



# First results from the ALICE experiment

Iouri Belikov (IPHC, Strasbourg)  
for the ALICE collaboration



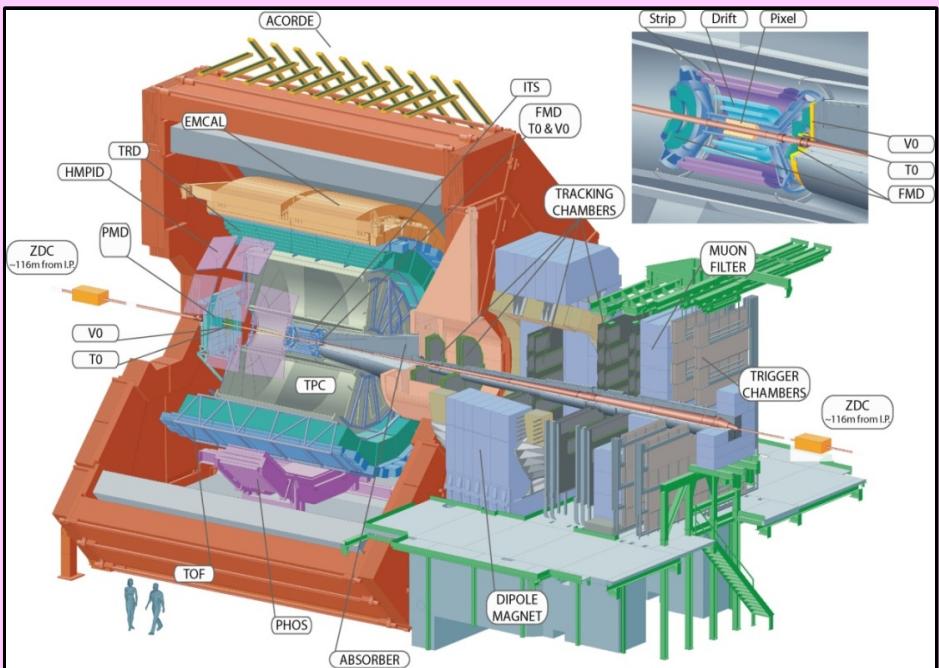
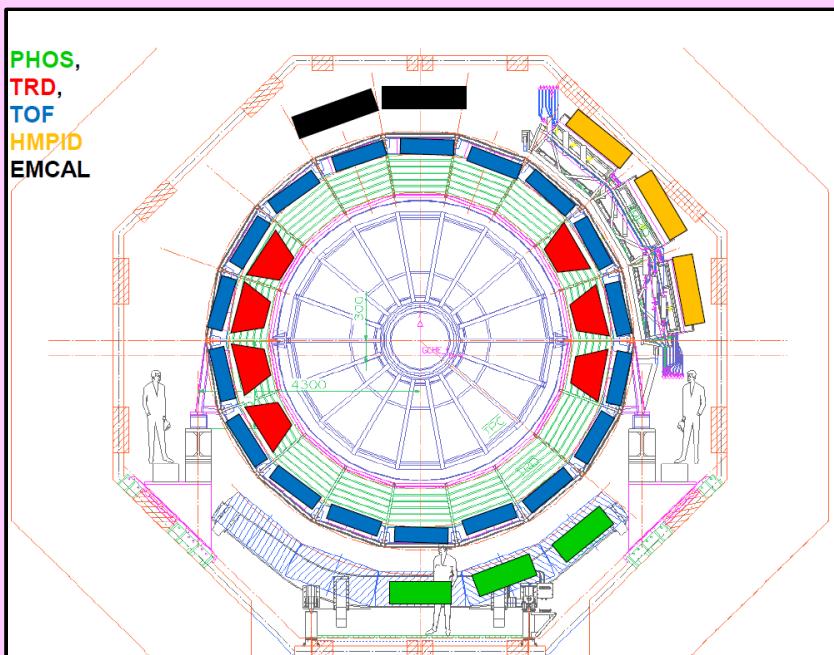
# Outline

- ALICE experiment at CERN LHC
    - ◆ Motivation for doing the pp measurements
    - ◆ Trigger, data samples and event classes
    - ◆ Detector performance
  - The first results :
    - ◆ Multiplicity
    - ◆ Charged particle spectra
    - ◆ Baryon production
    - ◆ Bose-Einstein correlations
    - ◆ Identified particle spectra
    - ◆ Jet and underlying event properties
    - ◆ Heavy Flavour production
- } published
- } preliminary
- } in preparation

# The ALICE experiment

## Detector configuration 2009/2010 :

- ITS, TPC, TOF, HMPID, MUON, V0, T0, FMD, PMD, ZDC (100%)
- TRD (7/18)
- EMCAL (4/12)
- PHOS (3/5)



**Detector:**

**Size:** **16 x 26** meters

**Weight:** **10,000** tons

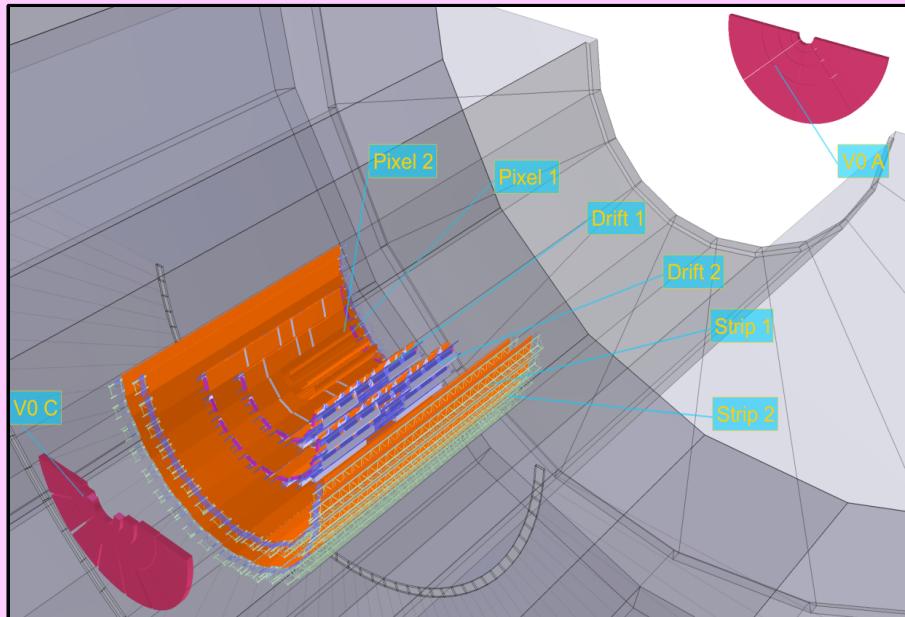
**Collaboration:**

> **1000** Members  
> **100** Institutes  
> **30** countries

# Physics motivation in pp

- The main goal of the ALICE experiment:  
**properties of strongly interacting matter (QGP)**  
created in HE nucleus-nucleus collisions
  - ◆ Necessity of the hadronic reference for the observables
- Understanding the particle production in the new energy domain
  - ◆ Comparison with models
- Search for collective effects at the partonic level
  - ◆ Multiplicity dependence of the measurement results

# Trigger and data samples



- ➊ “Minimum bias” trigger: at least one charged particle in 8 units of  $\eta$  (All ALICE is read out)
  - ◆ SPD or V0A or V0C
- ➋ “Single-muon trigger” (MUON, SPD, V0, FMD, ZDC are read out)
  - ◆ MUON in coincidence with the “minimum bias”
- ➌ Both in coincidence with the BPTX beam pickup counters

## Available statistics:

2009 (0.9 and 2.36 TeV):  $\sim 0.5$  M min. bias

2010 (0.9 and 7 TeV):  $\sim 400$  M min. bias ( $\sim 15$  M MUON trg)

# Event classes

## 0.9 and 2.36 TeV

- INEL and NSD
- Use measured cross sections for diffractive processes
- Change MC generator fractions (SD/INEL, DD/INEL) so that they match these fractions
- Use Pythia and Phojet to assess effect of different kinematics of diffractive processes

INEL:  $\text{MB}_{\text{OR}}$  (*SPD or VZEROA or VZEROC*) *and* offline background suppression

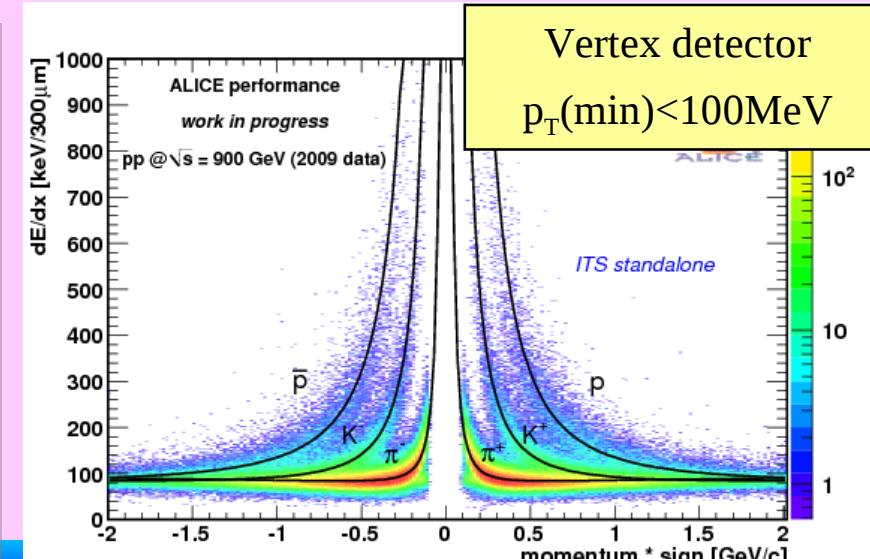
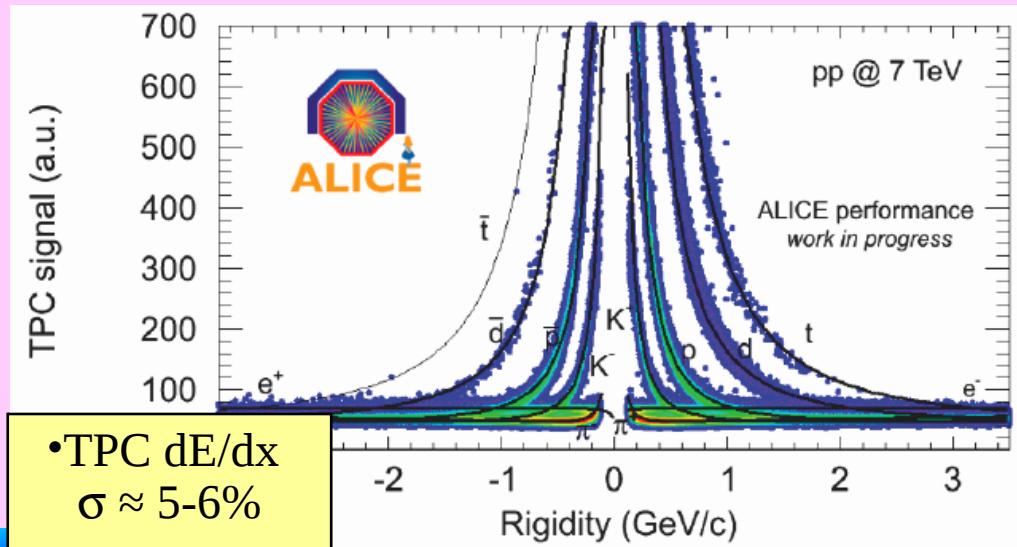
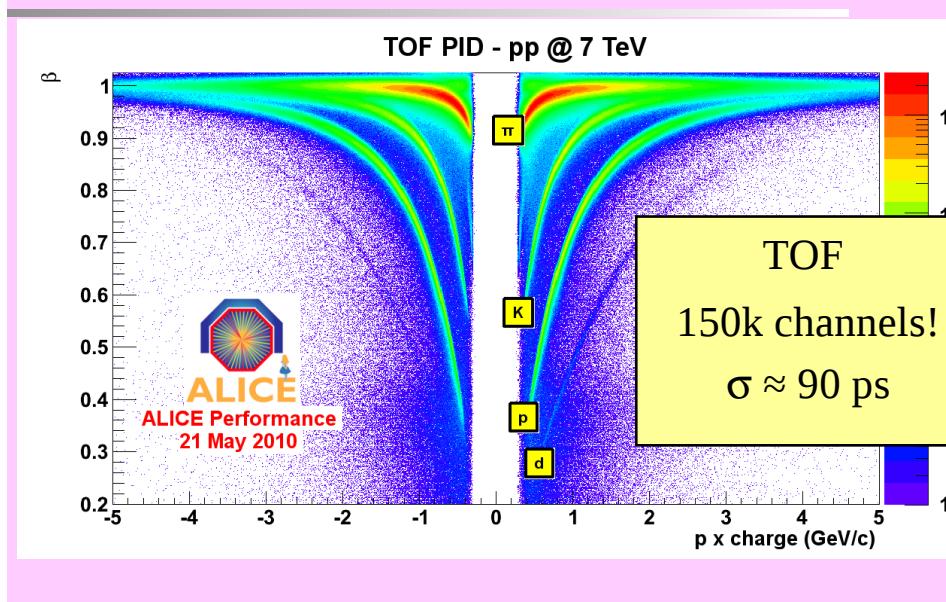
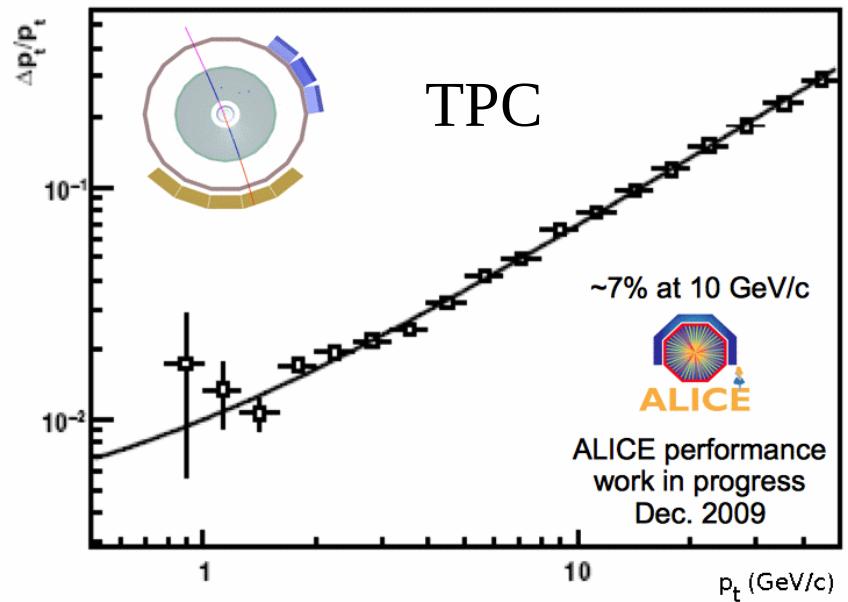
NSD:  $\text{MB}_{\text{AND}}$  (*VZEROA and VZEROC*) *and* offline background suppression

