

The Standard Model

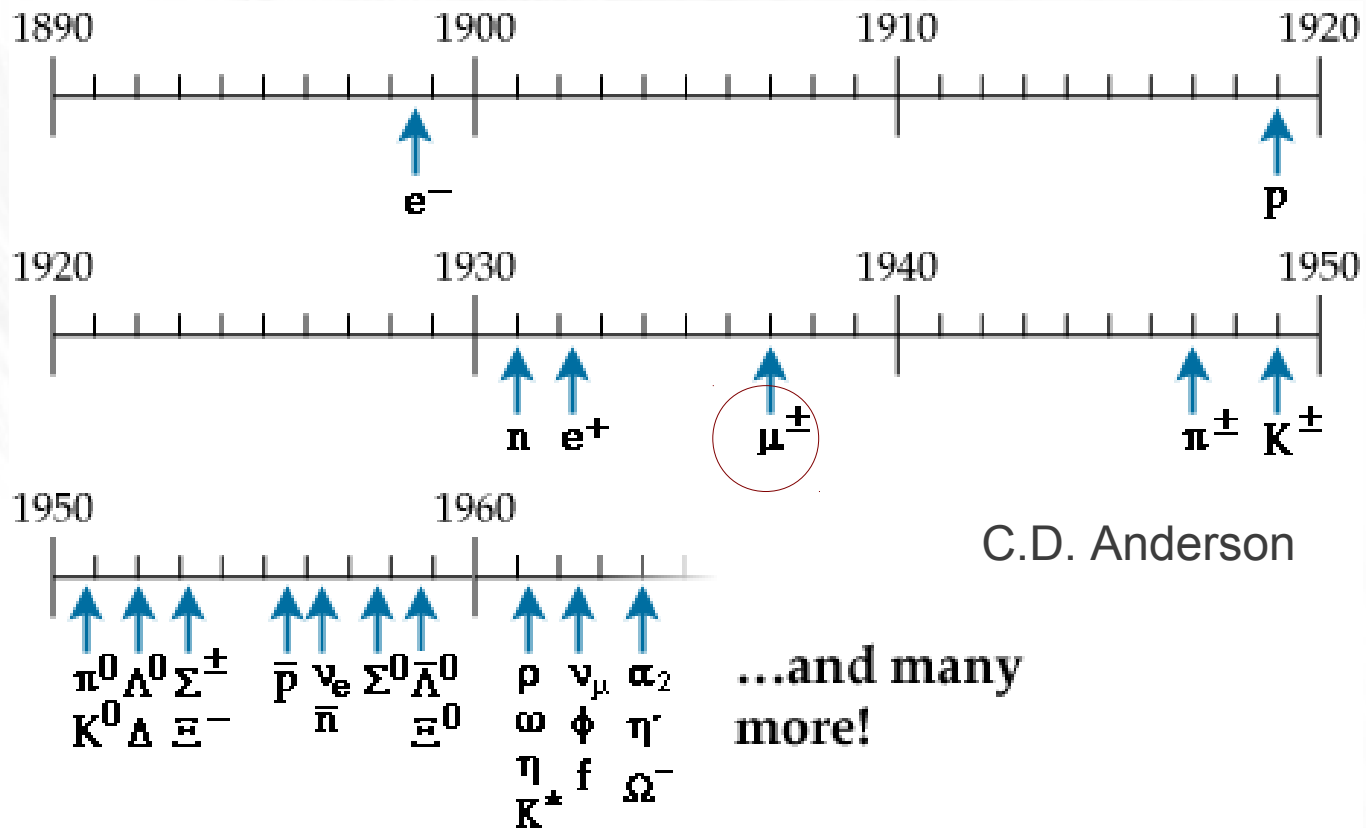
Lecture 3

(Flavor in the SM)

A.V. Bednyakov

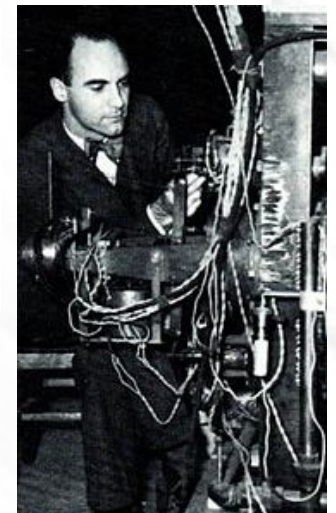


Brief history of (Flavor) Physics

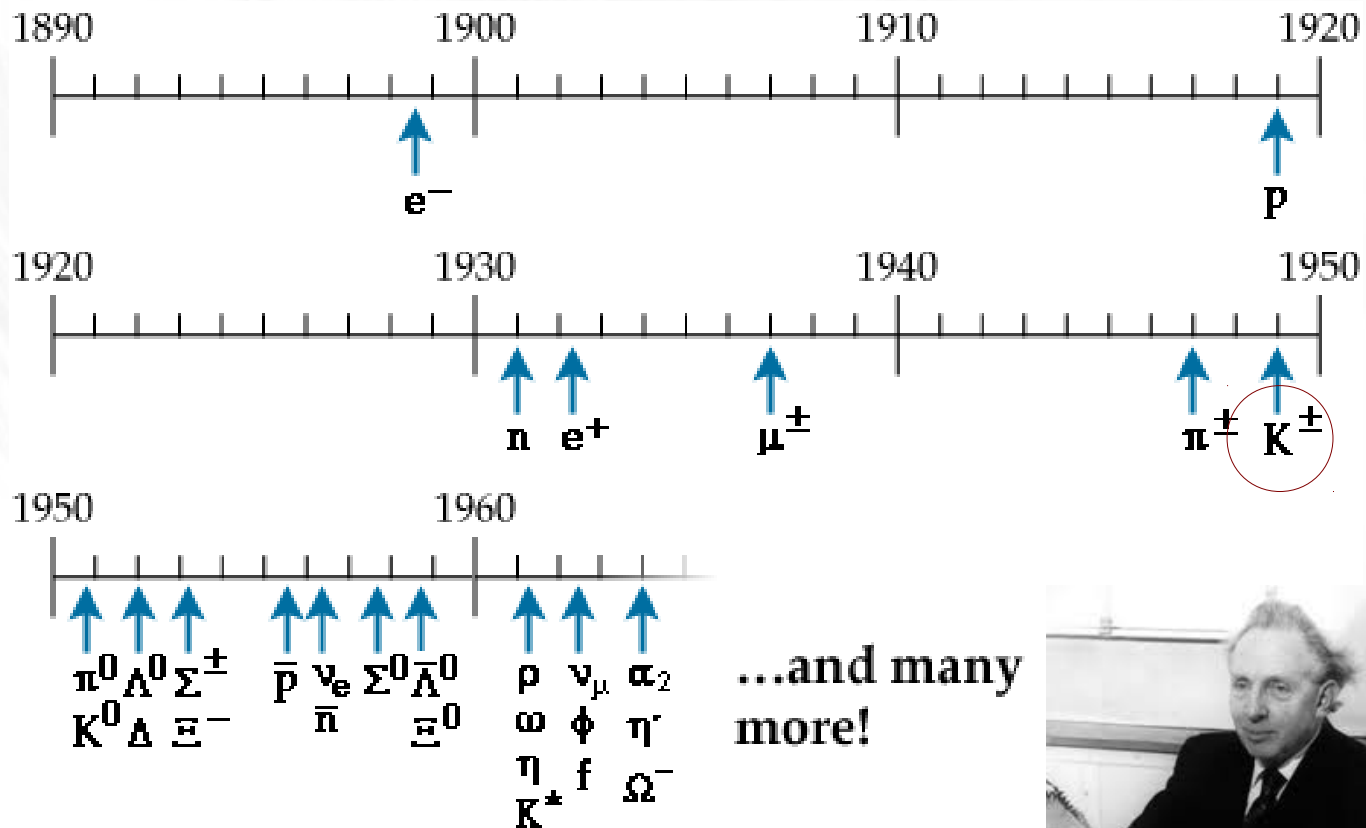


C.D. Anderson

...and many more!



