

1.

# Neutrino. History of a unique particle

S. Bilenky (JINR, Dubna)

December is special month for  
neutrino

In December 1930 Pauli letter

During 81 years many events  
occurred

Three Nobel Prizes

1988 Lederman, Schwartz, Steinberger ( $\nu_\mu$ )

1995 Reines (neutrino)

2002 Davis, Koshiba (solar and SN ν's)

• • •

### Brief history of neutrino

Why neutrinos are special?

- Because ν's are the only fundamental fermions with  $Q=0$

1. No direct EM interaction. Neutrinos interact with heavy  $W^\pm$  and  $Z^0$

Processes in which  $W$  and  $Z$  are virtual (decays, neutrino reactions) are weak processes

determined by Fermi constant

$$G_F = \frac{1}{12} \frac{g^2}{8m^2_W} \approx 10^{-5} \frac{1}{M_p^2}$$

3.

2. Neutrinos can be truly neutral Majorana particles ( $\nu \equiv \bar{\nu}$ ) not possessing any charges

This could explain recent discovery of small neutrino masses

by a new L-violating interaction of SM particles with very heavy ( $\approx 10^{14} - 10^{15}$  GeV) Majorana fermions

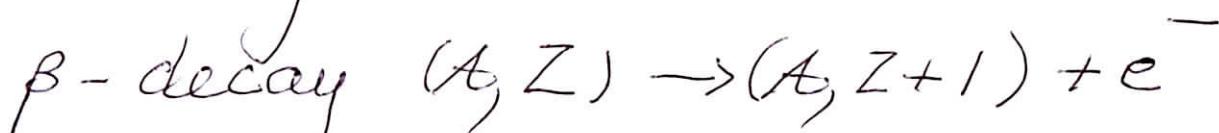
# Pauli idea of neutrino (neutron) 4

1930

- $p, e^-$  are only elementary particles
  - nuclei are bound states of  $p$  and  $e^-$
- two problems

1. Continuous  $\beta$ -spectra

2. Spins of some nuclei



From energy-momentum conservation

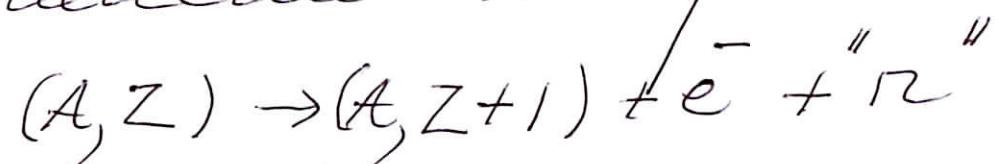
$$T_e = m_{A, Z} - m_{A, Z+1} - m_e = Q$$

In experiments

$$0 \leq T_e \leq Q$$

Only two possibilities

- I Energy-momentum is not conserved
- II Exist neutral elementary particle  
emitted in  $\beta$ -decay together with  $e^-$   
has weak interaction  
"absorption length is 10 times larger"  
than absorption length of the  $\gamma$ -quantum  
not detected in experiment



three-particle decay, continuous  
 $\beta$ -spectrum

6.

The problem of spin

${}^7N_{14} = (14p + 7e^-)$  half integer spin

From measurement of molecular spectra

${}^7N_{14}$  satisfy Bose-Einstein statistics

From spin-statistics theorem

the spin of  ${}^{14}_7N$  is integer

Pauli assumed that "n" has spin  $\frac{1}{2}$   
and also is a constituent of nuclei

Soon it was clear that last  
idea is not correct

In 1932 neutron was discovered

Nuclei are bound states of p and n  
(Heisenberg, Majorana, Ivarenko)

Problem of the spin of  $^{14}N_7$  and other nuclei disappeared.

$$^{14}N_7 = (7p + 7n) \text{ integer spin}$$

Fermi built first theory of the  $\beta$ -decay (1934)

He gave the Pauli particle the name neutrino (neutral, small)

For Fermi it was a problem to understand how  $e^-$  and  $\nu$  are produced by nuclei (bound states of p, n)

By analogy with emission of the photon

Fermi assumed that e and  $\bar{\nu}$  are produced in quantum transition



By analogy with EM interaction

$$\mathcal{H}_I^{\text{EM}} = e \bar{p} \gamma^\mu p^\mu \epsilon_\mu^\alpha \quad p \rightarrow p + \gamma$$

Fermi assumed

$$\mathcal{H}_I^\beta = G_F \bar{p} \gamma^\mu n^\alpha \bar{e} \gamma_\alpha^\mu + \text{h.c.}$$

Fermi Hamiltonian describes  
decays in which

$$\Delta J = 0 \quad \pi_i^+ = \pi_f^- \quad (\Delta J = J_f - J_i)$$

$e^-$  and  $\bar{\nu}$  are emitted in  $S=0$  (singlet)  
state

If  $e^-$  and  $\bar{\nu}$  are emitted in  $S=1$  (triplet)  
state

$$\Delta J = \pm 1, 0 \quad \pi_i^+ = \pi_f^-$$

(Gamow-Teller transition)

