## Introduction to

# non-perturbative Heavy Quark Effective Theory 

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## LECTURE 1: Non-perturbative formulation of HQET

- Motivation
- Basics of HQET as an effective theory of QCD
- Non-perturbative formulation of HQET
- Matching of HQET and QCD in finite volume


## LECTURE 2: Applications

- Tests of HQET in finite volume
- Advances in B-physics applications: $M_{\mathrm{b}}$ and $F_{\mathrm{B}_{\mathrm{s}}}$
- Status of (quenched) physics results
- Perspectives


## Lecture 1

Non-perturbative formulation of HQET

## B-physics from the lattice ...

... and the need for recoursing to an effective theory
Lattice QCD calculations with b-quarks

- valuably contribute to precision CKM-physics (unitarity triangle)
- provide an 'ab initio' approach to determine experimentally inaccessible key parameters such as
- the b-quark mass, $M_{b}$
- B-meson decay constants, e.g.

$$
\left\langle\mathrm{B}_{\mathrm{s}}(p)\right|\left[\bar{\psi}_{\mathrm{s}} \gamma_{\mu} \gamma_{5} \psi_{\mathrm{b}}\right](0)|0\rangle=i p_{\mu} F_{\mathrm{B}_{\mathrm{s}}}
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Challenge of a realistic treatment of lattice B-systems:

- The b-quark is too heavy $\Leftrightarrow$ highly localized
- Very fine lattice resolutions (not $m_{b}^{-1} \simeq(4 \mathrm{GeV})^{-1}<a \simeq 0.07 \mathrm{fm}$ )
- in (at the same time) physically large volumes required
$\Rightarrow$ Direct numerical simulation still beyond today's computing resources


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$\Rightarrow$ Direct numerical simulation still beyond today's computing resources
Viable framework for heavy quarks in the lattice regularization:
Effective theories $\rightarrow$ NRQCD
HQET (even took its origin for the lattice [Eichten, 1988])


## Lattice QCD

## 'Ab initio' approach to determine phenomenologically relevant key parameters

$\mathcal{L}_{\mathrm{QCD}}\left[g_{0}, m_{f}\right]=-\frac{1}{2 g_{0}^{2}} \operatorname{Tr}\left\{F_{\mu \nu} F_{\mu \nu}\right\}+\sum_{f=\mathrm{u}, \mathrm{d}, \mathrm{s}, \ldots} \bar{\psi}_{f}\left\{\gamma_{\mu}\left(\partial_{\mu}+g_{0} A_{\mu}\right)+m_{f}\right\} \psi_{f}$
$\underbrace{\left[\begin{array}{c}F_{\pi} \\ m_{\pi} \\ m_{\mathrm{K}} \\ m_{\mathrm{D}} \\ m_{\mathrm{B}}\end{array}\right]}_{\text {Experiment }} \underset{\mathrm{LCD}}{\mathcal{L}_{\mathrm{QCD}}\left[g_{0}, m_{f}\right]} \underbrace{\left[\begin{array}{c}\Lambda_{\mathrm{QCD}} \\ \frac{1}{2}\left(M_{\mathrm{u}}+M_{\mathrm{d}}\right) \\ M_{\mathrm{s}} \\ M_{\mathrm{c}} \\ M_{\mathrm{b}}\end{array}\right]}_{\mathrm{QCD}}+\underbrace{\left[\begin{array}{c}F_{\mathrm{D}} \\ F_{\mathrm{B}} \\ B_{\mathrm{B}} \\ \xi \\ \cdots\end{array}\right]}_{\text {parameters (RGIs) }}$

## Lattice QCD

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& \underbrace{\left[\begin{array}{c}
F_{\pi} \\
m_{\pi} \\
m_{\mathrm{K}} \\
m_{\mathrm{D}} \\
m_{\mathrm{B}}
\end{array}\right]}_{\text {Experiment }}{ }_{\mathcal{L}_{\mathrm{QCD}}\left[g_{0}, m_{f}\right]}^{\Longrightarrow} \underbrace{\left[\begin{array}{c}
\Lambda_{\mathrm{QCD}} \\
\frac{1}{2}\left(M_{\mathrm{u}}+M_{\mathrm{d}}\right) \\
M_{\mathrm{s}} \\
M_{\mathrm{c}} \\
M_{\mathrm{b}}
\end{array}\right]}_{\text {QCD parameters (RGIs) }}+\underbrace{\left[\begin{array}{c}
F_{\mathrm{D}} \\
F_{\mathrm{B}} \\
B_{\mathrm{B}} \\
\xi \\
\cdots
\end{array}\right]}_{\text {Predictions }}
\end{aligned}
$$

$\xrightarrow{\mathcal{L}_{\mathrm{QCD}}\left[g_{0}, m_{f}\right]}$
means discretization with:

- Gauge invariance
- Locality
- Unitarity

$$
U_{\mu}(x)=\mathrm{e}^{i \mathrm{iag}_{0} A_{\mu}(x)} \psi(x)
$$



Issues/Obstacles:

- Renormalization
- Continuum limit (CL)
- ...
- $\mathrm{O}\left(1 / \sqrt{t_{\mathrm{CPU}}}\right)$ errors

Typical momentum scales in heavy-light and heavy-heavy mesons:
Heavy-light ( $\mathrm{Q} \overline{\mathrm{q}}) \longrightarrow$ HQET


- Q almost at rest at bound state's center, surrounded by light DOFs
- Motion of the heavy quark is suppressed by $\Lambda_{\mathrm{QCD}} / m_{\mathrm{Q}}$

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Heavy-heavy $(\mathrm{Q} \overline{\mathrm{Q}}) \longrightarrow$ NRQCD


- Non-relativistic kinetic and the potential energy to be balanced
- Separate: $m_{Q},\langle p\rangle \simeq m_{Q} v$ and binding energy $\left\langle p^{2}\right\rangle / m_{Q} \simeq m_{Q} v^{2}$


## Problems with lattice regularized HQET

In the past: Difficulties/Limitations on the
theoretical side
At each order in $\frac{1}{m}$, new parameters arise in the effective theory, which (due to mixings among operators of different dimensions) leave power divergences in the lattice spacing if only estimated perturbatively
$\Rightarrow$ Continuum limit does not exist

## technical side

Rapid growth of statistical errors as the time separation of B-meson correlation functions increases:

$$
\begin{aligned}
& S_{\mathrm{h}}^{\text {Eichten-Hill }}=a^{4} \Sigma_{x} \bar{\psi}_{h}(x) D_{0} \psi_{h}(x) \\
& \frac{\text { noise }}{\text { signal }} \propto \exp \left(x_{0} \Delta\right) \\
& \begin{array}{l}
\Delta=E_{\text {stat }}-m_{\pi} \\
E_{\text {stat }} \sim e_{1} \times g_{0}^{2} / a
\end{array}
\end{aligned}
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\end{array}
\end{gathered}
$$

Progress by two recent developments:

Non-perturbative renormalization of HQET through its non-perturbative matching to $Q C D$ in finite volume [H. \& Sommer, 2004]

Alternative discretizations of HQET, leading to a substantial reduction of statistical fluctuations in correlators
[ ${ }_{\text {Ald }}^{\text {LIPAA }}$, Della Morte et al., 2003 \& 2005]

$$
\mathcal{L}_{\mathrm{QCD}}=-\frac{1}{2 g_{0}^{2}} \operatorname{Tr}\left\{F_{\mu \nu} F_{\mu \nu}\right\}+\sum_{f} \bar{\psi}_{f}\left\{\gamma_{\mu}\left(\partial_{\mu}+g_{0} A_{\mu}\right)+m_{f}\right\} \psi_{f}
$$

## Consider:

Energies \& matrix elements of states containing a single b-quark at rest
HQET Lagrangian by formal $1 / m_{b}$-expansion of continuum QCD

$$
\begin{aligned}
\bar{\psi}_{\mathrm{b}}\left\{\gamma_{\mu} D_{\mu}+m_{\mathrm{b}}\right\} \psi_{\mathrm{b}} \quad \rightarrow \quad & \mathcal{L}_{\text {stat }}+\mathcal{L}^{(1)}+\ldots \\
& \mathcal{L}_{\text {stat }}(x)=\bar{\psi}_{\mathrm{h}}(x)\left\{D_{0}+\delta m\right\} \psi_{\mathrm{h}}(x)
\end{aligned}
$$

- 4-component effective heavy quark field $\psi_{\mathrm{h}}$ with constraint

$$
P_{+} \psi_{\mathrm{h}}=\psi_{\mathrm{h}} \quad \bar{\psi}_{\mathrm{h}} P_{+}=\bar{\psi}_{\mathrm{h}} \quad P_{+}=\frac{1}{2}\left(1+\gamma_{0}\right) \quad \Rightarrow \quad 2 \text { d.o.f. }
$$

