

# Heavy Quarks on the lattice



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Lectures at HISS school, September 2011

# Plan

## Introduction

Naive HQ on the lattice

## Continuum HQET

Action

Propagator

Symmetries

Renormalizability

## Lattice HQET

Action

Propagator

## Renormalization and matching

Perturbative matching

## Structure of the $1/M$ expansion

Toy model

HQET at order  $1/m$

## Non-perturbative HQET

Tests

Non-perturbative matching

Large volume

## Some results

[[arXiv:1008.0710](https://arxiv.org/abs/1008.0710)]

and Les Houches school 2009

Oxford University Press

look there for more references

# Introduction: Particle Physics

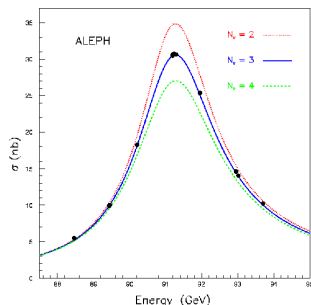
- ▶ **Observations** ( $e, \mu, \dots Z, \dots t$ , Lorenz invariance ... )
  - + Principles (Unitarity, Causality, **Renormalizability**)
  - + theory calculations including lattice QCD (spectrum,  $F_\pi$ )
- ▶ **Standard Model of Particle Physics**
  - local Quantum Field Theory (gauge theory)
  - QED + Salam-Weinberg + QCD + GR

# Introduction: the successfull Standard Model

- ▶ QED + Salam–Weinberg + QCD
- ▶ very constrained: 3 coupling constants
  
- ▶ enormous predictivity

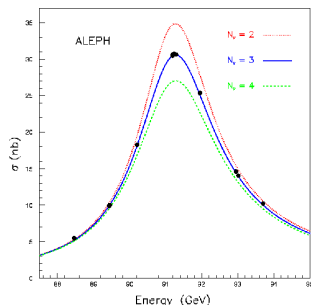
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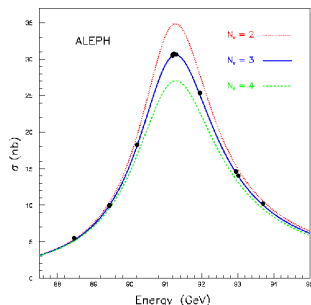
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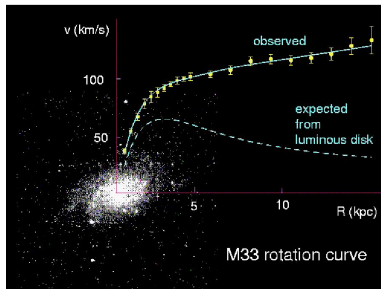
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- ▶ + masses of elementary fields + CKM-matrix
- ▶ enormous predictivity
- ▶ top mass from loops = top mass from Tevatron
- ▶ too successful (all particle physics experiments match)



# Introduction: the incomplete Standard Model

But from other sources we know that there are missing pieces

- ▶ dark matter
- ▶ too little CP-violation for the observed matter / antimatter asymmetry



- ▶ There is an intense search for deviations from the Standard Model in particle physics experiments





# High Intensity Frontier

Less tested interactions: quark-flavour changing interactions

$$\mathcal{L}_{\text{int}} = \dots g_{\text{weak}} W_{\mu}^{+} \bar{U} \gamma_{\mu} (1 - \gamma_5) D' \dots$$

► B-decays

$$D' = \underbrace{\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}}_{\text{weak int.}} = V_{\text{CKM}} \underbrace{\begin{pmatrix} d \\ s \\ b \end{pmatrix}}_{\text{strong int.}} = V_{\text{CKM}} D$$

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Confinement:  $V_{ij}$  are *not* directly measurable.

QCD matrix elements (or assumptions/approximations) are needed.

# b to u transitions

► “clean” transitions:  $B = b\bar{u} \rightarrow W \rightarrow l\nu$

1. inclusive:  $B \rightarrow X_u l\nu$

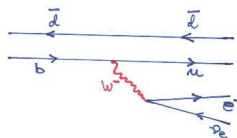
optical theorem + heavy quark expansion

→ perturbatively calculable: (accuracy?)

double expansion in  $\alpha_s(m_b) \approx 0.2$ ,  $\Lambda_{QCD}/m_b \approx 0.1$

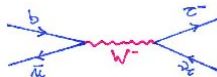
2. semileptonic:  $B \rightarrow \pi l\nu$

(three-body, form factor)



3. leptonic:  $B \rightarrow l\nu$

(decay constant)



## b to u transitions

- ▶  $V_{ub}$  “puzzle”

# b to u transitions

## ► $V_{ub}$ “puzzle”

G. Isidori – Quark flavour mixing with right-handed currents

Euroflavour2010, Munich

### ► Motivation

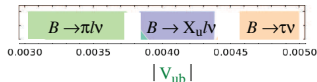
Exp. side: RH currents provide a natural solution to the “ $V_{ub}$  puzzle”

$$B(B \rightarrow \pi l\nu) \propto |V_{ub}|^2$$

$$B(B \rightarrow \tau\nu) \propto |V_{ub}|^2$$

$$B(B \rightarrow X_u l\nu) \propto |V_{ub}|^2$$

Within  
SM



# b to u transitions

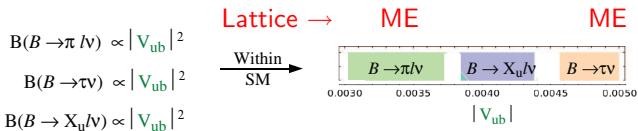
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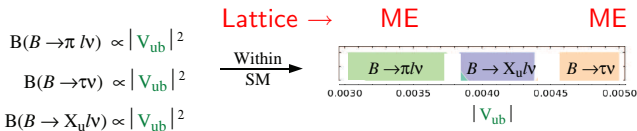
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- Precise & reliable lattice calculations are needed to check whether such puzzles are for real or others are there.

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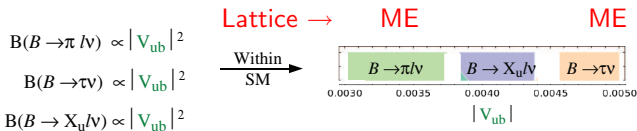
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- Precise & reliable lattice calculations are needed to check whether such puzzles are for real or others are there.
- $V_{ub}$  is one example. Others such as  $B\bar{B}$  oscillations. . . .

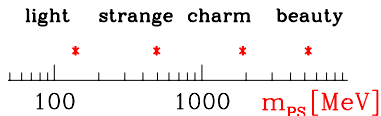


# The challenge of B-physics on the lattice

multiple scale problem

always difficult

for a numerical treatment

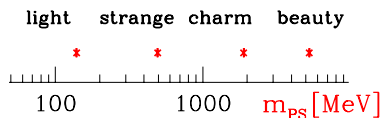


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$$\Lambda_{UV} = a^{-1}$$

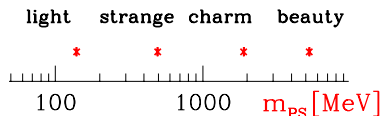
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$$\Lambda_{UV} = a^{-1}$$

$$\Lambda_{IR} = L^{-1}$$

$$L^{-1} \ll m_{\pi}, \dots, m_D, m_B \ll a^{-1}$$

$$O(e^{-Lm_{\pi}})$$

↓

$$L \gtrsim 4/m_{\pi} \sim 6 \text{ fm}$$

$$m_D a \lesssim 1/2$$

↓

$$a \approx 0.05 \text{ fm}$$

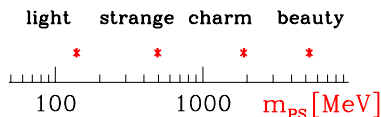
$$L/a \gtrsim 120$$

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beauty not yet accomodated: we'll discuss what to do

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cutoff effects = discretization errors = lattice artefacts

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$$E^2 = m^2 + \mathbf{p}^2$$

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Dispersion relation, free fermion, Wilson discretization
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$$E^2 = m^2 + \mathbf{p}^2 + O(a^2)$$



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Dispersion relation, free fermion, Wilson discretization
- ▶ lattice

$$E^2 = m^2 + \mathbf{p}^2 + O(a^2)$$

↑

enhanced by  $m \gg |\mathbf{p}|$

## Dispersion relation

- Wilson fermion action

$$[\partial_\mu^* f(x) = \frac{1}{a}(f(x) - f(x - a\hat{\mu}))]$$

$$\begin{aligned} S_{\text{lat}} &= a^4 \sum_x \bar{\psi}(x) \left\{ m_0 + \frac{\partial_\mu + \partial_\mu^*}{2} \gamma_\mu - a \frac{\partial_\mu^* \partial_\mu}{2} \right\} \psi(x) \\ &= \int_{-\pi/a}^{\pi/a} d^4 p \tilde{\psi}(p) \left\{ m_0 + i\tilde{p}_\mu \gamma_\mu + \frac{a}{2} \hat{p}^2 \right\} \tilde{\psi}(p) \end{aligned}$$

$$\tilde{p}_\mu = \frac{1}{a} \sin(p_\mu a), \quad \hat{p}^2 = \sum_\mu (\hat{p}_\mu)^2, \quad \hat{p}_\mu = \frac{2}{a} \sin\left(\frac{ap_\mu}{2}\right)$$

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- propagator

$$G_W(p) = \frac{(m_0 + \frac{a}{2} \hat{p}^2) - i\tilde{p}_\mu \gamma_\mu}{(m_0 + \frac{a}{2} \hat{p}^2)^2 + \tilde{p}^2}$$

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# Dispersion relation

Transfer matrix representation for euclidean correlation functions,  $\langle . \rangle$ :  
 – generally

$$\langle O(x_0)O(0) \rangle \stackrel{x_0 \rightarrow \infty}{\sim} B e^{-E x_0}$$