Both Fermi and G-T decays were  
observed

Total  $\beta$ -decay Hamiltonian must  
include additional terms

The most general Hamiltonian

10

$$\mathcal{H} = \sum_{i=S,V,T,A,\gamma} G_i \bar{\rho}^i n \bar{\epsilon}_i + \text{h.c.}$$

$$O^i \Rightarrow 1, \gamma^\mu, \gamma^\mu \gamma^\nu, \gamma^\mu \gamma^\nu \gamma_5, \gamma_5$$

Five interaction constants

Search for dominant terms

During many years the situation  
remained uncertain ( $S, T$  or  $V, A$ )

In 1934 Bethe and Peierls  
estimated cross section of  
the interaction of  $\gamma$  with matter

In the Fermi Hamiltonian  
quantum fields enter

It describes not only

$$(A, Z) \rightarrow (A, Z+1) + \bar{e}^+ + \bar{\nu}$$

but also

$$\bar{\nu} + (A, Z+1) \rightarrow e^+ + (A, Z), \dots$$

$\Gamma = \frac{1}{T_{1/2}}$  and  $\sigma$  are proportional  
to  $|M|^2$

$$\sigma \propto \frac{\alpha}{T_{1/2}} \quad \alpha \text{ has dimension } L^2 \cdot T$$

Longest length and time involved  
 $\frac{\hbar}{mc}$  and  $\frac{\hbar}{mc^2}$        $\sigma \leq \frac{\hbar^3}{m_e^{3/4} c^2 T_{1/2}}$

B-P      bound       $\sigma \leq 10^{-44} \text{ cm}^2$   
 $L_a \geq 10^{14} \text{ km}$

12

(modern calculation for  $\bar{\nu} + p \rightarrow e^+ + \nu$   
 $\sigma \approx 2.6 \cdot 10^{-43} \text{ cm}^2$ ,  $L_a \approx 6 \cdot 10^{14} \text{ km}$  in water,  $E \approx 3 \text{ MeV}$ )

In the B-P paper ("Neutrino")

"there is no practically possible way  
of observing the neutrino"

Pauli "I have done a terrible thing:  
I have postulated a particle that  
can not be detected"

This general opinion was challenged by B. Pontecorvo (1946)

He proposed the first radiochemical method of neutrino detection

Reaction



he considered as very perspective

After one-two months irradiation  
of a large volume a few  $^{37}\text{Ar}$  atoms  
will be produced

Radioactive  $^{37}\text{Ar}$  atoms can be  
extracted from the target and their  
decay can be observed in a  
proportional counter

Pontecorvo pointed out intensive sources of neutrinos

14

1. Sun, the flux  $\approx 6 \cdot 10^{10} \frac{\text{v}}{\text{cm}^2 \text{sec}}$
2. Reactor, the total flux  $\approx 2 \cdot 10^{20} \frac{\text{v}}{\text{sec} \text{ ther}}$  per (GW)
3. Radioactive source, can be produced in reactor

The first direct proof of the existence of neutrino was obtained in the Reines and Cowan experiment  
(1953 - 1959)

R - C built large ( $\sim 1 \text{ m}^3$ ) detector 15  
exploiting phenomenon of scintillation  
of organic liquids discovered at  
that time

They, however, prepared an experiment  
to detect antineutrinos from atomic  
bomb explosion

Later they understood that an experiment  
with reactor  $\bar{\nu}$  is more simple and  
feasible

Reines "I have wondered since  
why it took so long for us to come  
to this now obvious conclusion and  
how it escaped others around us with whom  
we talked"

R - C detected reactor  $\bar{\nu}$  via observation



Signature of neutrino event

two  $\gamma$ -quanta from  $e^+e^-$  annihilation  
and delayed  $\gamma$ 's from capture of  
the neutron by Cd

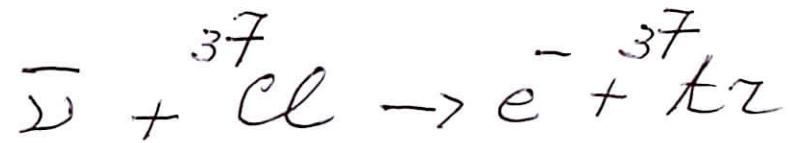
The  $\gamma$ -quanta were detected by  
110 photomultipliers

The measured cross section

$$\sigma = (11 \pm 2.6) \cdot 10^{-44} \text{ cm}^2$$

In agreement with prediction  
 $\sigma = 9.5 \cdot 10^{-44} \text{ cm}^2$

In 1956 R. Davis searched for



at Savannah River reactor

Pontecorvo radiochemical method  
was used

No events were found (rumor)

$$\sigma < 0.9, 10^{-45} \text{ cm}^2$$

$\bar{\nu}$  from reactor produce  $e^+$  (R-C)  
and do not produce  $e^-$  ( $\emptyset$ )

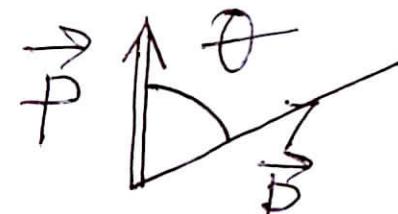
Conserved lepton number

$$e^- \nu \quad e^+ \bar{\nu}$$

$$L \quad T \quad -1$$

Understanding of neutrino drastically 17a!  
 changed after parity violation  
 in the  $\beta$ -decay and other weak  
 processes was discovered (1957)