- Composite fields involving b-quarks translate to the effective theory:

$$
A_{0}(x)=Z_{\mathrm{A}} \bar{\psi}_{1}(x) \gamma_{0} \gamma_{5} \psi_{\mathrm{b}}(x) \quad \rightarrow \quad A_{0}^{\text {stat }}=Z_{\mathrm{A}}^{\text {stat }} \bar{\psi}_{\mathrm{l}}(x) \gamma_{0} \gamma_{5} \psi_{\mathrm{h}}(x)
$$

$Z_{\mathrm{A}}, Z_{\mathrm{A}}^{\text {stat }}$ : renormalization constants of the axial currents

- Expansion is accurate for heavy quark masses $m \equiv m_{\mathrm{h}} \gg \Lambda_{\mathrm{QCD}}$, yields valid description for low-lying energy levels \& matrix elements

Example

$$
\Phi^{\mathrm{QCD}} \equiv F_{\mathrm{B}} \sqrt{m_{\mathrm{B}}}=Z_{\mathrm{A}}\langle\mathrm{~B}| A_{0}|0\rangle
$$

- Scale independent due to the chiral symmetry of (massless) QCD
- In HQET: chiral symmetry absent $\Rightarrow Z_{A}^{\text {stat }}=Z_{A}^{\text {stat }}(\mu)$

Rather than $\Phi^{\text {stat }}(\mu) \equiv Z_{\mathrm{A}}^{\text {stat }}(\mu)\langle\mathrm{B}| A_{0}^{\text {stat }}|0\rangle$, focus on the $\mu$ \& scheme independent renormalization group invariant (RGI) matrix element

$$
\Phi_{\mathrm{RGI}}=\lim _{\mu \rightarrow \infty}\left[2 b_{0} \bar{g}^{2}(\mu)\right]^{-\gamma_{0} /\left(2 b_{0}\right)} \times \Phi^{\text {stat }}(\mu)
$$

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$$

$\Rightarrow$ Generic form of the HQET-expansion of the QCD matrix elements:

$$
\begin{aligned}
\Phi^{\mathrm{QCD}} & =C_{\mathrm{PS}}\left(M_{\mathrm{b}} / \Lambda_{\overline{\mathrm{MS}}}\right) \times \Phi_{\mathrm{RGI}}+\mathrm{O}\left(1 / M_{\mathrm{b}}\right) \\
M_{\mathrm{b}} & =\lim _{\mu \rightarrow \infty}\left[2 b_{0} \bar{g}^{2}(\mu)\right]^{-d_{0} /\left(2 b_{0}\right)} \times \bar{m}_{\mathrm{b}}(\mu) \\
\Lambda_{\overline{\mathrm{MS}}} & =\lim _{\mu \rightarrow \infty} \mu\left[b_{0} \bar{g}_{\mathrm{MS}}^{2}(\mu)\right]^{-b_{1} /\left(2 b_{0}^{2}\right)} \mathrm{e}^{-1 /\left(2 b_{0} \bar{g}_{\mathrm{MS}}^{2}(\mu)\right)}
\end{aligned}
$$

with $\beta(\bar{g})=\mu(\partial \bar{g} / \partial \mu)=-b_{0} \bar{g}^{3}+\mathrm{O}\left(\bar{g}^{5}\right)$ and associated anomalous dimensions $\tau(\bar{g})=\frac{\mu}{\bar{m}} \frac{\partial \bar{m}}{\partial \mu}=-d_{0} \bar{g}^{2}+\mathrm{O}\left(\bar{g}^{4}\right)$

$$
\gamma(\bar{g})=\frac{\mu}{Z_{A}^{\text {stat }}} \frac{\partial Z_{A}^{\text {stat }}}{\partial \mu}=-\gamma_{0} \bar{g}^{2}+\mathrm{O}\left(\bar{g}^{4}\right)
$$

## What is the meaning of $C_{\mathrm{PS}}\left(M_{\mathrm{b}} / \Lambda_{\overline{\mathrm{MS}}}\right)$ ?

## Conversion to the matching scheme

To extract QCD predictions from results obtained in the (static) effective theory, its RGIs must be related to QCD observables at finite quark mass
$\Leftrightarrow$ Translation to another renormalization scheme:
The matching scheme - defined by the condition that for arbitrary renormalized matrix elements $\Phi$ in QCD and in the effective theory

$$
\Phi^{\mathrm{QCD}}=\Phi^{\left.\operatorname{HQET}^{\mathrm{QE}}(\mu)\right|_{\mu=m}+\mathrm{O}(1 / m), ~}
$$

(in PT, one typically identifies $m=m_{\mathrm{Q}}=$ pole mass or $m=\bar{m}_{*}=\overline{\mathrm{MS}}$ mass )

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In case of the static axial current:

$$
\Phi^{\mathrm{QCD}}=C_{\operatorname{match}}\left(m_{\mathrm{b}} / \mu\right) \times \Phi_{\overline{\mathrm{MS}}}(\mu)+\mathrm{O}\left(1 / m_{\mathrm{b}}\right)
$$

- $\Phi_{\overline{\mathrm{MS}}}(\mu)$ : renormalized in HQET in the $\overline{\mathrm{MS}}$ scheme
- $C_{\text {match }}\left(m_{\mathrm{b}} / \mu\right)$ : Matching coefficient depending on $m_{\mathrm{b}}$, defined by $\bar{m}_{\overline{\mathrm{MS}}}\left(m_{\mathrm{b}}\right)=m_{\mathrm{b}}$
- Once $C_{\text {match }}$ is determined (usually in PT) such that $(\star)$ holds for some particular current matrix element, it applies to all of them

Change to a more convenient argument of the conversion function via

$$
\frac{\Phi_{\mathrm{RGI}}}{\Phi_{\overline{\mathrm{MS}}}(\mu)}=\left[2 b_{0} \bar{g}^{2}(\mu)\right]^{-\gamma_{0} /\left(2 b_{0}\right)} \exp \left\{\int_{0}^{\bar{g}(\mu)} \mathrm{d} g\left[\frac{\gamma_{\overline{\mathrm{MS}}}(g)}{\beta_{\overline{\mathrm{MS}}}(g)}-\frac{\gamma_{0}}{b_{0} g}\right]\right\}\left[\bar{g}=\bar{g}_{\overline{\mathrm{MS}}}\right]
$$

and choosing the arbitrary renormalization point as $\mu=m_{\mathrm{b}}$

$$
\begin{aligned}
& \Rightarrow \quad C_{\mathrm{PS}}\left(M_{\mathrm{b}} / \Lambda_{\overline{\mathrm{MS}}}\right)=C_{\mathrm{match}}(1) \times \frac{\Phi_{\overline{\mathrm{MS}}}(\mu)}{\Phi_{\mathrm{RGI}}}= \\
& \quad\left[2 b_{0} \bar{g}^{2}\left(m_{\mathrm{b}}\right)\right]^{\gamma_{0} /\left(2 b_{0}\right)} \exp \left\{\int_{0}^{\bar{g}\left(m_{\mathrm{b}}\right)} \mathrm{d} g\left[\frac{\gamma^{\text {match }}(g)}{\beta_{\overline{\mathrm{MS}}}(g)}-\frac{\gamma_{0}}{b_{0} g}\right]\right\}
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$$

- $C_{\mathrm{PS}}$ 'defines' the anomalous dimension $\gamma^{\text {match }}$ in the matching scheme:

$$
\gamma^{\text {match }}(\bar{g})=\gamma^{\overline{\mathrm{MS}}}(\bar{g})+\rho(\bar{g})
$$

with a contribution $\rho(\bar{g})$ from $C_{\text {match }}$

- advantages of the ratio of RGIs $M / \Lambda$ :
- can be fixed in lattice calculations without perturbative uncertainties
- $C_{\mathrm{PS}}$ independent of the choice of scheme for the effective operators

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$\checkmark$ weak logarithmic mass dependence
$\checkmark$ PT under control $\Leftarrow$ 3-loop AD [Chetyrkin \& Grozin, 2003]
$\checkmark$ remaining $\mathrm{O}\left(\bar{g}^{6}\left(m_{\mathrm{b}}\right)\right)$ errors small


