dagger(0) \right\rangle \qquad \textbf{\textit{A}}_\mu \equiv \left. \textbf{\textit{A}}_\mu \right|^{\rm QCD} = \overline{\psi}_l \gamma_\mu \gamma_5 \psi_{\rm b}$ 

( $Z_{\rm A}$  ensures natural normalization of  $A_{\mu}$  consistent with current algebra)

Then:  $\Phi^{\text{HQET}} = e^{-mx_0} C^{\text{HQET}}_{AA}(x_0)$  in the region  $1/x_0 \ll M$ 

### Obvious strategy:

Determine the  $\{c_k, k = 1, ..., N_n\}$  by imposing *matching conditions* 

$$\Phi_k^{\mathrm{HQET}}(M) = \Phi_k^{\mathrm{QCD}}(M) \qquad k = 1, \dots, N_n \qquad (\star)$$

for this equivalence between the effective theory and QCD to hold

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for this equivalence between the effective theory and QCD to hold

- ⇒ These conditions define the set  $\{c_k\}$  for any value of the lattice spacing (or bare coupling)
  - Observables used originally to fix the parameters of QCD (e.g. via requiring hadron masses to agree with experiment) may be amongst the  $\Phi_k^{\rm QCD}$
  - To preserve the predictability of HQET,  $\Phi_k^{\rm QCD}$  should not be experimentally accessible observables but certain quantities calculable in the continuum limit of lattice QCD

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#### $\Rightarrow$ However:

Demands to treat the heavy quark as *relativistic particle on the lattice*, though small enough *a* to do this are very difficult to reach

 $\Rightarrow$  Impose the matching conditions in *small* volume

Non-perturbative HQET ... ... and the basic idea of exploiting *finite*-volume physics

Goal: non-perturbative matching of HQET & QCD



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Objection: how do you simulate the b-quark as a relativistic fermion?



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 $\Rightarrow$  Trick: start with QCD in small volume,  $L \equiv L_0 \simeq 0.2 \, {\rm fm}$ 



- Fix parameters of the effective theory through its relation to QCD observables in small volume
- ✓ Legitimate:

The underlying Lagrangian does not know about the finite volume !

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### Further remarks

- Observables  $\Phi$  are assumed to be renormalized
- New Φ<sub>k</sub> have to be added when increasing the order *n* in the expansion, while the parameters {*c<sub>i</sub>*, *i* ≤ *N<sub>n-1</sub>*} of the lower-order L<sub>HQET</sub> might change due to operator mixing
- Most convenient to take the continuum limit of  $\Phi_k^{\rm QCD}$  before imposing the matching conditions
- Interpreting some of the conditions as improvement conditions, Symanzik O(a) improvement is accounted for automatically

errors = O 
$$([1/m]^{n+1})$$
 = O  $(M^{-(n+1)}[aM]^k)$   $k = 0, 1, ..., n+1$ 

E.g. treating the theory including the next-to-leading operators

- $\rightarrow$  (1/*M*)<sup>0</sup>–terms with O(*a*<sup>2</sup>) errors
- $\rightarrow$  linear 1/*M*-corrections with O(*a*) uncertainties

### Matching in finite volume and finite-size scaling

Assuming both QCD & HQET to be applicable in finite volume and the parameters in  $\mathcal{L}_{\rm QCD/HQET}$  to be independent of it, we evaluate (\*) as

 $\Phi_k^{\mathrm{HQET}}(L, M) = \Phi_k^{\mathrm{QCD}}(L, M) \qquad k = 1, \dots, N_n$ 

- Allows much smaller a on the r.h.s. to eventually approach the CL
- Typical choice:  $L = L_0 \simeq 0.2 0.4 \,\mathrm{fm}$
- HQET parameters determined at small spacings *a* = 0.01 − 0.4 fm so that large volumes, needed to extract the physical mass spectrum or matrix elements, require very large lattices of *L/a* > 50 → How can we bridge the gap to practicable lattice spacings ?