$\beta$ -decay of polarized  $^{60}\text{Co}$  (Wu et al  
 experiment)



From invariance under rotations

$$w_{\vec{P}}(\vec{P}) = w_0(\vec{P}^2)(1 + \alpha \vec{P} \cdot \vec{K}) = w_0(1 + \alpha P \cos \theta)$$

$$\vec{K} = \frac{\vec{P}}{|\vec{P}|}$$

Polarization is pseudovector, and momentum  
 $\vec{P}$  is a vector, under inversion  
 $\vec{P}' = +\vec{P}$ ,  $\vec{P}' = -\vec{P}$

## The sign of the scalar product

18

$\vec{P}_1 \cdot \vec{p}_2$  depend on the choice of the system

Before 1957 it was postulated  
that such quantities can not enter  
into observables (parity is conserved)

In this case  $\alpha = 0$  and the probabi-  
lity does not depend on  $\theta$

Strong dependence on  $\theta$  was observed  
in Lee et al experiment  $|\alpha| \geq 0.7$

Practically at the same time large  
effect of parity violation was  
observed in  $\mu^+ \rightarrow e^+ + \nu + \bar{\nu}$

Hamiltonian of the  $\beta$ -decay (and  
other weak processes) is a sum of  
scalar plus pseudoscalar

The most general Hamiltonian  
of the  $\beta$ -decay

$$\mathcal{H}^{\beta} = \sum_{i=S,V,T,+,\rho} \bar{p}_i^0 n_i \bar{e}_i^0 (g_i + g'_i \gamma_5) \nu + h.c.$$

additional five (pseudoscalar) terms  
and five interaction constants

Landau, Lee and Yang, Salam (1957)  
possible connection of the large  
violation of the parity and neutrino  
Two-component neutrino

The Dirac equation

$$(i\gamma^\mu - m)\psi = 0$$

$$\psi = \psi_L + \psi_R, \quad \psi_{L,R} = \frac{1}{\sqrt{2}}(1 \mp i\gamma_5)\psi$$

20.

$\psi_L$  is left-handed (right-handed) component  
"coupled equations"

$$i\gamma^\mu \frac{\partial}{\partial x^\mu} \psi_L - m \psi_L = 0 \quad i\gamma^\mu \frac{\partial}{\partial x^\mu} \psi_R - m \psi_R = 0$$

if  $m=0$  the equations are decoupled

$$i\gamma^\mu \frac{\partial}{\partial x^\mu} \psi_L = 0$$

Neutrino field

$$\psi_L(x) \quad \text{or} \quad \psi_R(x)$$

This <sup>is</sup> two-component neutrino theory  
of Landau, L-S

neutrino mass

$m < 200 \text{ eV} \approx 4.10 m_e$  "Natural" to assume  
 $m=0$

Can be valid if parity is violated 21

$$\mathcal{D}'(x') = \gamma \gamma^5 \mathcal{D}(x)$$

$$\mathcal{D}'_{L,R}(x') = \gamma \gamma^5 \mathcal{D}_{B,L}(x)$$

under inversion  $L(R)$  is transformed  
into  $R(L)$

### Major consequences

①  $G'_i = -G_i \text{ if } \mathcal{D}_L(x)$

$$G'_i = +G_i \text{ if } \mathcal{D}_R(x)$$

$$\mathcal{H}^P = \sum_{i=S,V,T,A} G_i \bar{\rho} \gamma^i \gamma_5 (1 \mp \gamma_5) \mathcal{D} + \text{h.c.}$$

Scalar and pseudoscalar terms  
with the same coefficients

large violation of parity

(2)

## neutrino helicity

22

$$\not{p} u^z(p) = 0 \quad (\vec{\Sigma} \cdot \vec{K}) u^z(p) = z u^z(p)$$

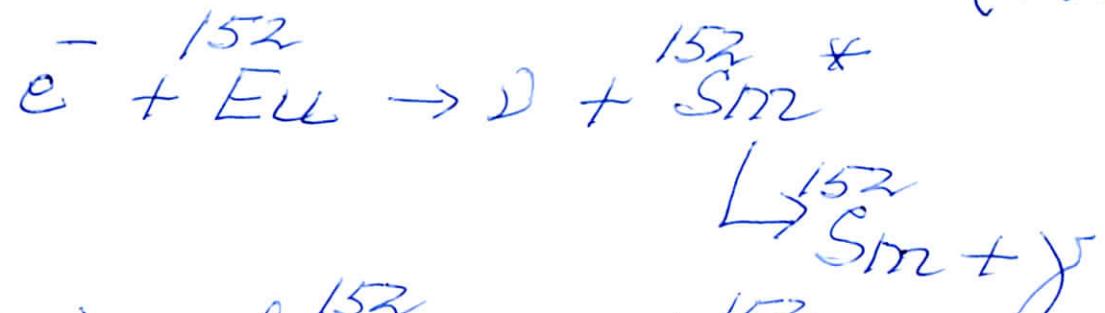
$$\gamma_5 u^z(p) = z u^z(p)$$

$$\frac{1 \pm \gamma_5}{2} u^z(p) = \frac{1 \pm z}{2} u^z(p)$$

$$\begin{array}{ccc} \overleftarrow{\phantom{w}} & \overrightarrow{\phantom{w}} & \text{if } \vartheta(x) \\ w & \bar{w} & L \end{array}$$

$$\begin{array}{ccc} \overrightarrow{\phantom{w}} & \overleftarrow{\phantom{w}} & \text{if } \vartheta_R(x) \\ w & \bar{w} & R \end{array}$$