Brief history of (Flavor) Physics

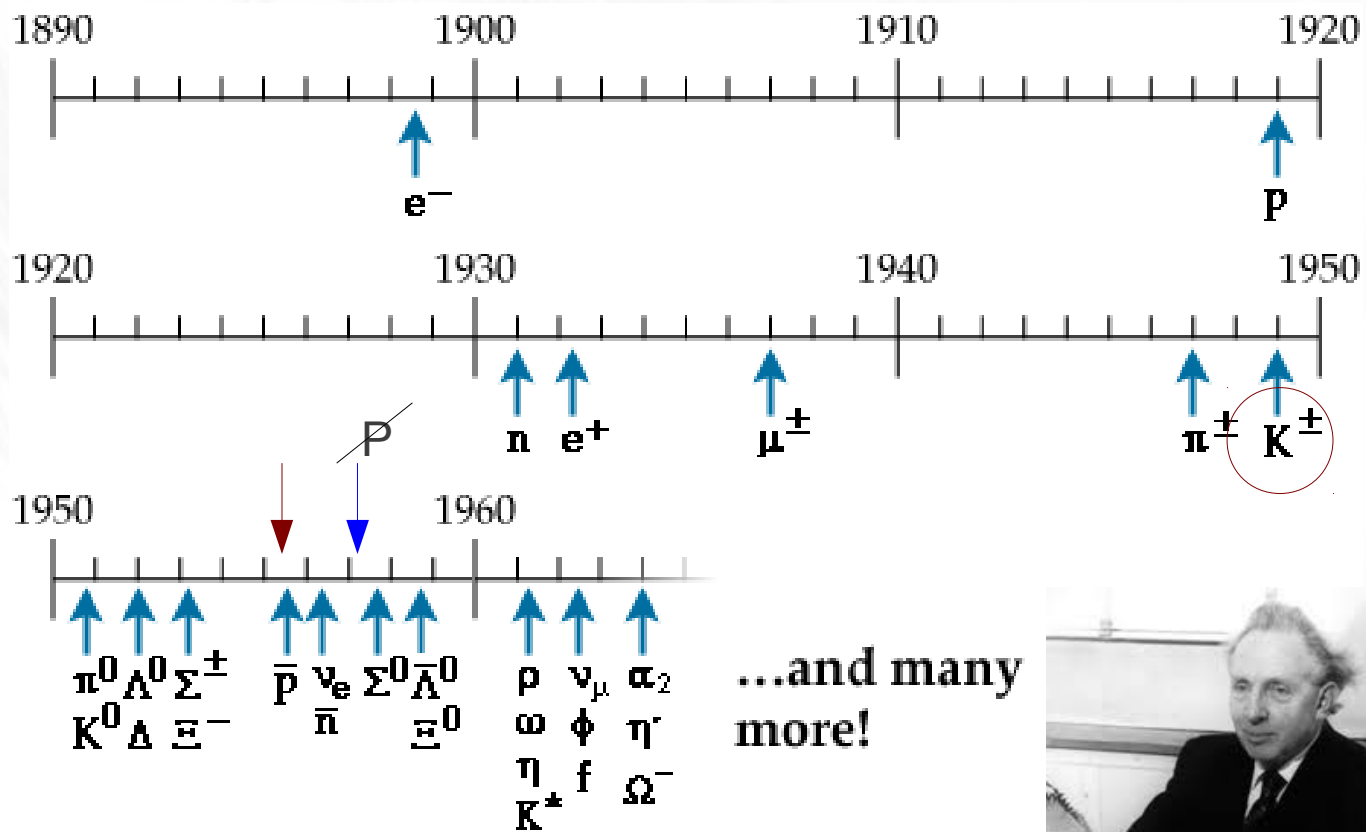


G.D. Rochester

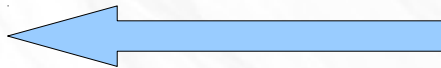


C.C. Butler

Brief history of (Flavor) Physics



Strangeness
Gell-Mann, Nishijima
1954-55

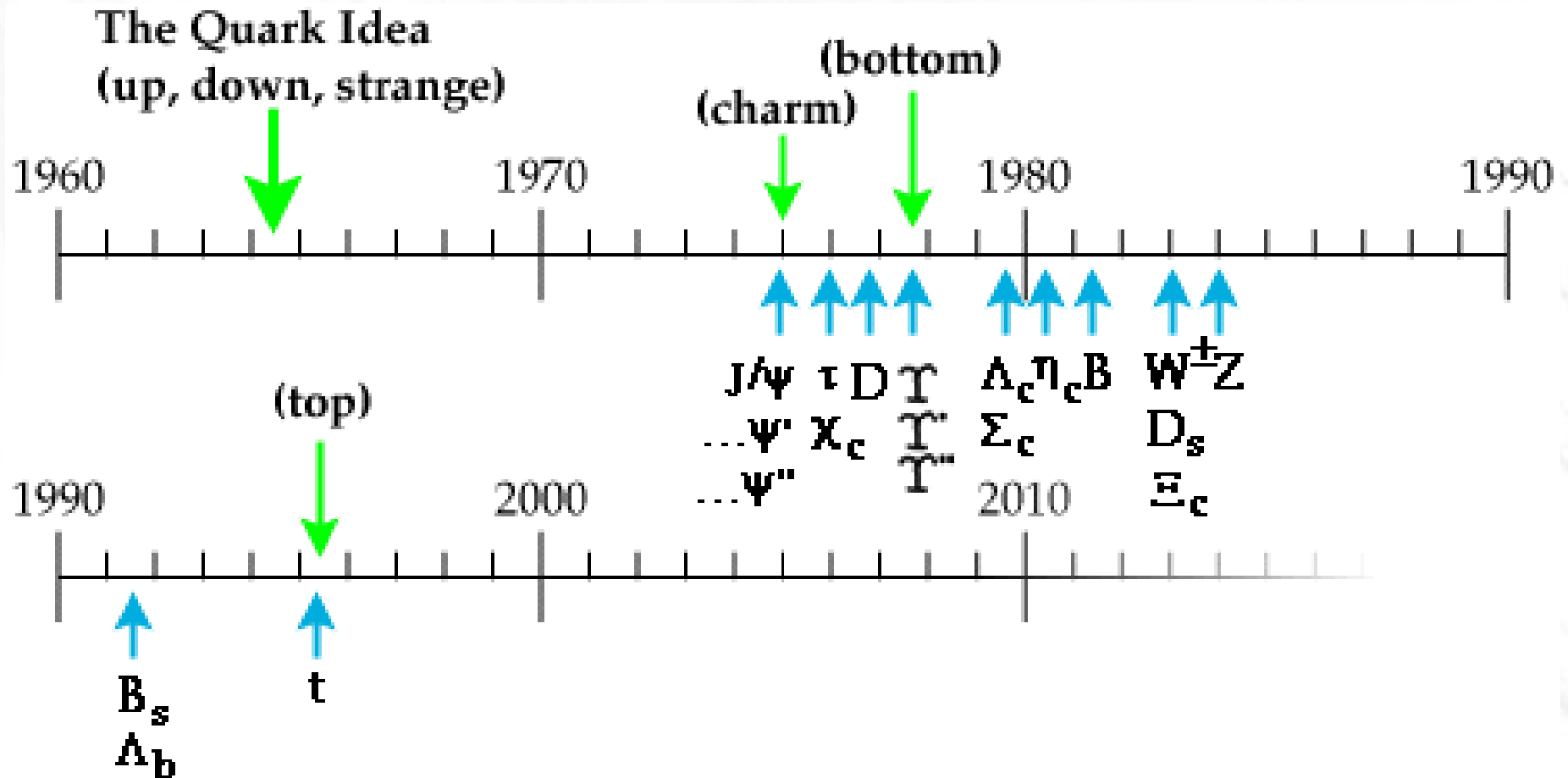


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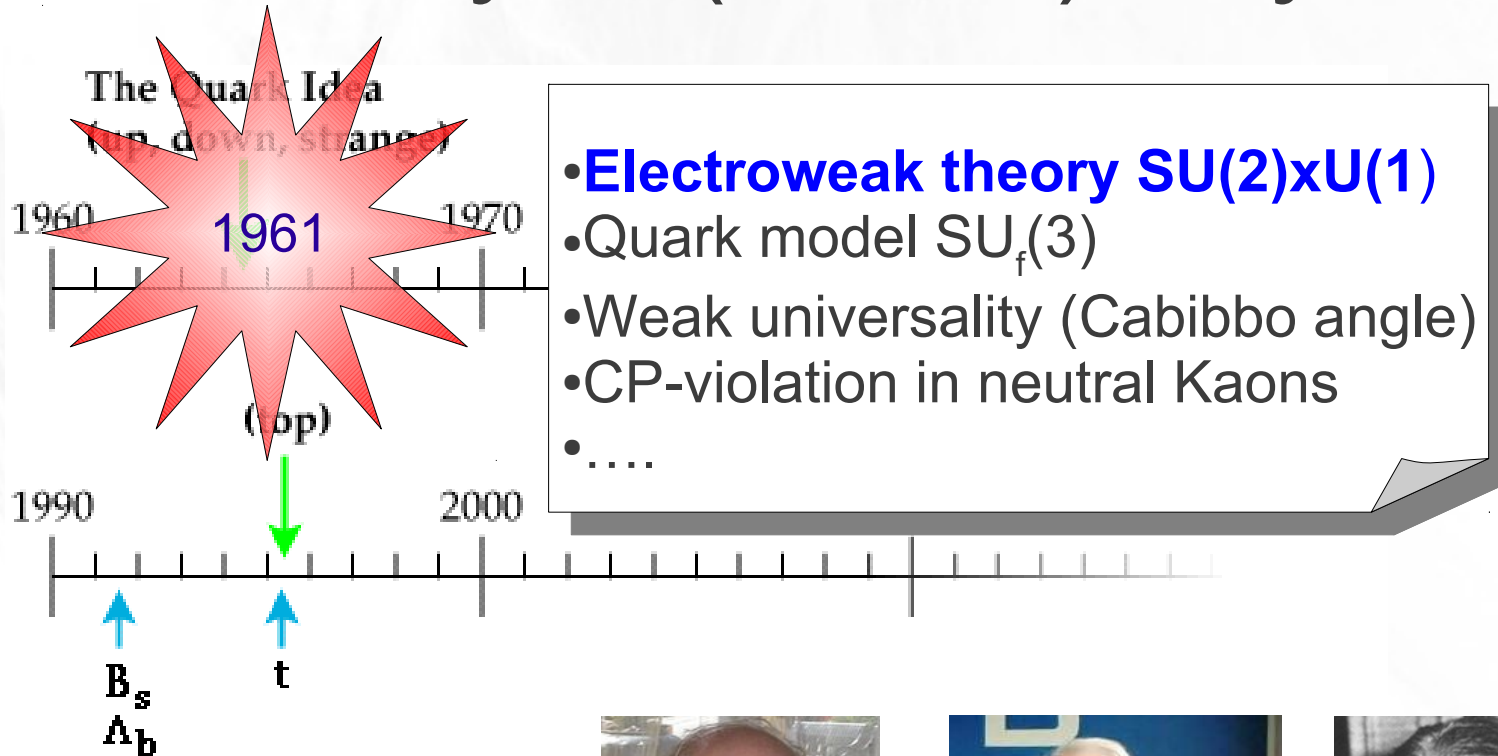


C.C. Butler

Brief history of (Flavor) Physics



Brief history of (Flavor) Physics



Massive
W,Z bosons
(Higgs mechanism)