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A well-defined procedure: Finite-size scaling

Define step scaling functions  $\sigma_k$  by

$$\Phi_k^{\text{HQET}}(\mathsf{sL}, M) = \sigma_k \Big( \big\{ \Phi_j^{\text{HQET}}(L, M) \,, \, j = 1, \dots, N_n \big\} \Big) \quad k = 1, \dots, N_n$$

σ<sub>k</sub> describe the change of the complete set {Φ<sub>k</sub><sup>HQET</sup>} under L → sL
Ends up with *a*'s appropriate for infinite volume computations (L<sub>K</sub> = s<sup>K</sup>L<sub>0</sub> ≃ 1 fm where typically s = 2 and k = 2,3)

# QCD Schrödinger functional (SF)

A finite-volume renormalization scheme



(Lattice) Correlation functions are constructed according to

$$f_{\rm A}(x_0) = -\frac{1}{2} \langle A_0(x) 0 \rangle$$
  $0 = \sum_{\mathbf{y}, \mathbf{z}} \overline{\zeta}(\mathbf{y}) \gamma_5 \zeta(\mathbf{z})$ : (PS) Boundary source

Similar:  $f_{\rm P}$ ,  $k_{\rm V}$ , ..., and  $f_1 = -\frac{1}{2} \langle 0' 0 \rangle =$  boundary-to-boundary correlator





- Continuum limit can be taken
- Fully non-perturbative

#### **Benefits**

- Identifies  $\mu = 1/L$
- L<sup>-1</sup> runs, separated from a<sup>-1</sup>
- Spans large range in energy  $\mu = 1/L$
- ⇒ Framework to solve scale dependent renorm. problems



# LECTURE 2

**Applications** 

# Non-perturbative tests of HQET

H., Jüttner, Sommer & Wennekers, 2004]

#### Motivation:

Though HQET is commonly accepted as effective theory of QCD, explicit demonstrations of this are rare or based on phenomenological analyses

Requirement for a pure, non-perturbative theory test

QCD including a heavy enough quark must be simulated on the lattice at lattice spacings small enough to be able to take the continuum limit

 $\Rightarrow$  Perform such tests in a *finite* volume

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QCD including a heavy enough quark must be simulated on the lattice at lattice spacings small enough to be able to take the continuum limit

### $\Rightarrow$ Perform such tests in a *finite* volume

### Realization:

- Put the theory in a Schrödinger functional box with moderate  ${\cal T}=L$  such that  $am_{\rm b}\ll 1$
- Equivalent boundary conditions can be imposed on the HQET side
- Build correlators of boundary quark fields  $\zeta$  and composite fields

$$f_{\mathrm{A}}(\mathbf{x}_{0}) = -\frac{\mathbf{a}^{6}}{2} \sum_{\mathbf{y},\mathbf{z}} \left\langle (\mathbf{A}_{\mathrm{I}})_{0}(\mathbf{x}) \,\overline{\zeta}_{\mathrm{b}}(\mathbf{y}) \gamma_{5} \zeta_{1}(\mathbf{z}) \right\rangle \qquad \zeta_{\mathrm{I}}$$
$$(\mathbf{A}_{\mathrm{I}})_{0}(\mathbf{x}) = \mathbf{A}_{0}(\mathbf{x}) + \mathbf{a} \, \mathbf{c}_{\mathrm{A}} \frac{1}{2} (\partial_{\mu} + \partial_{\mu}^{*}) \mathbf{P}(\mathbf{x}) \qquad \overline{\zeta}_{\mathrm{b}} = 0 \qquad x_{0} = L$$

Form a proper ratio where the boundary renormalization factors drop out:

$$\begin{split} Y_{\rm PS}(L,M) &\equiv Z_{\rm A} \frac{f_{\rm A}(L/2)}{\sqrt{f_1}} = \frac{\langle \,\Omega(L) \,|\, \mathbb{A}_0 \,|\, B(L) \,\rangle}{\|\,|\Omega(L)\rangle \,\|\,\|\,|B(L)\rangle \,\|} \\ &|B(L)\rangle = {\rm e}^{-L\mathbb{H}/2} \,|\phi_{\rm B}(L)\rangle \quad |\Omega(L)\rangle = {\rm e}^{-L\mathbb{H}/2} \,|\phi_0(L)\rangle \end{split}$$