Neutrino helicity was measured  
in famous Goldhaber et al experiment  
(1958) 23



Spins of  ${}^{152}\text{Eu}$  and  ${}^{152}\text{Sm}$  are equal to zero

Spin of  ${}^{152}\text{Sm}^*$  is equal to one

From conservation of the projection of  
the total momentum. Measurement  
of the circular polarization of  $\gamma$  allows to  
determine neutrino helicity

"result is compatible with 100%  
negative helicity of neutrino"

Confirmed two component theory

Neutrino field is  $\psi(x)$

## Remark

24

The equation  $i\gamma^\mu D_\mu = 0$  for massless particle was proposed by Weil in 1929.

Weil theory was discussed by Pauli in his book On Quantum Mechanics (1933)

"... because the equation for  $\psi(x) \bar{\psi}(x)$  is not invariant under space reflection  
it is not applicable to the physical reality."

after discovery of the parity violation the situation with the Hamiltonian of the  $\beta$ -decay was uncertain

From  $e^- \rightarrow$  angular correlation in the decay  ${}^6\text{He} \rightarrow {}^6\text{Li} + e^- + \bar{\nu}$  followed  $S, T$

From  $e^- \rightarrow$  angular correlation in  ${}^{35}\text{Ar} \rightarrow {}^{35}\text{Cl} + e^- + \bar{\nu}$  followed  $V, A$

In 1958 two fundamental papers  
Feynman - Gell-Mann, Marshak - Sudarshan  
proposed a principle  
it was a generalization of the  
two-component neutrino theory  
in the Hamiltonian of the weak  
interaction enter not only  $\bar{\nu}_L$  but  
left-handed components of all fields

$$\mathcal{H}^{\beta} = \sum_I \epsilon_i \bar{\nu}_L^i \gamma_L^i \bar{e}_L^i \nu_L^i + h.c.$$

$$\bar{e}_L^i \nu_L^i = \bar{e} \frac{1+\gamma_5}{2} \gamma_i \frac{1-\gamma_5}{2} \nu$$

$$\frac{1+\gamma_5}{2}(1, \sigma^\beta, \gamma_5) \frac{1-\gamma_5}{2} = 0$$

$S, T, P$  can not enter into  $\mathcal{H}_I^\beta$

$$\frac{1+\gamma_5}{2}\gamma\gamma_5\frac{1-\gamma_5}{2} = -\frac{1+\gamma_5}{2}\gamma\frac{1-\gamma_5}{2}$$

$A$  and  $V$  are equal

$$\begin{aligned} \underline{\mathcal{H}_I^\beta} &= \frac{G_F \bar{P}}{\sqrt{2}} \gamma^\mu n_L \bar{e} \gamma_\mu e_L + h.c. = \\ &= \frac{G_F \bar{P}}{\sqrt{2}} \gamma^\mu (1-\gamma_5) n \bar{e} \gamma_\mu (1-\gamma_5) e + c.c. \end{aligned}$$

only one constant  $G_F$

(like in the Fermi Hamiltonian, but with G-T transition ( $A$ ) and parity violation)

implemented idea of  $\mu$ -e universality

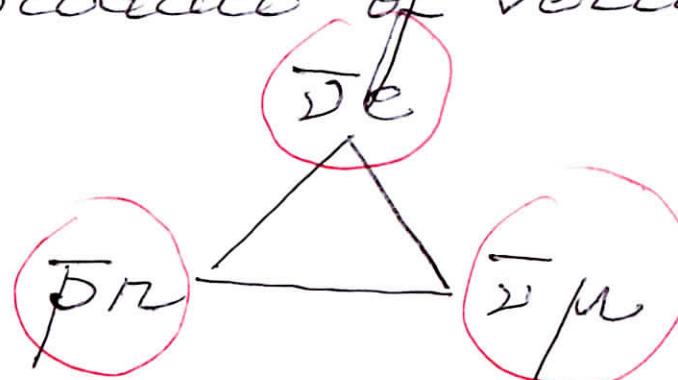
The idea was first proposed by  
B. Pontecorvo (1947).  
He compared

$$\bar{\mu} + (A, Z) \rightarrow \bar{e} + (A, Z-1) \text{ and}$$

$$\bar{e} + (A, Z) \rightarrow \bar{\mu} + (A, Z-1)$$

characterized by the same constant

Puppi (1948) generalized this idea  
different parts of the Hamiltonian  
are product of vertices of the triangle



F-G introduced  $\mu-e$  universal 27a  
 weak charged current

$$j^{\mu} = 2(\bar{p}_L \gamma^{\mu} n_L + \bar{e}_L \gamma^{\mu} e_L + \bar{\nu}_L \gamma^{\mu} \nu_L)$$

and assumed

$$\frac{H}{I} = \frac{e_F}{\sqrt{2}} j^{\mu} j_{\mu} ; j^{\mu} = \bar{p} \gamma^{\mu} n - \bar{p} \gamma^{\mu} \nu \quad (\text{V-A})$$

What about  ${}^6\text{He}$  and other data?