INEL>0: INEL *and* at least one charged primary particle in  $|\eta| < 1$

## 7 TeV

- Diffraction is quite unknown
- Hadron-level definition of events (similar to ATLAS: Phys. Lett. B 688 (2010) 21)
  - ◆ All events that have at least one charged primary particle in  $|\eta| < 1$  “ $\text{INEL}>0$ ”
  - ◆ Minimizes model dependence

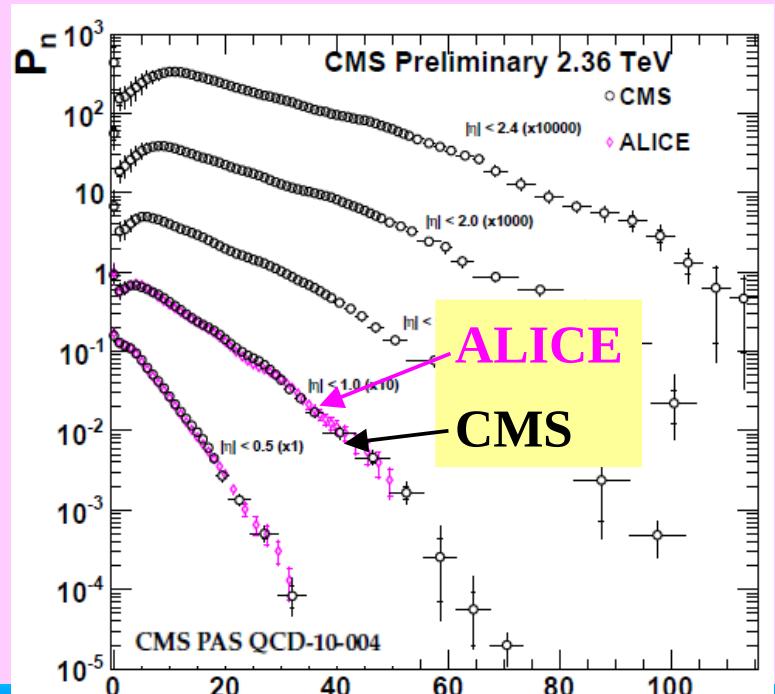
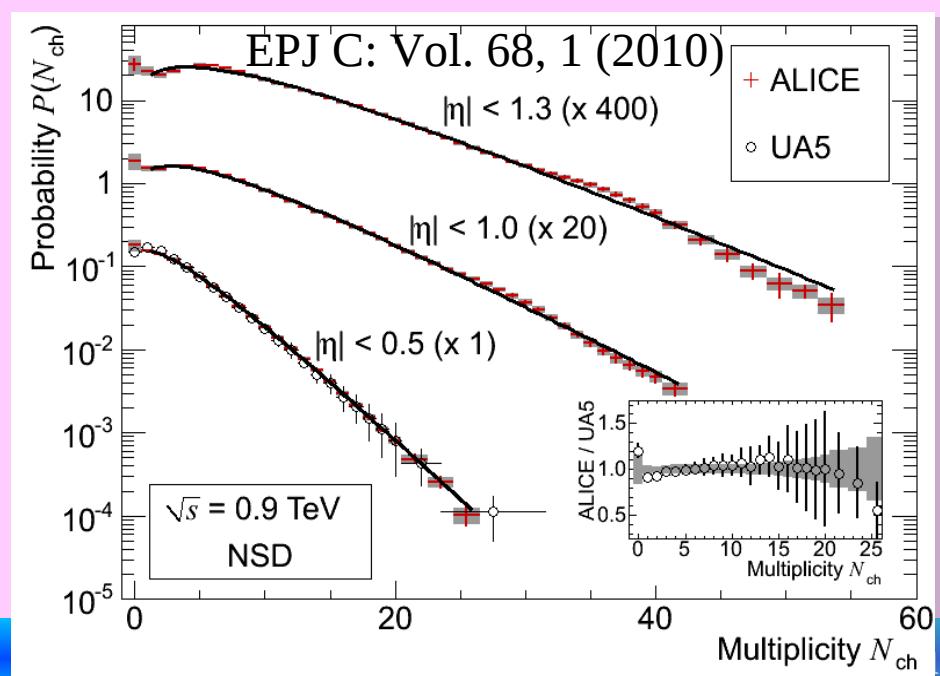
# ALICE detector performance



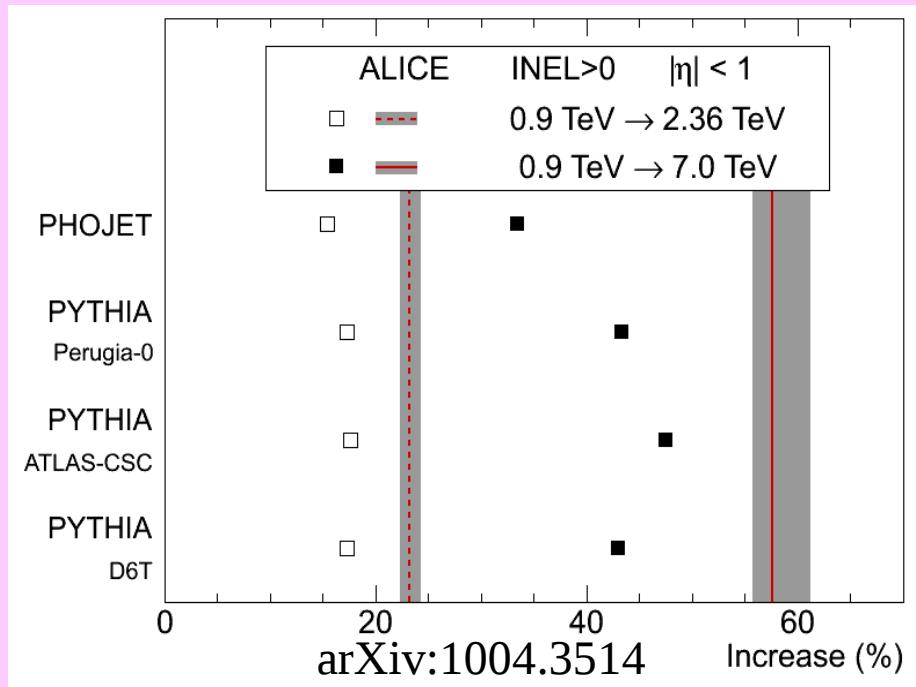
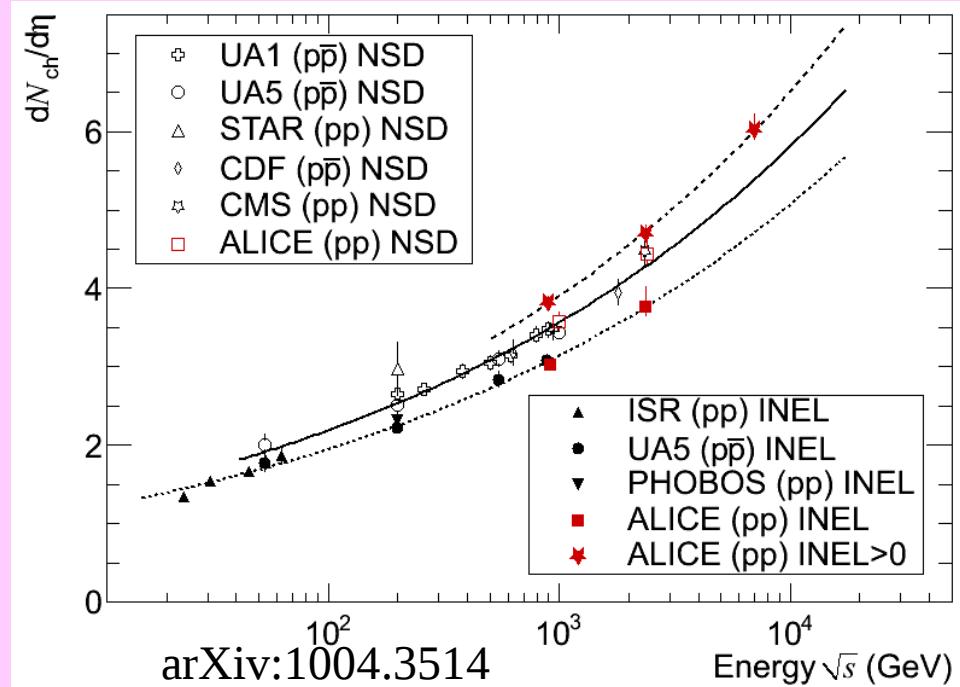
# Multiplicity measurements

(Done with SPD,  $r \sim 4$  and 7 cm)

- Pseudo-rapidity densities and multiplicity distributions:
  - ◆ 0.9 TeV: **EPJC** Vol. **65** (2010) 111
  - ◆ 0.9 and 2.36 TeV: **EPJC** Vol. **68** (2010) 89
  - ◆ 7 TeV: **arXiv**:1004.3514, accepted by EPJC
- Multiplicity distributions well described by single negative binomial distributions and consistent with the results by other experiments.



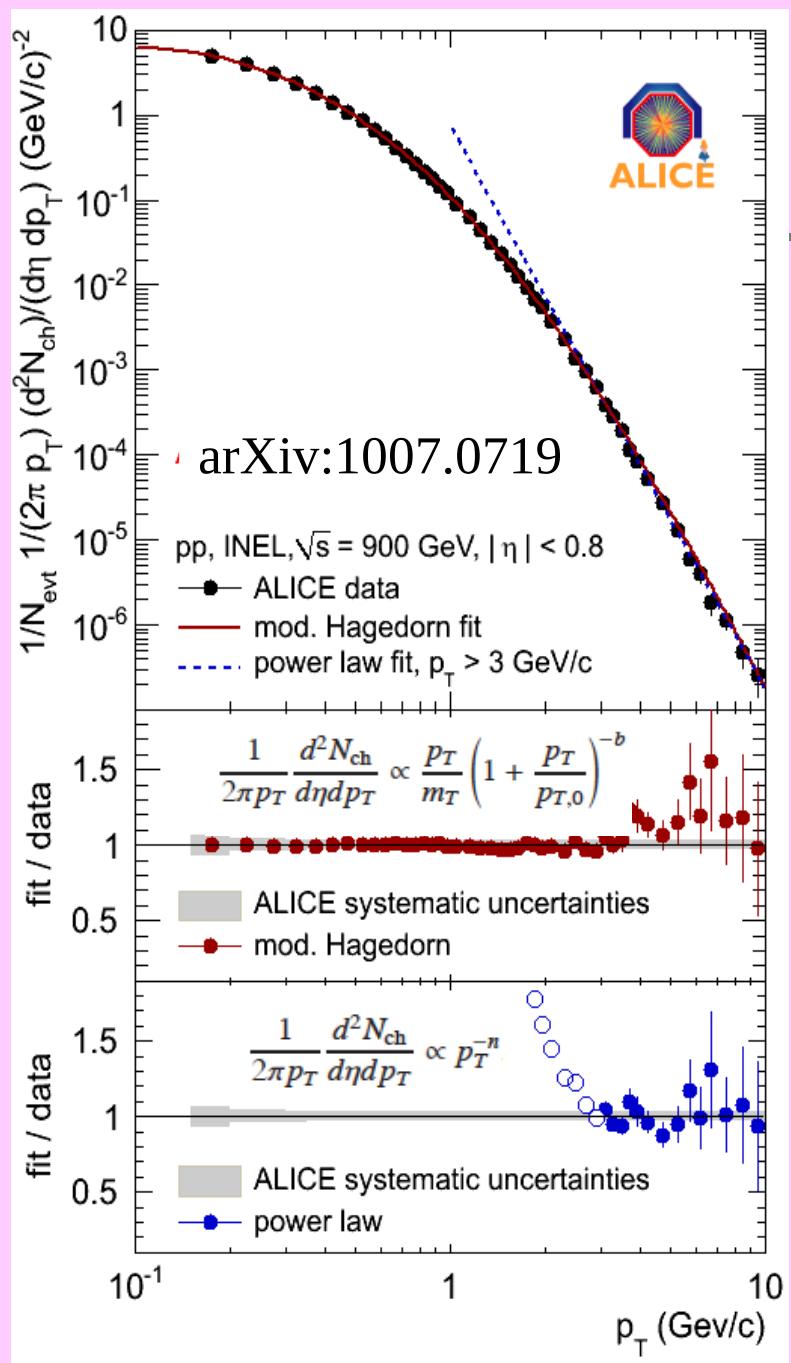
# Multiplicity: $dN_{ch}/d\eta$ vs $\sqrt{s}$



Power law dependence fits well  $\sim s^{0.1}$

Significantly larger increase from 0.9 to 7 TeV than in MCs

Increase in $dN_{ch}/d\eta$ in $ \eta  < 1$ for INEL > 0 arXiv:1004.3514	$\sqrt{s}$	ALICE (%)	MCs (%)
	$0.9 \rightarrow 2.36 \text{ TeV}$	$23.3 \pm 0.4 {}^{+1.1}_{-0.7}$	15 – 18
	$0.9 \rightarrow 7 \text{ TeV}$	$57.6 \pm 0.4 {}^{+3.6}_{-1.8}$	33 – 48