S. Weinberg

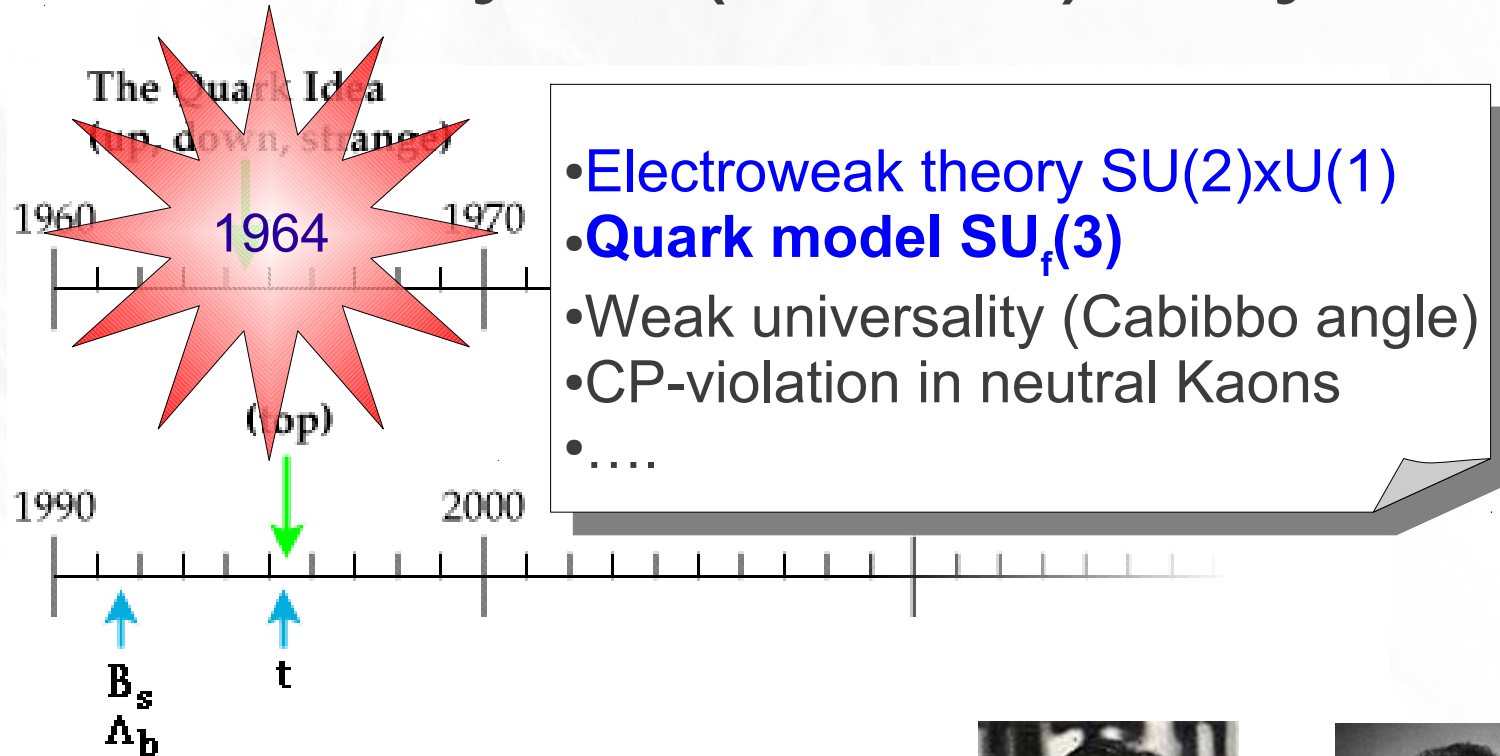


S. Glashow



A. Salam

Brief history of (Flavor) Physics



SU(3) flavor symmetry
Classification of hadrons

QUARKS!

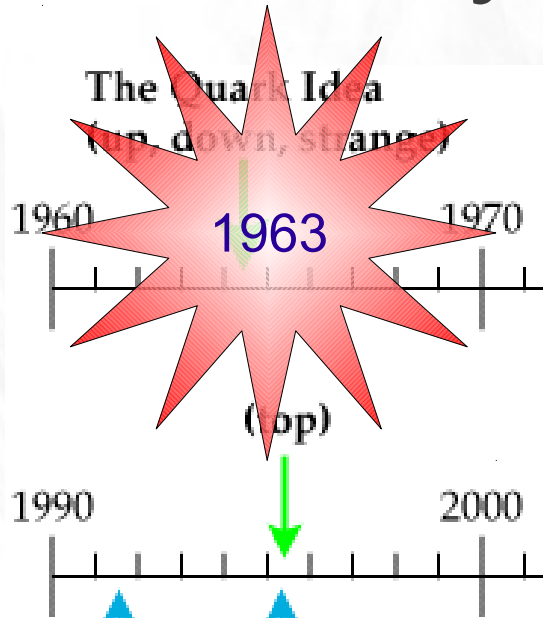


G. Zweig

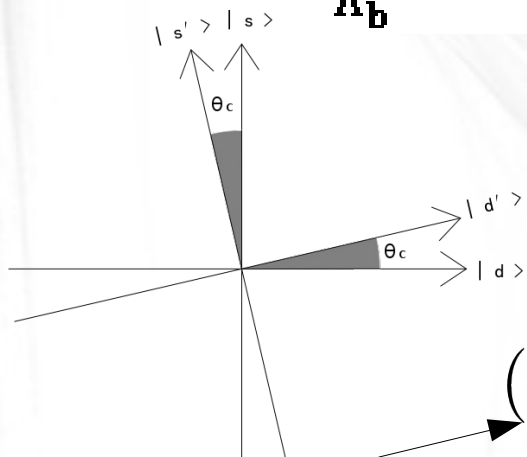


M. Gellman

Brief history of (Flavor) Physics



- Electroweak theory $SU(2) \times U(1)$
- Quark model $SU_f(3)$
- **Weak universality (Cabibbo angle)**
- CP-violation in neutral Kaons
-

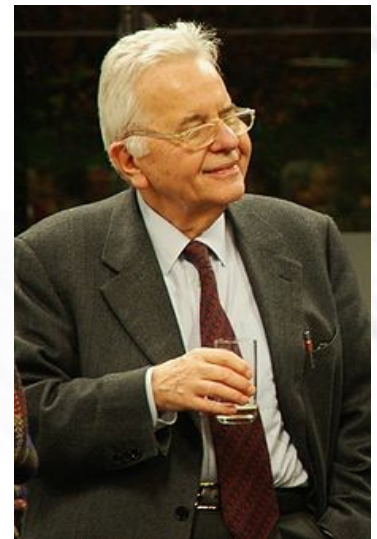


$s \rightarrow u$
is suppressed
by a factor of ~ 20
in comparison with
 $d \rightarrow u$

$$(u, d') = (u, d \cos \theta_c + s \sin \theta_c)$$

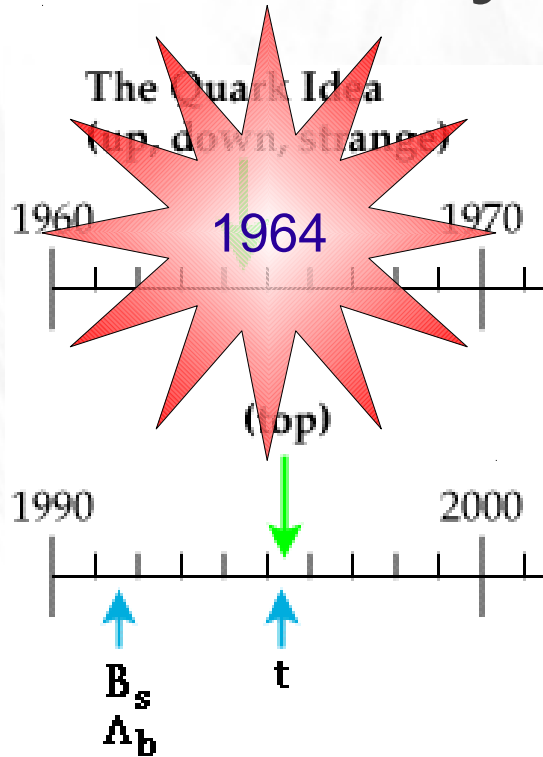
SU(2) doublet that couples to W

Ex: $\sin \theta_c \simeq ?$



N. Cabibbo

Brief history of (Flavor) Physics



- Electroweak theory $SU(2) \times U(1)$
- Quark model $SU_f(3)$
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- **CP-violation in neutral Kaons**
-

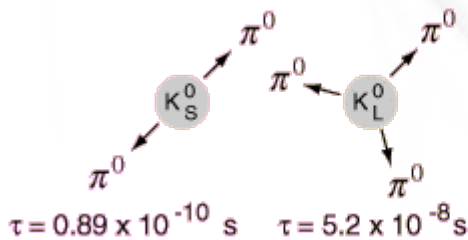
Christenson, Cronin, Fitch, Turlay

$$K^0 (d\bar{s}), \quad \bar{K}^0 (\bar{d}s)$$

$$CP K^0 \equiv -\bar{K}^0$$

$$CP |\pi\pi\rangle = |\pi\pi\rangle$$

$$CP |\pi\pi\pi\rangle = -|\pi\pi\pi\rangle$$

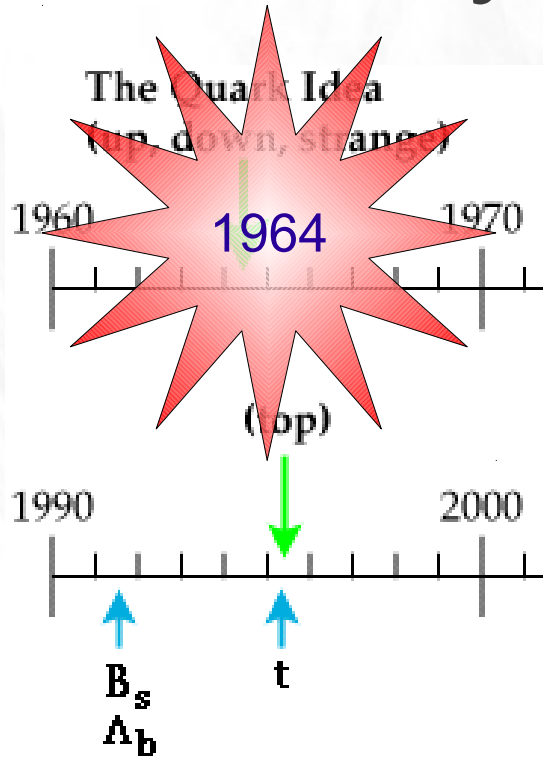


$$K_S^0 = \frac{K^0 - \bar{K}^0}{\sqrt{2}}$$

$$K_L^0 = \frac{K^0 + \bar{K}^0}{\sqrt{2}}$$

$$CP : K_L \not\rightarrow \pi\pi$$

Brief history of (Flavor) Physics



- Electroweak theory $SU(2) \times U(1)$
- Quark model $SU_f(3)$
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- **CP-violation in neutral Kaons**
-