F-G suggested that they are wrong.

The problem of the pion decay. In the universal current-current theory

$$R = \frac{\Gamma(\pi^+ \rightarrow e^+ \nu)}{\Gamma(\pi^+ \rightarrow \mu^+ \nu)} = \frac{m_e^2 (1 - \frac{m_e^2}{m_\pi^2})^2}{m_\mu^2 (1 - \frac{m_\mu^2}{m_\pi^2})^2} \stackrel{-4}{\approx} 1, 2, 10 \quad \text{In experiment}$$

$R < 10^{-5}$

Current-current Hamiltonian can  
be induced by the interaction with  
charged, vector  $W^\pm$  bosons

If we assume

$$\frac{L}{I} = -\frac{g}{2\sqrt{2}} j^2 W_2 + \text{h.c}$$

the  $\beta^-$ -decay of neutron

If  $Q^2 \ll m_N^2$   
can be described  
by current-current  
Hamiltonian

with

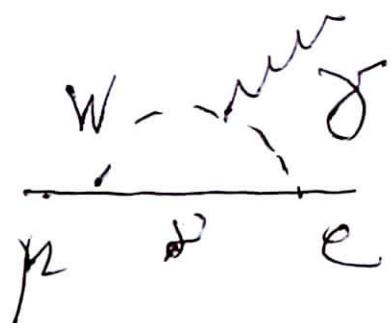
$$\frac{G_F}{\sqrt{2}} = \frac{g^2}{8m^2}$$

Hypothesis of  $W^-$ -boson with  $\frac{dI}{dt}$   
 1. can explain the current-current structure  
 2. dimension of  $G_F$

The idea of  $W$ -boson belong to O.Klein(1938)<sup>29</sup>  
Fermi analogy with EM is more complete  
if vector  $W^{\pm}$  (analog of  $\gamma$ ) exists

Are neutrinos produced together with  
 $e$  and  $\mu$  the same or different particles?

The first indication that they are different  
comes from  $\mu \rightarrow e\gamma$   
calculated in the theory with  $W$  by Feinberg  
(1958)



$$R = \frac{\Gamma(\mu^+ \rightarrow e^+ \gamma)}{\Gamma(\mu^+ \rightarrow e^+ \nu \bar{\nu})} \underset{24\pi}{\approx} \underset{\text{cut-off } \Lambda \approx m_W}{\sim} 10^{-4}$$

From experiment  $R < 10^{-8}$

30

First direct proof  $\sigma_\mu \neq \sigma_e$

Brookhaven experiment (1962)

Proposed by B. Pontecorvo in 1959

If  $\sigma_\mu \neq \sigma_e$  F - G charged current

$$j^d = 2(\bar{p}_L \gamma^\mu p_L + \bar{e}_L \gamma^\mu e_L + \cancel{\bar{p}_L} \gamma^\mu \mu_L)$$

$\pi^+ \rightarrow \mu^+ \sigma_\mu$  is dominant channel  
decays of pions is the source of  $\sigma_\mu$ 's

if  $\sigma_\mu \neq \sigma_e$   $\sigma_\mu + N \rightarrow \bar{\mu}^- + X$  ( $\bar{\mu}^-$  will  
be observed)

if  $\sigma_\mu = \sigma_e$   $\sigma_\mu + N \rightarrow \bar{\mu}^- + X$  ( $\bar{\mu}^-$  and  $e^-$   
 $\sigma_\mu + N \rightarrow \bar{e}^- + X$  will be observed)

In the BNL experiment

29  $\mu$ -events were detected

6  $e^-$ -events could be explained by  
a background

$$\cancel{N_\mu} \neq N_e$$

Two conserved lepton numbers  
must be introduced

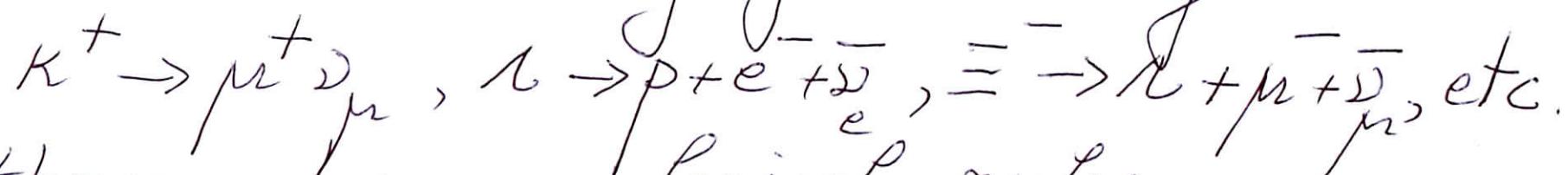
$$\begin{array}{ccccc} & & & & \\ & & & & \\ L_e & & \overset{D_e}{\cancel{e}} & & \overset{D_\mu}{\cancel{\mu}} \\ & & | & & | \\ L_\mu & & 0 & & 1 \end{array}$$

$$\sum_i L_e^{(i)} = \text{const} \quad \sum_i L_\mu^{(i)} = \text{const}$$

Strange particles were included  
in the current-current theory by  
N. Cabibbo (1963)