## Non-perturbative formulation of HQET

Let the effective theory be regularized on a space-time lattice
$S_{\text {HQET }}=a^{4} \sum_{x}\left\{\mathcal{L}_{\text {stat }}(x)+\sum_{v=1}^{n} \mathcal{L}^{(v)}(x)\right\} \quad \mathcal{L}^{(v)}(x)=\sum_{i} \omega_{i}^{(v)} \mathcal{L}_{i}^{(v)}(x)$
with static action $\mathcal{L}_{\text {stat }}(x)=\bar{\psi}_{\mathrm{h}}(x)\left[\nabla_{0}^{*}+\delta m\right] \psi_{\mathrm{h}}(x)$ and the $1 / m$-parts $\mathcal{L}_{1}^{(1)}=\bar{\psi}_{\mathrm{h}}\left(-\frac{1}{2} \boldsymbol{\sigma} \cdot \mathbf{B}\right) \psi_{\mathrm{h}} \rightarrow$ chromomagnetic interaction with the gluon field $\mathcal{L}_{2}^{(1)}=\bar{\psi}_{h}\left(-\frac{1}{2} \mathbf{D}^{2}\right) \psi_{\mathrm{h}} \quad \rightarrow$ kinetic energy from the heavy quark's residual motion $\delta m$ and local composite fields $\mathcal{L}_{i}^{(v)}$ have mass dimensions 1 and $4+v$

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- must be determined such that HQET matches QCD
- at the classical level this fixes

$$
\omega_{1}^{(1)}=\omega_{2}^{(1)}=1 / m+\mathrm{O}\left(g_{0}^{2}\right) \quad \delta m=0+\mathrm{O}\left(g_{0}^{2}\right)
$$

(Removal of $m \bar{\psi}_{h} \psi_{\mathrm{h}}$ from the action, corresponding to a universal energy shift, reflects the heavy-light dynamics' independence of the scale $m$ at lowest order)

## Insert: Derivation of the HQET Lagrangian

Start from the Euclidean Dirac-Lagrangian in the continuum

$$
\begin{aligned}
\mathcal{L} & =\bar{\psi}\left(D_{\mu} \gamma_{\mu}+m\right) \psi=\psi^{\dagger} \mathcal{D} \psi \\
\mathcal{D} & \equiv m \gamma_{0}+D_{0}+\gamma_{0} D_{k} \gamma_{k}
\end{aligned}
$$

and perform a field rotation (i.e. a Foldy-Wouthuysen-Tani transformation) to decouple 'large' and 'small' components:

$$
\begin{aligned}
& \psi \rightarrow \phi=\mathrm{e}^{S} \psi \quad \psi^{\dagger} \rightarrow \phi^{\dagger}=\psi^{\dagger} \mathrm{e}^{-S} \\
& \Rightarrow \quad \mathcal{L}=\phi^{\dagger} \mathcal{D}^{\prime} \phi \\
& \text { with } \quad \mathcal{D}^{\prime}=\mathrm{e}^{S} \mathcal{D e}^{-s} \\
& \text { and } \quad S \equiv \frac{1}{2 m} D_{k} \gamma_{k}=-S^{\dagger}=\mathrm{O}\left(\frac{1}{m}\right) \quad[\mathcal{D}=\mathrm{O}(m)]
\end{aligned}
$$

In this way the $D_{k} \gamma_{k}$-term is rotated away

## Classical theory:

One has smooth fields and thus can count

$$
D_{\mu}=\mathrm{O}\left(\left[\frac{1}{m}\right]^{0}\right)
$$

so that it makes sense to expand in $1 / m$

$$
\begin{aligned}
\mathcal{D}^{\prime}= & \mathcal{D}+\frac{1}{2 m}\left[D_{k} \gamma_{k}, \mathcal{D}\right]+\frac{1}{8 m^{2}}\left[D_{/} \gamma_{l},\left[D_{k} \gamma_{k}, \mathcal{D}\right]\right]+\mathrm{O}\left(1 / m^{2}\right) \\
= & \mathcal{D}+\frac{1}{2 m}\left[D_{k} \gamma_{k}, \mathcal{D}\right]-\frac{1}{4 m}\left[D_{/} \gamma_{I}, \gamma_{0} D_{k} \gamma_{k}\right]+\mathrm{O}\left(1 / m^{2}\right) \\
= & \gamma_{0}\left\{\gamma_{0} D_{0}+m+\frac{1}{2 m}\left(-D_{k} D_{k}-\frac{1}{2 i} F_{k l} \sigma_{k l}\right)+\frac{1}{2 m} F_{k 0} \gamma_{0} \gamma_{k}\right\} \\
& +\mathrm{O}\left(1 / m^{2}\right) \\
\mathcal{L}= & \mathcal{L}_{\mathrm{h}}^{\text {stat }}+\mathcal{L}_{\mathrm{h}}^{\text {stat }}+\frac{1}{2 m}\left\{\mathcal{L}_{\mathrm{h}}^{(1)}+\mathcal{L}_{\overline{\mathrm{h}}}^{(1)}+\mathcal{L}_{\mathrm{hh}}^{(1)}\right\}+\mathrm{O}\left(1 / m^{2}\right)
\end{aligned}
$$

Here we have introduced

$$
\begin{aligned}
\mathcal{L}_{\mathrm{h}}^{\text {stat }} & =\bar{\psi}_{\mathrm{h}}\left(D_{0}+m\right) \psi_{\mathrm{h}} \\
\mathcal{L}_{\overline{\mathrm{h}}}^{\text {stat }} & =\bar{\psi}_{\overline{\mathrm{h}}}\left(D_{0}-m\right) \psi_{\overline{\mathrm{h}}} \\
\mathcal{L}_{\mathrm{h}}^{(1)} & =\bar{\psi}_{\mathrm{h}}\left(-D_{k} D_{k}-\frac{1}{2 i} F_{k l} \sigma_{k l}\right) \psi_{\mathrm{h}}=\bar{\psi}_{\mathrm{h}}\left(-\mathbf{D}^{2}-\mathbf{B \sigma}\right) \psi_{\mathrm{h}}
\end{aligned}
$$

with

$$
\begin{array}{rll}
P_{+} \psi_{\mathrm{h}}=\psi_{\mathrm{h}} & \bar{\psi}_{\mathrm{h}} P_{+}=\bar{\psi}_{\mathrm{h}} & P_{ \pm}=\frac{1 \pm \gamma_{0}}{2} \\
P_{-} \psi_{\overline{\mathrm{h}}}=\psi_{\overline{\mathrm{h}}} & \bar{\psi}_{\overline{\mathrm{h}}} P_{-}=\bar{\psi}_{\overline{\mathrm{h}}} & \\
\sigma_{\mu v}=\frac{i}{2}\left[\gamma_{\mu}, \gamma_{\nu}\right] & F_{k l}=\left[D_{k}, D_{l}\right] &
\end{array}
$$

- $\mathcal{L}_{\mathrm{h} \overline{\mathrm{h}}}^{(1)}$-terms may be dropped in $\mathcal{L}$ at the order considered
- The expressions are discretized in a straightforward way:
$D_{0} \rightarrow \nabla_{0}^{*}:$ backward lattice derivative $\quad D_{k} D_{k} \rightarrow \nabla_{k}^{*} \nabla_{k} \quad F_{k l} \rightarrow \widehat{F}_{i j}$
- The prefactors of the various operators are to be determined by a non-trivial matching of HQET and QCD in the quantum theory

Eichten-Hill action for static quarks on the lattice:

$$
\begin{aligned}
S_{\mathrm{h}}\left[U, \bar{\psi}_{\mathrm{h}}, \psi_{\mathrm{h}}\right] & =a^{4} \frac{1}{1+a \delta m} \sum_{x} \bar{\psi}_{\mathrm{h}}(x)\left(\nabla_{0}^{*}+\delta m\right) \psi_{\mathrm{h}}(x) \\
\nabla_{0}^{*} \psi_{\mathrm{h}}(x) & =\frac{1}{a}\left[\psi_{\mathrm{h}}(x)-U^{\dagger}(x-a \hat{0}, 0) \psi_{\mathrm{h}}(x-a \hat{0})\right]
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$$

- Static quarks propagate only forward in time $\Rightarrow$ Associated quark propagator reads

$$
\begin{aligned}
S_{\mathrm{h}}(x, y)= & U(x-a \hat{0}, 0)^{-1} U(x-2 a \hat{0}, 0)^{-1} \cdots U(y, 0)^{-1} \\
& \times \theta\left(x_{0}-y_{0}\right) \delta(\mathbf{x}-\mathbf{y})(1+a \delta m)^{-\left(x_{0}-y_{0}\right) / a} P_{+}
\end{aligned}
$$

(timelike Wilson line, $\delta m$ cancels divergence in static quark's self-energy )

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- O(a) improvement:

Preserving on the lattice the symmetries of the static theory

- heavy quark spin-symmetry,
- local conservation of heavy quark flavour number
- plus gauge invariance, parity and cubic symmetry
guarantees that both universality class and $\mathrm{O}(\mathrm{a})$ improvement are unchanged w.r.t. the Eichten-Hill action, i.e. the static-light action is already improved if the light quark sector is
[Kurth \& Sommer, 2001]