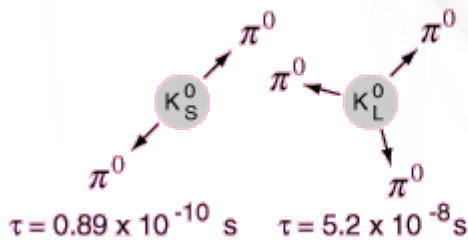
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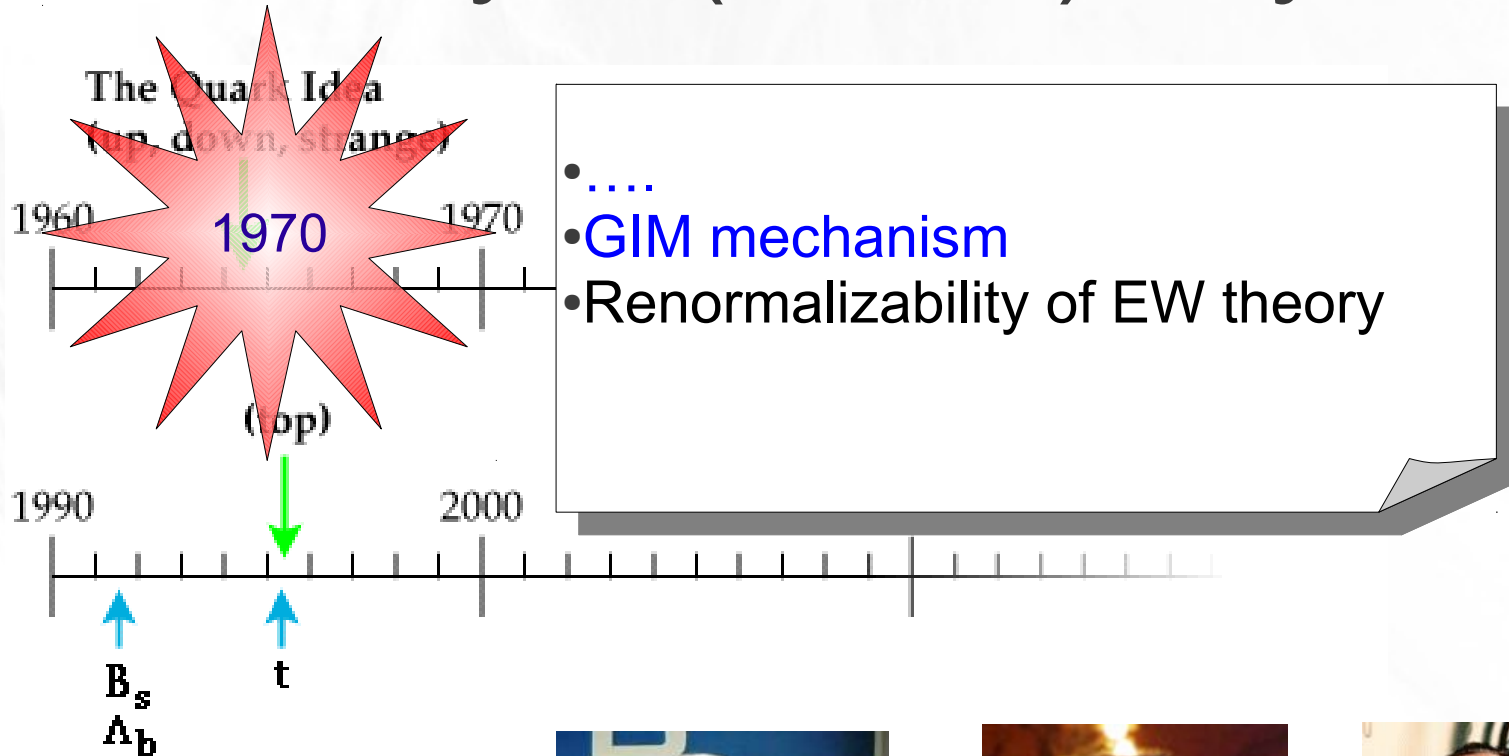


$$K_S^0 = \frac{K^0 - \bar{K}^0}{\sqrt{2}}$$

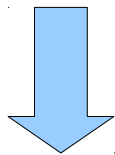
$$K_L^0 = \frac{K^0 + \bar{K}^0}{\sqrt{2}}$$

! ~~CP~~ : $K_L \rightarrow \pi\pi$

Brief history of (Flavor) Physics



Suppression of Flavor-Changing
Neutral Current (FCNC) interactions



charm prediction



S. Glashow



J. Iliopoulos



L. Maniani

FCNC suppression (GIM)

$$\Delta\mathcal{L} = Z_\mu J_Z^\mu = g_Z \bar{q}_i \gamma_\mu (T_3 - Q_q \sin^2 \theta_W) q_i Z_\mu$$

Rotation $(d,s) \rightarrow (d',s')$ (weak eigenstates \rightarrow mass eigenstates)
Does NOT produce tree-level FCNC if d and s
have the same quantum numbers with respect to $SU(2) \times U(1)$

No charm $\rightarrow s_L$ should be a $SU(2)$ singlet.

Tree-level FCNC interactions!

$$K^+(u\bar{s}) \rightarrow \mu^+ \nu$$

Allowed!

$$K^0(d\bar{s}) \rightarrow \mu^+ \mu^-$$

Exp: suppressed!
Br $\sim 10^{-9}$

Another aspect: two charged FC current \rightarrow FCNC!

FCNC suppression (GIM)

Suppression of FCNC via loops

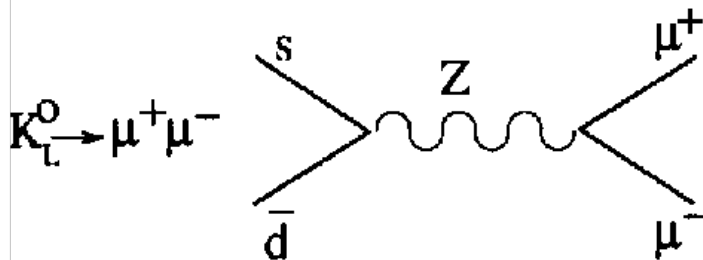
$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

$$J_{c.c}^\mu = \bar{u} \gamma^\mu (1 - \gamma_5) d' + \bar{c} \gamma^\mu (1 - \gamma_5) s'$$

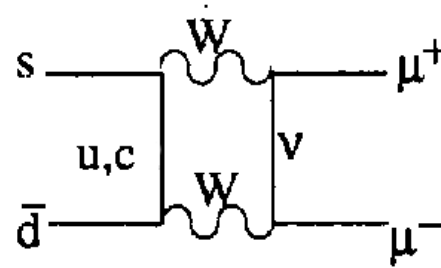
Weak basis
(couplings to W,Z)

$$\frac{\sin \theta_c \cos \theta_c}{q^2 - m_u^2} - \frac{\cos \theta_c \sin \theta_c}{q^2 - m_c^2}$$

Mass basis
(propagators)