31a

From the study of the decays



three phenomenological rules

①  $|\Delta S| = 1 \quad \Delta S = S_f - S_i$

②  $\Delta Q = \Delta S$

③ decays of strange particles are suppressed

Rule ② can be explained if we assume that weak interaction is interaction of quarks and leptons

From  $u, d, s$  quark fields only  
two F-G charged currents can be  
built

$$2\bar{u}_L \gamma^{\mu} d_L \quad \text{and} \quad 2\bar{u}_L \gamma^{\mu} s_L$$

This last current gives  $\Delta S = 1$   
and  $\Delta Q = \Delta S$

Cabibbo introduced a parameter  $\theta_C$   
and assumed

$$j^{\mu \text{lab}} = 2(\cos \theta_C \bar{u}_L \gamma^{\mu} d_L + \sin \theta_C \bar{u}_L \gamma^{\mu} s_L)$$

from analysis of data

$$\sin \theta_C \approx 0.2 \quad (\text{suppression})$$

The Cabibbo current can be

33

$$j^{\alpha \text{ Cab}}_{\text{ewzitter}} = 2 \bar{u}_L \gamma^\alpha d_L^{n2}$$

$$d_L^{n2} = \frac{\cos \theta}{c_L} d_L + \frac{\sin \theta}{s_L} s$$

In the framework of gauge theories  
the Cabibbo current generate NC  
which changes and induce  
processes like



which were not observed

The problem was solved by GIM  
in 1970

They introduced charmed quark c 34  
and additional charged weak current

$$j^{\mu \text{ GIM}} = 2 \bar{q} \gamma^\mu q_L^m$$

$$q_L^m = -\sin \theta_C d_L + \cos \theta_C s_L$$

orthogonal to Cabibbo combination of  
 $d_L$  and  $s_L$

In 1975-77  $\tau$  and  $b$ -quark  
were discovered and CC took  
the form

$$j^\mu = 2(\bar{q} \gamma^\mu d_L^{\text{mix}} + \bar{q} \gamma^\mu s_L^{\text{mix}} + \bar{q} \gamma^\mu b_L^{\text{mix}})$$

$$d_L^{\text{mix}} = \sum_{q=d,s,b} V_{cq} q_L, s_L^{\text{mix}} = \sum_q V_{cq} q_L, b_L^{\text{mix}} = \sum_q V_{tq} q_L$$

$$V^+ V = I, \quad V \text{ is } 3 \times 3$$

Cabibbo-Kobayashi-Maskawa mixing matrix

In 1967 - 1968 Weinberg and Salam proposed SM. In 1967 Glashow proposed the gauge part of the SM. Weak and EM interactions were unified.

The SM is based on

- ① Phenomenological V-A theory
- ② Local gauge  $SU(2) \times U(1)$  invariance which requires existence of  $W^\pm, Z^0$

3. Spontaneous symmetry breaking  
(Higgs) 36.

4. As for neutrinos it was assumed  
that neutrinos are massless 2-compo-  
nent particles  
(natural assumption at that time,  
no evidence for neutrino masses)

Unification of the weak and EM  
interaction on the basis of local  
 $SU(2) \times U(1)$  group requires existence of  
 $Z^0$  and NC interaction

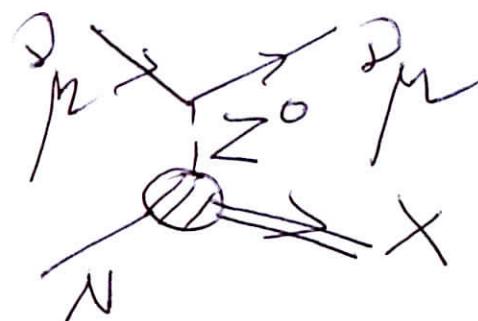
First evidence of NC was obtained  
in neutrino experiments

$$L_I^{NC} = -\frac{g}{2 \cos \theta} j_\alpha^{NC} Z^2$$

37

$$j_\alpha^{NC} = 2 j_\alpha^S - 2 \sin^2 \theta j_\alpha^Z + j_\alpha^{EM}$$

$$2 j_\alpha = \sum_{l=e,\mu,t} \bar{\ell}_l \gamma^\mu \ell_l + \dots$$



NC events were discovered in Gargamelle (CERN) in 1973

$$R_\nu = 0.21 \pm 0.03, \quad R_\tau = 0.45 \pm 0.09$$

# Neutrino masses, mixing and oscillations

38

Earliest ideas

Portecorvo 1958

Analogy between weak interaction  
of lepton and hadrons —

Looked for analogy of  $K^0 \rightleftharpoons \bar{K}^0$   
oscillations in the lepton world

$$|K^0\rangle = \frac{1}{\sqrt{2}}(|K_S\rangle + |K_L\rangle) \quad |\bar{K}^0\rangle = \frac{1}{\sqrt{2}}(|K_S\rangle - |K_L\rangle)$$

$K_S$  and  $K_L$  have different masses  
and widths

at the time  $t$

39

$$|K^0\rangle_t = g_+(t)|K^0\rangle + g_-(t)|\bar{K}^0\rangle$$

$$|\bar{K}^0\rangle_t = g_+(t)|\bar{K}^0\rangle + g_-(t)|K^0\rangle$$

$$g_{\pm}(t) = \frac{1}{2}(e^{-i\lambda_S t} \pm e^{-i\lambda_L t})$$

$$\lambda_{S,L} = m_{S,L} - \frac{i}{2}\Gamma_{S,L}$$

In 1958 only one type of neutrino was known

According to the two-component theory

$\nu_L$  and  $\bar{\nu}_L$

in vacuum transition is not possible

Pontecorvo assumed that exist

$\nu_R$  and  $\bar{\nu}_L$  (sterile neutrinos)

$L$  is not conserved.