 $|\phi_0(L)\rangle$ ,  $|\phi_B(L)\rangle$ : SF (vacuum and pseudoscalar) boundary states  $|\Omega(L)\rangle$ ,  $|B(L)\rangle$ : states with vacuum and B-meson quantum numbers

- Time evolution ensures dominance by contributions with  $\Delta E \lesssim 2/L$
- Conclusion: HQET is applicable if  $1/L \ll M$  (and  $\Lambda \ll M$ )
- $\Rightarrow$  One expects (for fixed  $\Lambda \textit{L})$  the large-mass asymptotics of  $\textit{Y}_{\rm PS}$  to obey

 $Y_{\rm PS}(\textit{L},\textit{M}) \stackrel{\textit{M} \to \infty}{\sim} C_{\rm PS}\left(\textit{M}/\Lambda\right) \times \textit{X}_{\rm RGI}(\textit{L}) \ + \ {\rm O}(1/z) \qquad z = \textit{ML}$ 

Form a proper ratio where the boundary renormalization factors drop out:

$$\begin{split} Y_{\rm PS}(L,M) &\equiv Z_{\rm A} \, \frac{f_{\rm A}(L/2)}{\sqrt{f_1}} = \frac{\langle \, \Omega(L) \, | \, \mathbb{A}_0 \, | \, B(L) \, \rangle}{|| \, |\Omega(L)\rangle \, || \, || \, |B(L)\rangle \, ||} \\ &|B(L)\rangle = {\rm e}^{-L\mathbb{H}/2} \, |\phi_{\rm B}(L)\rangle \qquad |\Omega(L)\rangle = {\rm e}^{-L\mathbb{H}/2} \, |\phi_0(L)\rangle \end{split}$$

 $|\phi_0(L)\rangle$ ,  $|\phi_B(L)\rangle$ : SF (vacuum and pseudoscalar) boundary states  $|\Omega(L)\rangle$ ,  $|B(L)\rangle$ : states with vacuum and B-meson quantum numbers

- Time evolution ensures dominance by contributions with  $\Delta E \lesssim 2/L$
- Conclusion: HQET is applicable if  $1/L \ll M$  (and  $\Lambda \ll M$ )

 $\Rightarrow$  One expects (for fixed  $\Lambda \textit{L})$  the large-mass asymptotics of  $Y_{\rm PS}$  to obey

 $Y_{\rm PS}(\textit{L},\textit{M}) \stackrel{\textit{M} \to \infty}{\sim} C_{\rm PS}\left(\textit{M}/\Lambda\right) \times \textbf{X}_{\rm RGI}(\textit{L}) \ + \ {\rm O}(1/z) \qquad z = \textit{ML}$ 

#### $X_{\rm RGI}$ = static-limit analogue of $Y_{\rm PS}$

• 
$$X_{\rm RGI}(L) = Z_{\rm RGI} X(L) \propto \frac{\Phi_{\rm RGI}}{\Phi_{\rm SF}(\mu=1/L)}$$

• demands a lattice computation in static approximation ( $L \simeq 0.2 \, {\rm fm}$ ) and to extrapolate to the continuum



#### Quenched study of the large -z behaviour of $Y_{PS}$ in small-volume QCD:



- The finite-mass observable turns smoothly into the HQET prediction (Note: C<sub>PS</sub> reduces the mass dependence of Y<sub>PS</sub>(L, M) by a factor > 2)
- More such successful test (e.g. for the spin splitting) are available, outcome: magnitude of z<sup>-n</sup>-coefficients reasonably small, ~ O(1)
- Power-corrections larger than perturbative ones at  $z^{-1} = 0.1 0.2$ , but a theoretically consistent evaluation of the former requires a fully non-perturbative formulation of HQET including its matching to QCD

### Non-perturbative matching in a concrete example Computation of $M_{\rm b}$ in lowest-order of HQET (static approximation) [H. & Sommer, 2004]

Recall:

- Matching conditions  $\Phi_k^{\mathrm{HQET}}(L, M) = \Phi_k^{\mathrm{QCD}}(L, M)$  in  $L \simeq 0.2 \,\mathrm{fm}$
- to fix the QCD parameters & subtract power divergences in HQET