## Correlation functions of composite fields

... are of interest for applications involving transition matrix elements

## Example

Expansion of the (time component of the) axial current in HQET:

$$
\begin{aligned}
A_{0}^{\mathrm{HQET}}(x) & =\sum_{v=0}^{n} \mathcal{A}^{(v)}(x) & & \\
\mathcal{A}^{(0)}(x) & =\alpha_{0}^{(0)} A_{0}^{\text {stat }}(x) & & A_{0}^{\text {stat }}(x)=\bar{\psi}_{1}(x) \gamma_{0} \gamma_{5} \psi_{\mathrm{h}}(x) \\
\mathcal{A}^{(v)}(x) & =\sum_{i} \alpha_{i}^{(v)} \mathcal{A}_{i}^{(v)}(x) & & v>0
\end{aligned}
$$

where $\psi_{1}$ denotes a light quark field and $\mathcal{A}_{i}^{(v)}$ is of mass dimension $3+v$

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where $\psi_{1}$ denotes a light quark field and $\mathcal{A}_{i}^{(v)}$ is of mass dimension $3+v$
Then, for the correlator [ with $\left(\bar{\psi}_{i} \Gamma \psi_{j}\right)^{\dagger} \equiv \bar{\psi}_{j} \gamma_{0} \Gamma^{\dagger} \gamma_{0} \psi_{i}$ ]

$$
C_{\mathrm{AA}}^{\mathrm{HQET}}\left(x_{0}\right)=a^{3} \sum_{\mathbf{x}}\left\langle A_{0}^{\mathrm{HQET}}(x)\left(A_{0}^{\mathrm{HQET}}\right)^{\dagger}(0)\right\rangle
$$

the leading and subleading terms at the classical level are given by

$$
\alpha_{0}^{(0)}=1 \quad \mathcal{A}_{1}^{(1)}=\bar{\psi}_{1} \gamma_{j} \gamma_{5} \overleftarrow{D}_{j} \psi_{\mathrm{h}} \quad \alpha_{1}^{(1)}=1 / m
$$

## Expectation values

At the quantum level:
Expectation values are defined via the path integral representation

$$
\langle O\rangle=\frac{1}{z} \int \mathcal{D}[\varphi] O[\varphi] \mathrm{e}^{-\left(S_{\mathrm{rel}}+S_{\mathrm{HQET}}\right)} \quad z=\int \mathcal{D}[\varphi] \mathrm{e}^{-\left(S_{\mathrm{rel}}+S_{\mathrm{HQET}}\right)}
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over all fields $\{\varphi\}$ with the standard measure $\mathcal{D}[\varphi]$
Important element in the definition of the effective field theory
It is understood that the integrand of the path integral is expanded in a power series in $1 / m$ with power counting according to

$$
\omega_{i}^{(v)}=\mathrm{O}\left(1 / m^{v}\right) \quad \alpha_{i}^{(v)}=\mathrm{O}\left(1 / m^{v}\right)
$$

$\Rightarrow$ Replace

$$
\begin{aligned}
& \exp \{- \\
& \left.\quad\left(S_{\text {rel }}+S_{\text {HQET }}\right)\right\}= \\
& \quad \exp \left\{-\left(S_{\text {rel }}+a^{4} \sum_{x} \mathcal{L}_{\text {stat }}(x)\right)\right\} \\
& \quad \times\left\{1-a^{4} \sum_{x} \mathcal{L}^{(1)}(x)+\frac{1}{2}\left[a^{4} \sum_{x} \mathcal{L}^{(1)}(x)\right]^{2}-a^{4} \sum_{x} \mathcal{L}^{(2)}(x)+\ldots\right\}
\end{aligned}
$$

$\Rightarrow 1 / m$-terms appear only as insertions of local operators $\mathcal{O}_{i}^{(\mathcal{v})}(x)$ and $\mathcal{A}_{i}^{(v)}(x)$ into correlators, while the true PI average is taken w.r.t. the action in the static approximation for the heavy quark

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S=S_{\text {rel }}+a^{4} \Sigma_{x} \mathcal{L}_{\text {stat }}(x)
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## Discussion of the renormalization properties of lattice HQET

## Power counting arguments:

- Static effective theory expected to be renormalizable, requiring a finite number of parameters to be fixed to obtain a continuum limit (Note: With one of the $1 / m$-terms kept in the exponent, as in NRQCD, renormalizability would be lost!)
- Consequences for renormalization of EVs $\langle O\rangle$ after inserting the expanded form of $\exp \left\{-\left(S_{\text {rel }}+S_{\text {HQET }}\right)\right\}$ :
$\checkmark$ Problem of renormalizing correlation functions of local composite operators in the static effective theory
$\Rightarrow$ Conclusion:
Upon inclusion of all local operators with proper symmetries and dimensions up to that of the highest-dimensional one ( $v \leqslant n$ ), their coefficients may be chosen so that all EVs have a continuum limit
$\Rightarrow$ HQET truncated at any finite order in $1 / m$ is renormalizable
Crucial for the lattice theory, because this means that the CL exists and is independent of the details of the lattice formulation (universality)
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Formally:
The effective field theory is now defined in terms of the parameter set
$\mathcal{C}_{\mathrm{HQET}} \equiv\left\{c_{k}\right\}=\mathcal{C}_{N_{\mathrm{f}}-1} \cup\{\delta m\} \cup\left\{\omega_{i}^{(v)}\right\} \cup\left\{\alpha_{j}^{(v)}\right\} \cup \ldots \quad c_{1} \equiv g_{0}^{2}$
which for $k>1$ must be adjusted as function of $g_{0}^{2}$ to get a decent CL (i.e. renormalizations of composite fields are among $\mathcal{C}_{\mathrm{HQET}}$, e.g. $\alpha_{0}^{(0)} \equiv Z_{\mathrm{A}}^{\text {stat }}$ )

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- Since the terms in $S_{\text {HQET }}$ are organized just by their mass dimension, the existence of a CL (non-perturbative renormalizability) is equivalent to expect that composite operators mix only with same- and lower-dimensional ones
- Generally, as the $1 / m$ - and a-expansion aren't independent but regarded as one expansion in the dimension of $\mathcal{L}_{i}^{(v)}, \mathcal{A}_{i}^{(v)}$, count $a=\mathrm{O}(1 / m)$ and start with all $\mathcal{O}_{i}^{(v)}$ of given dimension, restricted only by lattice symmetries
- In particular: $S_{\text {rel }}$ has to be $\mathrm{O}(a)$ improved to go to order $1 / m$


## Caveat: Operator mixing induces power divergences

Mixings are allowed between operators of different dimensions, e.g.

$$
\mathcal{O}_{\mathrm{R}}^{\mathrm{d}=5}=\sum_{k} z_{k} \mathcal{O}_{k}^{\mathrm{d}=5}+\sum_{k} c_{k} \mathcal{O}_{k}^{\mathrm{d}=4} \quad c_{k}=a^{-1} \times\left\{c_{k}^{(0)}+c_{k}^{(1)} g_{0}^{2}+\ldots\right\}
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Perturbative precision insufficient to determine the coefficients $\left\{c_{k}\right\}$
$\Rightarrow$ Power-law divergences, remainders $\sim a^{-p}$, i.e. no continuum limit
example: at the static level a linearly divergent, additive mass counterterm

$$
\delta m=\left(c_{1} g_{0}^{2}+\ldots\right) / a
$$

originates from the mixing of $\bar{\psi}_{h} D_{0} \psi_{h}$ with $\bar{\psi}_{h} \psi_{h}$

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a_{1} \sim \exp \left\{-1 /\left(2 b_{0} g_{0}^{2}\right)\right\} \quad \text { for small bare gauge coupling } g_{0}
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pattern: $\quad \Delta c_{k} \sim g_{0}^{2(1+1)} a^{-p} \sim a^{-p}[\ln (a \wedge)]^{-(1+1)} \xrightarrow{a \rightarrow 0} \infty$
$\Rightarrow$ Non-perturbative method needed to determine (at least some) $\left\{c_{k}\right\}$