– here (free theory) expect

$$\begin{aligned} G(x_0, \mathbf{p}) &= \langle \tilde{\psi}_\alpha(x_0, \mathbf{p}) \tilde{\psi}_\beta(0, -\mathbf{p}) \rangle \\ &= B_{\alpha\beta} e^{-E x_0}, \quad \tilde{\psi}_\alpha(x_0, \mathbf{p}) = a^3 \sum_{\mathbf{x}} \psi_\alpha(\mathbf{x}) e^{i\mathbf{p}\mathbf{x}} \end{aligned}$$

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$$G_W(x_0, \mathbf{p}) = \int_{-\pi/a}^{\pi/a} d p_0 e^{i p_0 x_0} G_W(p)$$

# Dispersion relation

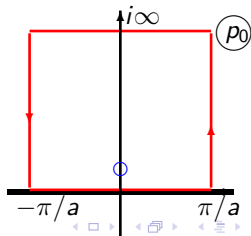
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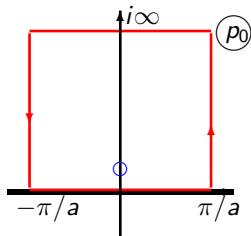
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# Dispersion relation



$$G_W(x_0, \mathbf{p}) = \int_{-\pi/a}^{\pi/a} dp_0 e^{ip_0 x_0} G_W(p)$$

$E(\mathbf{p})$  from  $G_W(p)^{-1} = 0$  :  $\Downarrow$  **Exercise**

$$2 \cosh(Ea) = \frac{1 + a^2 \tilde{\mathbf{p}}^2}{A} + A, \quad A = 1 + am_0 + \frac{a^2}{2} \hat{\mathbf{p}}^2$$

Interpretation:

1. Renormalization (in the free theory!)

$$\mathbf{p} = 0: e^{aE} + e^{-aE} = A + \frac{1}{A} \rightarrow E = \frac{1}{a} \log(1 + am_0) \equiv m_R$$

$$\text{Now expand in } a: 2 \cosh(Ea) = 2 + a^2 E^2 + \frac{1}{12} a^4 E^4 + \dots$$



# Dispersion relation

$\log(1 + am_0) \equiv m_R$  and expand in  $a$ :

$$2 \cosh(Ea) = 2 + a^2 E^2 + \frac{1}{12} a^4 E^4 + \dots$$

find:

$$E^2 = \mathbf{p}^2 + m_R^2 - \left( \frac{1}{3} \mathbf{p}^4 + \frac{2}{3} m_R^2 \mathbf{p}^2 + \frac{1}{3} \sum_k p_k^4 \right) a^2 + O(a^4)$$

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cutoff effects are

- ▶ enhanced by large  $m_R$
- ▶  $O(a^2)$  (in the free Wilson theory automatically)
- ▶ break  $O(3)$  symmetry (not  $H(3)$ )

# Dispersion relation

Numerical example, relevant for B-physics:

► Wilson discretization

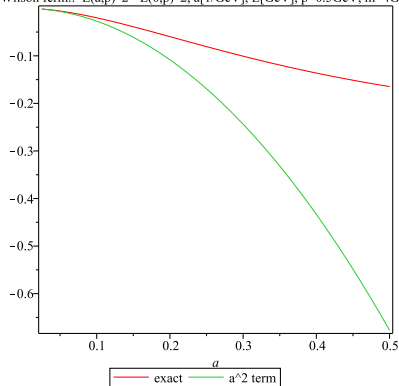
$$|\mathbf{p}| = 0.5\text{GeV}$$

$$a = \frac{1}{2\text{GeV}} \cdots \frac{1}{4\text{GeV}}$$

$$m_R = 4\text{GeV}$$

units: GeV

Wilson ferm.:  $E(a,p)^2 - E(0,p)^2$ ,  $a[1/\text{GeV}]$ ,  $E[\text{GeV}]$ ,  $p=0.5\text{GeV}$ ,  $m=4\text{G}$



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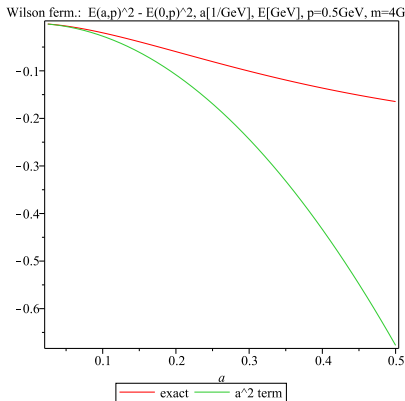
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- ▶ asymptotics ( $a^2$ -behavior needs  $am < 1/2$ )
- ▶  $am = 1/2 \dots 1/4$  needed; therefore: **charm: yes, beauty: no**

# Relativistic heavy quark action

[El Khadra, Kronfeld, Mackenzie; Aoki, Kuramashi; Christ, Li, Lin]

$$S_{\text{lat}} = a^4 \sum_x \bar{\psi}(x) \left\{ m_0 + \frac{\partial_0 + \partial_0^*}{2} \gamma_0 + \xi \frac{\partial_k + \partial_k^*}{2} \gamma_k - a \frac{\partial_\mu^* \partial_\mu}{2} \right\} \psi(x)$$

$$\xi = \xi(am_0)$$

►  $|\mathbf{p}| = 0.5 \text{ GeV}$

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$$E^2 = \mathbf{p}^2 + m_R^2$$

$$-\left(\frac{1}{3} \mathbf{p}^4 + \frac{1}{3} \sum_k p_k^4\right) a^2$$

$$+ O(a^4)$$

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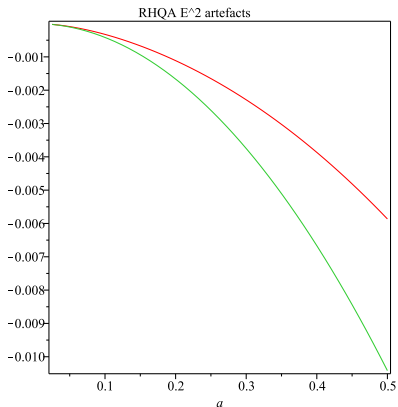
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# Options to do B-physics on the lattice

- ▶ “relativistic heavy quark actions”
- ▶ extrapolations in the quark mass
- ▶ effective theories: expansions in  $\Lambda/m_b$

Heavy Quark Effective Theory

Nonrelativistic QCD

# Options to do B-physics on the lattice

- ▶ “relativistic heavy quark actions”  
consistent beyond tree-level?  
at the non-perturbative level?
- ▶ extrapolations in the quark mass
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# Options to do B-physics on the lattice

- ▶ “relativistic heavy quark actions”  
consistent beyond tree-level?  
at the non-perturbative level?
- ▶ extrapolations in the quark mass  
need continuum limit before the extrapolation
- ▶ effective theories: expansions in  $\Lambda/m_b$

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## Non-perturbative HQET

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## Some results

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look there for more references

# On continuum HQET

Want to describe hadrons with a single very heavy quark  
e.g. a B-meson

like a hydrogen atom

hydrogen atom	:	heavy proton	+	light electron
B-meson	:	heavy b-quark	+	light anti-quark
b-baryons	:	heavy b-quark	+	two light quarks

...  
 $m_b \rightarrow \infty$

- ▶ Rest-frame of  $B \leftrightarrow$  rest-frame of  $b$  (quark)
- ▶ antiquarks can't be created

$$D_k \psi = 0$$

# On continuum HQET

Dirac Lagrangian:

$$\bar{\psi}\{D_\mu\gamma_\mu + m\}\psi \xrightarrow{D_k\psi=0} \bar{\psi}\{D_0\gamma_0 + m\}\psi = \mathcal{L}_h^{\text{stat}} + \underbrace{\mathcal{L}_{\bar{h}}^{\text{stat}}}_{\text{anti-quark}}$$

$$\mathcal{L}_h^{\text{stat}} = \bar{\psi}_h(m + D_0)\psi_h, \quad P_+\psi_h = \psi_h, \quad \bar{\psi}_h P_+ = \bar{\psi}_h, \quad P_\pm = \frac{1 \pm \gamma_0}{2}$$

Corrections

by treating  $D_k\gamma_k$  perturbatively:  $D_k\psi \ll m\psi$

Couple quark and anti-quark fields.

# “Deriving” the form of the continuum HQET Lagrangian

Decouple by Foldy Wouthuysen-Tani (FTW) transformations

$$\psi \rightarrow \psi' = e^{S'} e^S \psi, \quad S = \frac{1}{2m} D_k \gamma_k = -S^\dagger, \quad S' = \frac{1}{4m^2} \gamma_0 \gamma_k F_{k0}$$

$$\bar{\psi} \rightarrow \bar{\psi}' = \bar{\psi} e^S e^{S'}$$

... rename  $\psi' \rightarrow \psi$

$$\mathcal{L} = \mathcal{L}_h^{\text{stat}} + \frac{1}{2m} \mathcal{L}_h^{(1)} + \bar{\mathcal{L}}_h^{\text{stat}} + \frac{1}{2m} \bar{\mathcal{L}}_h^{(1)} + \mathcal{O}\left(\frac{1}{m^2}\right)$$

$$\mathcal{L}_h^{(1)} = -(\mathcal{O}_{\text{kin}} + \mathcal{O}_{\text{spin}}), \quad \bar{\mathcal{L}}_h^{(1)} = -(\bar{\mathcal{O}}_{\text{kin}} + \bar{\mathcal{O}}_{\text{spin}}),$$

$$\mathcal{O}_{\text{kin}}(x) = \bar{\psi}_h(x) \mathbf{D}^2 \psi_h(x), \quad \mathcal{O}_{\text{spin}}(x) = \bar{\psi}_h(x) \boldsymbol{\sigma} \cdot \mathbf{B}(x) \psi_h(x),$$

$$\sigma_k = \frac{1}{2} \epsilon_{ijk} \sigma_{ij}, \quad B_k = i \frac{1}{2} \epsilon_{ijk} F_{ij},$$

# Comments on the “Derivation”

- ▶ It is classical: in a path integral  $D_k\psi \ll m\psi$  is not satisfied. All momentum components are integrated over.
- ▶ Have not “integrated out any components”
- ▶ The derivation is order by order in  $1/m$
- ▶ We now have the **classical effective Lagrangian**. Its renormalization could need more terms.  
→ to be discussed.