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Realization to determine the mass of the b-quark

 $\left. \begin{array}{l} \Gamma(L, \textit{M}) \\ \Gamma_{\rm stat}(\textit{L}) \end{array} \right\} = \left\{ \begin{array}{l} \text{B-meson mass in a \textit{finite volume} of extent } \textit{L}^4 \\ \text{energy of a state with B-meson quantum numbers in } \textit{L}^4 \end{array} \right.$ 

Now implicitly replace

 $m_{\text{bare}} = m + \frac{1}{a} \ln(1 + a \,\delta m)$  in  $m_{\text{B}} = E_{\text{stat}} + m_{\text{bare}}$ 

via the set of conditions

$$\begin{array}{rcl} \Phi_1^{\mathrm{HQET}} &=& \Phi_1^{\mathrm{QCD}} &\equiv& \bar{g}^2(L_0) &=& \text{constant} \\ \Phi_2^{\mathrm{HQET}} &=& \Phi_2^{\mathrm{QCD}} &\equiv& m_1 &=& 0 \\ \Gamma_{\mathrm{stat}}(L_0) + m_{\mathrm{bare}} &\equiv& \Phi_3^{\mathrm{HQET}} &=& \Phi_3^{\mathrm{QCD}} &\equiv& \Gamma(L_0, M_{\mathrm{b}}) \end{array}$$

As anticipated before:

Use L-dependent energies from SF-correlators in the B-meson channel



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 $\Rightarrow$  Matching condition by equating in small volume with linear extent  $L_0$ :

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Use L-dependent energies from SF-correlators in the B-meson channel



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$$\Gamma_{\rm stat}(L_0) + m_{\rm bare} = \Gamma(L_0, M_{\rm b})$$

As  $C(x_0) \sim^{x_0 \to \infty} e^{-m_B x_0}$  and  $C_{\text{stat}}(x_0) \sim^{x_0 \to \infty} e^{-E_{\text{stat}} x_0}$  in the large-*L* limit, we have to connect this condition (by finite-size scaling) to

 $E_{\rm stat} + m_{\rm bare} = m_{\rm B}$ 

To bridge between the matching in small volume and a physical situation (i.e.  $L \ge 1.5 \text{ fm } \& a \ge 0.05 \text{ fm}$  to accommodate a B-meson), adopt a few *recursive finite-size scaling* steps in an intermediate SF scheme:



- $\Gamma$ ,  $\Gamma_{stat}$ : suitable quantities for matching
- Introduce a step scaling function  $\sigma_{\rm m}(u) \equiv 2L \left[\Gamma_{\rm stat}(2L) \Gamma_{\rm stat}(L)\right]$ to evolve  $L_0 \rightarrow L_2 = 2^2 L_0 \simeq 1 \, {\rm fm}$
- For  $L \simeq 2 \, \text{fm}$  @ same resolution: calculate physical observables

 $\Rightarrow \text{Equation to solve for the b-quark mass}$   $m_{\rm B} = \underbrace{E_{\rm stat} - \Gamma_{\rm stat}(L_2)}_{a \to 0 \text{ in HQET}} + \underbrace{\Gamma_{\rm stat}(L_2) - \Gamma_{\rm stat}(L_0)}_{a \to 0 \text{ in HQET}} + \underbrace{\Gamma(L_0, M_{\rm b})}_{a \to 0 \text{ in QCD}}(L_0^4)$ 

- Linearly divergent static quark's selfenergy δm cancels in differences !
- Γ(L, M) is defined in small-volume QCD with a *relativistic b-quark*:
  - $z\equiv L_0M\gg 1$   $L_0\simeq 0.2\,{
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In practice: choose fixed SF coupling  $\bar{g}^2(L_0/2)$  with  $L_0 = L_{\rm max}/2 = 0.36r_0$  $\Rightarrow (L/a, \beta, \kappa_1)$  from previous work

Desired quark mass values  $z = L_0 M$ traded for  $\kappa_{\rm h}$  used in the simulations:  $z = L_0 \frac{M}{\overline{m}_{\rm h}(\mu_0)} Z_{\rm m} m_{\rm q,h} (1 + b_{\rm m} a m_{\rm q,h})$ [H. & Wennekers, 2004]
$L_0 \times [\Gamma_{\rm stat}(L_2) - \Gamma_{\rm stat}(L_0)]$ 