40

(can be revealed in special experiments)

$$|\bar{D}_R\rangle = \frac{1}{\sqrt{2}}(|D_1\rangle + |D_2\rangle)$$

$$|\bar{D}_B\rangle = \frac{1}{\sqrt{2}}(|D_1\rangle - |D_2\rangle)$$

$D_1$  and  $D_2$  have different masses

oscillations  $\bar{D}_R \rightleftharpoons D_R$

at the distance  $L$

$$P(\bar{D}_R \rightarrow \bar{D}_B) = 1 - \frac{1}{2} \left( 1 - \cos \frac{\Delta m^2 L}{2E} \right)$$

$$\Delta m^2 = m_2^2 - m_1^2$$

".. the cross section of the process  
 $\bar{D}_c + p \rightarrow e^+ \nu_e$  with reactor  $\bar{D}_c$  would be  
smaller than the expected cross section

It would be extremely interesting  
to perform Reines-Condon experiment at 41.  
B.P. decided to publish the paper  
when he listen a rumor about  
"events" in Davis experiment ( $\bar{\nu}_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar}$ )

In 1967 after  $\bar{\nu}_e$  was discovered B. P.  
considered all possible transitions  
 $\bar{\nu}_p \rightarrow \bar{\nu}_e$ ,  $\bar{\nu}_p \rightarrow \bar{\nu}_{eL}$ , ...

"From observational point of view  
the ideal object is the sun.

... the flux of observable solar neutrinos  
must be two times smaller than  
the total flux"

First Davis result in 1970  
Solar neutrino puzzle was discovered

In 1969 Gribov and Pontecorvo  
oscillations without sterile  
neutrinos

42

$\varphi_{eL}$  and  $\varphi_{\mu L}$  in the Lagrangian  
but  $L_e$  and  $L_\mu$  are not conserved

$$\varphi_{eL} = \cos \theta \varphi_{1L} + \sin \theta \varphi_{2L}$$

$$\varphi_{\mu L} = -\sin \theta \varphi_{1L} + \cos \theta \varphi_{2L}$$

$\varphi_1$  and  $\varphi_2$  are fields of Majorana  
neutrinos

$$\varphi_i = \overline{\varphi}_i$$

$$P(\varphi_e \rightarrow \varphi_e) = 1 - \frac{1}{2} \sin^2 \theta \left( 1 - \cos \frac{m^2 L}{2E} \right)$$

mixing angle  $\theta$  is arbitrary  
parameter

after Cabibbo - GIM mixing of quarks 43  
was established neutrino mixing  
was proposed on the basis of  
quark-lepton analogy (1975)

$$\psi_{eL} = \cos\theta \psi_{1L} + \sin\theta \psi_{2L}$$

$$\psi_{\mu L} = -\sin\theta \psi_{1L} + \cos\theta \psi_{2L}$$

$\psi_1$  and  $\psi_2$  are Dirac particles

$$\psi_i \neq \bar{\psi}_i$$

but  $L$  is conserved

In 1962 MNS also came to  
an idea of neutrino masses  
and of mixing

Nagoya model  $\mathcal{D}\rho = (B^+_{ij})_{ij}, \dots$

MNS did not consider.  
neutrino oscillations

44

However,  $\nu_1 \rightarrow \nu_e$  "virtual transmutation"  
possible effect in BNL experiment

With appearance of GUT

neutrino masses is a signature of  
beyond the SM physics

seesaw mechanism of the generation  
of small neutrino masses was  
proposed

In the case of 3 neutrinos

$$\ell = \sum_{i=1}^3 U_{ei} \nu_i \quad \ell = e, \mu, \tau$$

$$U^\dagger U = 1, \quad U \text{ is PMNS matrix}$$

45

Many short baseline reactor and  
accelerator experiments on the  
search for neutrino experiments  
No positive indications (reactors?)