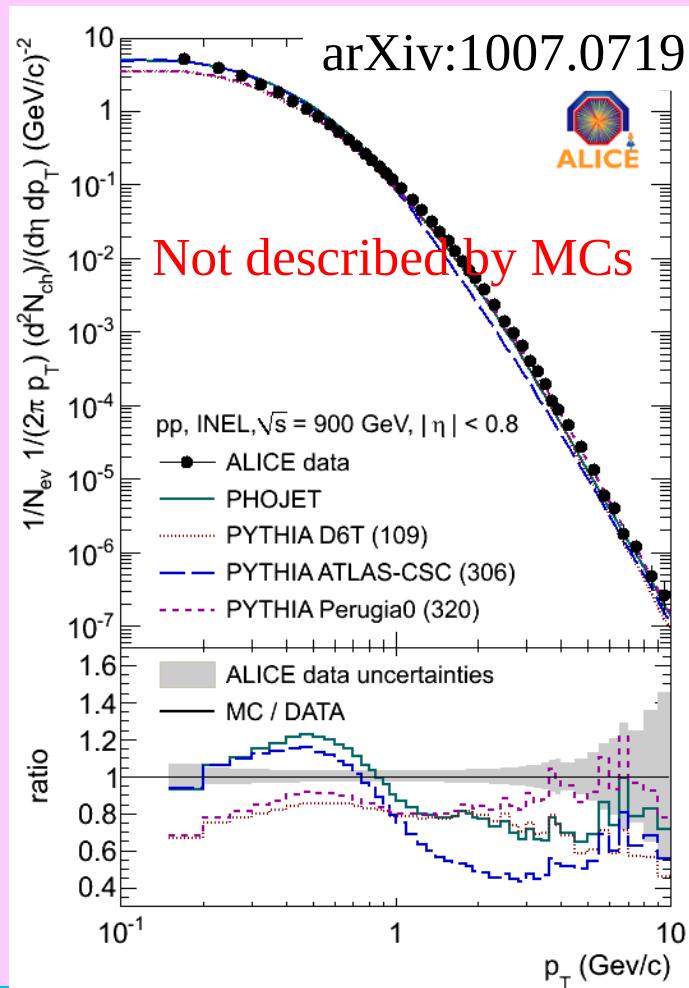


# $dN_{\text{ch}}/dp_T$ at 0.9 TeV

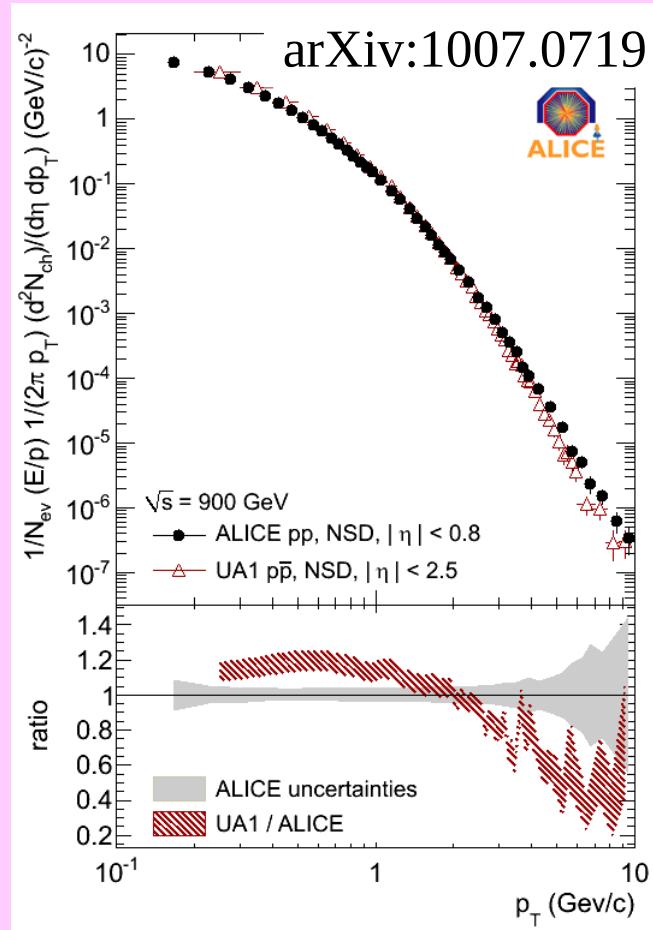
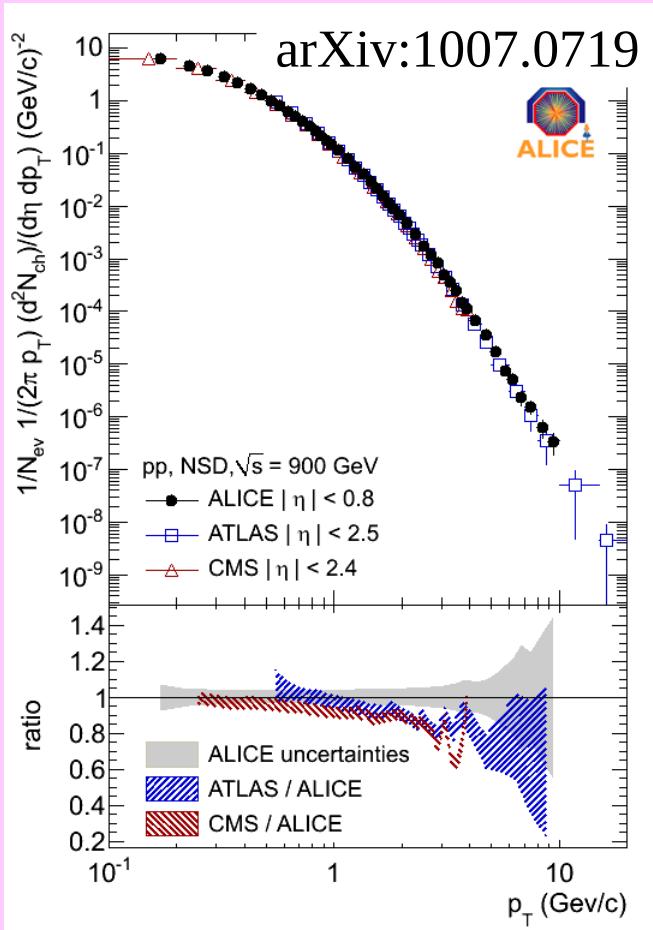


$$\langle p_T \rangle_{\text{INEL}} = 0.483 \pm 0.001 \text{ (stat)} \pm 0.007 \text{ (syst.) GeV/c}$$

$$\langle p_T \rangle_{\text{NSD}} = 0.489 \pm 0.001 \pm 0.007 \text{ GeV/c}$$

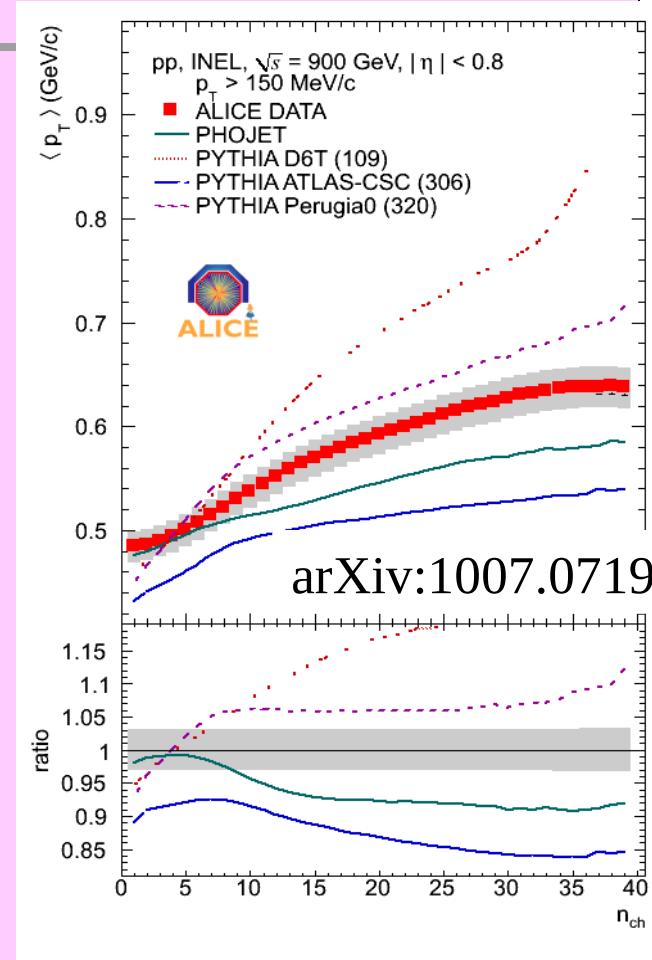
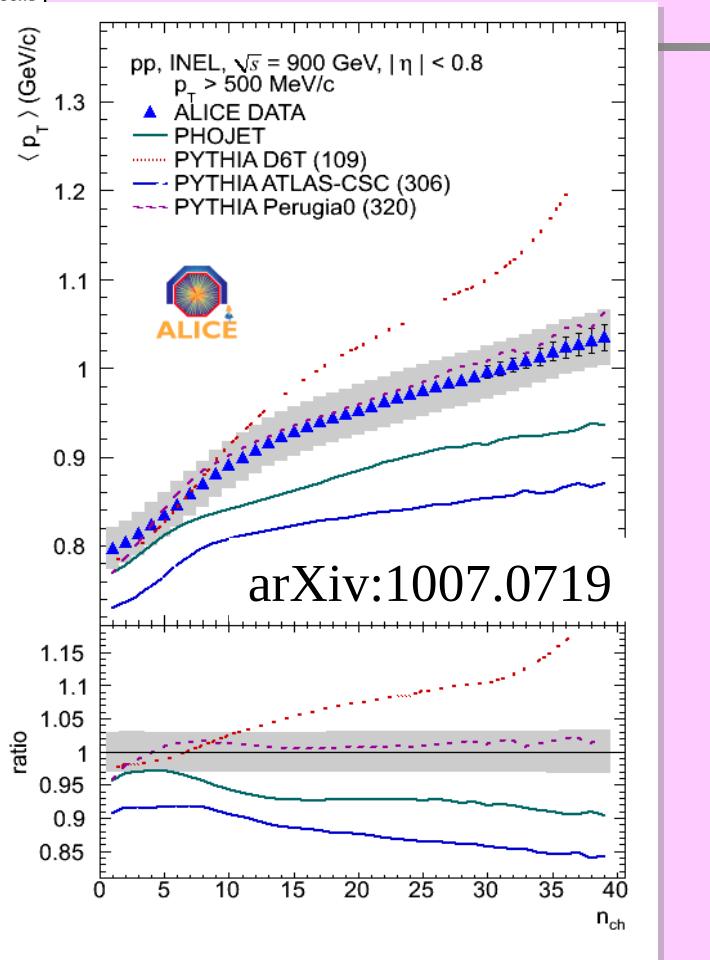


# $dN_{ch}/dp_T$ vs other experiments



→ ALICE measures harder spectrum than CMS, ATLAS, UA1  
(narrower window at central rapidity)

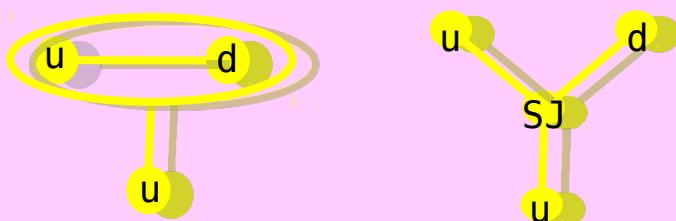
# $\langle p_t \rangle$ vs multiplicity vs MC



- **Perugia-0** (fails for multiplicity) describes well  $\langle p_t \rangle$ , but only for  $p_t > 500$  MeV/c (ATLAS found agreement for  $p_t > 500$  MeV/c)
- **Phojet** (describes multiplicity) fails for  $\langle p_t \rangle$

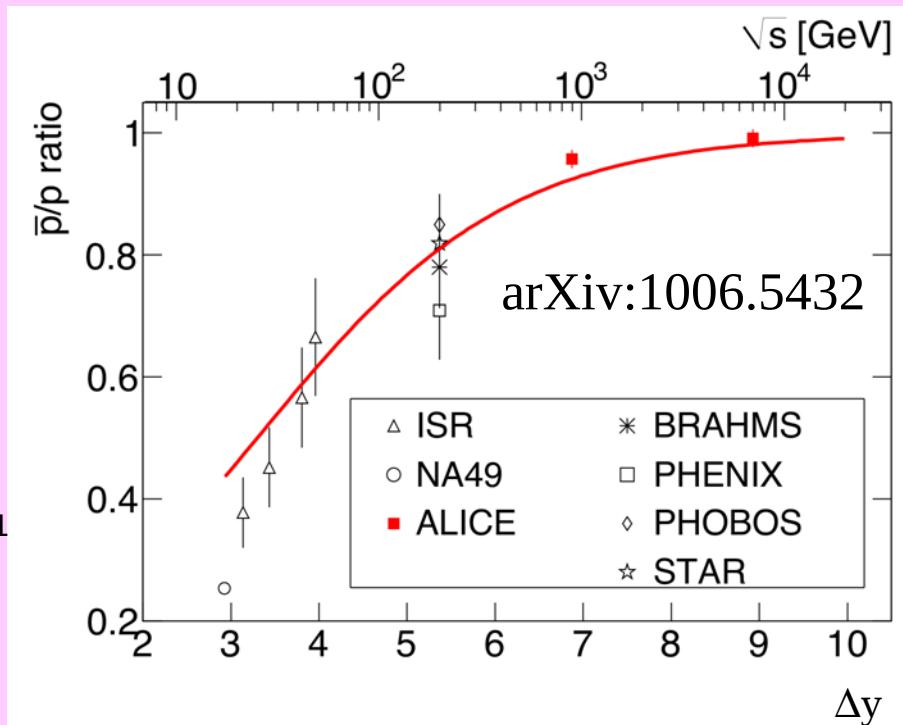
# pbar/p measurement at mid-rapidity

- Baryon number transport by a di-quark and/or a string junction



- Valence quarks: Rossi and Veneziano, NPB123 (1977) 507  
*(strong suppression with  $\Delta y$ )*
- Gluonic field: Kopeliovich and Zakharov, ZPC43 (1989) 241  
*(weak suppression with  $\Delta y$ )*