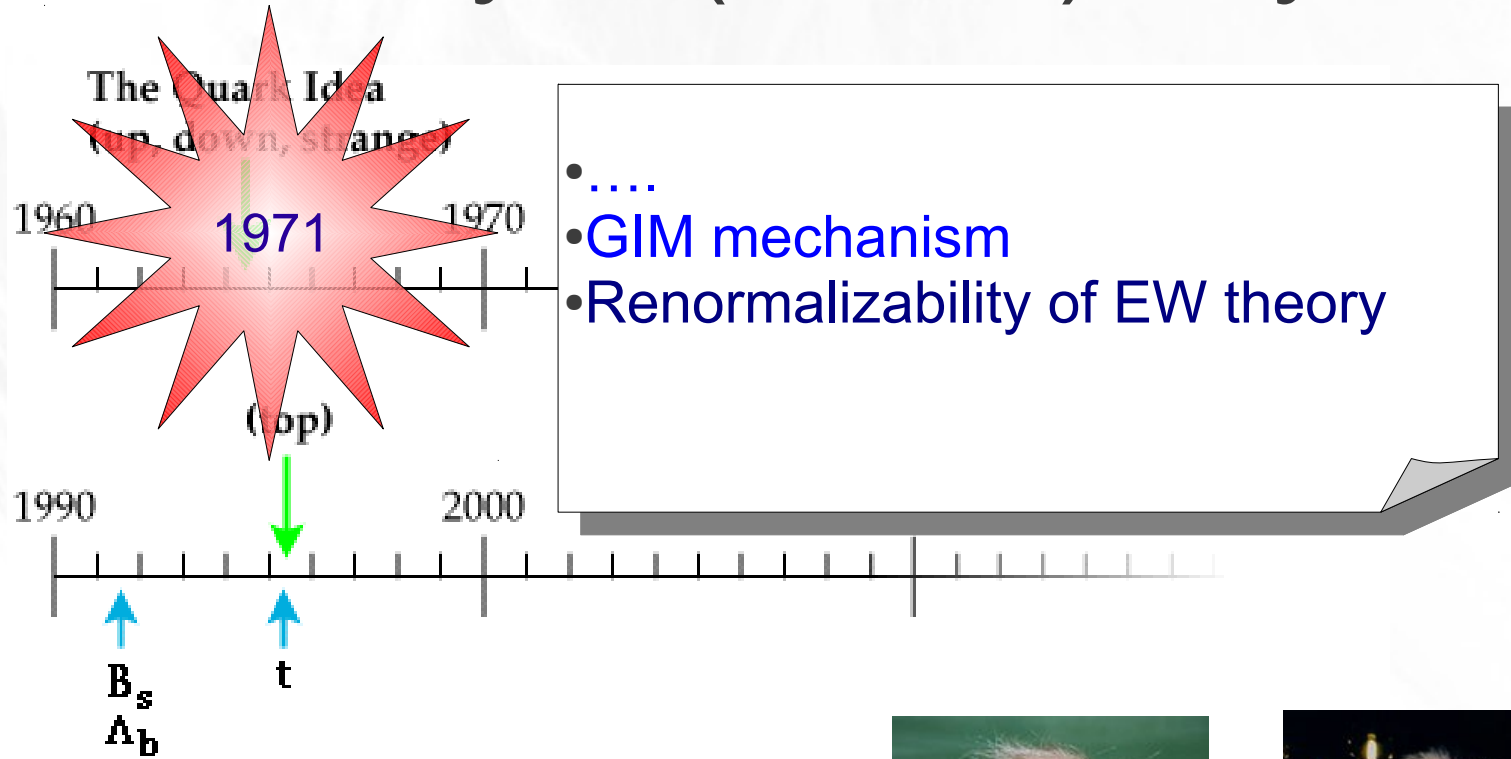


forbidden



suppressed

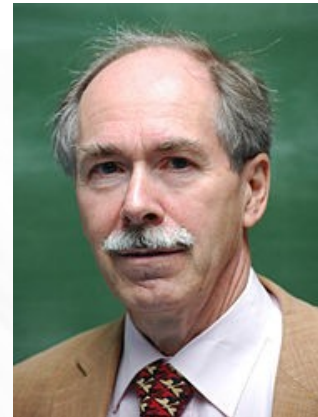
Brief history of (Flavor) Physics



Invention of a powerful tool to perform
Perturbation theory in gauge theories

$$\int d^4 k \rightarrow \mu^{2\epsilon} \int d^{4-2\epsilon} k$$

Dimensional regularization

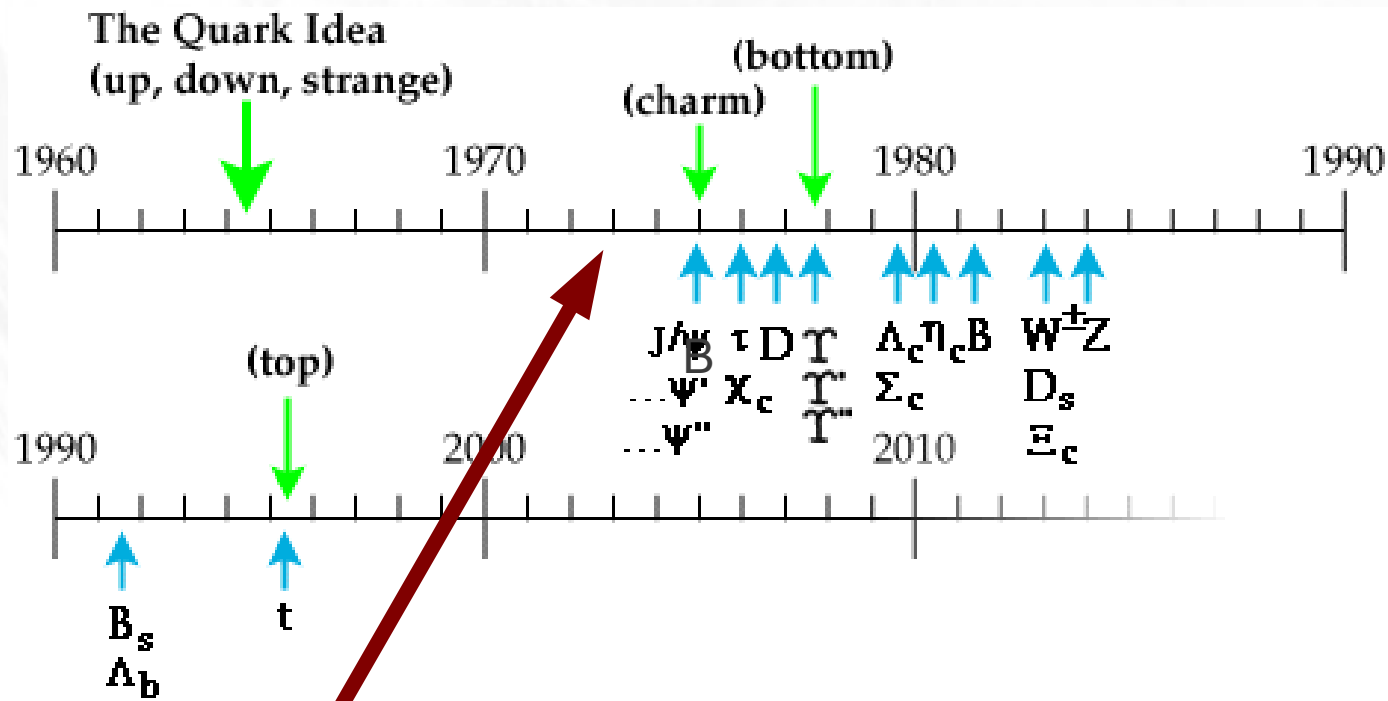


G. 't Hooft



M. Veltman

Brief history of (Flavor) Physics



CP violation requires at least three generations

Prediction of 3rd generation!

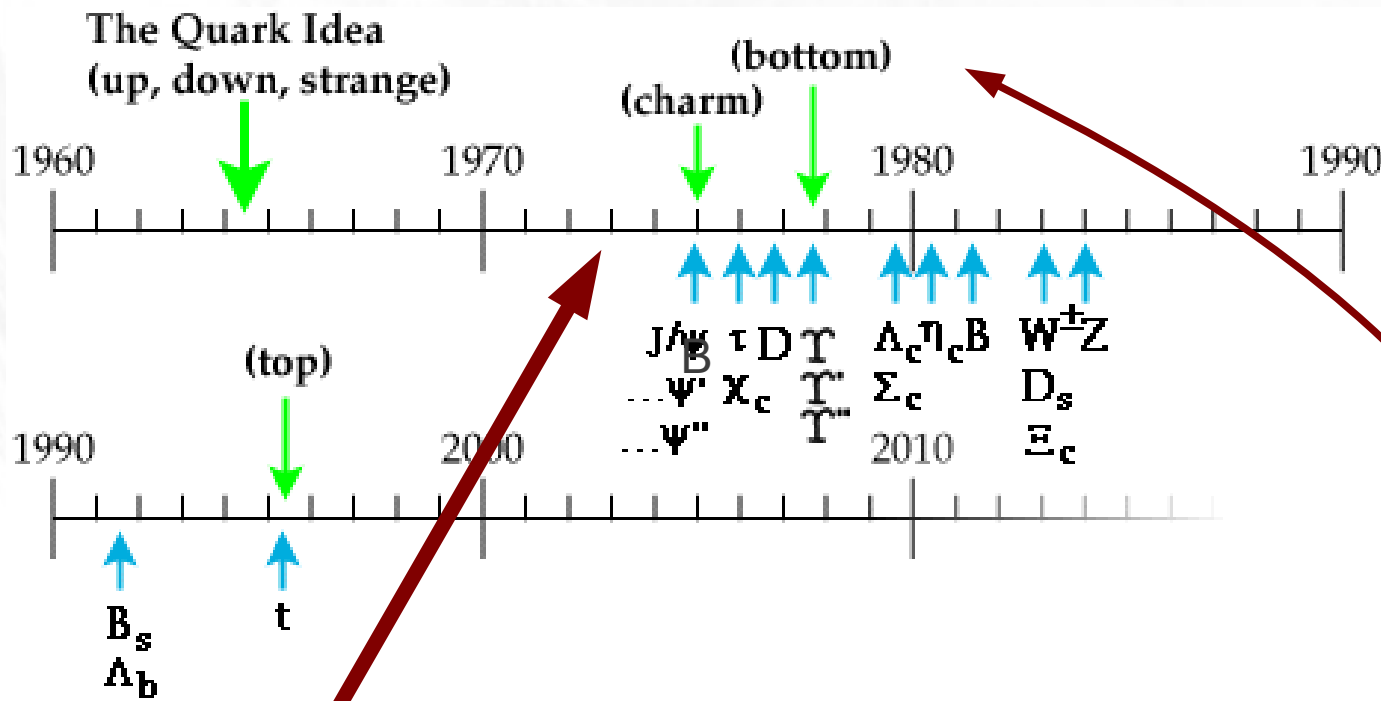
T. Maskawa



M. Kobayashi



Brief history of (Flavor) Physics



CP violation requires at least three generations

CKM matrix!

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = V_{CKM} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

Prediction of 3rd generation!

Why CP violation and the number of generations are connected?

"CP violation is given by a phase"

$$\mathcal{H} = a\mathcal{O} + \text{h.c.} = a\mathcal{O} + a^*\mathcal{O}^\dagger$$

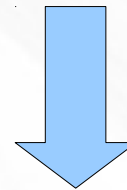
coupling

operator

$$\mathbf{CP}\mathcal{O}\mathbf{CP}^{-1} = \mathcal{O}^\dagger$$

CP transformation interchanges two operators.

In the SM Yukawa interactions with Higgs bosons is the only place for complex couplings



If a is real then CP is a symmetry of Hamiltonian

- For each generation we have one left-handed SU(2) doublet, and two right-handed singlets

$$Q_L^I = \begin{pmatrix} U_L^I \\ D_L^I \end{pmatrix} = \underbrace{(3, 2)}_{\text{SU(2) doublet}} \underbrace{_{+1/6}}_{\text{hypercharge } Q-T_3}, \quad u_R^I = (3, 1)_{+2/3}, \quad d_R^I = (3, 1)_{-1/3}.$$

$\phi = (1, 2)_{1/2}, \tilde{\phi} = (1, 2)_{-1/2}$

- Quarks interact with Higgs field via Yukawa coupling

$$\mathcal{L}_Y = -Y_{ij}^d \overline{Q_{Li}^I} \phi d_{Rj}^I - Y_{ij}^u \overline{Q_{Li}^I} \tilde{\phi} u_{Rj}^I + \text{H.c.}$$

Generic complex matrix of yukawa coupling constants

- Quarks acquire mass through because of spontaneous symmetry breaking

$$\mathcal{L}_M = -\sqrt{\frac{1}{2}} v Y_{ij}^d \overline{d_{Li}^I} d_{Rj}^I - \sqrt{\frac{1}{2}} v Y_{ij}^u \overline{u_{Li}^I} u_{Rj}^I + \text{H.c.}$$

$$\mathbf{M}_d = Y^d v / \sqrt{2}, \quad \mathbf{M}_u = Y^u v / \sqrt{2}.$$

Mass matrices for up and down quarks. Elements are complex!

CP violation in the SM is related to complex Yukawa couplings!

How many parameters are physical?

- ➔ “Unphysical” parameters are those that can be set to zero by a basis rotation
- ➔ General theorem

$$N(\text{Phys}) = N(\text{tot}) - N(\text{broken})$$

$N(\text{Phys})$, number of physical parameters

$N(\text{tot})$, total number of parameters

$N(\text{broken})$, number of broken generators

- ➔ Without the new terms the global symmetry is large, and the new terms break part of it. It is the breaking that can be “used” to find a better basis

Parameter counting

An example:

A hydrogen atom with weak magnetic field

The magnetic field add one new physical parameter, B

$$V(r) = \frac{-e^2}{r} \quad V(r) = \frac{-e^2}{r} + B_x \hat{x} + B_y \hat{y} + B_z \hat{z}$$

But there are 3 total new parameters

The magnetic field breaks explicitly: $SO(3) \rightarrow SO(2)$

2 broken generators, can be “used” to define the z axis

$$N(\text{Phys}) = N(\text{tot}) - N(\text{broken}) \quad \Rightarrow \quad 1 = 3 - 2$$

Parameter counting in the SM quark sector

- Without Yukawa terms we have accidental global symmetry

$$U(N)_Q \times U(N)_D \times U(N)_U$$

of quark kinetic term

Rotation of up and down **left-handed** quarks should be correlated

- Yukawa couplings break this symmetry down to $U(1)_B$ (all quark fields should be rotated simultaneously)

Parameter counting in the SM quark sector

- A unitary matrix has

$$N \times N = \frac{N(N-1)}{2} + \frac{N(N+1)}{2}$$

parameters

angles

phases

Parameter counting in the SM quark sector

- A unitary matrix has

$$N \times N = \frac{N(N-1)}{2} + \frac{N(N+1)}{2}$$

parameters

$$U(N)_Q \times U(N)_D \times U(N)_U \rightarrow U(1)_B$$

$$N_{\text{broken}} = 3 \times \frac{N(N-1)}{2} + \left[3 \times \frac{N(N+1)}{2} - 1 \right]$$