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- ▶ Have not “integrated out any components”
- ▶ The derivation is order by order in  $1/m$
- ▶ We now have the **classical effective Lagrangian**. Its renormalization could need more terms.  
→ to be discussed.
- ▶ Lagrangian for a b-hadron at rest.  
 $B \rightarrow l\nu, B \rightarrow \pi l\nu, B \leftrightarrow \bar{B}, \dots:$       ok

# The static quark propagator

The propagator  $G_h(x, y)$  satisfies

$$(\partial_{x_0} + A_0(x) + m)G_h(x, y) = \delta(x - y) P_+$$

Solution

$$G_h(x, y) = \theta(x_0 - y_0) \exp(-m(x_0 - y_0)) \delta(\mathbf{x} - \mathbf{y}) P_+ \cdot \mathcal{P} \exp \left\{ - \int_{y_0}^{x_0} dz_0 A_0(z_0, \mathbf{x}) \right\}$$

$\mathcal{P}$ : path ordering

- ▶ explicit solution (check it as an exercise)
- ▶  $\delta(\mathbf{x} - \mathbf{y})$ : static



# Mass dependence

$$G_h(x, y) = \theta(x_0 - y_0) \exp(-m(x_0 - y_0)) \delta(\mathbf{x} - \mathbf{y}) P_+ \cdot \mathcal{P} \exp \left\{ - \int_{y_0}^{x_0} dz_0 A_0(z_0, \mathbf{x}) \right\}$$

explicit factor  $\exp(-m|x_0 - y_0|)$  for any gauge field  
also after path integration over the gauge fields

$$C_h(x, y; m) = C_h(x, y; 0) \exp(-m(x_0 - y_0)).$$

example:

$$C_h^{\text{PP}}(x, y; m) = \langle \bar{\psi}_1(x) \gamma_5 \psi_h(x) \bar{\psi}_h(y) \gamma_5 \psi_1(y) \rangle,$$

$\psi_1(x)$ : a light-quark fermion field

- ▶ remove  $m$  from Lagrangian

$$\mathcal{L}_h^{\text{stat}} = \bar{\psi}_h (D_0 + \epsilon) \psi_h$$

$$\mathcal{L}_{\bar{h}}^{\text{stat}} = \bar{\psi}_{\bar{h}} (-D_0 + \epsilon) \psi_{\bar{h}}$$

- ▶ all energies are shifted by  $m$

$$E_{h/\bar{h}}^{\text{QCD}} = E_{h/\bar{h}}^{\text{stat}} + m$$

# Heavy Quark Symmetries

## 1. Flavor

$F$  heavy quarks

$$\begin{aligned}\psi_h &\rightarrow \psi_h = (\psi_{h1}, \dots, \psi_{hF})^T \\ \mathcal{L}_h^{\text{stat}} &= \bar{\psi}_h (D_0 + \epsilon) \psi_h.\end{aligned}$$

symmetry

$$\psi_h(x) \rightarrow V \psi_h(x), \quad \bar{\psi}_h(x) \rightarrow \bar{\psi}_h(x) V^\dagger, \quad V \in \text{SU}(F)$$

emerges as ( $F = 2$ )

$$m_b - m_c = c \times \Lambda_{\text{QCD}}, \quad \text{or} \quad m_b/m_c = c', \quad m_b \rightarrow \infty$$

$c$  or  $c'$  fixed when taking  $m_b \rightarrow \infty$

# Heavy Quark Symmetries

## 2. Spin

$$\psi_h(x) \rightarrow e^{i\alpha_k \sigma_k} \psi_h(x), \quad \bar{\psi}_h(x) \rightarrow \bar{\psi}_h(x) e^{-i\alpha_k \sigma_k},$$

$$\sigma_k = \frac{1}{2} \epsilon_{ijk} \sigma_{ij} \equiv \begin{pmatrix} \sigma_k & 0 \\ 0 & \sigma_k \end{pmatrix},$$

in Dirac representation where

$$\gamma_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad P_+ = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \quad P_- = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}.$$

# Heavy Quark Symmetries

## 3. Local Flavor-number

$$\psi_h(\mathbf{x}) \rightarrow e^{i\eta(\mathbf{x})} \psi_h(\mathbf{x}), \quad \bar{\psi}_h(\mathbf{x}) \rightarrow \bar{\psi}_h(\mathbf{x}) e^{-i\eta(\mathbf{x})},$$

is a symmetry for any local phase  $\eta(\mathbf{x})$ .

For **every point  $\mathbf{x}$**  there is a corresponding Noether charge

$$Q_h(\mathbf{x}) = \bar{\psi}_h(\mathbf{x}) \psi_h(\mathbf{x}) [= \bar{\psi}_h(\mathbf{x}) \gamma_0 \psi_h(\mathbf{x})]$$

$$\partial_0 Q_h(\mathbf{x}) = 0 \quad \forall \mathbf{x}$$

$Q_h(\mathbf{x})$ : local (heavy) Flavor number

- ▶ All heavy quark symmetries are broken at order  $1/m$ .  
But it is essential to have them at the lowest order.

## Summary first lecture

- ▶ B-physics is interesting for searching for deviations from the standard model
- ▶  $m_b \ll a^{-1}$  impossible to realize for a while to come
- ▶ Expansion in  $\Lambda/m_b \sim 1/10$
- ▶ Lowest order Term

$$\mathcal{L}_h^{\text{stat}} = \bar{\psi}_h (D_0 + \epsilon) \psi_h, \quad P_+ \psi_h = \psi_h$$

$m_b$  scale is removed

- ▶ Symmetries
  - $SU(2)$  Flavor (for  $m_b \rightarrow \infty$  and  $m_c \rightarrow \infty$ )
  - spin symmetry
  - local flavor number

# Renormalizability of the static theory

$$\mathcal{L}_h^{\text{stat}} = \bar{\psi}_h(D_0 + \epsilon)\psi_h$$

- ▶ local Lagrangian with field

$$\mathcal{O}_1(x) = \bar{\psi}_h(x)D_0\psi_h(x), \quad [\mathcal{O}_1] = 4$$

- ▶ standard wisdom: renormalized by adding all local fields with  $[\mathcal{O}_j] \leq 4$

$$\mathcal{O}_2(x) = \bar{\psi}_h(x)\psi_h(x), \quad [\mathcal{O}_2] = 3$$

no other fields compatible with the symmetries

- ▶ complete renormalized Lagrangian

$$\mathcal{L}_h^{\text{stat}} = \bar{\psi}_h(D_0 + \delta m + \epsilon)\psi_h$$

$$\delta m = (e_1 g_0^2 + e_2 g_0^4 + \dots) \Lambda_{\text{cut}}$$

- ▶  $\delta m$  given for massless light quarks
- ▶  $1 \times \mathcal{O}_1(x)$  possible by choosing wave function renormalization
- ▶ Energies of *any state* are

$$E_{h/\bar{h}}^{\text{QCD}} = E_{h/\bar{h}}^{\text{stat}} \Big|_{\delta m=0} + \delta m + m = E_{h/\bar{h}}^{\text{stat}} \Big|_{\delta m=0} + m_{\text{bare}}$$

# Renormalizability of the static theory

Note: none of this is proven (e.g. to all orders of PT),  
but

- ▶ worked out in PT so far
- ▶ NP tests (later)

# Predictions (if charm is heavy enough)

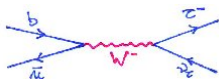
$$E_{h/\bar{h}}^{\text{QCD}} = E_{h/\bar{h}}^{\text{stat}} \Big|_{\delta m=0} + \delta m + m_f = E_{h/\bar{h}}^{\text{stat}} \Big|_{\delta m=0} + m_{\text{bare}}^f$$

Considering different levels (e.g. radial excitations or different angular momentum):

$$E'_b - E_b = E'_c - E_c + O(\Lambda_{\text{QCD}}/m_c)$$

Also predictions for decays:

$$B \rightarrow \tau \bar{\nu}_\tau$$



$$\begin{aligned} \text{amplitude} &\propto \langle 0 | \bar{u}(x) \gamma_\mu \gamma_5 b(x) | B(p) \rangle = p_\mu e^{ipx} F_B \\ \mathbf{p} = 0 : &\quad \langle 0 | \bar{u}(0) \gamma_0 \gamma_5 b(0) | B(p) \rangle = m_B F_B \end{aligned}$$



# Normalization of states, scaling of decay constants

relativistic normalization of states

$$\langle \mathbf{p} | \mathbf{p}' \rangle_{\text{rel}} = (2\pi)^3 2E(\mathbf{p}) \delta(\mathbf{p} - \mathbf{p}').$$

The factor  $E(\mathbf{p})$  introduces a spurious mass-dependence.

non-relativistic normalization is

$$\begin{aligned} \langle \mathbf{p} | \mathbf{p}' \rangle_{\text{NR}} &\equiv \langle \mathbf{p} | \mathbf{p}' \rangle = 2(2\pi)^3 \delta(\mathbf{p} - \mathbf{p}') \\ |\mathbf{p}\rangle_{\text{rel}} &= \sqrt{E(\mathbf{p})} |\mathbf{p}\rangle. \end{aligned}$$

To lowest order in  $1/m_b$  the FTW transformation is trivial:

$$\begin{aligned} A_0^{\text{HQET}}(x) &= A_0^{\text{stat}}(x) + O(1/m_b), \quad A_0^{\text{stat}}(x) = \bar{u}(x) \gamma_0 \gamma_5 \psi_h(x). \\ \rightarrow \langle 0 | A_0^{\text{stat}}(0) | B^-(\mathbf{p} = 0) \rangle &= \underbrace{\Phi^{\text{stat}}}_{\text{mass-independent}} \\ \Phi^{\text{stat}} &= m_B^{-1/2} p_0 F_B = m_B^{1/2} F_B = m_D^{1/2} F_D \end{aligned}$$

in the limit  $m_b \rightarrow \infty, m_c \rightarrow \infty$ ; rather doubtful for charm.  
also up to logarithmic corrections (see later)