- Proton identification with TPC dE/dx
- Special care for secondary particle contamination and absorption corrections
- pbar/p at  $|y| < 0.5$  and  $0.45 < p_t < 1.05 \text{ GeV}/c$



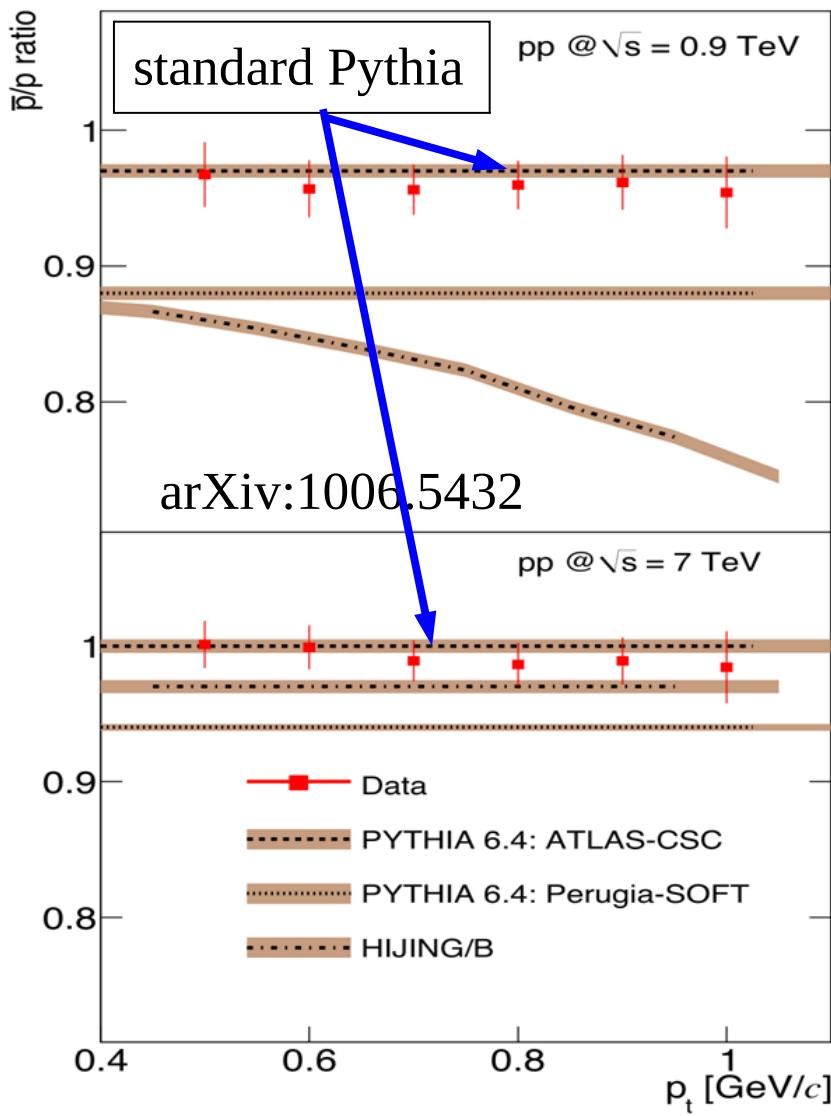
$$\left(\frac{\bar{p}}{p}\right) = \frac{1}{1 + C \cdot e^{(\alpha_J - \alpha_P)\Delta y}} \rightarrow \begin{cases} \alpha_J = 0.5 \text{ (fixed)} \\ \alpha_P = 1.2 \text{ (fixed)} \\ C = 10.0 \pm 1.0 \end{cases}$$

# $\bar{p}/p$ measurement vs MCs

0.9 TeV:  $\bar{p}/p = 0.957 \pm 0.006(\text{stat}) \pm 0.014(\text{syst})$   
 7 TeV:  $\bar{p}/p = 0.990 \pm 0.006(\text{stat}) \pm 0.014(\text{syst})$

- ➊ Data described well by PYTHIA ATLAS-CSC
- ➋ Other models (HIJING-B, PYTHIA Perugia-SOFT) underestimate the data
- ➌ Conclusion: The baryon number transport over large rapidity gaps is strongly suppressed.

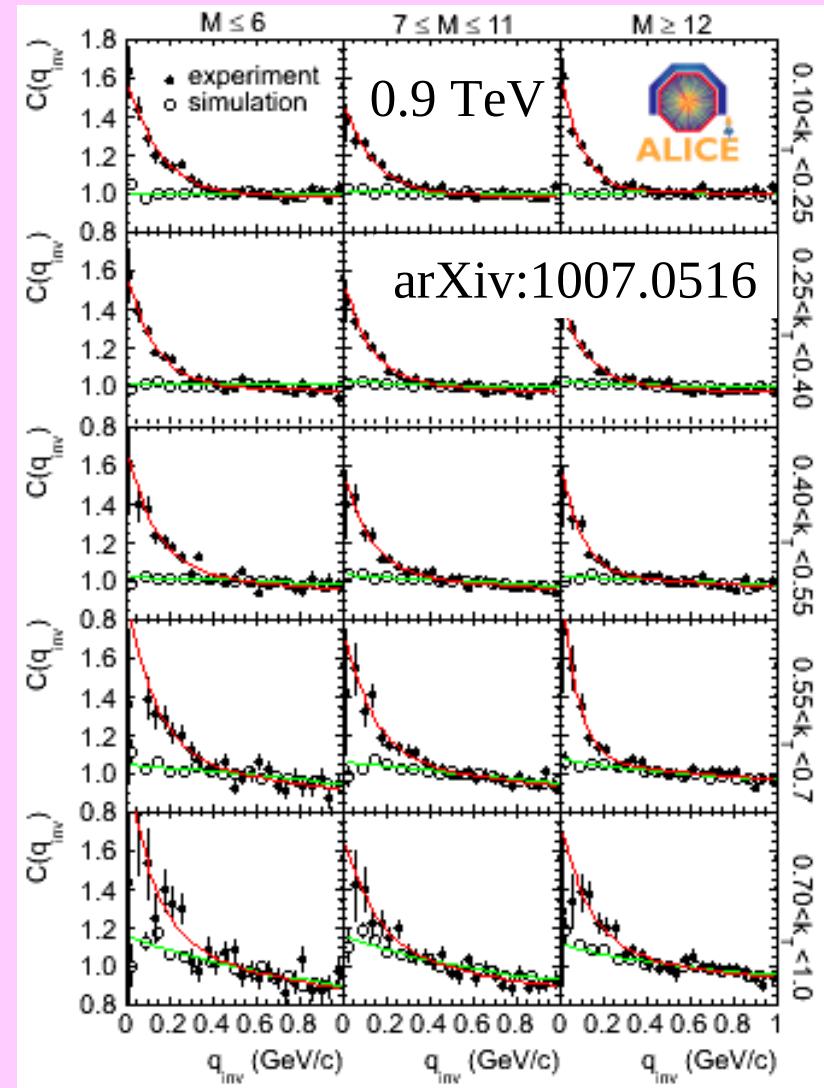
(Accepted by PRL)



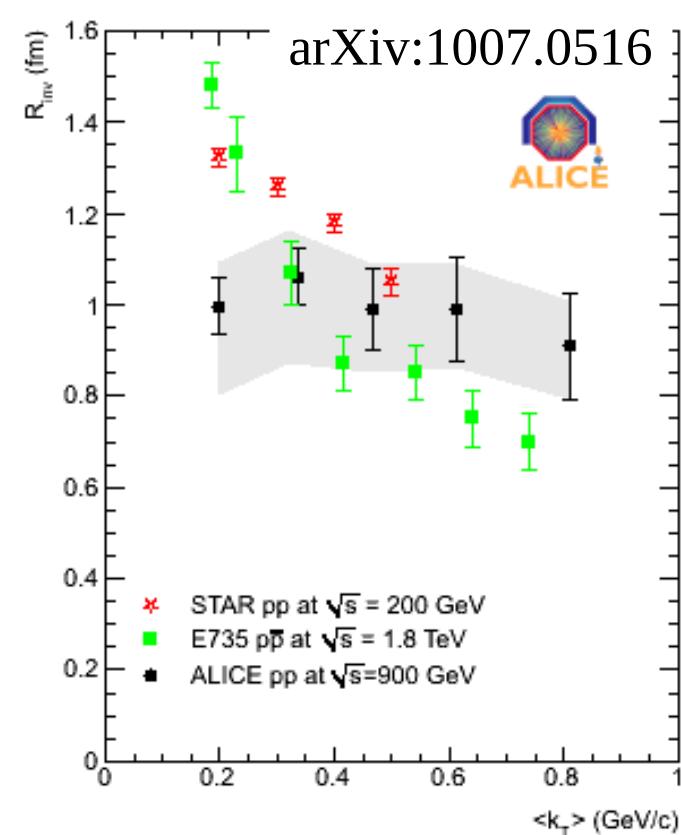
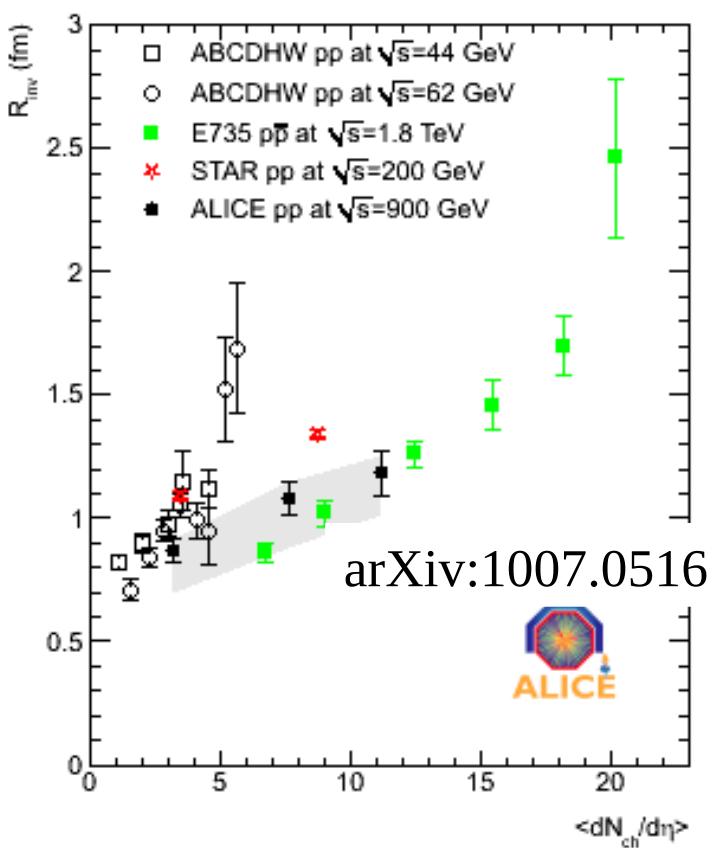
# Bose-Einstein correlations

- Assess the space-time evolution of the system that emits particles in pp collisions
- Measure the Bose-Einstein enhancement for pairs of pions (identical bosons) at low momentum difference  $q_{inv} = |\mathbf{p}_1 - \mathbf{p}_2|$ , vs. event multiplicity and pair  $k_t = |\mathbf{p}_{t1} + \mathbf{p}_{t2}|/2$
- Fit with a Gaussian