## Matching of HQET and QCD

Implication: Non-perturbative renormalization of the theory required
From the discussion so far we infer:
HQET is an approximation to QCD when the coefficients $\left\{c_{k}\right\}$ are chosen correctly such that

$$
\begin{aligned}
\Phi^{\mathrm{HQET}}(M)= & \Phi^{\mathrm{QCD}}(M)+\mathrm{O}\left(1 /\left[r_{0} M\right]^{n+1}\right) \\
M= & \mathrm{RGI} \text { (heavy) quark mass to be free of } \\
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## $M=\mathrm{RGI}$ (heavy) quark mass to be free of

 any renormalization scheme dependence
## Example

for a quantity $\Phi^{\mathrm{QCD}}$ : Correlation function of the heavy-light axial current
$C_{\mathrm{AA}}\left(x_{0}\right)=\left.Z_{\mathrm{A}}^{2} a^{3} \sum_{\mathrm{x}}\left\langle A_{0}(x)\left(A_{0}\right)^{\dagger}(0)\right\rangle \quad A_{\mu} \equiv A_{\mu}\right|^{\mathrm{QCD}}=\bar{\psi}_{1} \gamma_{\mu} \gamma_{5} \psi_{\mathrm{b}}$
( $Z_{\mathrm{A}}$ ensures natural normalization of $A_{\mu}$ consistent with current algebra)
Then: $\Phi^{\mathrm{HQET}}=\mathrm{e}^{-m x_{0}} C_{\text {AA }}^{\mathrm{HQET}}\left(x_{0}\right)$ in the region $1 / x_{0} \ll M$

## Obvious strategy:

Determine the $\left\{c_{k}, k=1, \ldots, N_{n}\right\}$ by imposing matching conditions

$$
\Phi_{k}^{\mathrm{HQET}}(M)=\Phi_{k}^{\mathrm{QCD}}(M) \quad k=1, \ldots, N_{n} \quad(\star)
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for this equivalence between the effective theory and QCD to hold

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$\Rightarrow$ These conditions define the set $\left\{c_{k}\right\}$ for any value of the lattice spacing (or bare coupling)

- Observables used originally to fix the parameters of QCD (e.g. via requiring hadron masses to agree with experiment) may be amongst the $\Phi_{k}^{\mathrm{QCD}}$
- To preserve the predictability of $\mathrm{HQET}, \Phi_{k}^{\mathrm{QCD}}$ should not be experimentally accessible observables but certain quantities calculable in the continuum limit of lattice QCD

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$\Rightarrow$ However:
Demands to treat the heavy quark as relativistic particle on the lattice, though small enough a to do this are very difficult to reach
$\Rightarrow$ Impose the matching conditions in small volume


## Non-perturbative HQET ...

... and the basic idea of exploiting finite-volume physics

Goal: non-perturbative matching of HQET \& QCD

QCD
HQET

matching condition

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\Phi^{\mathrm{QCD}}=\Phi^{\mathrm{HQET}}
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## for observables $\Phi$

(e.g. matrix elements)


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Goal: non-perturbative matching of HQET \& QCD
Objection: how do you simulate the b-quark as a relativistic fermion?
$\Rightarrow$ Trick: start with QCD in small volume, $L \equiv L_{0} \simeq 0.2 \mathrm{fm}$
QCD
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## for observables $\Phi$

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Fix parameters of the effective theory through its relation to QCD observables in small volume
$\checkmark$ Legitimate:
The underlying Lagrangian does not know about the finite volume!
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The underlying Lagrangian does not know about the finite volume!

## Further remarks

- Observables $\Phi$ are assumed to be renormalized
- New $\Phi_{k}$ have to be added when increasing the order $n$ in the expansion, while the parameters $\left\{c_{i}, i \leqslant N_{n-1}\right\}$ of the lower-order $\mathcal{L}_{\text {HQET }}$ might change due to operator mixing
- Most convenient to take the continuum limit of $\Phi_{k}^{\mathrm{QCD}}$ before imposing the matching conditions
- Interpreting some of the conditions as improvement conditions, Symanzik $O(a)$ improvement is accounted for automatically

$$
\text { errors }=\mathrm{O}\left([1 / m]^{n+1}\right)=\mathrm{O}\left(M^{-(n+1)}[a M]^{k}\right) \quad k=0,1, \ldots, n+1
$$

E.g. treating the theory including the next-to-leading operators
$\rightarrow(1 / M)^{0}$-terms with $\mathrm{O}\left(a^{2}\right)$ errors
$\rightarrow$ linear 1/M-corrections with $\mathrm{O}($ a $)$ uncertainties

## Matching in finite volume and finite-size scaling

Assuming both QCD \& HQET to be applicable in finite volume and the parameters in $\mathcal{L}_{\text {QCD/HQET }}$ to be independent of it, we evaluate ( $\star$ ) as

$$
\Phi_{k}^{\mathrm{HQET}}(L, M)=\Phi_{k}^{\mathrm{QCD}}(L, M) \quad k=1, \ldots, N_{n}
$$

- Allows much smaller a on the r.h.s. to eventually approach the CL
- Typical choice: $L=L_{0} \simeq 0.2-0.4 \mathrm{fm}$
- HQET parameters determined at small spacings $a=0.01-0.4 \mathrm{fm}$ so that large volumes, needed to extract the physical mass spectrum or matrix elements, require very large lattices of $L / a>50$ $\rightarrow$ How can we bridge the gap to practicable lattice spacings?


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## A well-defined procedure: Finite-size scaling

Define step scaling functions $\sigma_{k}$ by

$$
\Phi_{k}^{\mathrm{HQET}}(s L, M)=\sigma_{k}\left(\left\{\Phi_{j}^{\mathrm{HQET}}(L, M), j=1, \ldots, N_{n}\right\}\right) \quad k=1, \ldots, N_{n}
$$

- $\sigma_{k}$ describe the change of the complete set $\left\{\Phi_{k}^{\mathrm{HQET}}\right\}$ under $L \rightarrow s L$
- Ends up with a's appropriate for infinite volume computations ( $L_{K}=s^{K} L_{0} \simeq 1 \mathrm{fm}$ where typically $s=2$ and $k=2,3$ )


## QCD Schrödinger functional (SF)

A finite-volume renormalization scheme

## Definition [Lüscher et al.]

- SF $\equiv$ QCD partition function on a Euclidean $T \times L^{3}$ cylinder:

$$
\int_{T \times L^{3}} \mathcal{D}[U, \psi, \bar{\psi}] \mathrm{e}^{-S[U, \psi, \bar{\psi}]}=\mathrm{e}^{-\Gamma}
$$

- Gauge \& quark fields satisfy Dirichlet BCs in time and are periodic in space, e.g.

$$
\left.U(x, k)\right|_{x_{0}=0}=\left.\mathrm{e}^{a C_{k}(\mathbf{x})} \quad U(x, k)\right|_{x_{0}=T}=\mathrm{e}^{a C_{k}^{\prime}(\mathbf{x})}
$$


(Lattice) Correlation functions are constructed according to
$f_{\mathrm{A}}\left(x_{0}\right)=-\frac{1}{2}\left\langle A_{0}(x) \mathcal{O}\right\rangle \quad \mathcal{O}=\sum_{\mathbf{y}, \mathbf{z}} \bar{\zeta}(\mathbf{y}) \gamma_{5} \zeta(\mathbf{z}):(\mathrm{PS})$ Boundary source
Similar: $f_{\mathrm{P}}, k_{\mathrm{V}}, \ldots$, and $f_{1}=-\frac{1}{2}\left\langle\mathcal{O}^{\prime} \mathcal{O}\right\rangle=$ boundary-to-boundary correlator

## Recursive finite-size scaling



- Connects small and large volumes (resp. low-energy scales to perturbative ones $\sim 1 / L_{0}$ )
- Continuum limit can be taken
- Fully non-perturbative

Recursive finite-size scaling
$\Phi^{\mathrm{HQET}}\left(L_{0}\right)$


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- Fully non-perturbative


## Benefits

- Identifies $\mu=1 / L$
- $L^{-1}$ runs, separated from $a^{-1}$
- Spans large range in energy $\mu=1 / L$
$\Rightarrow$ Framework to solve scale dependent renorm. problems




## Lecture 2

Applications

## Non-perturbative tests of HQET

[H., Jüttner, Sommer \& Wennekers, 2004]
Motivation:
Though HQET is commonly accepted as effective theory of QCD, explicit demonstrations of this are rare or based on phenomenological analyses

## Requirement for a pure, non-perturbative theory test

QCD including a heavy enough quark must be simulated on the lattice at lattice spacings small enough to be able to take the continuum limit
$\Rightarrow$ Perform such tests in a finite volume

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$\Rightarrow$ Perform such tests in a finite volume