Parameter counting in the SM quark sector

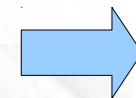
- We have two Yukawa matrices Y^d, Y^u

$$N_{\text{total}} = 2N \times N + 2N \times N$$

- So we have

$$N_{\text{physical}} = \frac{N(N+3)}{2} + \frac{(N-2)(N-1)}{2}$$

For $N=1,2$ all the phases can be absorbed into definition of the quark fields




$$N \geq 3$$

Parameter counting in the SM quark sector

- For $N = 3$ we have 9 real parameters (6 masses + 3 angles) and 1 CPV phase.
- In the mass basis angles and CPV phase appear in the CKM matrix

$$-\Delta\mathcal{L}_2 = \bar{d}_{Li}M_{ij}^d d_{Rj} + \bar{u}_{Li}M_{ij}^u u_{Rj} + \text{h.c}$$

Weak
(interaction)
eigenstates



Parameter counting in the SM quark sector

- For $N = 3$ we have 9 real parameters (6 masses + 3 angles) and 1 CPV phase.
- In the mass basis angles and CPV phase appear in the CKM matrix

$$-\Delta\mathcal{L}_2 = \bar{d}'_{Li} M_{ij}^d d'_{Rj} + \bar{u}'_{Li} M_{ij}^u u'_{Rj} + \text{h.c}$$

$$q_{Li} = (V_{qL})_{ij} q'_{Lj}, \quad q_{Ri} = (V_{qR})_{ij} q'_{Rj}$$

$$V_{qL} M^q V_{qR}^\dagger = M_{\text{diag}}^q, \quad q = u, d$$

Weak
Eigenstates
(interact)

The global symmetry in RH sector allows us to get rid of V_{uR}, V_{dR}

In the SM gauge interactions do not mix RH u-type and d-type quarks...

Parameter counting in the SM quark sector

- For $N = 3$ we have 9 real parameters (6 masses + 3 angles) and 1 CPV phase.
- In the mass basis angles and CPV phase appear in the CKM matrix

$$\Delta\mathcal{L}_W = \frac{g_2}{\sqrt{2}} \bar{u}'_{Li} \gamma^\mu d'_{Li} W^+ + \text{h.c.}$$

$$u_{Li} = (V_{uL})_{ij} u'_{Lj}, \quad d_{Li} = (V_{dL})_{ij} d'_{Lj}$$

Weak
Eigenstates
(interact)

Mass eigenstates
(propagate)

Due to the gauge symmetry we are NOT allowed to rotate up and down LH weak eigenstates independently!

Parameter counting in the SM quark sector

- For $N = 3$ we have 9 real parameters (6 masses + 3 angles) and 1 CPV phase.
- In the mass basis angles and CPV phase appear in the CKM matrix

$$\Delta\mathcal{L}_W = \frac{g_2}{\sqrt{2}} \bar{u}_{Li} \gamma^\mu (V_L^u V^{d\dagger})_{ij} d_j W^+ + \text{h.c}$$

$$u_{Li} = (V_{uL})_{ij} u'_{Lj}, \quad d_{Li} = (V_{dL})_{ij} d'_{Lj}$$

Mass eigenstates

Mass eigenstates (propagate)

Due to the gauge symmetry we are NOT allowed to rotate up and down LH weak eigenstates independently!

CKM matrix \leftrightarrow Flavor Physics (in SM)

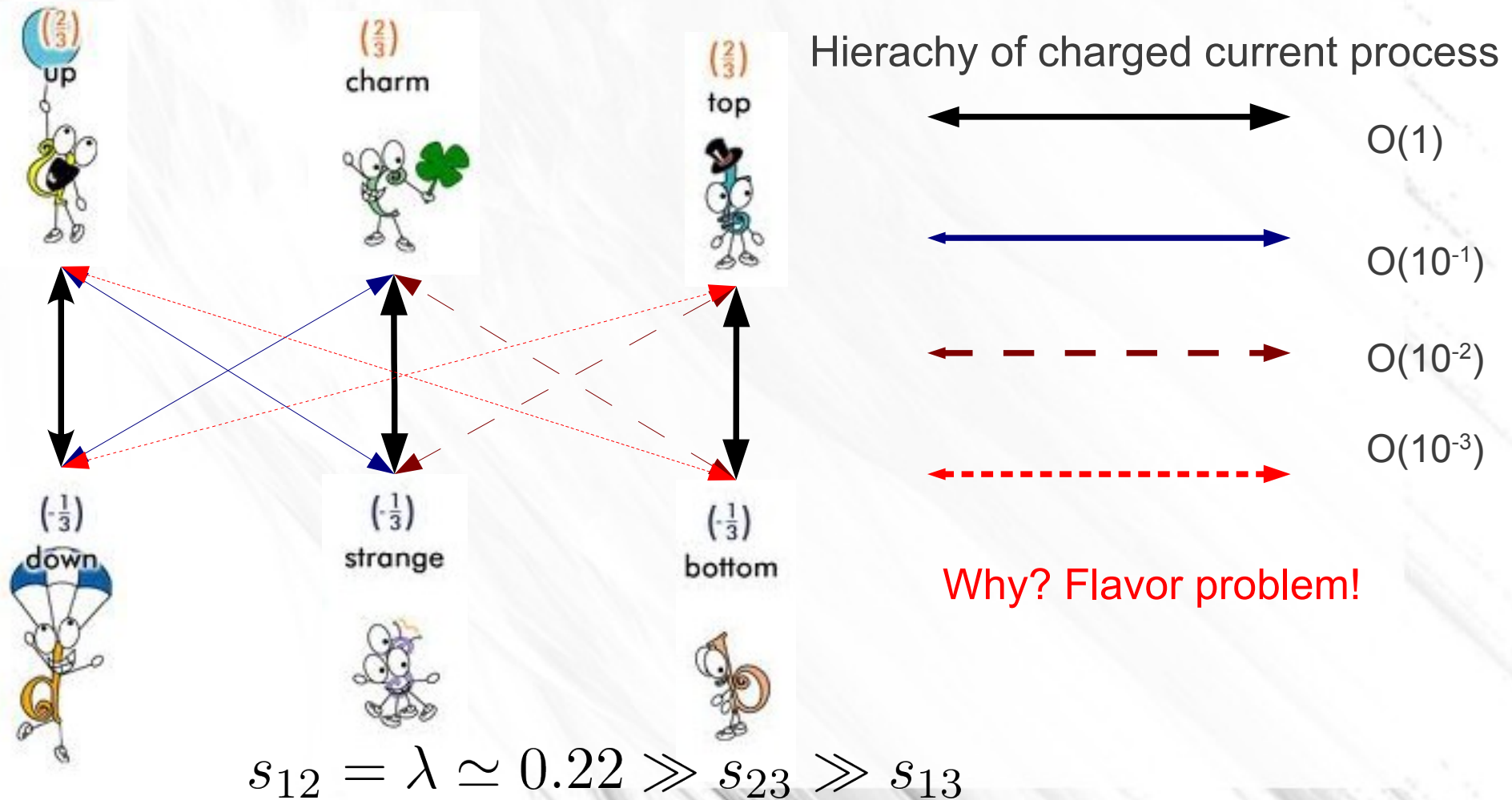
$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad V_{\text{CKM}}^\dagger V_{\text{CKM}} = 1$$

«Standard parametrization»

$$V_{\text{CKM}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

Only **one** physical phase in CKM. What happen with the remaining **five** phases?

CKM matrix \leftrightarrow Flavor Physics (in SM)



CKM matrix \leftrightarrow Flavor Physics (in SM)

Wolfenstein parametrization reflects hierarchy in CKM mixing

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$


Large Green Square	Medium Blue Square	Small Red Square
Medium Blue Square	Large Green Square	Small Light Blue Square
Small Red Square	Small Light Blue Square	Large Green Square

$$V_{\text{CKM}}^\dagger V_{\text{CKM}} = 1$$

CKM matrix \leftrightarrow Flavor Physics (in SM)

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$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

	■	■
■	■	■
■	■	■

$$s_{13}e^{-i\delta} = A\lambda^3(\rho - i\eta)$$

Cabbibo mixing!

$$\lambda \simeq \sin \theta_c$$

CKM matrix \leftrightarrow Flavor Physics (in SM)

Wolfenstein parametrization reflects hierarchy in CKM mixing

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■	■	■
■	■	■
■	■	■

$$s_{13}e^{-i\delta} = A\lambda^3(\rho - i\eta)$$

Cabbibo mixing!

$$\lambda \simeq \sin \theta_c$$

$$\lambda = 0.22, \quad A = 0.8, \quad \rho = 0.13, \quad \eta = 0.34$$

Hierarchy in Unitarity relations

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$V_{ud} V_{us}^* \mathcal{O}(\lambda) + V_{cd} V_{cs}^* \mathcal{O}(\lambda) + V_{td} V_{ts}^* \mathcal{O}(\lambda^5) = 0$$

Hierarchy in Unitarity relations

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$V_{ud} V_{us}^* + V_{cd} V_{cs}^* + V_{td} V_{ts}^* = 0$$

$\mathcal{O}(\lambda)$ $\mathcal{O}(\lambda)$ $\mathcal{O}(\lambda^5)$

$$V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0$$

$\mathcal{O}(\lambda^4)$ $\mathcal{O}(\lambda^2)$ $\mathcal{O}(\lambda^2)$

Hierarchy in Unitarity relations

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

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$\mathcal{O}(\lambda)$ $\mathcal{O}(\lambda)$ $\mathcal{O}(\lambda^5)$

$$V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0$$

$\mathcal{O}(\lambda^4)$ $\mathcal{O}(\lambda^2)$ $\mathcal{O}(\lambda^2)$

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

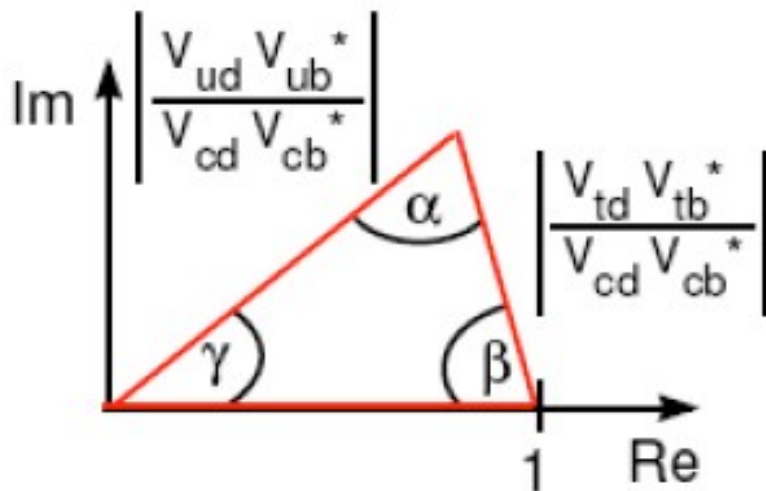
$\mathcal{O}(\lambda^3)$ $\mathcal{O}(\lambda^3)$ $\mathcal{O}(\lambda^3)$