- The SSF connects the small 'matching' volume  $L_0 \simeq 0.2 \,\mathrm{fm}$ to  $L_2 \simeq 1 \,\mathrm{fm}$
- $\Rightarrow$  Contact with physical quantities:  $E_{\rm stat}$ ,  $m_{\rm B}$  in large volume



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### $L_0 \Delta E \equiv L_0 \times [E_{\rm stat} - \Gamma_{\rm stat}(L_2)]$

- Left: Wilson fermions, *E*<sub>stat</sub> from the Fermilab group [Duncan et al., PRD51(1995)5101]
- Right: [non-] perturbatively O(a) improved (+ enhanced signal/noise-ratios by change of discretization of S<sub>HQET</sub>)



The RGI b-quark mass  $M_{\rm b}$  is finally obtained from intercept of

 $\omega(z, u) \equiv \lim_{a \to 0} L_0 \Gamma \quad \text{with} \quad \omega_{\text{stat}} \equiv L_0 m_{\text{B}} - \left\{ \frac{1}{2} \sigma_{\text{m}}(u_0) + \frac{1}{4} \sigma_{\text{m}}(u_1) \right\} - L_0 \Delta E$ 

- $\Gamma = \Gamma_{av} \equiv \frac{1}{4} \Gamma_{PS} + \frac{3}{4} \Gamma_{V}$ : spin-averaged combination to minimize the size of 1/M – effects
- Continuum limit in all steps
- Non-perturbative renormalization



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Result [H. & Sommer, 2004]

 $r_0 M_{
m b} = 16.12(29) \quad 
ightarrow \quad \overline{m}_{
m b}^{
m \overline{MS}} \left( \overline{m}_{
m b}^{
m \overline{MS}} 
ight) = 4.12(8)\,{
m GeV}$ 

Uncertainties and expected improvements:

- $\checkmark~Valid~up~to~O(\frac{\Lambda}{L_0M_b})\sim O(\frac{\Lambda^2}{M_b})~$  corrections, quenched approximation
- ✓ Computation of  $aE_{\text{stat}}$  including the improvements just mentioned will yield a continuum limit of  $L_0\Delta E$  with a much smaller error [<sup>ALPHA</sup>, to come soon]

## Towards a precision determination of $F_{B_s}$

#### Two-step strategy

**O** Calculation of  $F_{B_s}$  in lowest order of HQET (= static approximation)

$$F_{\rm PS} \sqrt{m_{\rm PS}} = C_{\rm PS} \left( M / \Lambda_{\overline{\rm MS}} \right) \times \Phi_{\rm RGI} + O \left( 1 / M \right)$$

 $\Phi_{\rm RGI} = RGI$  matrix element of the static axial current

$$\Phi_{\rm RGI} = \textbf{Z}_{\rm RGI} \langle \, {\rm PS} \, | \, \textbf{A}_0^{\rm stat} \, | \, \textbf{0} \, \rangle \quad \textbf{A}_0^{\rm stat} = \overline{\psi}_{\rm s} \gamma_0 \gamma_5 \psi_{\rm b}^{\rm stat} \quad \text{for} \quad {\rm PS} = {\rm B}$$

$$\Phi_{\rm RGI}(\textbf{\textit{x}}_0) \, \propto \, \textbf{\textit{Z}}_{\rm RGI} \times \, \frac{f_{\rm A}^{\rm stat}(\textbf{\textit{x}}_0)}{\sqrt{f_1}} \, \, {\rm e}^{\,(\textbf{\textit{x}}_0 - \textbf{\textit{T}}/2) \textit{E}_{\rm stat}(\textbf{\textit{x}}_0)} \quad \text{in the SF}$$

2 Combine with results for  $F_{\rm PS}(m_{\rm PS})$  around the charm quark region by (linear) interpolation in  $1/m_{\rm PS}$ 

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Non-perturbative renormalization: Z<sub>RGI</sub> known [H., Kurth & Sommer, 2003]
 Calculation employs further (mainly new) ingredients, namely ...