$$C(q_{inv}) = 1 + \lambda \exp(-q_{inv}^2 R^2)$$



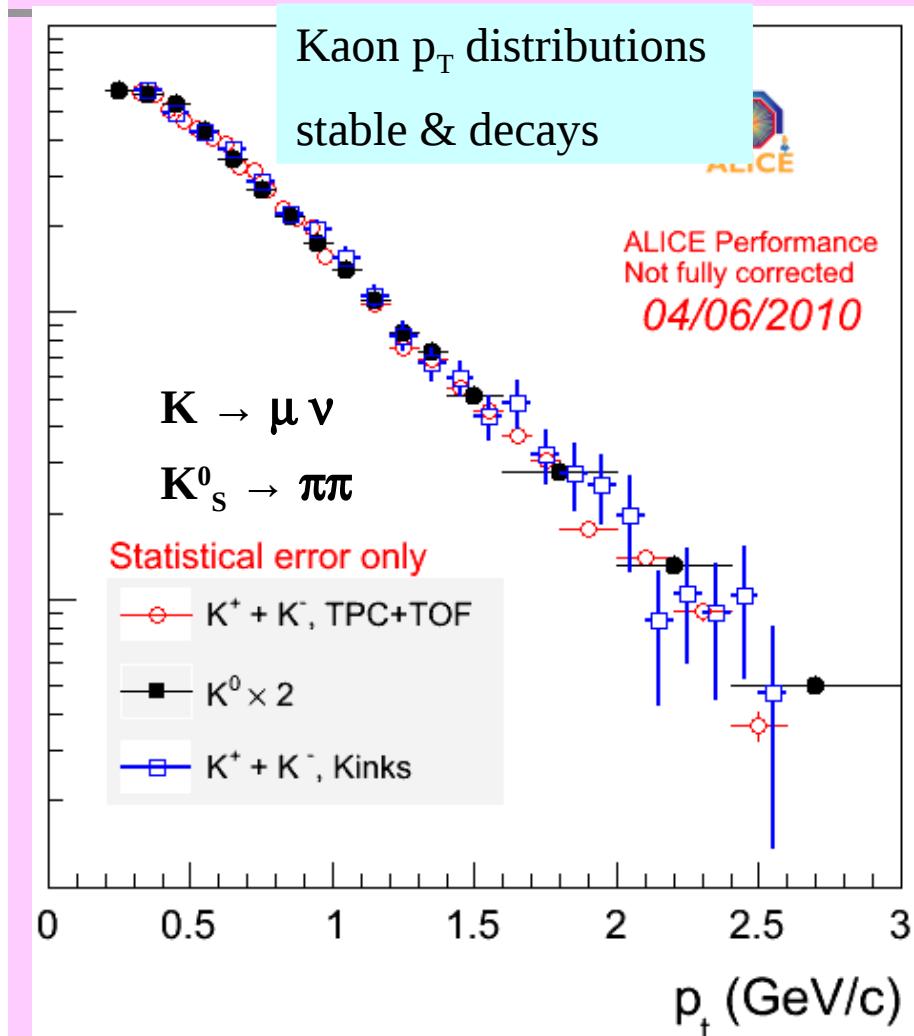
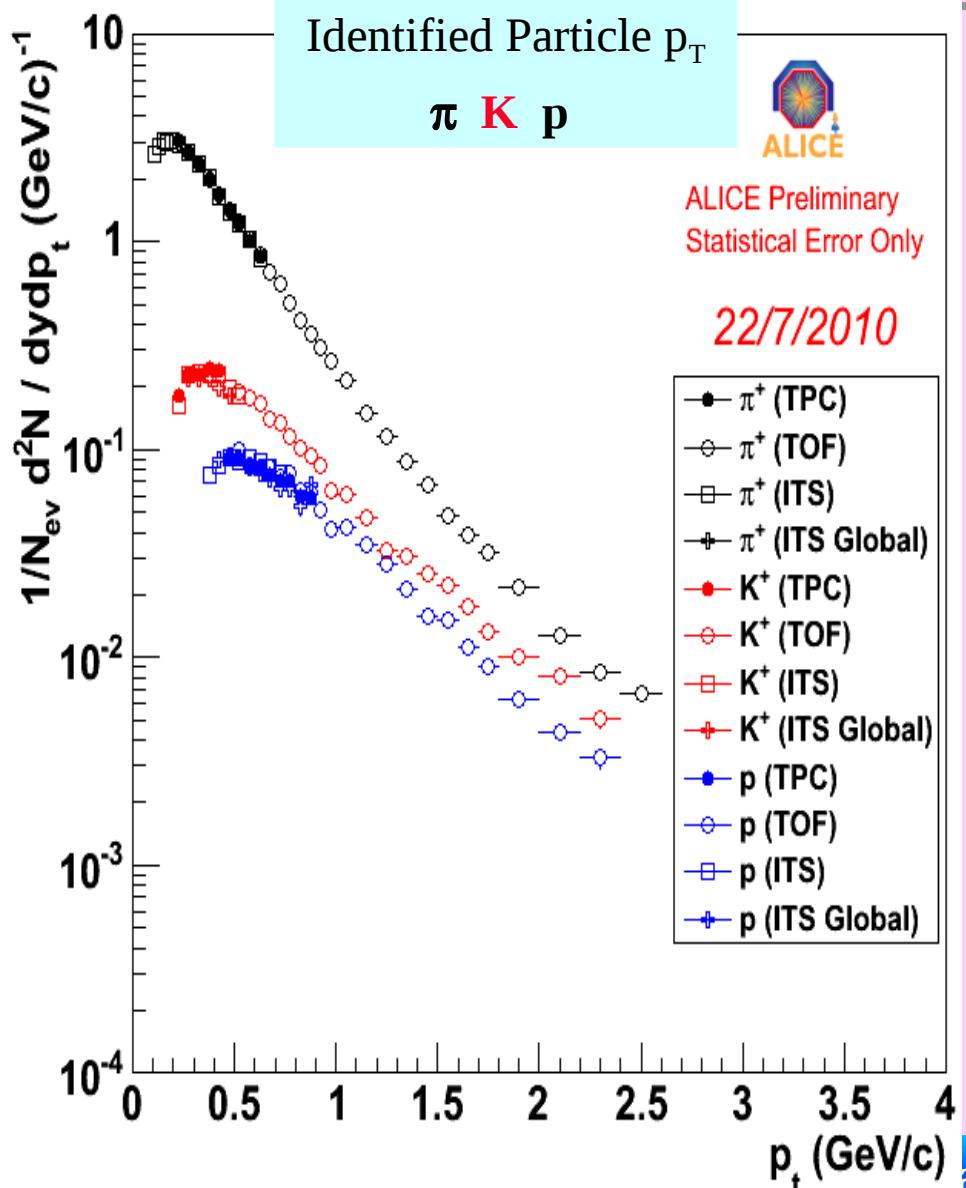
# BEC vs other experiments



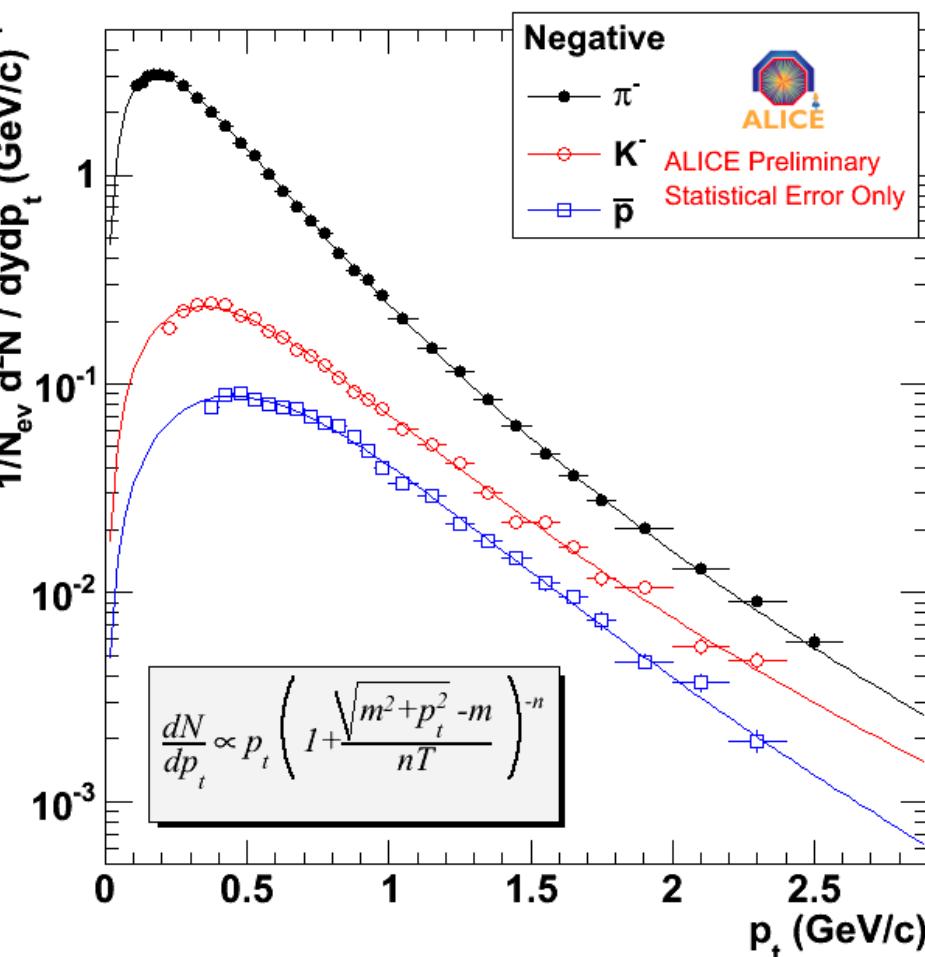
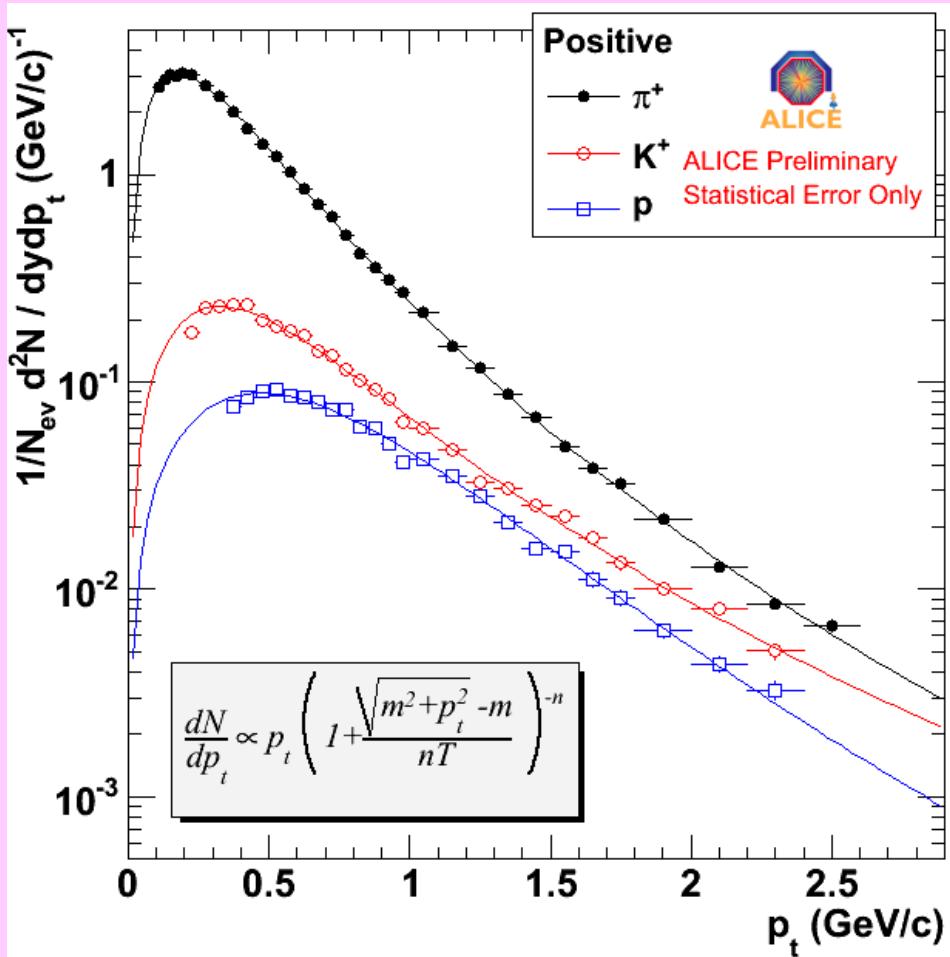
Radius grows with  $dN_{ch}/d\eta$

No visible  $k_t$  dependence  
(base line !)

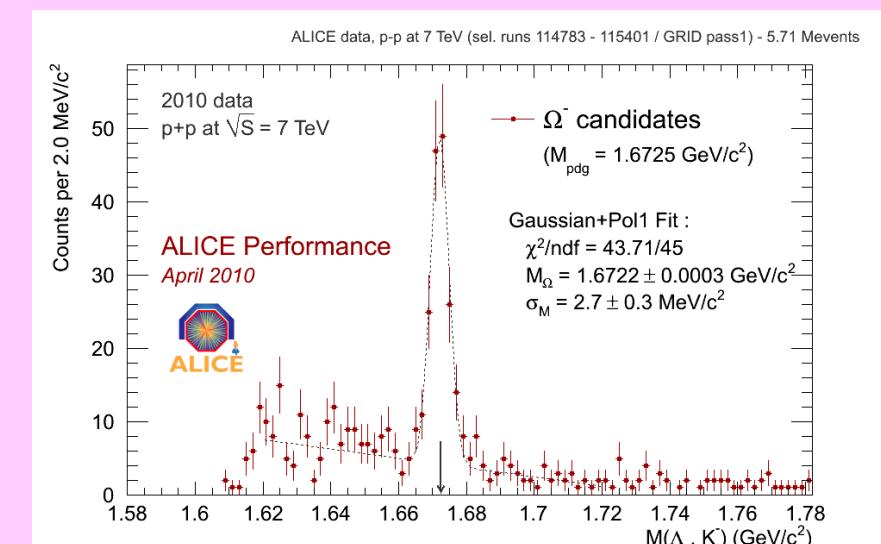
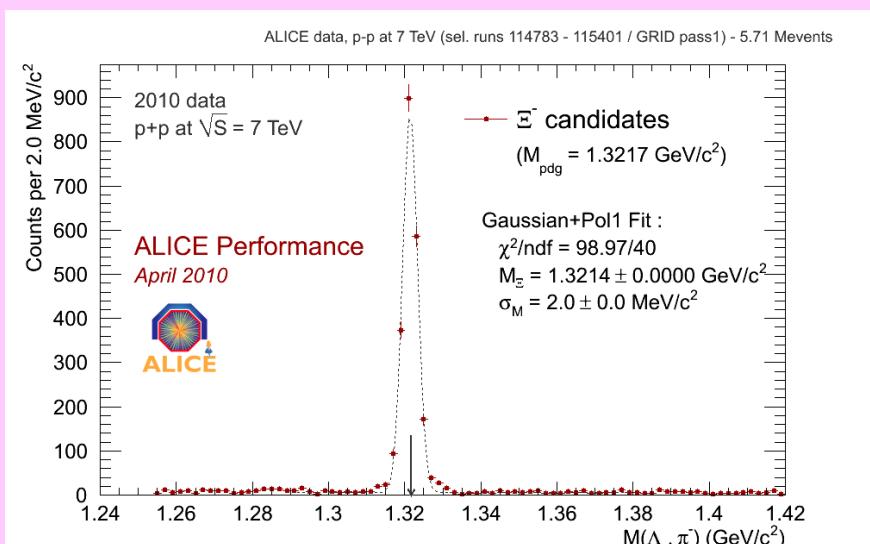
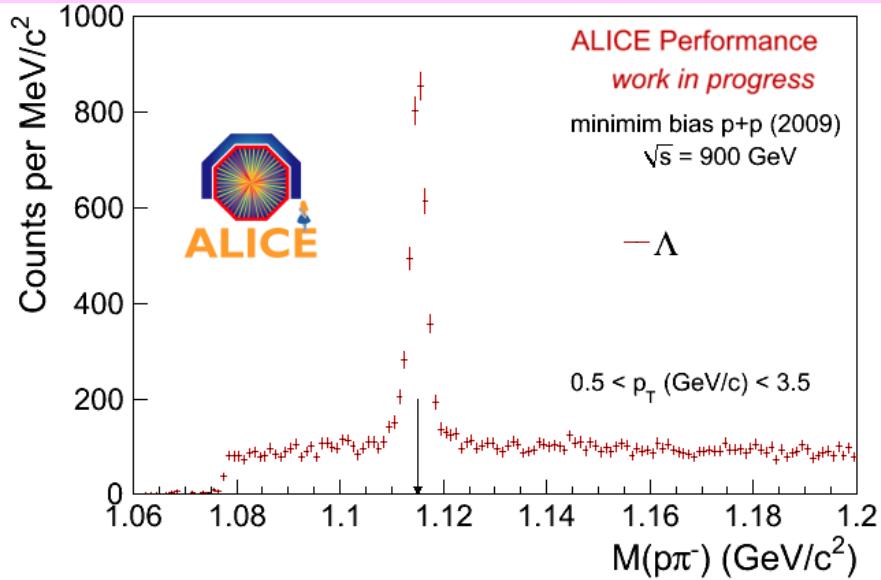
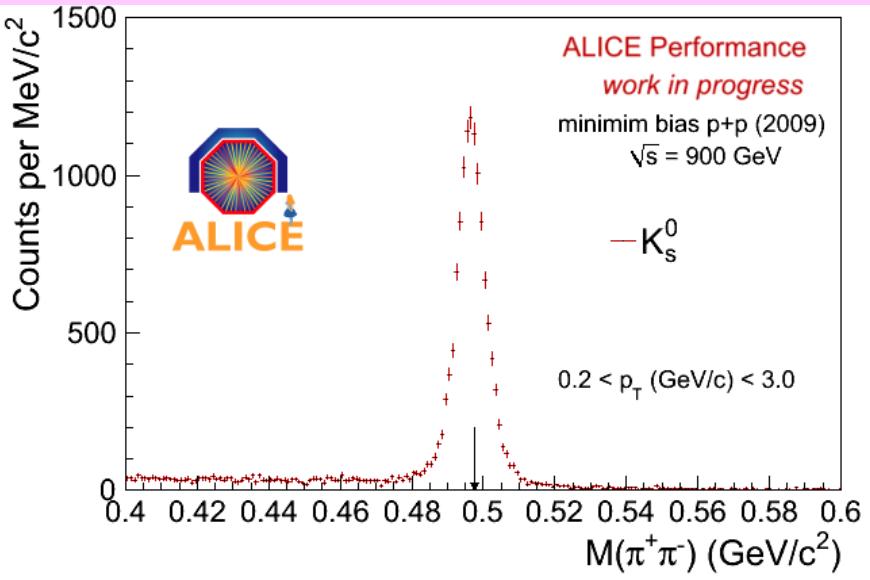
# Identified particle spectra at 0.9 TeV