Golden years of neutrino  
oscillations

1998 - 2004

Super-Kamiokande atmospheric neutrino  
experiment

$\nu_\mu(\bar{\nu}_\mu)$  and  $\nu_e(\bar{\nu}_e)$  mainly from decays  $\pi^\pm$   
and  $\mu^\pm$

distances from 20km to 13000km

Total flux of  $\nu_\mu(\bar{\nu}_\mu)$  from below  $500 \leq L \leq 13000$  km

is about  $\frac{1}{2}$  of the total flux

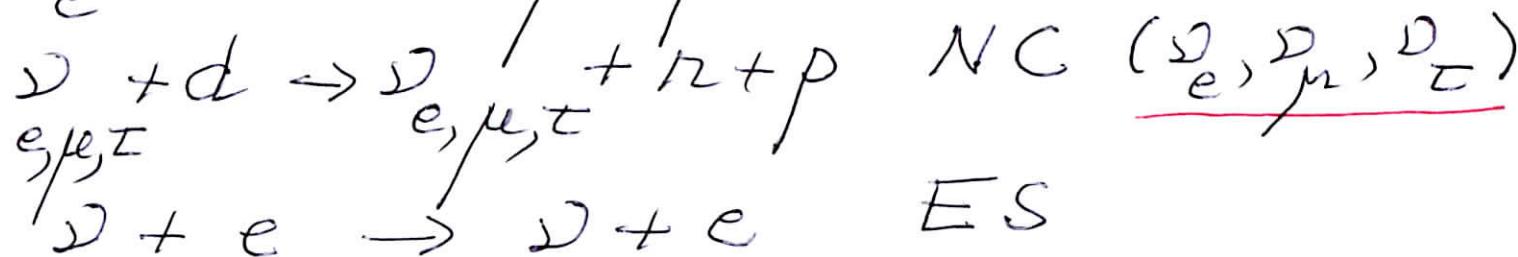
96

from above  $20 \leq L \leq 500 \text{ km}$

strong distortion of symmetric zenith angle dependence expected for no oscillation

### SNO solar neutrino experiment

Solar neutrinos are detected via observation of



$$\phi_{\bar{\nu}_e}^{\text{CC}}$$

$$\frac{\phi_{\bar{\nu}_e}^{\text{CC}}}{\phi_{\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau}^{\text{NC}}} = 0.317 \pm 0.010 \pm 0.009$$

$$\phi_{\bar{\nu}_e, \bar{\nu}_\mu, \bar{\nu}_\tau}^{\text{NC}}$$

## Reactor KamLAND experiment

47



55 reactors at the average distance  
170 km

The total number of observed events  
 $\approx 0.6$  of the expected number  
Strong distortion of  $\bar{\nu}_e$  spectrum

## K2K and MINOS accelerator experiments

$\bar{\nu}_\mu (\bar{\nu}_\tau)$  disappearance  
distortion of the spectrum

all data are perfectly described,  
by the three neutrino mixing

48

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_{\mu'}) = \left| \sum_{i=1}^3 \frac{U_{\mu i}}{U_{\mu' i}} e^{-\frac{i \Delta m^2 L}{2E}} \right|^2$$

$$\Delta m^2_{2i} = m_2^2 - m_3^2$$

Six parameters

$$\Delta m^2_{23}, \Delta m^2_{12}, \theta_{12}, \theta_{23}, \theta_{13}, \delta$$

From analysis of solar and KamLAND

data

$$\Delta m^2_{12} = (7.41^{+0.21}_{-0.19}) \times 10^{-5} \text{ eV}^2$$

$$\tan \theta_{12} = 0.446^{+0.030}_{-0.029}$$

From MINOS data

$$\Delta m^2_{23} = (2.32^{+0.12}_{-0.08}) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta_{23} > 0.90$$

From recent T2K data

$$0.03 < \sin^2 \theta_{13} < 0.28 \text{ (50% CL)}$$

$$\text{best fit } \sin^2 \theta_{13} = 0.11$$

Many open problems

what is the value of  $\theta_{13}$  ?

If relatively large as present data indicates

- CP violation in the lepton sector (phase  $\delta$ )
- character of the neutrino mass spectrum

I. Normal spectrum

$$m_1 < m_2 < m_3 \quad \Delta m_{12}^2 < \Delta m_{23}^2$$

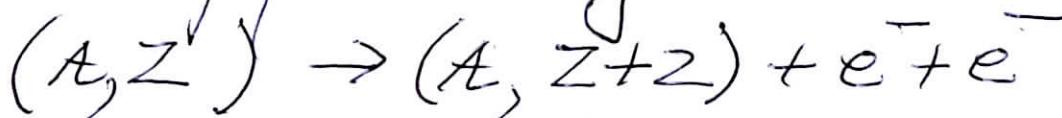
II Inverted spectrum

$$m_3 < m_1 < m_2 \quad \Delta m_{12}^2 < |\Delta m_{13}^2|$$

Are neutrinos with definite masses  
Dirac or Majorana particles?

50

Possible to answer by observation of  
(0 $\nu$ ) $\beta\beta$  decay



Matrix element is proportional  
to

$$m_{\beta\beta} = \sum_i U_{ei}^{Z'} m_i$$

The most stringent limit for



$$T_{1/2} > 1.9 \cdot 10^{25} \text{ years}$$

$$|m_{\beta\beta}| < (0.20 - 0.35) \text{ eV}$$

In future

$$^{112}_{\beta\beta} \text{In a few. } 10^{-2}$$

what are the values of  $m_i$ ?

Mainz and Troitsk tritium experiment

$$m_{\beta} < 2.3 \text{ eV}$$

$$m_{\beta} = \sqrt{k_B T^2 m_i^2}$$

Katrin  $m_i \approx 0.2 \text{ eV}$

future cosmology  $\Sigma m_i \approx (0.05 - 0.6) \text{ eV}$

How many  $\nu$  exist in nature?

If more than 3 sterile neutrinos  
Indications

Superluminous neutrinos?