• Linear *a*-effects removed

- Linear a effects removed
- Modified (static) action with reduced statistical errors by change of parallel transporters in covariant derivative:

 $\textit{D}_{0}\psi_{\mathrm{h}}(\textit{x}) = \textit{a}^{-1}[\psi_{\mathrm{h}}(\textit{x}) - \textit{W}^{\dagger}(\textit{x} - \textit{a}\hat{0}, 0)\psi_{\mathrm{h}}(\textit{x} - \textit{a}\hat{0})]$ 

- ✓ W(x, 0) = function of gauge fields in the neighbourhood of  $x, x + a\hat{0}$
- Quite the same small lattice artifacts



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 Wave functions at boundaries of the SF-cylinder to suppress excited B-meson state contributions to correlators

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# Interpolation between leading-order HQET and $F_{D_s}$

Extrapolation of  $r_0^{3/2} F_{\rm PS} \sqrt{m_{\rm PS}} / C_{\rm PS}$  from the charm region to the static estimate  $r_0^{3/2} \Phi_{\rm RGI}$  using results on  $F_{\rm PS}(m_{\rm PS}) \mid_{m \simeq m_c}$  [Rolf & Jüttner, 2003]

- Linear interpolation in  $1/(m_{\rm PS}r_0)$ :
  - motivated by HQET
  - justified by the data
- mass dependent discretization errors near *m*<sub>c</sub>
- $C_{\rm PS}(M_{\rm b}/\Lambda_{\overline{\rm MS}})$  translates to finite b-quark mass



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Preliminary result [  $\overline{ALPHA}$  2003] $\Lambda_{\overline{\mathrm{MS}}} = 238(19) \,\mathrm{MeV}$ ,  $r_0 = 0.5 \,\mathrm{fm} \rightarrow F_{\mathrm{B_s}} = 205(12) \,\mathrm{MeV}$ 

- $\checkmark~$  Includes all errors except for quenching (scale ambiguity is  $\simeq$  12%)
- ✓ Extrapolation without the static constraint looks similar but depends significantly on functional form assumed ⇒ *interpolation* much safer

### Alternative to determine the B-meson decay constant

Further application of the non-perturbative matching strategy

To lowest order in 1/m we have

 $\mathcal{M}(g_0) \equiv \langle \operatorname{B}(\mathbf{p} = \mathbf{0}) \, | \, A_0^{\operatorname{stat}}(\mathbf{0}) \, | \, \mathbf{0} \, \rangle \qquad \mathcal{F}_{\operatorname{B}} \sqrt{m_{\operatorname{b}}} = \lim_{a \to 0} Z_{\operatorname{A}}^{\operatorname{stat}}(g_0, aM_{\operatorname{b}}) \, \mathcal{M}(g_0)$ 

- $Z_{\rm A}^{\rm stat}(g_0, aM_{\rm b})$  computed in quenched approximation via a *matching through the RGI operator* with finite-size scaling techniques ( $N_{\rm f} = 2$  also in progress  $\rightarrow$  P. Fritzsch's talk)
- This method is not easily extended to include 1/m-corrections

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In the spirit of our non-perturbative matching of HQET and QCD in finite volume, the master formula valid up to corrections of O(1/m) is

$$\begin{split} \mathcal{F}_{\mathrm{B}}\sqrt{m_{\mathrm{b}}} &= \frac{\mathcal{F}_{\mathrm{B}}\sqrt{m_{\mathrm{b}}}|^{\mathrm{HQET}}}{\Phi^{\mathrm{HQET}}(\mathcal{L}_{2},\mathcal{M}_{\mathrm{b}})} \\ &\times \frac{\Phi^{\mathrm{HQET}}(\mathcal{L}_{2},\mathcal{M}_{\mathrm{b}})}{\Phi^{\mathrm{HQET}}(\mathcal{L}_{1},\mathcal{M}_{\mathrm{b}})} \times \frac{\Phi^{\mathrm{HQET}}(\mathcal{L}_{1},\mathcal{M}_{\mathrm{b}})}{\Phi^{\mathrm{HQET}}(\mathcal{L}_{0},\mathcal{M}_{\mathrm{b}})} \times \Phi^{\mathrm{QCD}}(\mathcal{L}_{0},\mathcal{M}_{\mathrm{b}}) \end{split}$$