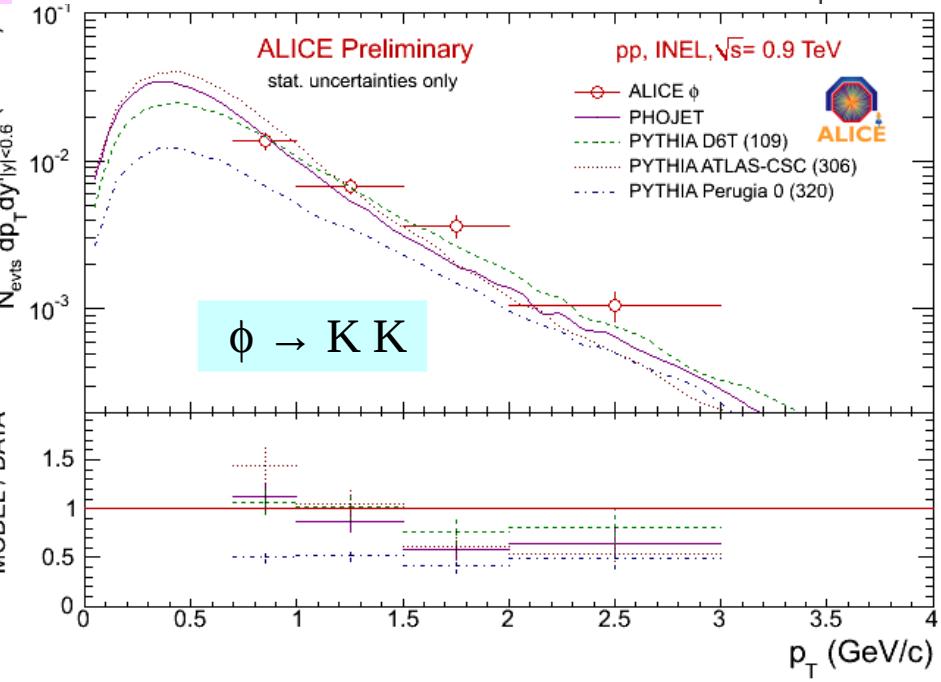
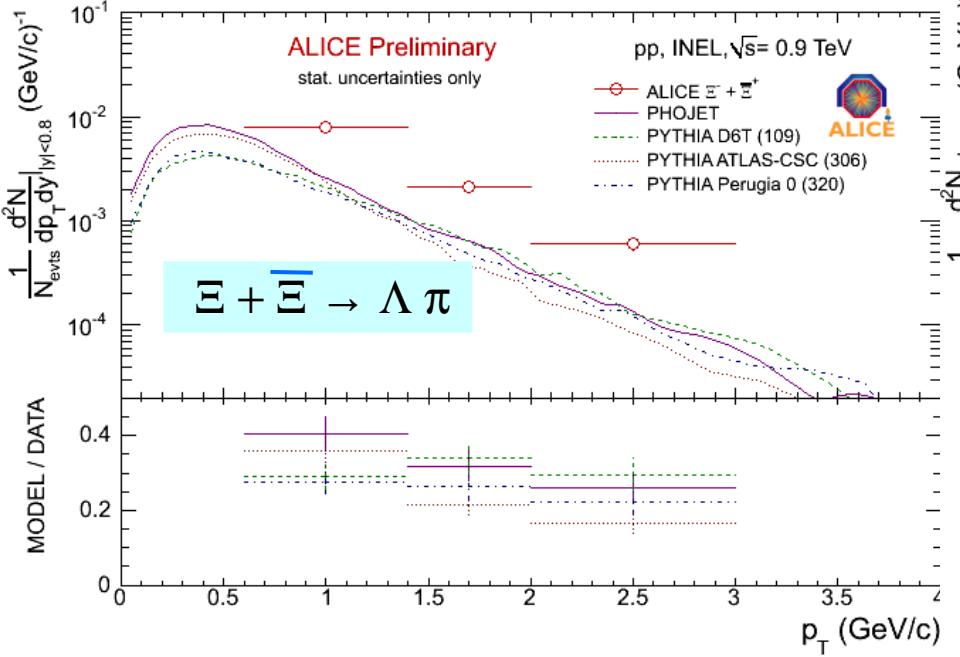
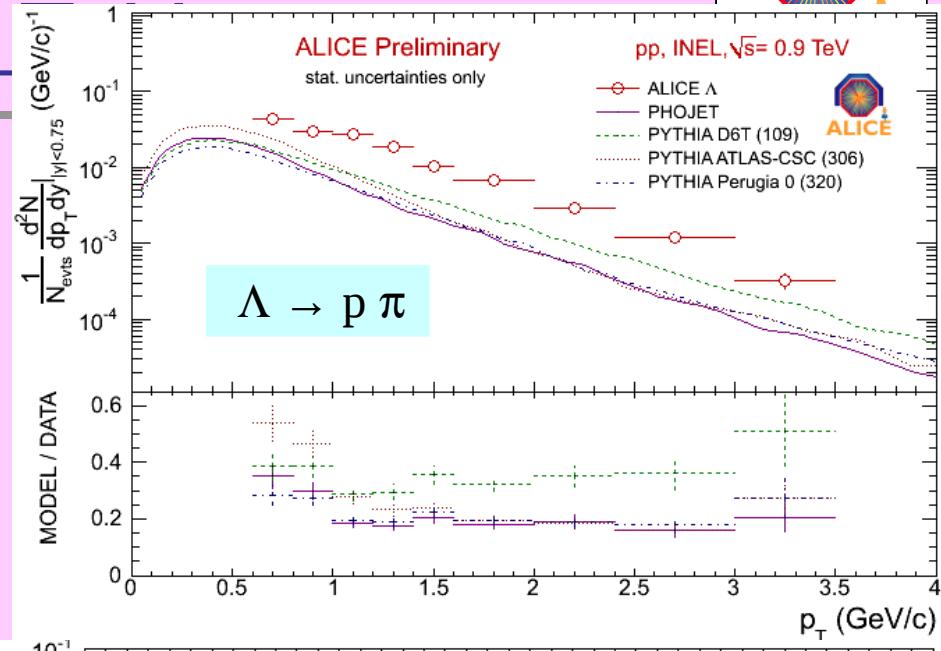
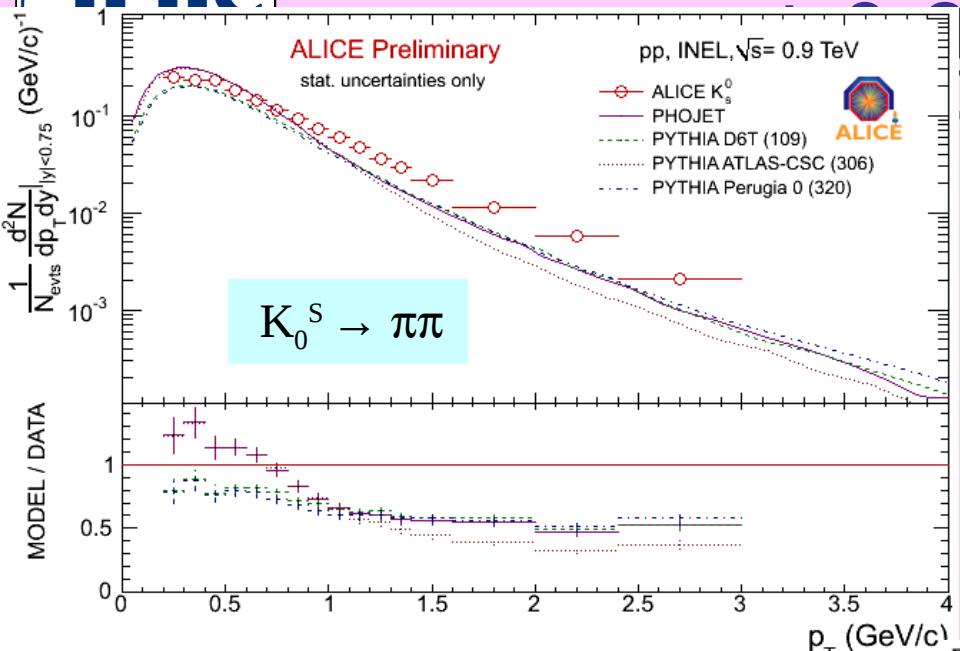
# Identified particle spectra at 0.9 TeV