## Static action on the lattice

- ▶ no chiral symmetry for a static quark
- ▶ discretize à la Wilson (with  $r = 1$ )

$$D_0 \gamma_0 \rightarrow \frac{1}{2} \{ (\nabla_0 + \nabla_0^*) \gamma_0 - a \nabla_0^* \nabla_0 \},$$

$$(\nabla_\mu^* \psi(x) = \frac{1}{a} [\psi(x) - \psi(x - a\hat{\mu})], \quad \nabla_\mu \psi(x) = \frac{1}{a} [\psi(x + a\hat{\mu}) - \psi(x)])$$

with  $P_+ \psi_h = \psi_h$ ,  $P_- \psi_{\bar{h}} = \psi_{\bar{h}}$ , get lattice identities

$$D_0 \psi_h(x) = \nabla_0^* \psi_h(x), \quad D_0 \psi_{\bar{h}}(x) = \nabla_0 \psi_{\bar{h}}(x).$$

- ▶ convenient normalization factor  $\rightarrow$

$$\mathcal{L}_h = \frac{1}{1 + a\delta m} \bar{\psi}_h(x) [\nabla_0^* + \delta m] \psi_h(x),$$

$$\mathcal{L}_{\bar{h}} = \frac{1}{1 + a\delta m} \bar{\psi}_{\bar{h}}(x) [-\nabla_0 + \delta m] \psi_{\bar{h}}(x).$$

# Static action on the lattice

The following points are worth noting.

- ▶ Formally, this is just a one-dimensional Wilson fermion replicated for all space points  $\mathbf{x}$
- ▶ No doubler modes
- ▶ Positive hermitian transfer matrix for Wilson fermions can be taken over
- ▶ The choice of the backward derivative for the quark and the forward derivative for the anti-quark is selected by the Wilson term. Selects forward/backward propagation; an  $\epsilon$ -prescription is not needed
- ▶ First written down by Eichten and Hill.
- ▶ **Preserves all the continuum heavy quark symmetries**

# Propagator

$$\frac{1}{1 + a \delta m} (\nabla_0^* + \delta m) G_h(x, y) = \delta(x - y) P_+ \equiv a^{-4} \prod_{\mu} \delta_{\frac{x_{\mu}}{a}} \delta_{\frac{y_{\mu}}{a}} P_+ .$$

Writing

$$G_h(x, y) = g(n_0, k_0; \mathbf{x}) \delta(\mathbf{x} - \mathbf{y}) P_+, \quad x_0 = a n_0, \quad y_0 = a k_0$$

simple recursion for  $g(n_0 + 1, k_0; \mathbf{x})$  in terms of  $g(n_0, k_0; \mathbf{x})$   
solution

$$\begin{aligned} g(n_0, k_0; \mathbf{x}) &= \theta(n_0 - k_0) (1 + a \delta m)^{-(n_0 - k_0)} \mathcal{P}(y, x; 0)^\dagger, \\ \mathcal{P}(x, x; 0) &= 1, \quad \mathcal{P}(x, y + a \hat{0}; 0) = \mathcal{P}(x, y; 0) U(y, 0), \end{aligned}$$

where

$$\theta(n_0 - k_0) = \begin{cases} 0 & n_0 < k_0 \\ 1 & n_0 \geq k_0. \end{cases}$$

$$\begin{aligned} G_h(x, y) &= \theta(x_0 - y_0) \delta(\mathbf{x} - \mathbf{y}) \exp(-\widehat{\delta m}(x_0 - y_0)) \mathcal{P}(y, x; 0)^\dagger P_+, \\ \widehat{\delta m} &= \frac{1}{a} \ln(1 + a \delta m). \end{aligned}$$

# Propagator

$$G_h(x, y) = \theta(x_0 - y_0) \delta(\mathbf{x} - \mathbf{y}) \exp(-\widehat{\delta m}(x_0 - y_0)) \mathcal{P}(y, x; 0)^\dagger P_+,$$

$$\widehat{\delta m} = \frac{1}{a} \ln(1 + a\delta m).$$

- ▶  $\theta(0) = 1$  for the lattice  $\theta$ -function
- ▶ mass counter term  $\delta m$  just yields an energy shift on the lattice:

$$E_{h/\hbar}^{\text{QCD}} = E_{h/\hbar}^{\text{stat}} \Big|_{\delta m=0} + m_{\text{bare}}, \quad m_{\text{bare}} = \widehat{\delta m} + m.$$

the **split** between  $\delta m$  and the finite  $m$  is **convention dependent**

# Symanzik analysis of cutoff effects

I do not derive it here ... result:

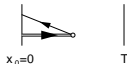
- ▶ automatic  $O(a)$  improvement of the action, energy levels  
 $O(a^2)$  cutoff effects
- ▶  $O(a)$  improvement term for currents, eg.


$$\begin{aligned} A_0^{\text{stat}} &= \bar{\psi}_1 \gamma_0 \gamma_5 \psi_h \\ (A_R^{\text{stat}})_0 &= Z_A^{\text{stat}} (A_0^{\text{stat}} + a c_A^{\text{stat}}(g_0) \delta A_0^{\text{stat}}) \end{aligned}$$


irrespective of the light-quark action

- ▶ other (components) of currents, similarly

# Numerical test of the renormalizability

$$f_A^{\text{stat}}(x_0, \theta) = -\frac{a^6}{2} \sum_{\mathbf{y}, \mathbf{z}} \langle (A_I^{\text{stat}})_0(\mathbf{x}) \bar{\zeta}_h(\mathbf{y}) \gamma_5 \zeta_1(\mathbf{z}) \rangle \quad :$$


$$f_1^{\text{stat}}(\theta) = -\frac{a^{12}}{2L^6} \sum_{\mathbf{u}, \mathbf{v}, \mathbf{y}, \mathbf{z}} \langle \bar{\zeta}_1'(\mathbf{u}) \gamma_5 \zeta_h'(\mathbf{v}) \bar{\zeta}_h(\mathbf{y}) \gamma_5 \zeta_1(\mathbf{z}) \rangle \quad :$$


$$f_1^{\text{hh}}(x_3, \theta) = -\frac{a^8}{2L^2} \sum_{x_1, x_2, \mathbf{y}, \mathbf{z}} \langle \bar{\zeta}_h'(\mathbf{x}) \gamma_5 \zeta_h'(\mathbf{0}) \bar{\zeta}_h(\mathbf{y}) \gamma_5 \zeta_h(\mathbf{z}) \rangle \quad :$$


In a Schrödinger functional .  
 Double lines are static quark propagators.  
 $\theta$  angle in spatial BC's.

Renormalization according to standard wisdom

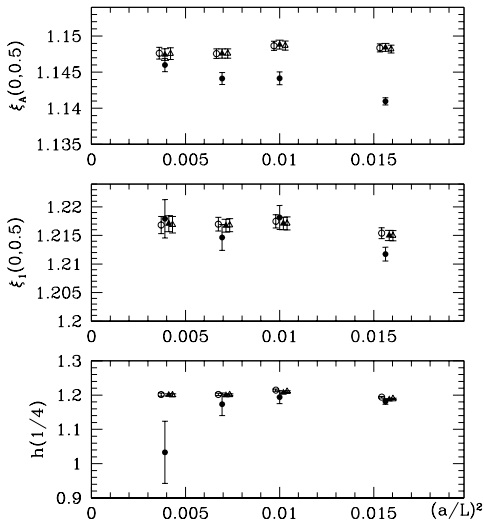
$$[f_A^{\text{stat}}]_{\text{R}} = Z_A^{\text{stat}} Z_{\zeta_h} Z_{\zeta} f_A^{\text{stat}}, \quad [f_1^{\text{stat}}]_{\text{R}} = Z_{\zeta_h}^2 Z_{\zeta}^2 f_1^{\text{stat}}, \quad [f_1^{\text{hh}}]_{\text{R}} = Z_{\zeta_h}^4 f_1^{\text{hh}}.$$

# Numerical test of the renormalizability [Della Morte, Shindler, S., 2005]

$$\xi_A(\theta, \theta') = \frac{f_A^{\text{stat}}(T/2, \theta)}{f_A^{\text{stat}}(T/2, \theta')},$$

$$\xi_1(\theta, \theta') = \frac{f_1^{\text{stat}}(\theta)}{f_1^{\text{stat}}(\theta')},$$

$$h(d/L, \theta) = \frac{f_1^{\text{hh}}(d, \theta)}{f_1^{\text{hh}}(L/2, \theta)}.$$





# Beyond the classical theory: Renormalization and Matching

a matrix element of  $A_0$ :

QCD	HQET in static approx.
$Z_A \langle f   A_0(x)   i \rangle_{\text{QCD}}$ $\Phi^{\text{QCD}}(m)$	$Z_A^{\text{stat}}(\mu) \langle f   A_0^{\text{stat}}(x)   i \rangle_{\text{stat}}$ $\Phi(\mu)$

- ▶  $m$ : mass of heavy quark (b) in some definition  
(all other masses zero for simplicity)
- ▶  $\mu$ : arbitrary renormalization scale
- ▶ matching (equivalence):

$$\begin{aligned} \Phi^{\text{QCD}}(m) &= \tilde{C}_{\text{match}}(m, \mu) \times \Phi(\mu) + \mathcal{O}(1/m) \\ \tilde{C}_{\text{match}}(m, \mu) &= 1 + \underbrace{c_1(m/\mu)}_{\gamma_0 \log(\mu/m) + \text{const.}} \bar{g}^2(\mu) + \dots \\ &= \end{aligned}$$

$M, \Lambda, \Phi_{\text{RGI}}$ : Renormalization Group Invariants

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$M, \Lambda, \Phi_{\text{RGI}}$ : Renormalization Group Invariants