## Realization:

- Put the theory in a Schrödinger functional box with moderate $T=L$ such that $a m_{\mathrm{b}} \ll 1$
- Equivalent boundary conditions can be imposed on the HQET side
- Build correlators of boundary quark fields $\zeta$ and composite fields

$$
\begin{aligned}
& f_{\mathrm{A}}\left(x_{0}\right)=-\frac{a^{6}}{2} \sum_{\mathbf{y}, \mathbf{z}}\left\langle\left(A_{\mathrm{I}}\right)_{0}(x) \bar{\zeta}_{\mathrm{b}}(\mathbf{y}) \gamma_{5} \zeta_{1}(\mathbf{z})\right\rangle \\
& \left(A_{\mathrm{I}}\right)_{0}(x)=A_{0}(x)+a c_{\mathrm{A}} \frac{1}{2}\left(\partial_{\mu}+\partial_{\mu}^{*}\right) P(x)
\end{aligned}
$$



$$
x_{0}=L
$$

Form a proper ratio where the boundary renormalization factors drop out:

$$
\begin{aligned}
Y_{\mathrm{PS}}(L, M) \equiv & z_{\mathrm{A}} \frac{f_{\mathrm{A}}(L / 2)}{\sqrt{f_{1}}}=\frac{\langle\Omega(L)| \mathbb{A}_{0}|B(L)\rangle}{\| \Omega(L)\rangle\| \||B(L)\rangle \|} \\
& |B(L)\rangle=\mathrm{e}^{-L \mathbb{H} / 2}\left|\varphi_{\mathrm{B}}(L)\right\rangle \quad|\Omega(L)\rangle=\mathrm{e}^{-L \mathbb{H} / 2}\left|\varphi_{0}(L)\right\rangle
\end{aligned}
$$

$\left|\varphi_{0}(L)\right\rangle,\left|\varphi_{\mathrm{B}}(L)\right\rangle:$ SF (vacuum and pseudoscalar) boundary states $|\Omega(L)\rangle,|B(L)\rangle$ : states with vacuum and B-meson quantum numbers

- Time evolution ensures dominance by contributions with $\Delta E \lesssim 2 / L$
- Conclusion: HQET is applicable if $1 / L \ll M$ (and $\Lambda \ll M$ )
$\Rightarrow$ One expects (for fixed $\Lambda L$ ) the large-mass asymptotics of $Y_{\mathrm{PS}}$ to obey

$$
Y_{\mathrm{PS}}(L, M) \stackrel{M \rightarrow \infty}{\sim} C_{\mathrm{PS}}(M / \Lambda) \times X_{\mathrm{RGI}}(L)+\mathrm{O}(1 / z) \quad z=M L
$$

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$$

## $X_{\mathrm{RGI}}=$ static-limit analogue of $Y_{\mathrm{PS}}$

- $X_{\mathrm{RGI}}(L)=Z_{\mathrm{RGI}} X(L) \propto \frac{\Phi_{\mathrm{RGI}}}{\Phi_{\mathrm{SF}}(\mu=1 / L)}$
- demands a lattice computation in static approximation ( $L \simeq 0.2 \mathrm{fm}$ ) and to extrapolate to the continuum


Quenched study of the large $-z$ behaviour of $Y_{\mathrm{PS}}$ in small-volume QCD:

$$
\mathrm{Y}_{\mathrm{PS}} / \mathrm{C}_{\mathrm{PS}}
$$




- The finite-mass observable turns smoothly into the HQET prediction (Note: $C_{\mathrm{PS}}$ reduces the mass dependence of $Y_{\mathrm{PS}}(L, M)$ by a factor $>2$ )
- More such successful test (e.g. for the spin splitting) are available, outcome: magnitude of $z^{-n}$-coefficients reasonably small, $\sim \mathrm{O}(1)$
- Power-corrections larger than perturbative ones at $z^{-1}=0.1-0.2$, but a theoretically consistent evaluation of the former requires a fully non-perturbative formulation of HQET including its matching to QCD


## Non-perturbative matching in a concrete example

Computation of $M_{\mathrm{b}}$ in lowest-order of HQET (static approximation) [H. \& Sommer, 2004]
Recall:

- Matching conditions $\Phi_{k}^{\mathrm{HQET}}(L, M)=\Phi_{k}^{\mathrm{QCD}}(L, M)$ in $L \simeq 0.2 \mathrm{fm}$
- to fix the QCD parameters \& subtract power divergences in HQET


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## Realization to determine the mass of the b-quark

$\left.\begin{array}{l}\Gamma(L, M) \\ \Gamma_{\text {stat }}(L)\end{array}\right\}=\left\{\begin{array}{l}\text { B-meson mass in a finite volume of extent } L^{4} \\ \text { energy of a state with B-meson quantum numbers in } L^{4}\end{array}\right.$
Now implicitly replace

$$
m_{\text {bare }}=m+\frac{1}{a} \ln (1+a \delta m) \quad \text { in } \quad m_{\mathrm{B}}=E_{\text {stat }}+m_{\text {bare }}
$$

via the set of conditions

$$
\begin{aligned}
\Phi_{1}^{\mathrm{HQET}} & =\Phi_{1}^{\mathrm{QCD}} \equiv \bar{g}^{2}\left(L_{0}\right)=\text { constant } \\
\Phi_{2}^{\mathrm{HQET}} & =\Phi_{2}^{\mathrm{QCD}} \equiv m_{\mathrm{l}}=0 \\
\Gamma_{\text {stat }}\left(L_{0}\right)+m_{\text {bare }} \equiv \Phi_{3}^{\mathrm{HQET}} & =\Phi_{3}^{\mathrm{QCD}} \equiv \Gamma\left(L_{0}, M_{\mathrm{b}}\right)
\end{aligned}
$$

## As anticipated before:

Use L-dependent energies from SF-correlators in the B-meson channel


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Use L-dependent energies from SF-correlators in the B-meson channel

$\Rightarrow$ Matching condition by equating in small volume with linear extent $L_{0}$ :

$$
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$$

As anticipated before:
Use L-dependent energies from SF-correlators in the B-meson channel
$C\left(x_{0}, M\right): \bar{\zeta}_{l_{2}} \longrightarrow A_{0}$

$$
\begin{aligned}
& \quad \rightarrow \Gamma(L, M) \equiv-\left.\frac{\mathrm{d}}{\mathrm{~d} x_{0}} \ln \left[C\left(x_{0}, M\right)\right]\right|_{x_{0}=\frac{⿺}{2}} \\
& x_{0}=L \\
& \quad \rightarrow \Gamma_{\text {stat }}(L) \equiv-\left.\frac{\mathrm{d}}{\mathrm{~d} x_{0}} \ln \left[C_{\text {stat }}\left(x_{0}\right)\right]\right|_{x_{0}=\frac{L}{2}} \\
& x_{0}=L
\end{aligned}
$$

$x_{0}=0$

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$$
\Gamma_{\text {stat }}\left(L_{0}\right)+m_{\text {bare }}=\Gamma\left(L_{0}, M_{\mathrm{b}}\right)
$$

As $C\left(x_{0}\right) \stackrel{x_{0} \rightarrow \infty}{\sim} \mathrm{e}^{-m_{\mathrm{B}} x_{0}}$ and $C_{\text {stat }}\left(x_{0}\right) \stackrel{x_{0} \rightarrow \infty}{\sim} \mathrm{e}^{-E_{\text {stat }} x_{0}}$ in the large- $L$ limit, we have to connect this condition (by finite-size scaling) to

$$
E_{\text {stat }}+m_{\text {bare }}=m_{\mathrm{B}}
$$

To bridge between the matching in small volume and a physical situation (i.e. $L \geqslant 1.5 \mathrm{fm} \& a \gtrsim 0.05 \mathrm{fm}$ to accommodate a B-meson), adopt a few recursive finite-size scaling steps in an intermediate SF scheme:
experiment

$$
m_{\mathrm{B}}=5.4 \mathrm{GeV}
$$

lattice with $a m_{\mathrm{b}} \ll 1$


- $\Gamma, \Gamma_{\text {stat }}$ : suitable quantities for matching
- Introduce a step scaling function $\sigma_{\mathrm{m}}(u) \equiv 2 L\left[\Gamma_{\text {stat }}(2 L)-\Gamma_{\text {stat }}(L)\right]$ to evolve $L_{0} \rightarrow L_{2}=2^{2} L_{0} \simeq 1 \mathrm{fm}$
- For $L \simeq 2 \mathrm{fm}$ @ same resolution: calculate physical observables
$\Rightarrow$ Equation to solve for the b -quark mass

$$
\begin{aligned}
m_{\mathrm{B}}= & \underbrace{E_{\text {stat }}-\Gamma_{\text {stat }}\left(L_{2}\right)}_{a \rightarrow 0 \text { in HQET }} \\
& +\underbrace{\Gamma_{\text {stat }}\left(L_{2}\right)-\Gamma_{\text {stat }}\left(L_{0}\right)}_{a \rightarrow 0 \text { in HQET }} \\
& +\underbrace{\Gamma \rightarrow 0 \text { in QCD }\left(L_{0}^{4}\right)}
\end{aligned}
$$