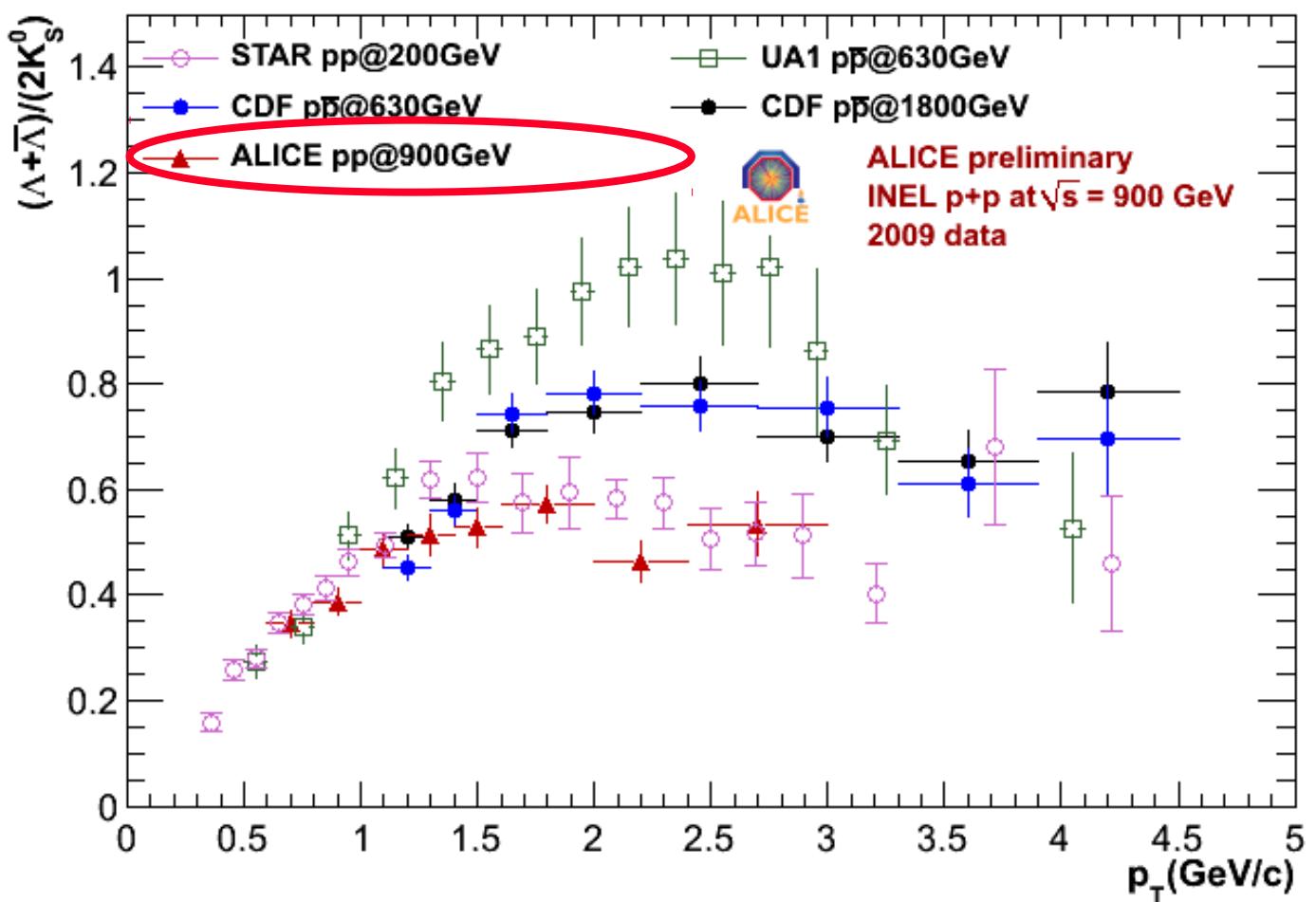
# Strangeness at 0.9 and 7 TeV



# Strange particle spectra

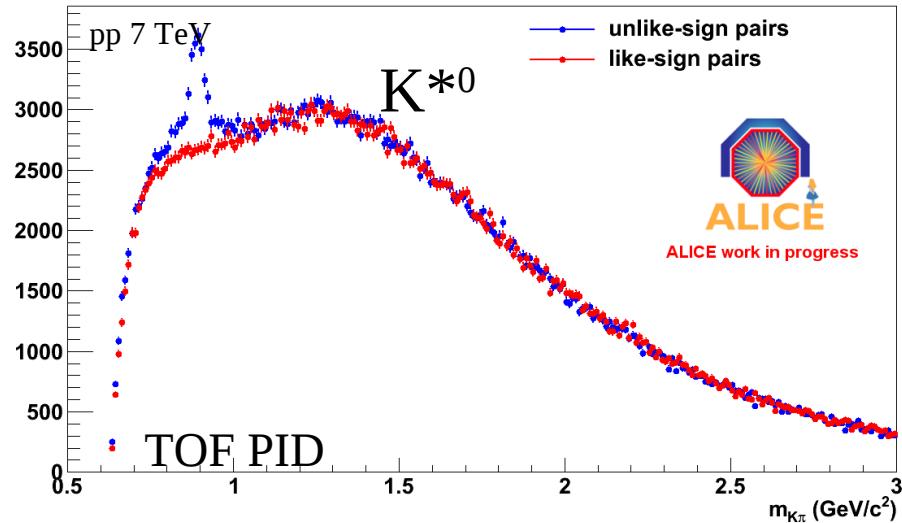
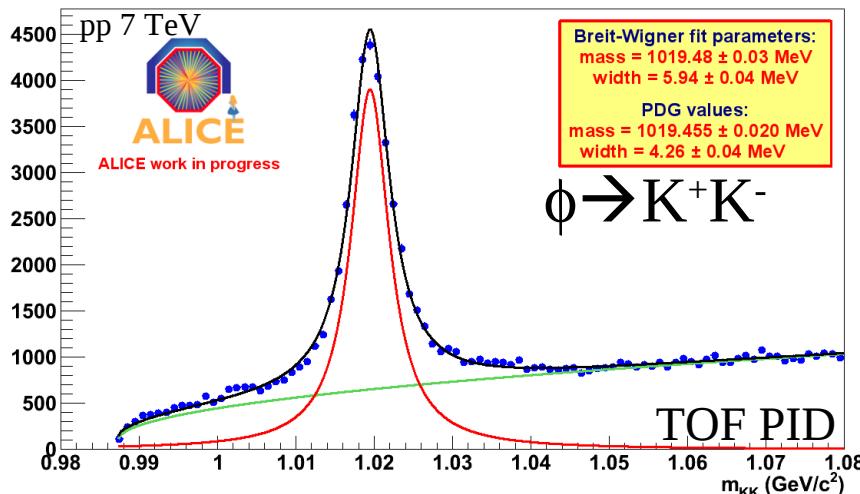
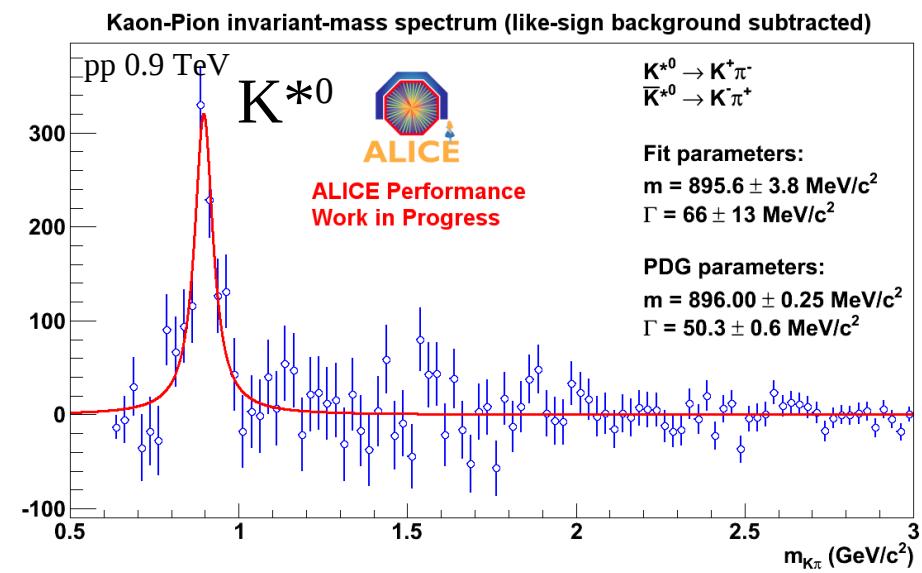
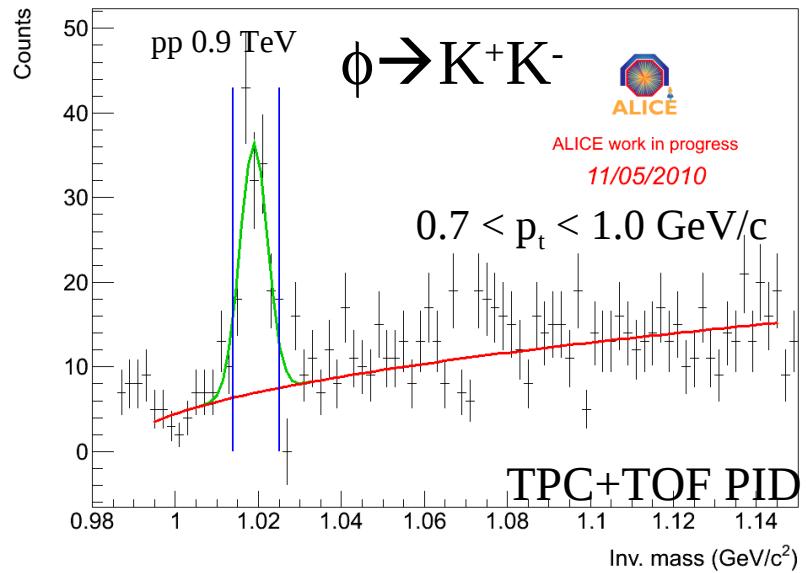


# $\Lambda/K^0_S$ ratio at 0.9 TeV



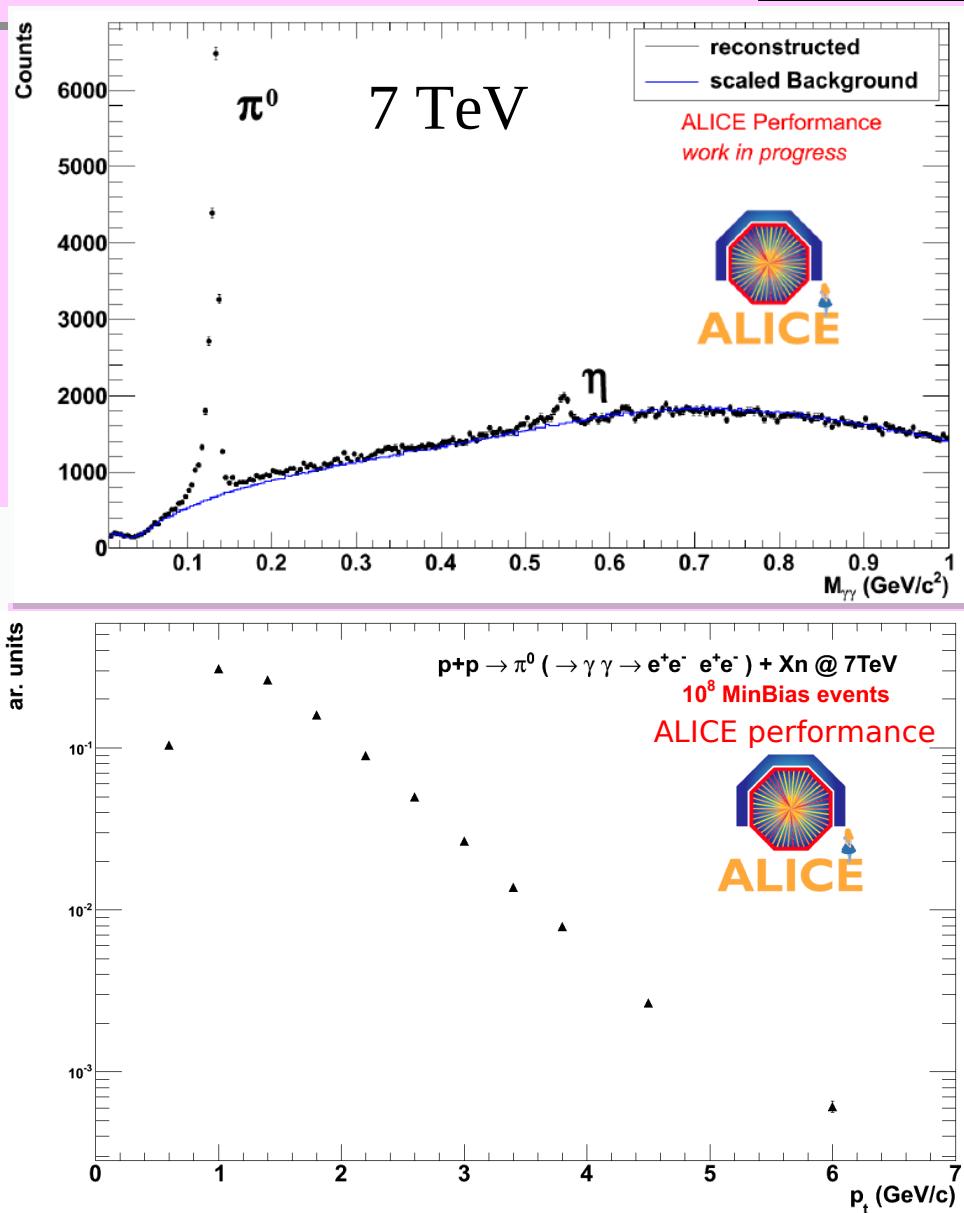
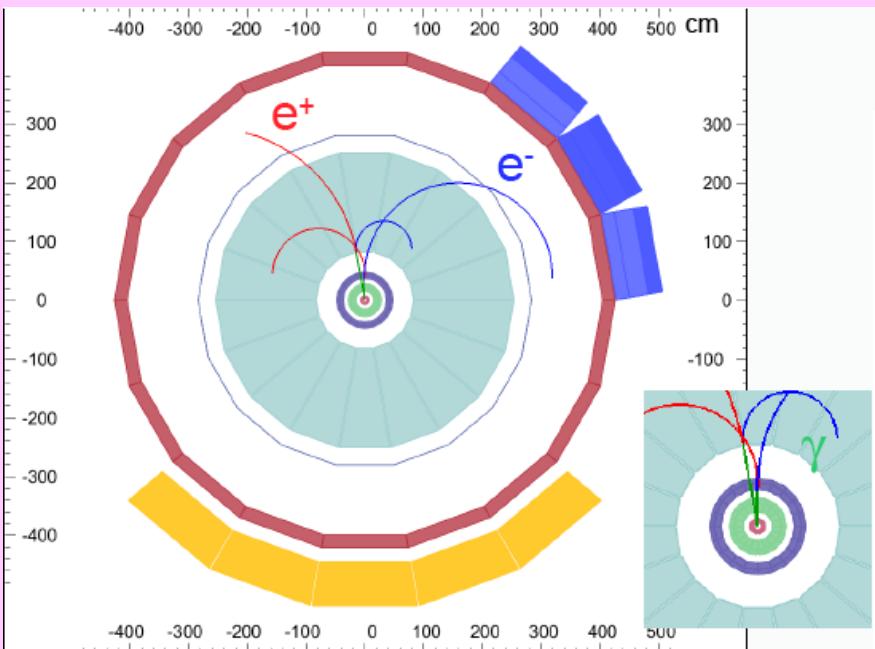
- very good agreement between STAR (200 GeV) and ALICE (900 GeV)
- very different from CDF (630/1800) and UA1 (630) for  $p_T > 1.5$  GeV
- UA1(630) and CDF(630) don't agree either ...  
to be further investigated (different triggers, acceptance, feed-down correction ?)