# The nature of the expansion

- ▶ QFT  $\rightarrow$  divergencies,  $\log(M)$
- ▶ Strongly interacting:
  - non-perturbative in  $\alpha$  – perturbative in  $1/M$
- ▶ A **toy model** with the essential features

$$\Phi^{\text{QCD}}(\beta) = \underbrace{C(M/\Lambda)} \Phi_0(\beta) + \frac{c_1}{M} \Phi_1(\beta) + \frac{c_2}{M^2} \Phi_2(\beta) + \dots$$

$\Phi^{\text{QCD}}$ : a (renormalized) observable  
energy level  
decay constant ( $F_B \sqrt{m_B}$ )

$\beta$ : Quantum number (e.g. pseudo-scalar vector)

$M$ : the mass of the quark (RGI)

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$$\Phi^{\text{QCD}}(\beta) = \underbrace{C(M/\Lambda)}_{\frac{(\log(M/\Lambda))^r}{1+k[\log(M/\Lambda)]^{-1}}} \Phi_0(\beta) + \frac{c_1}{M} \Phi_1(\beta) + \frac{c_2}{M^2} \Phi_2(\beta) + \dots$$

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- ▶  $C(M/\Lambda)$  not constant; not a naive expansion!  
as just discussed:
  - from renormalization of HQET and matching to QCD [Eichten, Hill]
  - from QCD mass dependence at large masses [Shifmann, Voloshin; Politzer, Wise]

# The nature of the expansion

$$\Phi^{\text{QCD}}(\beta) = \Phi_0(\beta) \underbrace{\frac{(\log(M/\Lambda))^r}{1 + k[\log(M/\Lambda)]^{-1}}}_{C(M/\Lambda)} + \Phi_1(\beta) \frac{c_1}{M} + \Phi_2(\beta) \frac{c_2}{M^2} + \dots$$

$$[\log(M/\Lambda)]^{-1} \sim \alpha(M)$$

$$\begin{aligned} C(M/\Lambda) &= (\log(M/\Lambda))^r \left[ 1 + \sum_{l \geq 1} (-k)^l (\log(M/\Lambda))^{-l} \right] \\ &= \alpha(M)^{-r} \left[ 1 + \sum_{l \geq 1} (-k)^l \alpha(M)^l \right] \end{aligned}$$

- ▶ simplified:
  - summable, finite radius of convergence ( not in real QCD)
  - neglected log-corrections and mixing in  $M^{-n}$  terms
  - $r$  given by anomalous dimension in HQET ( $\gamma_0$ )

# The standard approach

- ▶  $[\log(M/\Lambda)]^{-1} \sim \alpha(M) \ll 1$   
 “matching (computation of the coefficients) can be done in perturbation theory”  
 “Wilson coefficients can be computed in perturbation theory”
- ▶ in our model this means

$$\begin{aligned}
 C(M/\Lambda) &= \alpha(M)^{-r} \left[ 1 + \sum_{l=1}^L (-k)^l \alpha(M)^l \right] \pm \Delta[C(M/\Lambda)] \\
 &= (\log(M/\Lambda))^r \left[ 1 + \sum_{l=1}^L (-k)^l (\log(M/\Lambda))^{-l} \right] \pm \Delta[C(M/\Lambda)] \\
 \text{error} &: \frac{\Delta[C(M/\Lambda)]}{C(M/\Lambda)} = O\left(\alpha(M)^{L+1}\right)
 \end{aligned}$$

- ▶ **fine for leading term in  $1/M$  if perturbation theory is well behaved**
- ▶ **but including  $M^{-1}$ -term is theoretically ill defined**

$$\log(M/\Lambda)^{-L-1} \gg \Lambda/M \quad \text{for } M \rightarrow \infty$$

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- ▶ Need  $C(M/\Lambda)$ ,  $c_1$ ,  $c_2$  non-perturbatively
- ▶ Also matching **QCD**  $\rightarrow$  **HQET on the lattice**



Including  $1/m_b$  corrections

(  $O(1/m_b^2)$  dropped without notice)

$$\mathcal{L}_h^{(1)}(x) = -(\omega_{\text{kin}} \mathcal{O}_{\text{kin}}(x) + \omega_{\text{spin}} \mathcal{O}_{\text{spin}}(x)).$$

NRQCD path integral weight:

$$W_{\text{NRQCD}} \propto \exp(-a^4 \sum_x [\mathcal{L}_{\text{light}}(x) + \mathcal{L}_h^{\text{stat}}(x) + \mathcal{L}_h^{(1)}(x)])$$

non-renormalizable ( $[\mathcal{O}_{\text{kin}}] = [\mathcal{O}_{\text{spin}}] = 5$ ), no continuum limit  
 the real trouble is not the effective theory, but that at the same time we want  
 non-perturbative results: not a finite number of loops

HQET path integral weight:

$$W_{\text{HQET}} \equiv \exp(-a^4 \sum_x [\mathcal{L}_{\text{light}}(x) + \mathcal{L}_h^{\text{stat}}(x)]) \left\{ 1 - a^4 \sum_x \mathcal{L}_h^{(1)}(x) \right\}$$

part of the definition of HQET

# Expanding correlation functions.

$$W_{\text{HQET}} \equiv \exp\left(-a^4 \sum_x [\mathcal{L}_{\text{light}}(x) + \mathcal{L}_h^{\text{stat}}(x)]\right) \\ \times \left\{ 1 + a^4 \sum_x (\omega_{\text{kin}} \mathcal{O}_{\text{kin}}(x) + \omega_{\text{spin}} \mathcal{O}_{\text{spin}}(x)) \right\}$$

This yields

$$\langle \mathcal{O} \rangle = \langle \mathcal{O} \rangle_{\text{stat}} + \omega_{\text{kin}} a^4 \sum_x \langle \mathcal{O} \mathcal{O}_{\text{kin}}(x) \rangle_{\text{stat}} + \omega_{\text{spin}} a^4 \sum_x \langle \mathcal{O} \mathcal{O}_{\text{spin}}(x) \rangle_{\text{stat}} \\ \equiv \langle \mathcal{O} \rangle_{\text{stat}} + \omega_{\text{kin}} \langle \mathcal{O} \rangle_{\text{kin}} + \omega_{\text{spin}} \langle \mathcal{O} \rangle_{\text{spin}},$$

with

$$\langle \mathcal{O} \rangle_{\text{stat}} = \frac{1}{Z} \int_{\text{fields}} \mathcal{O} \exp\left(-a^4 \sum_x [\mathcal{L}_{\text{light}}(x) + \mathcal{L}_h^{\text{stat}}(x)]\right)$$

# Expanding correlation functions.

$$\langle \mathcal{O} \rangle = \langle \mathcal{O} \rangle_{\text{stat}} + \omega_{\text{kin}} \langle \mathcal{O} \rangle_{\text{kin}} + \omega_{\text{spin}} \langle \mathcal{O} \rangle_{\text{spin}},$$

one more point: also fields in correlation functions need to be expanded:

$$\mathcal{O}_{\text{QCD}} = A_0(x) A_0^\dagger(0)$$

$$A_0(x) \rightarrow A_0^{\text{HQET}}(x) = Z_A^{\text{HQET}} [A_0^{\text{stat}}(x) + \sum_{i=1}^2 c_A^{(i)} A_0^{(i)}(x)],$$

$$A_0^{(1)}(x) = \bar{\psi}_1(x) \frac{1}{2} \gamma_5 \gamma_i (\nabla_i^{\text{S}} - \overleftarrow{\nabla}_i^{\text{S}}) \psi_h(x), \quad A_0^{(2)}(x) = -\tilde{\partial}_i A_i^{\text{stat}},$$

$$c_A^{(i)} = \mathcal{O}(1/m) \quad [A_0^{(i)}(x)] = 4$$

symmetric derivatives:

$$\tilde{\partial}_i = \frac{1}{2}(\partial_i + \partial_i^*), \quad \overleftarrow{\nabla}_i^{\text{S}} = \frac{1}{2}(\overleftarrow{\nabla}_i + \overleftarrow{\nabla}_i^*), \quad \nabla_i^{\text{S}} = \frac{1}{2}(\nabla_i + \nabla_i^*).$$

# Expanding correlation functions.

Example

$$C_{AA,R}^{\text{QCD}}(x_0) = Z_A^2 a^3 \sum_{\mathbf{x}} \langle A_0(x) A_0^\dagger(0) \rangle_{\text{QCD}}$$

its HQET expansion

$$\begin{aligned} C_{AA}^{\text{QCD}}(x_0) &= e^{-m x_0} (Z_A^{\text{HQET}})^2 \left[ C_{AA}^{\text{stat}}(x_0) + c_A^{(1)} C_{\delta AA}^{\text{stat}}(x_0) \right. \\ &\quad \left. + \omega_{\text{kin}} C_{AA}^{\text{kin}}(x_0) + \omega_{\text{spin}} C_{AA}^{\text{spin}}(x_0) \right] \\ &\equiv e^{-m x_0} (Z_A^{\text{HQET}})^2 C_{AA}^{\text{stat}}(x_0) \left[ 1 + c_A^{(1)} R_{\delta A}^{\text{stat}}(x_0) \right. \\ &\quad \left. + \omega_{\text{kin}} R_{AA}^{\text{kin}}(x_0) + \omega_{\text{spin}} R_{AA}^{\text{spin}}(x_0) \right] \end{aligned}$$

with

$$C_{\delta AA}^{\text{stat}}(x_0) = a^3 \sum_{\mathbf{x}} \langle A_0^{\text{stat}}(x) (A_0^{(1)}(0))^\dagger \rangle_{\text{stat}} + a^3 \sum_{\mathbf{x}} \langle A_0^{(1)}(x) (A_0^{\text{stat}}(0))^\dagger \rangle_{\text{stat}},$$

$$C_{AA}^{\text{kin}}(x_0) = a^3 \sum_{\mathbf{x}} \langle A_0^{\text{stat}}(x) (A_0^{\text{stat}}(0))^\dagger \rangle_{\text{kin}}$$

$$C_{AA}^{\text{spin}}(x_0) = a^3 \sum_{\mathbf{x}} \langle A_0^{\text{stat}}(x) (A_0^{\text{stat}}(0))^\dagger \rangle_{\text{spin}}.$$