THE unitarity triangle

The unitarity triangle

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$A\lambda^3(\rho - i\eta) + -A\lambda^3 + A\lambda^3(1 - \rho - i\eta) = 0$$



$$\alpha \equiv \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

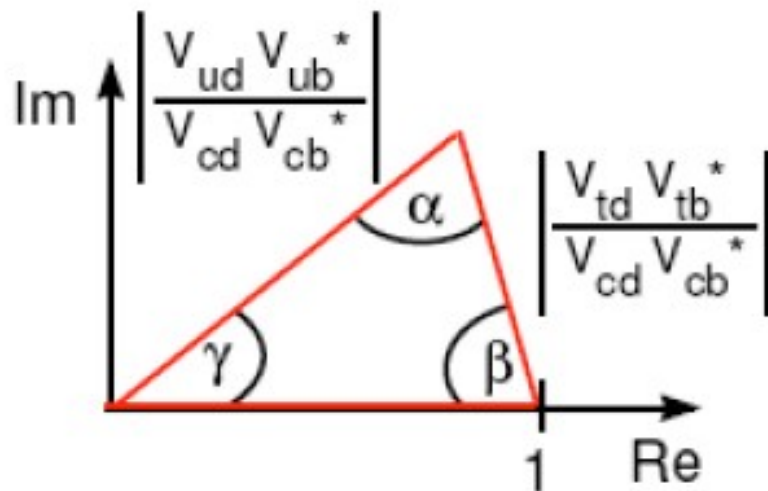
$$\beta \equiv \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\gamma \equiv \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$

The unitarity triangle

$$\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}|e^{-i\gamma} \\ |V_{cd}|e^{i\pi} & |V_{cs}| & |V_{cb}| \\ |V_{td}|e^{-i\beta} & |V_{ts}|e^{i\pi} & |V_{tb}| \end{pmatrix}$$

$$A\lambda^3 \frac{V_{ud} V_{ub}^*}{\rho - i\eta} + A\lambda^3 \frac{V_{cd} V_{cb}^*}{-1} + A\lambda^3 \frac{V_{td} V_{tb}^*}{1 - \rho - i\eta} = 0$$

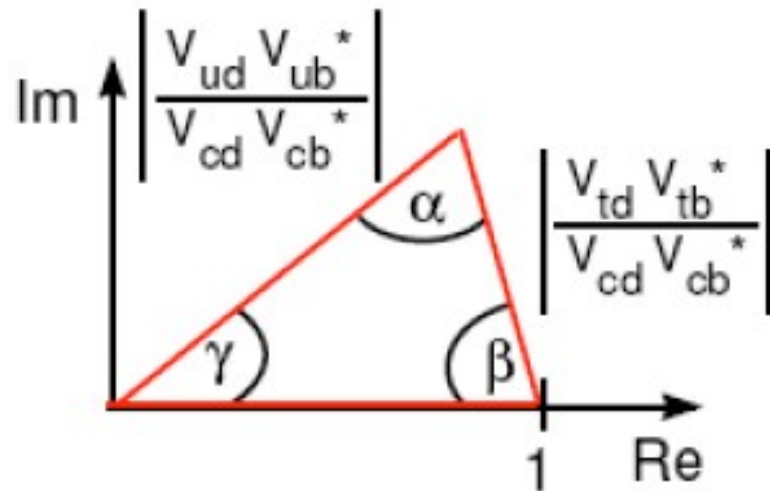


$$\alpha \equiv \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

$$\beta \equiv \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\gamma \equiv \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$

The unitarity triangle



The area of all unitarity triangles
Is connected to the CPV phase

$$S = \frac{1}{2} J,$$

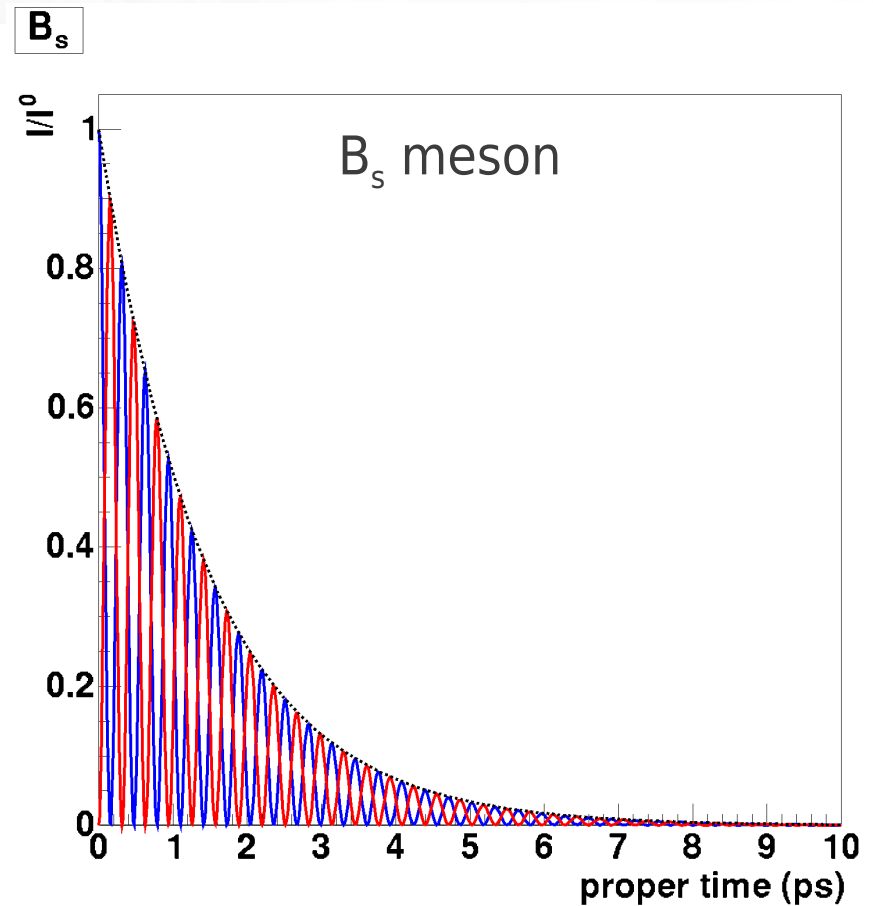
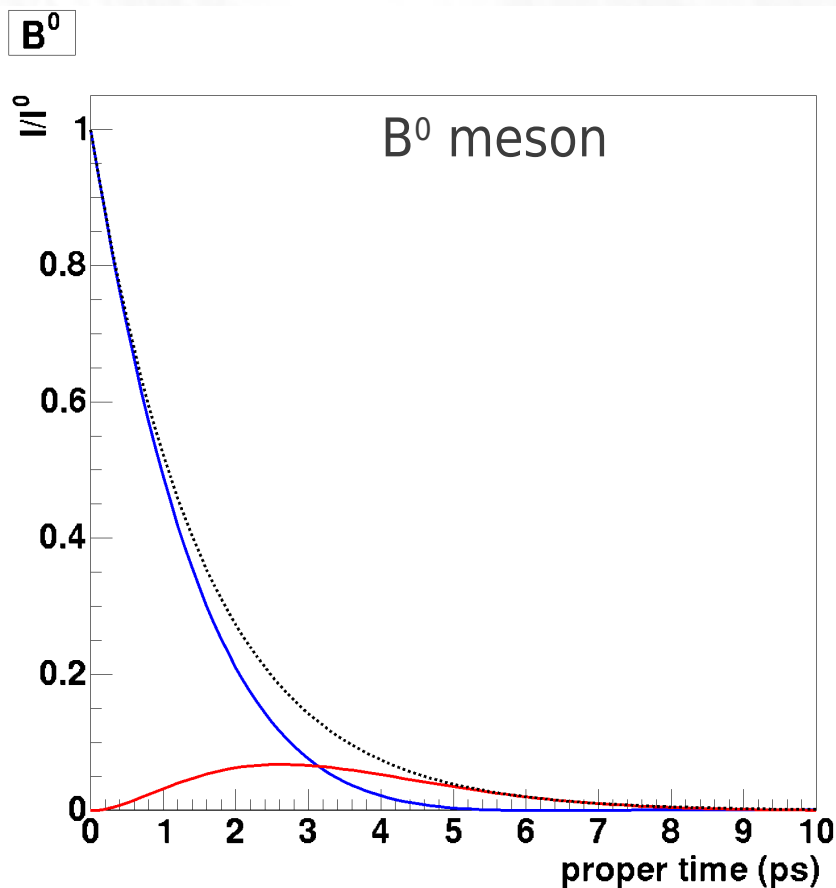
Jarlskog invariant

$$\text{Im}[V_{ij} V_{kl} V_{il}^* V_{jl}^*] = J \sum_{m,n} \epsilon_{ikm} \epsilon_{njl}$$