applying to multiplicative, scale dependent renormalizations and

provided that the b-quark mass is already known

#### Ingredients:

- Matching equation to be imposed in the small volume  $\Phi^{\text{HQET}}(L_0, M_{\text{b}}) = \Phi^{\text{QCD}}(L_0, M_{\text{b}})$  with  $\bar{g}^2(L_0) = u_0 = \text{fixed}$
- Finite-size scaling in terms of step scaling functions built as

$$\Phi^{\mathrm{HQET}}(\textit{2L},\textit{M}_{\mathrm{b}}) \left. \right|_{\textit{a}=0} \ = \ \sigma_{\mathrm{X}}\left( \bar{\textit{g}}^{2}(\textit{L}) \right) \times \left. \Phi^{\mathrm{HQET}}(\textit{L},\textit{M}_{\mathrm{b}}) \right|_{\textit{a}=0}$$

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Then the previous formula finally combines to

$$\begin{aligned} F_{\rm B}\sqrt{m_{\rm b}} &= \rho(u_2) \times \sigma_{\rm X}(u_1) \times \sigma_{\rm X}(u_0) \times \Phi^{\rm QCD}(L_0, M_{\rm b}) \\ \rho(u) &\equiv \lim_{a/L \to 0} \left. \frac{\mathcal{M}(g_0)}{X(g_0, L/a)} \right|_{\bar{g}^2(L)=u} \qquad X(g_0, L/a) \equiv \frac{f_{\rm A}^{\rm stat}(L/2)}{\sqrt{f_1^{\rm stat}}} \end{aligned}$$

Key difference to obtaining RGIs & conversion to the matching scheme

- is not the absence of perturbative errors in  $C_{PS} (M_{b} / \Lambda_{\overline{MS}})$
- but the tempting possibility to include 1/m-corrections

### Conclusions & Perspectives

- ▶ New quality of the computations employing lattice HQET:
  - $\checkmark$  Non-perturbative renormalization
  - ✓ Continuum limit at *large* quark masses (small-volume setup !)
- Discretizations for static quarks entailing exponentially improved statistical precision
- Physics results are still quenched, but an extension of the methods to dynamical fermions is straightforward ('only' the usual problems with light quarks to be solved)

### Even more interesting:

Systematic improvement by implementing the effective theory beyond the leading order in 1/m to reach an acceptable precision

- $\checkmark\,$  First tests and ideas seem to be promising
- $\checkmark~$  To do this consistently, conversion functions such as  $C_{\rm PS}$  have to be known non-perturbatively

# Towards an inclusion of 1/m – corrections

The 1/m-expansion of the correlator  $f_A$  receives new contributions:

$$f_{\rm A} \propto f_{\rm A}^{\rm stat} \left\{ 1 + \frac{\alpha^{(1)} \delta f_{\rm A}^{\rm stat}}{\alpha^{(0)} f_{\rm A}^{\rm stat}} + \omega_{\rm kin} \frac{f_{\rm A}^{\rm kin}}{f_{\rm A}^{\rm stat}} + \omega_{\rm spin} \frac{f_{\rm A}^{\rm spi}}{f_{\rm A}^{\rm stat}} \right\}$$

with bulk insertions



How can one match the  $\omega_{\rm kin}$  – term in a computation of  $M_{\rm b}$  ?

Proposal: use a combination of energies

- $$\begin{split} \Xi(L,M) &= L[\,\Gamma_{\mathrm{av}}(L/2,M) \Gamma_{\mathrm{av}}(L/4,M)\,] \\ &= \Xi_{\mathrm{stat}}(L) + \frac{1}{2z}\,\Xi_{\mathrm{kin}}(L) \,+\,\mathrm{O}(1/z^2) \end{split}$$
- $\Xi_{\rm kin}$  encodes matrix elements of  $\overline{\psi}_{\rm h} {f D}^2 \psi_{\rm h}$
- Reparametrization invariance restricts  $\Xi_{kin}$  to be free of logarithmic modifications