# Expanding correlation functions.

$$C_{AA}^{\text{QCD}}(x_0) = e^{-m x_0} (Z_A^{\text{HQET}})^2 \left[ C_{AA}^{\text{stat}}(x_0) + c_A^{(1)} C_{\delta AA}^{\text{stat}}(x_0) + \omega_{\text{kin}} C_{AA}^{\text{kin}}(x_0) + \omega_{\text{spin}} C_{AA}^{\text{spin}}(x_0) \right]$$

## ► parameters in the effective theory

$$(\omega_1, \dots, \omega_5) = (m_{\text{bare}} = m + \delta m, \ln(Z_A^{\text{HQET}}), c_A^{(1)}, \omega_{\text{kin}}, \omega_{\text{spin}})$$

$$\omega_i = \omega_i(g_0, aM_b) \quad \text{bare parameters}$$

## ► renormalization

all terms needed for the renormalization of the correlation functions with insertions of  $\mathcal{O}_{\text{kin}}, \mathcal{O}_{\text{spin}}$  are present in the expression

$\omega_i$  are the necessary free coefficients

keep  $M_b$  fixed change  $g_0 \rightarrow 0, a \rightarrow 0$ : all divergences (logarithmic and power) absorbed in  $\omega_i$

a more detailed explanation in [R.S., arXiv:1008.0710]

## ► matching

finite parts of the  $\omega_i$  by matching to QCD

(more precisely later)

$$\Phi_i^{\text{HQET}}(\{\omega_i\}) = \Phi_i^{\text{QCD}}(M_b)$$

## Expansion of energies...

$$\begin{aligned}
 m_B &= - \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 \ln C_{AA}^{\text{QCD}}(x_0) \\
 &= m_{\text{bare}} - \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 \left[ \ln C_{AA}^{\text{stat}}(x_0) + c_A^{(1)} R_{\delta A}^{\text{stat}}(x_0) + \right. \\
 &\quad \left. + \omega_{\text{kin}} R_{AA}^{\text{kin}}(x_0) + \omega_{\text{spin}} R_{AA}^{\text{spin}}(x_0) \right]_{\delta m=0} \\
 &= m_{\text{bare}} + E^{\text{stat}} + \omega_{\text{kin}} E^{\text{kin}} + \omega_{\text{spin}} E^{\text{spin}},
 \end{aligned}$$

## Expansion of energies...

$$\begin{aligned}
m_B &= - \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 \ln C_{AA}^{\text{QCD}}(x_0) \\
&= m_{\text{bare}} - \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 \left[ \ln C_{AA}^{\text{stat}}(x_0) + c_A^{(1)} R_{\delta A}^{\text{stat}}(x_0) + \right. \\
&\quad \left. + \omega_{\text{kin}} R_{AA}^{\text{kin}}(x_0) + \omega_{\text{spin}} R_{AA}^{\text{spin}}(x_0) \right]_{\delta m=0} \\
&= m_{\text{bare}} + E^{\text{stat}} + \omega_{\text{kin}} E^{\text{kin}} + \omega_{\text{spin}} E^{\text{spin}},
\end{aligned}$$

$$E^{\text{stat}} = - \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 \ln C_{AA}^{\text{stat}}(x_0) \Big|_{\delta m=0},$$

$$\begin{aligned}
E^{\text{kin}} &= - \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 R_{AA}^{\text{kin}}(x_0) \\
&= - \frac{1}{2L^3} \langle B | a^3 \sum_{\mathbf{z}} \mathcal{O}_{\text{kin}}(0, \mathbf{z}) | B \rangle_{\text{stat}} = - \frac{1}{2} \langle B | \mathcal{O}_{\text{kin}}(0) | B \rangle_{\text{stat}}
\end{aligned}$$

$$E^{\text{spin}} = - \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 R_{AA}^{\text{spin}}(x_0) = - \frac{1}{2} \langle B | \mathcal{O}_{\text{spin}}(0) | B \rangle_{\text{stat}},$$

$$0 = \lim_{x_0 \rightarrow \infty} \tilde{\partial}_0 R_{\delta A}^{\text{stat}}(x_0).$$

## ... and matrix elements

$$\begin{aligned}
 F_B \sqrt{m_B} &= \lim_{x_0 \rightarrow \infty} \{2 \exp(m_B x_0) C_{AA}^{\text{QCD}}(x_0)\}^{1/2} \\
 &= Z_A^{\text{HQET}} \phi^{\text{stat}} \lim_{x_0 \rightarrow \infty} \left\{ 1 + \frac{1}{2} x_0 [\omega_{\text{kin}} E^{\text{kin}} + \omega_{\text{spin}} E^{\text{spin}}] \right. \\
 &\quad \left. + \frac{1}{2} c_A^{(1)} R_{\delta A}^{\text{stat}}(x_0) + \frac{1}{2} \omega_{\text{kin}} R_{AA}^{\text{kin}}(x_0) + \frac{1}{2} \omega_{\text{spin}} R_{AA}^{\text{spin}}(x_0) \right\}, \\
 \phi^{\text{stat}} &= \lim_{x_0 \rightarrow \infty} \{2 \exp(E^{\text{stat}} x_0) C_{AA}^{\text{stat}}(x_0)\}^{1/2}.
 \end{aligned}$$



## ... and matrix elements

$$\begin{aligned}
 F_B \sqrt{m_B} &= \lim_{x_0 \rightarrow \infty} \{2 \exp(m_B x_0) C_{AA}^{\text{QCD}}(x_0)\}^{1/2} \\
 &= Z_A^{\text{HQET}} \phi^{\text{stat}} \lim_{x_0 \rightarrow \infty} \left\{ 1 + \frac{1}{2} x_0 [\omega_{\text{kin}} E^{\text{kin}} + \omega_{\text{spin}} E^{\text{spin}}] \right. \\
 &\quad \left. + \frac{1}{2} c_A^{(1)} R_{\delta A}^{\text{stat}}(x_0) + \frac{1}{2} \omega_{\text{kin}} R_{AA}^{\text{kin}}(x_0) + \frac{1}{2} \omega_{\text{spin}} R_{AA}^{\text{spin}}(x_0) \right\}, \\
 \phi^{\text{stat}} &= \lim_{x_0 \rightarrow \infty} \{2 \exp(E^{\text{stat}} x_0) C_{AA}^{\text{stat}}(x_0)\}^{1/2}.
 \end{aligned}$$

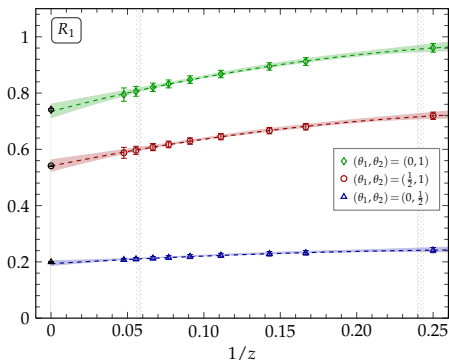
always a strict expansion

$$\begin{aligned}
 (c_A^{(1)}, \omega_{\text{kin}}, \omega_{\text{spin}}) &= O(1/m_b) \\
 Z_A^{\text{HQET}} \omega_{\text{kin}} &\equiv Z_A^{\text{stat}} \omega_{\text{kin}} \\
 &\neq (Z_A^{\text{stat}} + Z_A^{(1/m)}) \omega_{\text{kin}}
 \end{aligned}$$

# Tests of HQET [Fritzsch, Jüttner, Heitger, S., Wenekers]

Example: SF boundary-to-boundary correlators

$$R_1 = \frac{1}{4} \left( \ln \left( \frac{f_1(\theta_1) k_1(\theta_1)^3}{f_1(\theta_2) k_1(\theta_2)^3} \right) \right) \quad z = LM$$

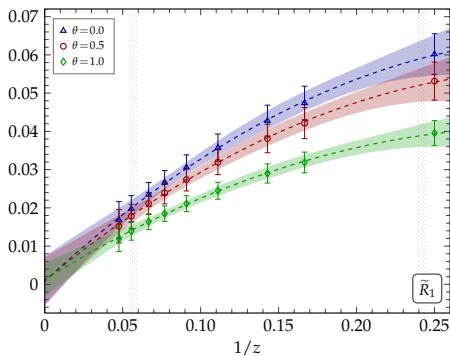


spin averaged

# Tests of HQET [ Fritsch, Jüttner, Heitger, S., Wennekers ]

Example: SF boundary-to-boundary correlators

$$\tilde{R}_1 = \frac{3}{4} \ln \left( \frac{f_1}{k_1} \right) \propto \omega_{\text{spin}} \quad z = LM$$



spin symmetry violating

# Non-perturbative determination of parameters [ ,2001 - 2010 ]

static parameters

$$\omega^{\text{stat}} = (m_{\text{bare}}^{\text{stat}}, [\ln(Z_A)]^{\text{stat}})^t, \quad N_{\text{HQET}} = 2$$

parameters at first order

$$\begin{aligned} \omega^{\text{HQET}} &= (m_{\text{bare}}, \ln(Z_A^{\text{HQET}}), c_A^{(1)}, \omega_{\text{kin}}, \omega_{\text{spin}})^t \quad N_{\text{HQET}} = 5 \\ \omega^{(1/m)} &= \omega^{\text{HQET}} - \omega^{\text{stat}} \end{aligned}$$

matching:  $L_1 \approx 0.5 \text{ fm}$

$$\Phi_i(L_1, M, a) = \Phi_i^{\text{QCD}}(L_1, M, 0), \quad i = 1 \dots N_{\text{HQET}}.$$

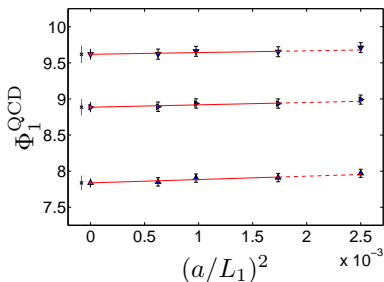
Why  $L_1 = 0.5 \text{ fm}$ ?