$$J \simeq \lambda^6 A^2 \eta = \mathcal{O}(10^{-5})$$

Main phenomena influenced by CKM

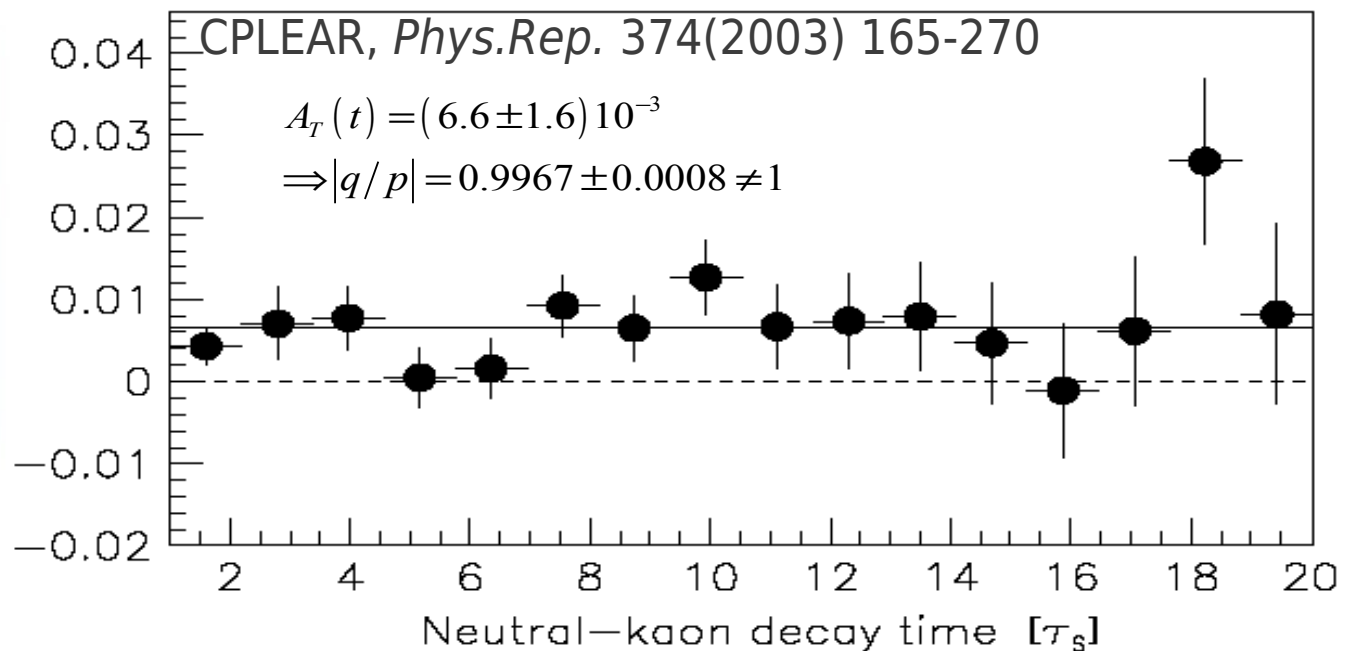
- Neutral meson mixing (oscillations)



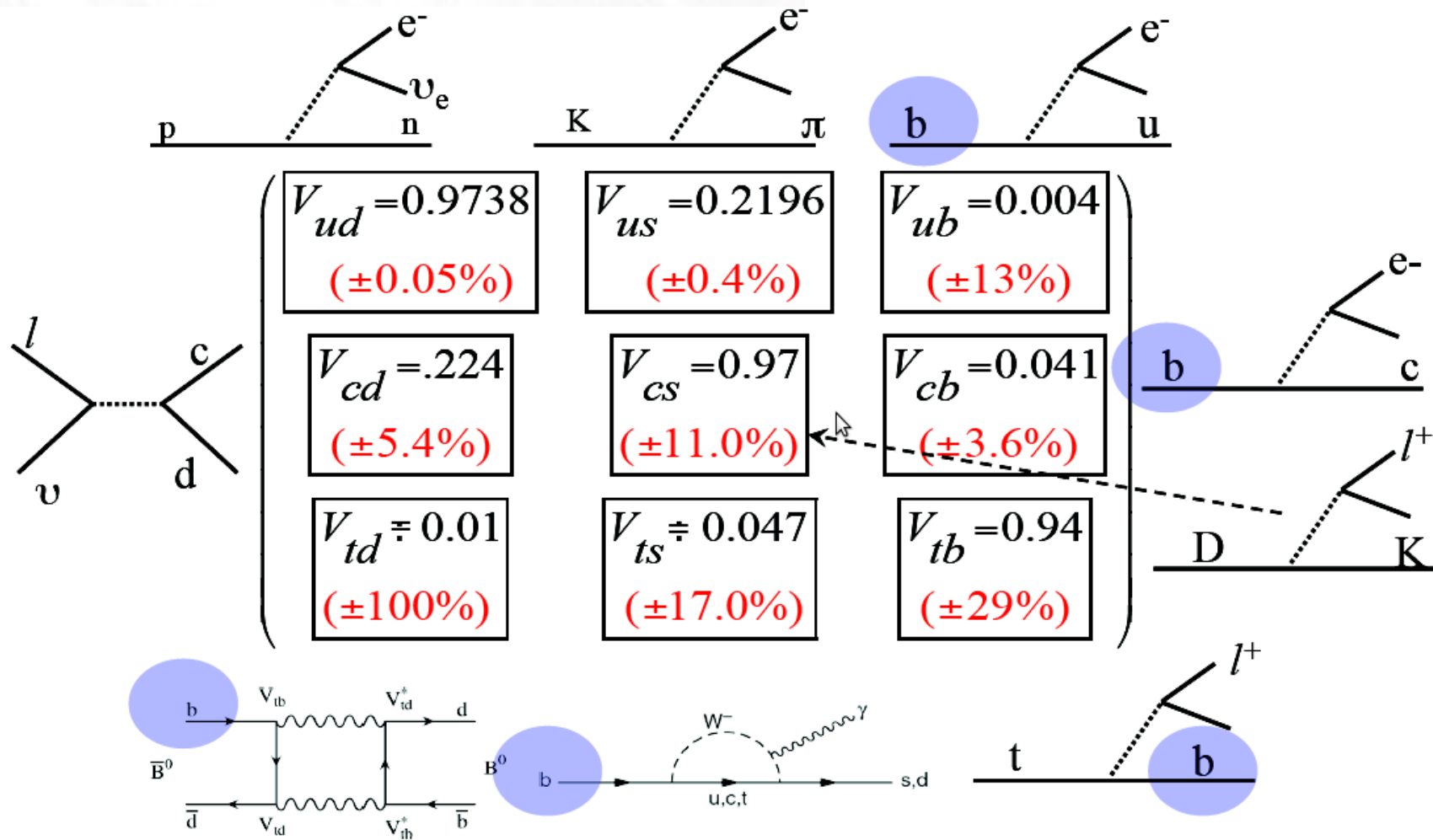
Main phenomena influenced by CKM

- CP violation

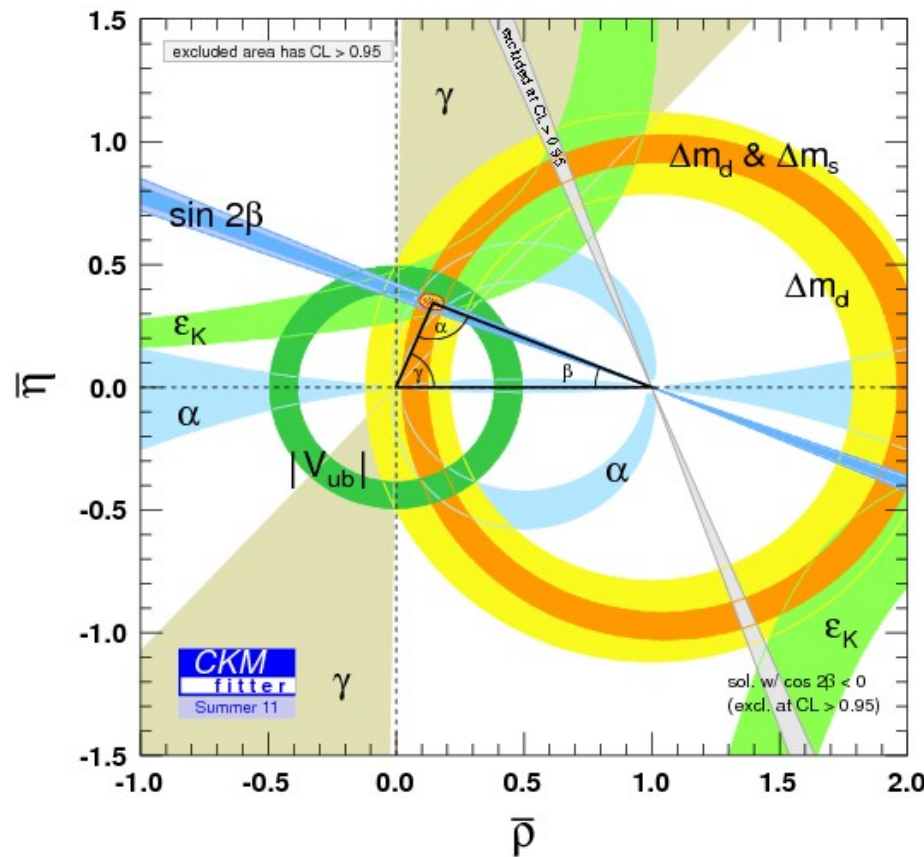
$$A_{+-} \equiv \frac{R(K_L^0 \rightarrow e^+ \pi^- \bar{\nu}_e) - R(K_L^0 \rightarrow e^- \pi^+ \bar{\nu}_e)}{R(K_L^0 \rightarrow e^+ \pi^- \bar{\nu}_e) + R(K_L^0 \rightarrow e^- \pi^+ \bar{\nu}_e)} = \frac{1 - |q/p|^4}{1 + |q/p|^4} = 4\Re\epsilon$$



Determination of the unitarity triangle



Determination of the unitarity triangle



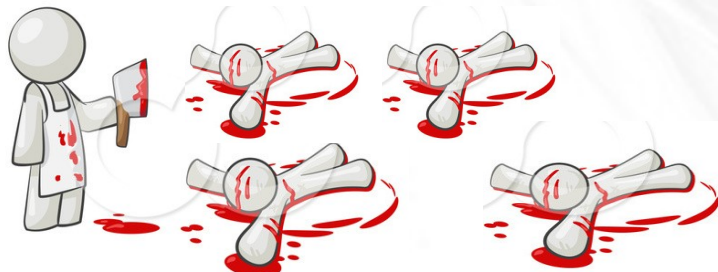
All the measurements seem to be consistent with the SM predictions

Flavor physics serves as a severe constraint on New Physics scenarios, especially in FCNC effects, since in the SM they are very suppressed and generated at loop level.

NB: the extraction of CKM parameters is complicated by the fact that we are dealing With mesons instead of quarks ← Non-perturbative effects have to be estimated!

Importance of B-physics

- Large mass m_b
 - Variety of final states to decay to
 - Determination of several CKM elements
 - allows us to use expansion in $1/m_b$ to estimate non-perturbative effects systematically
- CPV phase in V_{ub} \rightarrow CPV effects
- Rare decays of B-mesons due to loops: important NP constraints



$$B \rightarrow X_s \gamma,$$

$$B_s \rightarrow \mu^+ \mu^-$$

Lecture 3 summary

- CKM description of Flavor Physics in quark sector turns to be very successful



Lecture 3 summary

- CKM **description** of Flavor Physics in quark sector turns to be very successful



- Still it is just a **description!**



Lecture 3 summary

- CKM **description** of Flavor Physics in quark sector turns to be very successful



- Still it is just a **description!**



- There should be something behind.....Flavor problem...