- Linearly divergent static quark's selfenergy $\delta m$ cancels in differences!
- $\Gamma(L, M)$ is defined in small-volume QCD with a relativistic $b$-quark:

$$
z \equiv L_{0} M \gg 1 \quad L_{0} \simeq 0.2 \mathrm{fm}
$$

$\Gamma(L, M)$ carries the entire quark mass dependence
$\Rightarrow$ Equation to solve for the b -quark mass

$$
m_{\mathrm{B}}=\underbrace{E_{\text {stat }}-\Gamma_{\text {stat }}\left(L_{2}\right)}_{a \rightarrow 0 \text { in HQET }}
$$

$$
+\underbrace{\Gamma_{\text {stat }}\left(L_{2}\right)-\Gamma_{\text {stat }}\left(L_{0}\right)}_{a \rightarrow 0 \text { in HQET }}
$$

$$
+\underbrace{\Gamma\left(L_{0}, M_{\mathrm{b}}\right)}
$$

$$
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$$

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In practice: choose fixed SF coupling $\bar{g}^{2}\left(L_{0} / 2\right)$ with $L_{0}=L_{\max } / 2=0.36 r_{0}$ $\Rightarrow\left(L / a, \beta, K_{1}\right)$ from previous work

Desired quark mass values $z=L_{0} M$ traded for $\kappa_{h}$ used in the simulations:
$z=L_{0} \frac{M}{\bar{m}_{\mathrm{h}}\left(\mu_{0}\right)} Z_{\mathrm{m}} m_{\mathrm{q}, \mathrm{h}}\left(1+b_{\mathrm{m}} a m_{\mathrm{q}, \mathrm{h}}\right)$
[H. \& Wennekers, 2004]
$L_{0} \times\left[\Gamma_{\text {stat }}\left(L_{2}\right)-\Gamma_{\text {stat }}\left(L_{0}\right)\right]$

- The SSF connects the small 'matching' volume $L_{0} \simeq 0.2 \mathrm{fm}$ to $L_{2} \simeq 1 \mathrm{fm}$
$\Rightarrow$ Contact with physical quantities:
$E_{\text {stat }}, m_{\mathrm{B}}$ in large volume

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$L_{0} \Delta E \equiv L_{0} \times\left[E_{\text {stat }}-\Gamma_{\text {stat }}\left(L_{2}\right)\right]$
- Left: Wilson fermions, $E_{\text {stat }}$ from the Fermilab group [Duncan et al., PRD51(1995)5101]
- Right: [non-] perturbatively $\mathrm{O}(\mathrm{a})$ improved (+ enhanced signal/noise-ratios by change of discretization of $S_{\mathrm{HQET}}$ )


The RGI b-quark mass $M_{\mathrm{b}}$ is finally obtained from intercept of

$$
\omega(z, u) \equiv \lim _{a \rightarrow 0} L_{0} \Gamma \quad \text { with } \quad \omega_{\text {stat }} \equiv L_{0} m_{\mathrm{B}}-\left\{\frac{1}{2} \sigma_{\mathrm{m}}\left(u_{0}\right)+\frac{1}{4} \sigma_{\mathrm{m}}\left(u_{1}\right)\right\}-L_{0} \Delta E
$$

- $\Gamma=\Gamma_{\mathrm{av}} \equiv \frac{1}{4} \Gamma_{\mathrm{PS}}+\frac{3}{4} \Gamma_{\mathrm{V}}$ : spin-averaged combination to minimize the size of $1 / M$-effects
- Continuum limit in all steps
- Non-perturbative renormalization

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## Result [H. \& Sommer, 2004]

$$
r_{0} M_{\mathrm{b}}=16.12(29) \quad \rightarrow \quad \bar{m}_{\mathrm{b}}^{\overline{\mathrm{MS}}}\left(\bar{m}_{\mathrm{b}}^{\overline{\mathrm{MS}}}\right)=4.12(8) \mathrm{GeV}
$$

Uncertainties and expected improvements:
$\checkmark$ Valid up to $\mathrm{O}\left(\frac{\Lambda}{L_{0} M_{\mathrm{b}}}\right) \sim \mathrm{O}\left(\frac{\Lambda^{2}}{M_{\mathrm{b}}}\right)$ corrections, quenched approximation
$\checkmark$ Computation of $a E_{\text {stat }}$ including the improvements just mentioned will yield a continuum limit of $L_{0} \Delta E$ with a much smaller error
[ A ${ }_{\text {IPHA }}$, to come soon]

## Towards a precision determination of $F_{\mathrm{B}_{\mathrm{s}}}$

## Two-step strategy

(1) Calculation of $F_{\mathrm{B}_{\mathrm{s}}}$ in lowest order of HQET (= static approximation)

$$
F_{\mathrm{PS}} \sqrt{m_{\mathrm{PS}}}=C_{\mathrm{PS}}\left(M / \Lambda_{\overline{\mathrm{MS}}}\right) \times \Phi_{\mathrm{RGI}}+\mathrm{O}(1 / M)
$$

$\Phi_{\mathrm{RGI}}=\mathrm{RGI}$ matrix element of the static axial current
$\Phi_{\mathrm{RGI}}=Z_{\mathrm{RGI}}\langle\mathrm{PS}| A_{0}^{\text {stat }}|0\rangle \quad A_{0}^{\text {stat }}=\bar{\psi}_{\mathrm{s}} \gamma_{0} \gamma_{5} \psi_{\mathrm{b}}^{\text {stat }} \quad$ for $\quad \mathrm{PS}=\mathrm{B}$
$\left[\Phi_{\text {RGI }}\left(x_{0}\right) \propto Z_{\text {RGI }} \times \frac{f_{\mathrm{A}}^{\text {stat }}\left(x_{0}\right)}{\sqrt{f_{1}}} \mathrm{e}^{\left(x_{0}-T / 2\right) E_{\text {stat }}\left(x_{0}\right)} \quad\right.$ in the SF $]$
(2) Combine with results for $F_{\mathrm{PS}}\left(m_{\mathrm{PS}}\right)$ around the charm quark region by (linear) interpolation in $1 / m_{P S}$

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\end{aligned}
$$

(2) Combine with results for $F_{\mathrm{PS}}\left(m_{\mathrm{PS}}\right)$ around the charm quark region by (linear) interpolation in $1 / m_{\mathrm{PS}}$

- Non-perturbative renormalization: $Z_{\text {RGI }}$ known [H., Kurth \& Sommer, 2003]
- Calculation employs further (mainly new) ingredients, namely ...
- Linear a-effects removed
- Linear a-effects removed
- Modified (static) action with reduced statistical errors by change of parallel transporters in covariant derivative:
$D_{0} \psi_{\mathrm{h}}(x)=a^{-1}\left[\psi_{\mathrm{h}}(x)-W^{\dagger}(x-a \hat{0}, 0) \psi_{\mathrm{h}}(x-a \hat{0})\right]$
$\checkmark W(x, 0)=$ function of gauge fields in the neighbourhood of $x, x+a \hat{0}$
$\checkmark$ Quite the same small lattice artifacts


Best version: 'HYP-smearing'
[Hasenfratz \& Knechtli, 2001]

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$0 \quad 0.10 .20 .3$ $\mathrm{a} / \mathrm{r}$ 。


## Interpolation between leading-order HQET and $F_{\mathrm{D}_{\mathrm{s}}}$

Extrapolation of $r_{0}^{3 / 2} F_{\mathrm{PS}} \sqrt{m_{\mathrm{PS}}} / C_{\mathrm{PS}}$ from the charm region to the static estimate $r_{0}^{3 / 2} \Phi_{\mathrm{RGI}}$ using results on $\left.F_{\mathrm{PS}}\left(m_{\mathrm{PS}}\right)\right|_{m \simeq m_{\mathrm{c}}}$ [Rolf \& Jütner, 2003]

- Linear interpolation in $1 /\left(m_{\mathrm{PS}} r_{0}\right)$ :
- motivated by HQET
- justified by the data
- mass dependent discretization errors near $m_{c}$
- $C_{\mathrm{PS}}\left(M_{\mathrm{b}} / \Lambda_{\overline{\mathrm{MS}}}\right)$ translates to finite b-quark mass



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Preliminary result [ ${ }^{\text {FIPPAA }} 2003$ ]

$$
\Lambda_{\overline{\mathrm{MS}}}=238(19) \mathrm{MeV}, r_{0}=0.5 \mathrm{fm} \quad \rightarrow \quad F_{\mathrm{B}_{\mathrm{s}}}=205(12) \mathrm{MeV}
$$

$\checkmark$ Includes all errors except for quenching (scale ambiguity is $\simeq 12 \%$ )
$\checkmark$ Extrapolation without the static constraint looks similar but depends significantly on functional form assumed $\Rightarrow$ interpolation much safer