- ▶  $a = 0.012 \dots 0.025 \text{ fm}$  is accessible
- ▶ b-quark can be simulated, continuum limit can be taken

# Non-perturbative determination of parameters [ ,2001 - 2010 ]

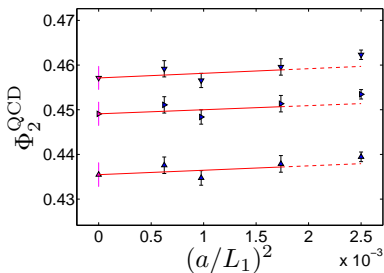
$L_1 = 0.5\text{fm}$ :  $a = 0.012 \dots 0.025\text{fm}$ :

b-quark can be simulated, continuum limit can be taken



$$\Phi_1 = L m_B(L_1) = O(z)$$

three different  $z$

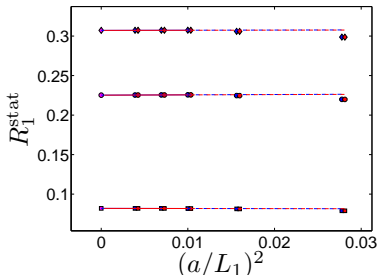
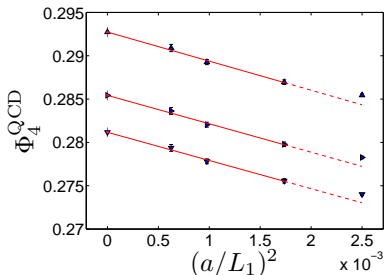


$$\Phi_2 = \ln(L_1^{3/2} [F_B \sqrt{m_B}](L_1)) = O(z^0)$$

# Non-perturbative determination of parameters [ ,2001 - 2010 ]

$L_1 = 0.5\text{fm}$ :  $a = 0.012 \dots 0.025\text{fm}$ :

b-quark can be simulated, continuum limit can be taken



$$\Phi_4 = \mathcal{O}(1) = R_1^{\text{stat}} + \omega_{\text{kin}} \Phi_4^{(1/m)}$$

three different  $z$

three different  $\theta$

# Non-perturbative determination of parameters [ ,2001 - 2010 ]

$$\Phi_i(L_1, M, a) = \Phi_i^{\text{QCD}}(L_1, M, 0), \quad i = 1 \dots N_{\text{HQET}}.$$

natural:

$$\begin{aligned} \Phi_1 &= L\Gamma^{\text{P}} \equiv -L\tilde{\partial}_0 \ln(-f_A(x_0))_{x_0=L/2} \stackrel{L \rightarrow \infty}{\sim} Lm_B \\ \Phi_2 &= \ln\left(Z_A \frac{-f_A}{\sqrt{f_1}}\right) \stackrel{L \rightarrow \infty}{\sim} \ln(L^{3/2} F_B \sqrt{m_B/2}), \end{aligned}$$

HQET expansion

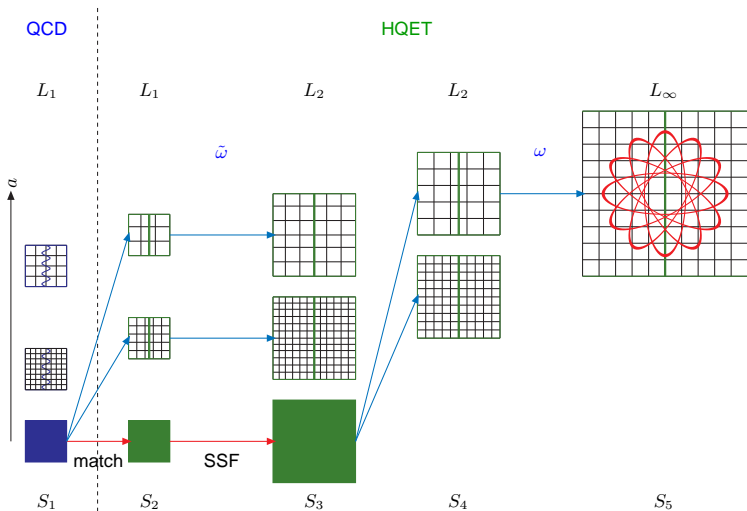
$$\begin{aligned} \Phi_1 &= L[m_{\text{bare}} + \Gamma^{\text{stat}}] + \mathcal{O}(1/m_b) \\ \Phi_2 &= \ln(Z_A^{\text{stat}}) + \zeta_A + \mathcal{O}(1/m_b) \end{aligned}$$

in general

$$\begin{aligned} \Phi(L, M, a) &= \eta(L, a) + \phi(L, a) \omega(M, a) \\ \eta &= \begin{pmatrix} \Gamma^{\text{stat}} \\ \zeta_A \\ \dots \end{pmatrix}, \quad \phi = \begin{pmatrix} L & 0 & \dots \\ 0 & 1 & \dots \\ \dots & & \dots \end{pmatrix} \end{aligned}$$

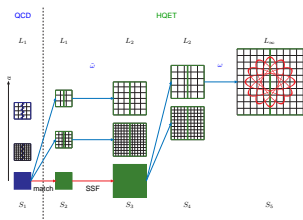
Full strategy to determine  $\omega(M_b, a)$ ,  $a = 0.05\text{fm} \dots 0.1\text{fm}$ 

(in





## Full strategy (1-2a)



(1) continuum limit in QCD

 $a = 0.025 \text{ fm} \dots 0.012 \text{ fm}$ 

$$\Phi_i^{\text{QCD}}(L_1, M, 0) = \lim_{a/L_1 \rightarrow 0} \Phi_i^{\text{QCD}}(L_1, M, a),$$

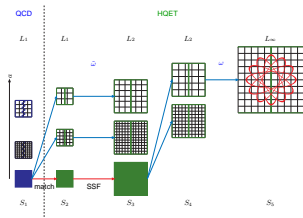
(2a) HQET parameters

 $a = 0.05 \text{ fm} \dots 0.025 \text{ fm}$ 

$$\begin{aligned} \tilde{\omega}(M, a) &\equiv \phi^{-1}(L_1, a) [\Phi(L_1, M, 0) - \eta(L_1, a)] \\ &= \begin{pmatrix} L_1^{-1} \Phi_1(L_1, M, 0) - \Gamma^{\text{stat}}(L_1, a) \\ \Phi_2(L_1, M, 0) - \zeta_A(L_1, a) \\ \dots \end{pmatrix} \end{aligned}$$

$$L_1/a \gg 1, \quad aM_b \text{ irrelevant}$$

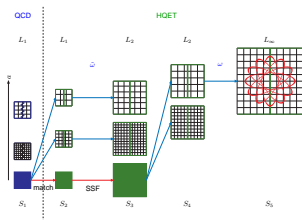
## Full strategy



- (2b) step scaling to  $L_2 = 2L_1$   $a = 0.05 \text{ fm} \dots 0.025 \text{ fm}$   
 Insert  $\tilde{\omega}$  into  $\Phi(L_2, M, a)$

$$\begin{aligned}
 \Phi(L_2, M, 0) &= \lim_{a/L_2 \rightarrow 0} \{ \eta(L_2, a) + \phi(L_2, a) \tilde{\omega}(M, a) \} \\
 &= \lim_{a/L_2 \rightarrow 0} \left( \begin{array}{c} L_2 \Gamma^{\text{stat}}(L_2, a) + \frac{L_2}{L_1} \Phi_1(L_1, M, 0) - L_2 \Gamma^{\text{stat}}(L_1, a) \\ \zeta_A(L_2, a) + \Phi_2(L_1, M, 0) - \zeta_A(L_1, a) \\ \dots \end{array} \right) \\
 &= \lim_{a/L_2 \rightarrow 0} \left( \underbrace{\begin{array}{c} L_2 [\Gamma^{\text{stat}}(L_2, a) - \Gamma^{\text{stat}}(L_1, a)] \\ \zeta_A(L_2, a) - \zeta_A(L_1, a) \\ \dots \end{array}}_{\text{finite HQET SSF's}} \right) + \underbrace{\left( \begin{array}{c} \frac{L_2}{L_1} \Phi_1(L_1, M, 0) \\ \Phi_2(L_1, M, 0) \\ \dots \end{array} \right)}_{\text{QCD, mass dependence}} .
 \end{aligned}$$

# Full strategy



(3.) Repeat (2a.) for  $L_1 \rightarrow L_2$ :

$$\omega(M, a) \equiv \phi^{-1}(L_2, a) [\Phi(L_2, M, 0) - \eta(L_2, a)].$$

With same resolutions  $L_2/a = 10 \dots 20$  now

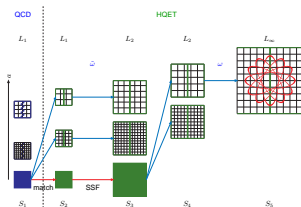
$$a = 0.1 \text{ fm} \dots 0.05 \text{ fm}$$

(4.) insert  $\omega$  into the expansion of large volume observables, e.g.

$$m_B = \omega_1 + E^{\text{stat}}$$

from above:  $m_B = m_B(M_b) \rightarrow$  determine  $M_b$

# Full strategy



$m_B = m_B(M_b) \rightarrow$  determine  $M_b$   
explicitly in static approximation

$m_B =$

$$\begin{aligned}
 & \lim_{a \rightarrow 0} [E^{\text{stat}} - \Gamma^{\text{stat}}(L_2, a)] & a = 0.1 \text{ fm} \dots 0.05 \text{ fm} & [S_4, S_5] \\
 & + \lim_{a \rightarrow 0} [\Gamma^{\text{stat}}(L_2, a) - \Gamma^{\text{stat}}(L_1, a)] & a = 0.05 \text{ fm} \dots 0.025 \text{ fm} & [S_2, S_3] \\
 & + \frac{1}{L_1} \lim_{a \rightarrow 0} \Phi_1(L_1, M_b, a) & a = 0.025 \text{ fm} \dots 0.012 \text{ fm} & [S_1] .
 \end{aligned}$$

# Large volume

statistical and **systematic** precision

- ▶ statistical [Della Morte, Shindler, S., 2005; Hasenfratz & Knechtli, 2001 ]  
self energy: signal/noise becomes worse as  $a \rightarrow 0$   
change action: HYP-smearing

# Large volume

statistical and **systematic** precision

- ▶ statistical [Della Morte, Shindler, S., 2005; Hasenfratz & Knechtli, 2001 ]  
self energy: signal/noise becomes worse as  $a \rightarrow 0$   
change action: HYP-smearing
- ▶ systematic

$$F_B \sqrt{m_B} = \lim_{x_0 \rightarrow \infty} \{2 \exp(m_B x_0) C_{AA}^{\text{QCD}}(x_0)\}^{1/2}$$

excited state contaminations typically non-negligible at  $a \approx 0.7\text{fm}$   
a common problem, e.g. nucleon structure

- ▶ the GEVP helps:  
an operator  $\hat{Q}_n^{\text{eff}}$  can be constructed such that (rigorous [Blossier, Della Morte, von Hippel, Mendes & S., 2009 ] )

$$\begin{aligned} \langle 0 | \hat{Q}_n^{\text{eff}} e^{-\hat{H}t} \hat{P} e^{-\hat{H}t} (\hat{Q}_{n'}^{\text{eff}})^\dagger | 0 \rangle &= \langle Q_n^{\text{eff}}(2t) P(t) (Q_{n'}^{\text{eff}}(0))^* \rangle \\ &= \langle n | \hat{P} | n' \rangle + O(e^{-[E_{N+1} - E_n] t_0}) \end{aligned}$$

e.g.  $t = t_0 + a$

# Examples of results: $M_b$ [Blossier, Della Morte, Garron, Mendes, Papinutto, Simma, S.]

static approximation

$$m_B =$$

$$\lim_{a \rightarrow 0} [E^{\text{stat}} - \Gamma^{\text{stat}}(L_2, a)]$$

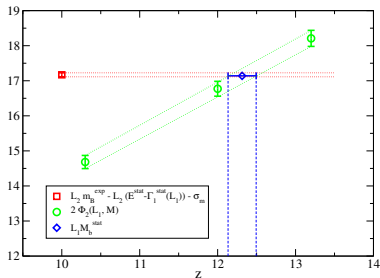
$$a = 0.1 \text{ fm} \dots 0.05 \text{ fm} \quad [S_4, S_5]$$

$$+ \lim_{a \rightarrow 0} [\Gamma^{\text{stat}}(L_2, a) - \Gamma^{\text{stat}}(L_1, a)]$$

$$a = 0.05 \text{ fm} \dots 0.025 \text{ fm} \quad [S_2, S_3]$$

$$+ \frac{1}{L_1} \lim_{a \rightarrow 0} \Phi_1(L_1, M_b, a)$$

$$a = 0.025 \text{ fm} \dots 0.012 \text{ fm} \quad [S_1]$$



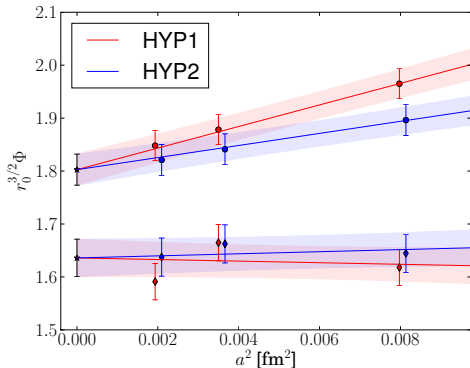
Examples of results:  $M_b$ 

	LO (static)	NLO (static + $O(1/m)$ )		
		$(\theta_1, \theta_2) = (0, 0.5)$	$(\theta_1, \theta_2) = (0.5, 1)$	$(\theta_1, \theta_2) = (0, 1)$
$\theta_0 = 0$	$17.1 \pm 0.2$	$17.1 \pm 0.2$	$17.1 \pm 0.2$	$17.1 \pm 0.2$
$\theta_0 = 0.5$	$17.2 \pm 0.2$	$17.2 \pm 0.2$	$17.2 \pm 0.2$	$17.1 \pm 0.2$
$\theta_0 = 1$	$17.2 \pm 0.2$	$17.3 \pm 0.3$	$17.3 \pm 0.3$	$17.3 \pm 0.3$

**Table:** Dimensionless b-quark mass,  $r_0 M_b$ , obtained from the  $B_s$  meson mass, for different values of  $\theta_i$ .

- ▶ small  $1/m_b$  corrections
- ▶ weak dependence on matching conditions



Examples of results: quenched  $F_{B_s} \sqrt{m_{B_s}}$ static limit  $\Phi_{\text{RGI}}$ 

$$\text{with } \Phi^{\text{HQET}} \quad 1/m_b: \\ F_{B_s} \sqrt{m_{B_s}} / C_{\text{PS}} =$$

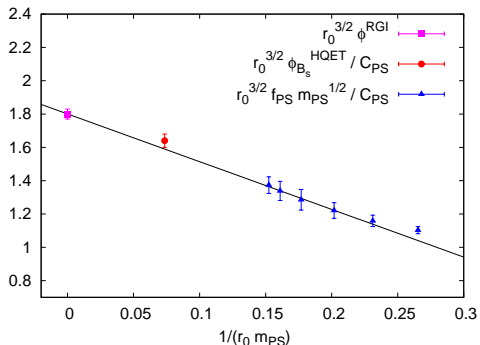
Examples of results: quenched  $F_{B_s} \sqrt{m_{B_s}}$ 

	LO (static)	NLO (static + $O(1/m)$ )		
		$(\theta_1, \theta_2) = (0, 0.5)$	$(\theta_1, \theta_2) = (0.5, 1)$	$(\theta_1, \theta_2) = (0, 1)$
$\theta_0 = 0$	$233 \pm 6$	$220 \pm 9$	$218 \pm 9$	$218 \pm 9$
$\theta_0 = 0.5$	$229 \pm 7$	$221 \pm 9$	$219 \pm 8$	$219 \pm 9$
$\theta_0 = 1$	$219 \pm 6$	$223 \pm 9$	$221 \pm 8$	$222 \pm 8$

**Table:** Pseudo-scalar heavy-light decay constant  $f_{B_s}$  in MeV, for different values of  $\theta_i$ .

- ▶ small  $1/m_b$  corrections
- ▶ weak dependence on matching conditions

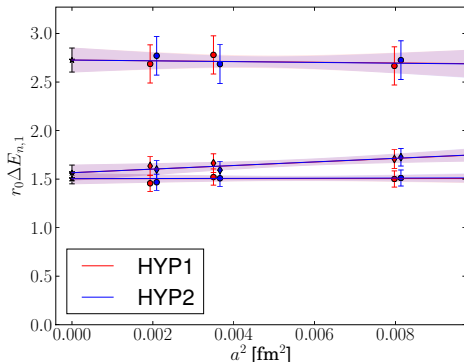
# Comparison to relativistic (not so) heavy quarks



surprisingly consistent picture

$C_{PS}$  inserted from perturbation theory (unclear theoretical status)

# Examples of results: quenched level splittings



3s - 1s splitting static

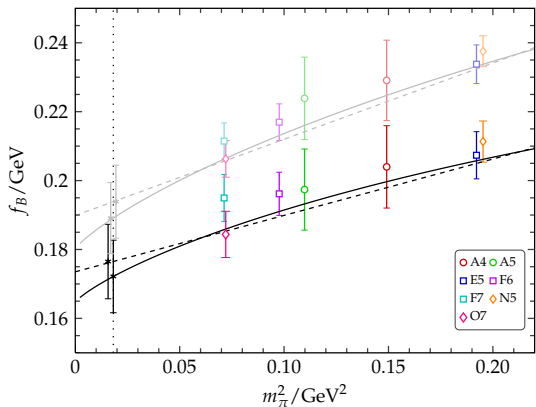
2s - 1s splitting static +  
1/m<sub>b</sub>

2s - 1s splitting static

Static results for splittings are in agreement with [T. Burch et al.]

Also ratio of ground state / excited state decay constant

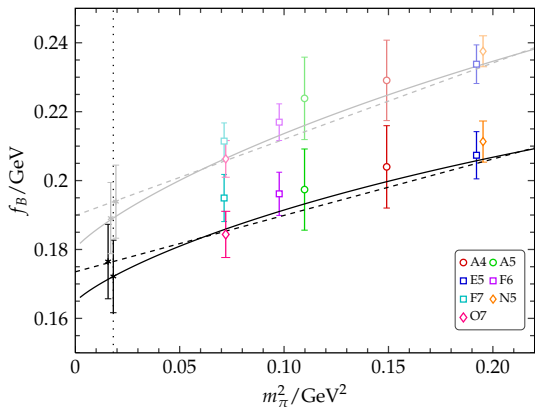
# Example of a results for $N_f = 2$ (Lattice 2011) [ ]



A:  $a=0.08\text{fm}$   
 E,F:  $a=0.07\text{fm}$   
 N,O:  $a=0.05\text{fm}$

$$F_B = F_B|_{m_\pi^2=0} \times \left( 1 - \frac{3}{4} \frac{1 + 3g_{B^*}^2}{16\pi^2 F_\pi^2} m_\pi^2 \log(m_\pi^2/F_\pi^2) + b m_\pi^2 + ca^2 \right)$$

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- $V_{ub}$  becomes slightly more puzzling

- ▶ We are at the beginning of applications
- ▶ Lots of work remaining to be done ... and phenomenology waiting to be explored