## Alternative to determine the B-meson decay constant

## Further application of the non-perturbative matching strategy

To lowest order in $1 / m$ we have
$\mathcal{M}\left(g_{0}\right) \equiv\langle\mathrm{B}(\mathbf{p}=\mathbf{0})| A_{0}^{\text {stat }}(0)|0\rangle \quad F_{\mathrm{B}} \sqrt{m_{\mathrm{b}}}=\lim _{a \rightarrow 0} Z_{\mathrm{A}}^{\text {stat }}\left(g_{0}, a M_{\mathrm{b}}\right) \mathcal{M}\left(g_{0}\right)$

- $Z_{\mathrm{A}}^{\text {stat }}\left(g_{0}, a M_{\mathrm{b}}\right)$ computed in quenched approximation via a matching through the RGI operator with finite-size scaling techniques ( $N_{\mathrm{f}}=2$ also in progress $\rightarrow$ P. Fritzsch's talk)
- This method is not easily extended to include $1 / m$-corrections


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- This method is not easily extended to include $1 / m$-corrections

In the spirit of our non-perturbative matching of HQET and QCD in finite volume, the master formula valid up to corrections of $\mathrm{O}(1 / \mathrm{m})$ is

$$
\begin{aligned}
F_{\mathrm{B}} \sqrt{m_{\mathrm{b}}}= & \frac{\left.F_{\mathrm{B}} \sqrt{m_{\mathrm{b}}}\right|^{\mathrm{HQET}}}{\Phi^{\mathrm{HQET}}\left(L_{2}, M_{\mathrm{b}}\right)} \\
& \times \frac{\Phi^{\mathrm{HQET}}\left(L_{2}, M_{\mathrm{b}}\right)}{\Phi^{\mathrm{HQET}}\left(L_{1}, M_{\mathrm{b}}\right)} \times \frac{\Phi^{\mathrm{HQET}}\left(L_{1}, M_{\mathrm{b}}\right)}{\Phi^{\mathrm{HQET}}\left(L_{0}, M_{\mathrm{b}}\right)} \times \Phi^{\mathrm{QCD}}\left(L_{0}, M_{\mathrm{b}}\right)
\end{aligned}
$$

- applying to multiplicative, scale dependent renormalizations and
- provided that the b-quark mass is already known

Ingredients:

- Matching equation to be imposed in the small volume

$$
\Phi^{\mathrm{HQET}}\left(L_{0}, M_{\mathrm{b}}\right)=\Phi^{\mathrm{QCD}}\left(L_{0}, M_{\mathrm{b}}\right) \quad \text { with } \quad \bar{g}^{2}\left(L_{0}\right)=u_{0}=\text { fixed }
$$

- Finite-size scaling in terms of step scaling functions built as

$$
\left.\Phi^{\mathrm{HQET}}\left(2 L, M_{\mathrm{b}}\right)\right|_{a=0}=\sigma_{\mathrm{X}}\left(\bar{g}^{2}(L)\right) \times\left.\Phi^{\mathrm{HQET}}\left(L, M_{\mathrm{b}}\right)\right|_{a=0}
$$

- Then the previous formula finally combines to

$$
\begin{aligned}
& F_{\mathrm{B}} \sqrt{m_{\mathrm{b}}}=\rho\left(u_{2}\right) \times \sigma_{\mathrm{X}}\left(u_{1}\right) \times \sigma_{\mathrm{X}}\left(u_{0}\right) \times \Phi^{\mathrm{QCD}}\left(L_{0}, M_{\mathrm{b}}\right) \\
& \left.\rho(u) \equiv \lim _{a / L \rightarrow 0} \frac{\mathcal{M}\left(g_{0}\right)}{X\left(g_{0}, L / a\right)}\right|_{\bar{g}^{2}(L)=u} \quad X\left(g_{0}, L / a\right) \equiv \frac{f_{\mathrm{A}}^{\text {stat }}(L / 2)}{\sqrt{f_{1}^{\text {stat }}}}
\end{aligned}
$$

Ingredients:

- Matching equation to be imposed in the small volume

$$
\Phi^{\mathrm{HQET}}\left(L_{0}, M_{\mathrm{b}}\right)=\Phi^{\mathrm{QCD}}\left(L_{0}, M_{\mathrm{b}}\right) \quad \text { with } \quad \bar{g}^{2}\left(L_{0}\right)=u_{0}=\text { fixed }
$$

- Finite-size scaling in terms of step scaling functions built as

$$
\left.\Phi^{\mathrm{HQET}}\left(2 L, M_{\mathrm{b}}\right)\right|_{a=0}=\sigma_{\mathrm{X}}\left(\bar{g}^{2}(L)\right) \times\left.\Phi^{\mathrm{HQET}}\left(L, M_{\mathrm{b}}\right)\right|_{a=0}
$$

- Then the previous formula finally combines to

$$
\begin{aligned}
F_{\mathrm{B}} \sqrt{m_{\mathrm{b}}} & =\rho\left(u_{2}\right) \times \sigma_{\mathrm{X}}\left(u_{1}\right) \times \sigma_{\mathrm{X}}\left(u_{0}\right) \times \Phi^{\mathrm{QCD}}\left(L_{0}, M_{\mathrm{b}}\right) \\
\rho(u) & \left.\equiv \lim _{a / L \rightarrow 0} \frac{\mathcal{M}\left(g_{0}\right)}{X\left(g_{0}, L / a\right)}\right|_{\bar{g}^{2}(L)=u} \quad X\left(g_{0}, L / a\right) \equiv \frac{\operatorname{s}_{\mathrm{A}}^{\text {stat }}(L / 2)}{\sqrt{f_{1}^{\text {stat }}}}
\end{aligned}
$$

Key difference to obtaining RGIs \& conversion to the matching scheme

- is not the absence of perturbative errors in $C_{\mathrm{PS}}\left(M_{\mathrm{b}} / \Lambda_{\overline{\mathrm{MS}}}\right)$
- but the tempting possibility to include $1 / m$-corrections
- New quality of the computations employing lattice HQET:
$\checkmark$ Non-perturbative renormalization
$\checkmark$ Continuum limit at large quark masses (small-volume setup !)
- Discretizations for static quarks entailing exponentially improved statistical precision
- Physics results are still quenched, but an extension of the methods to dynamical fermions is straightforward ('only' the usual problems with light quarks to be solved)
- Even more interesting:

Systematic improvement by implementing the effective theory beyond the leading order in $1 / m$ to reach an acceptable precision
$\checkmark$ First tests and ideas seem to be promising
$\checkmark$ To do this consistently, conversion functions such as $C_{\mathrm{PS}}$ have to be known non-perturbatively

## Towards an inclusion of $1 / m-$ corrections

The $1 / m$-expansion of the correlator $f_{\mathrm{A}}$ receives new contributions:

$$
f_{\mathrm{A}} \propto f_{\mathrm{A}}^{\text {stat }}\left\{1+\frac{\alpha^{(1)} \delta f_{\mathrm{A}}^{\text {stat }}}{\alpha^{(0)} f_{\mathrm{A}}^{\text {stat }}}+\omega_{\text {kin }} \frac{f_{\mathrm{A}}^{\text {kin }}}{f_{\mathrm{A}}^{\text {stat }}}+\omega_{\text {spin }} \frac{f_{\mathrm{A}}^{\text {spin }}}{f_{\mathrm{A}}^{\text {stat }}}\right\}
$$

with bulk insertions
$X^{\text {kin }}=\bar{\psi}_{\mathrm{h}} \mathbf{D}^{2} \psi_{\mathrm{h}} \quad X^{\text {spin }}=\bar{\psi}_{\mathrm{h}} \sigma \mathbf{B} \psi_{\mathrm{h}}$
in
$f_{\mathrm{A}}^{\mathrm{kin} / \mathrm{spin}}\left(x_{0}\right)=-\frac{1}{2}\left\langle\left(A_{\mathrm{I}}^{\text {stat }}\right)_{0}(x) \sum_{u} X^{\mathrm{kin} / \mathrm{spin}}(u) \mathcal{O}\right\rangle$
first numerical exploration encouraging [Dürr et al., 2004]


How can one match the $\omega_{\text {kin }}$ - term in a computation of $M_{\mathrm{b}}$ ?
Proposal: use a combination of energies

$$
\begin{aligned}
\Xi(L, M) & =L\left[\Gamma_{\mathrm{av}}(L / 2, M)-\Gamma_{\mathrm{av}}(L / 4, M)\right] \\
& =\Xi_{\text {stat }}(L)+\frac{1}{2 z} \Xi_{\text {kin }}(L)+\mathrm{O}\left(1 / z^{2}\right)
\end{aligned}
$$

- $\Xi_{\text {kin }}$ encodes matrix elements of $\bar{\psi}_{\mathrm{h}} \mathbf{D}^{2} \psi_{\mathrm{h}}$
- Reparametrization invariance restricts $\Xi_{\text {kin }}$ to
 be free of logarithmic modifications