# $\phi$ and $K^{\ast 0}$ at 0.9 and 7 TeV

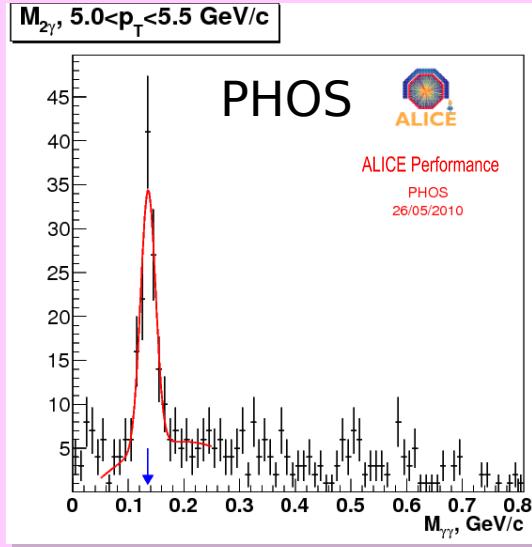
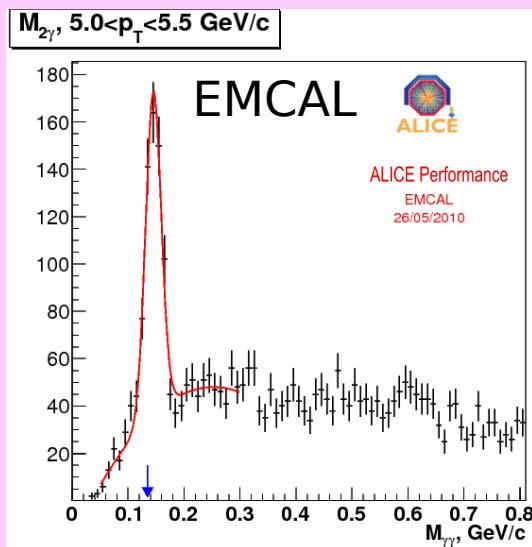
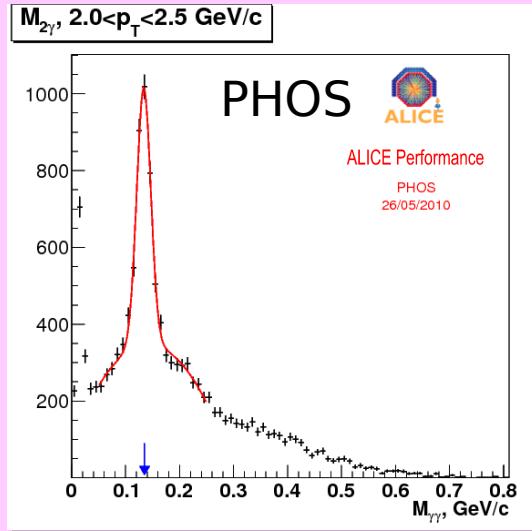
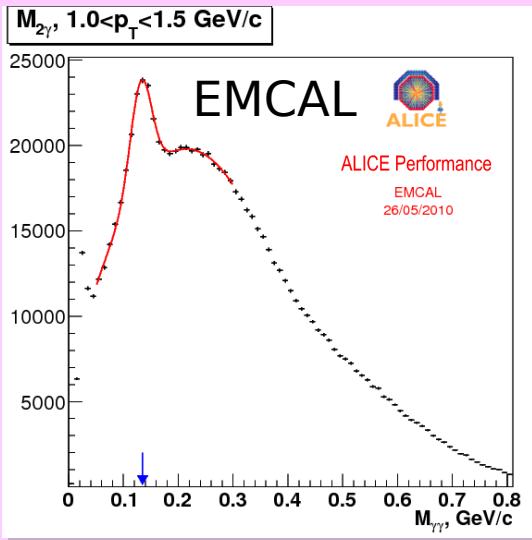


# Prospects for $\pi^0$ : conversions

- Electron ID in TPC
  - ◆ TRD to join soon
- Conversion reconstruction in TPC+ITS
  - ◆ also very important for material budget scan
- For  $\pi^0$  and  $\eta$ : double conversion



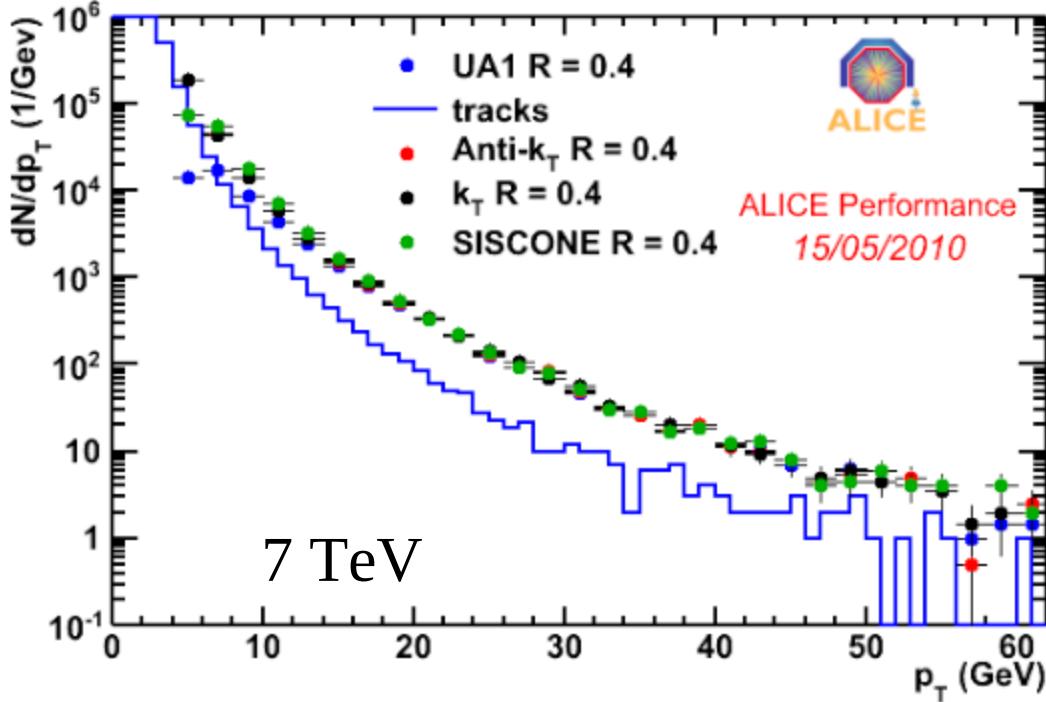
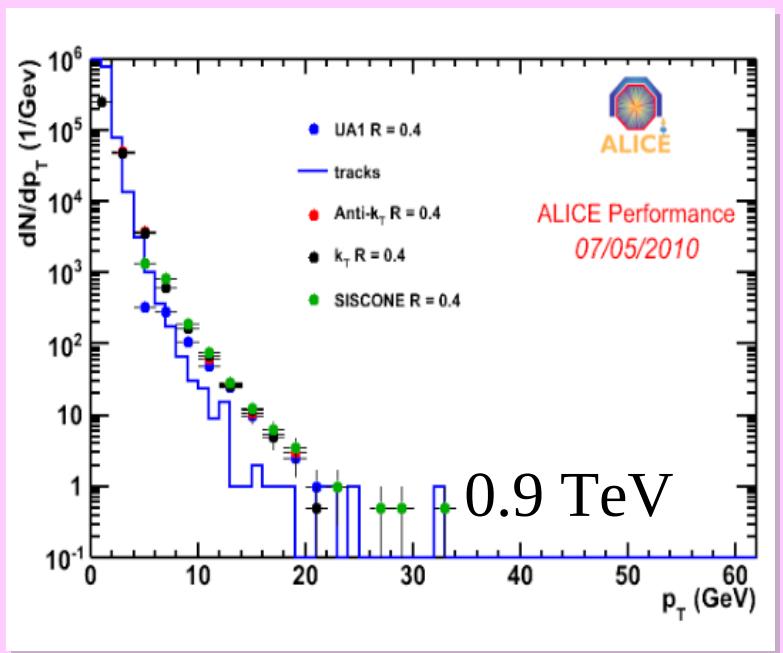
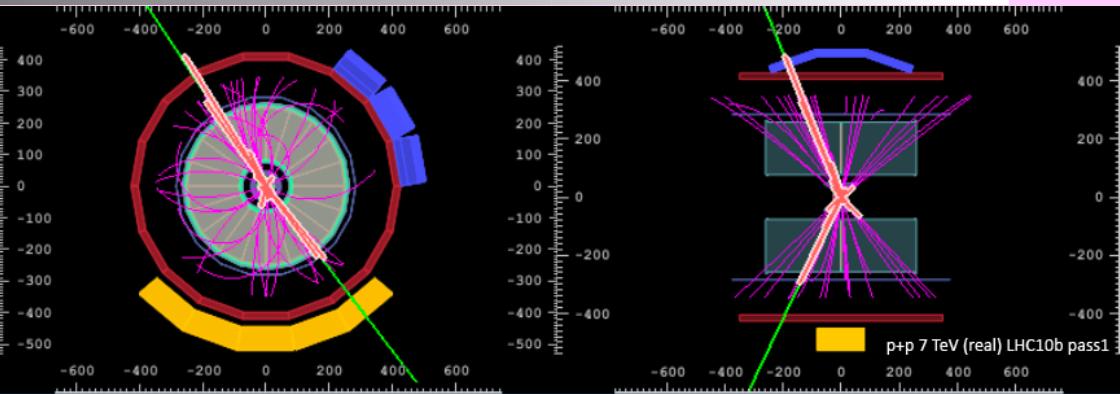
# Prospects for $\pi^0$ : calorimeters



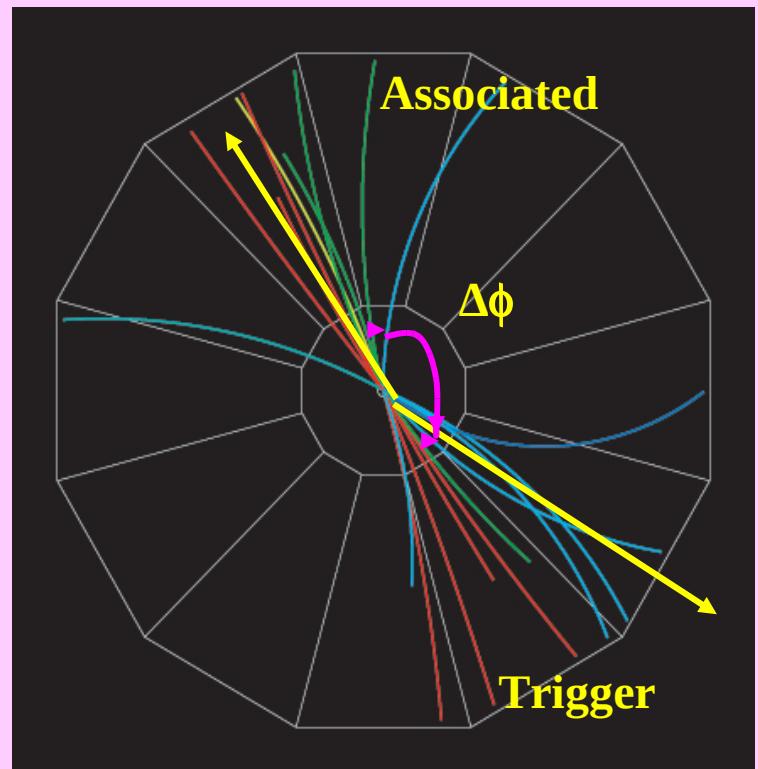
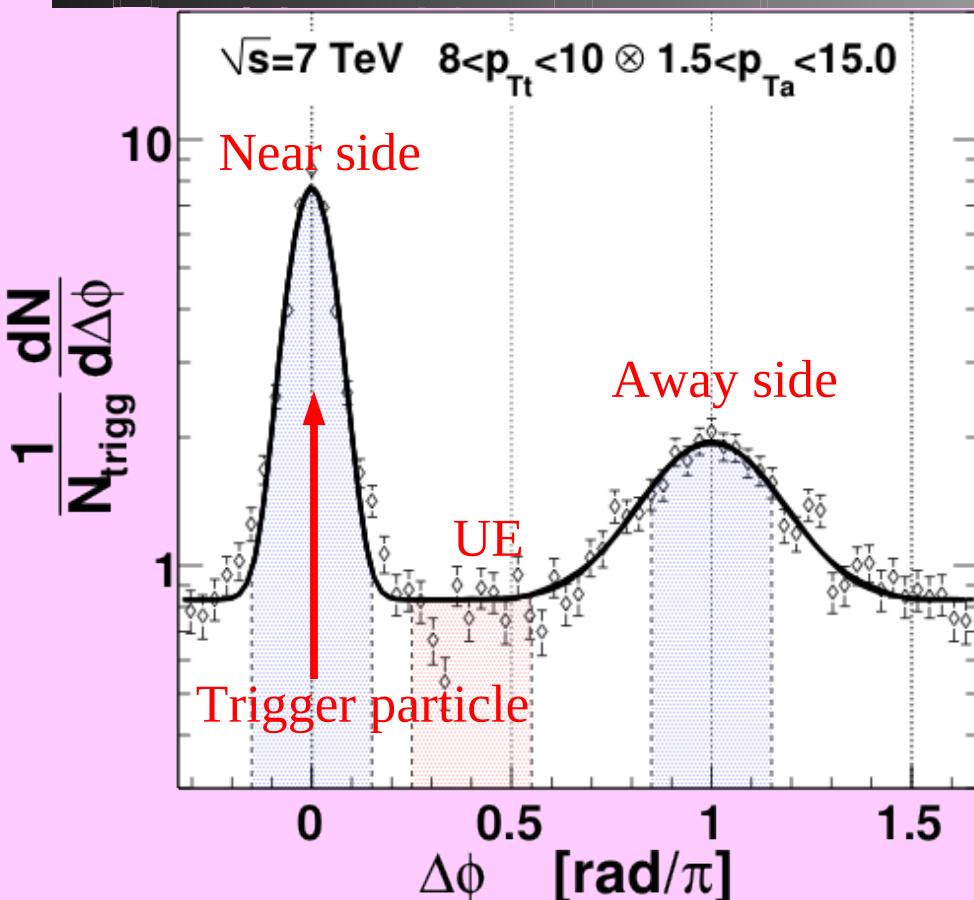
# High $p_T$ and Jets

- Charged-track jets raw spectra 0.9 and 7 TeV

- $|\eta| < 0.5$
- Four jets algos compared
- uncorrected



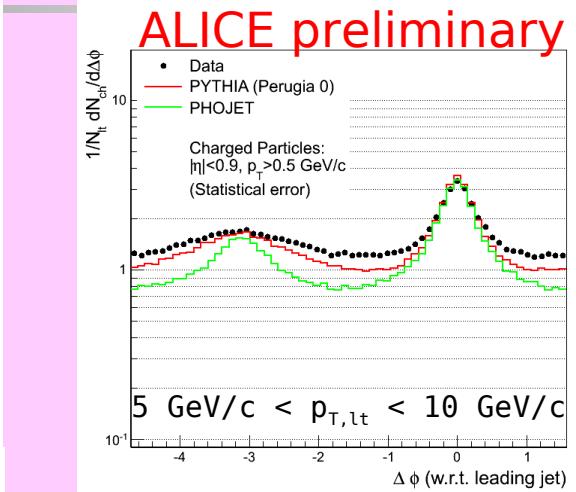
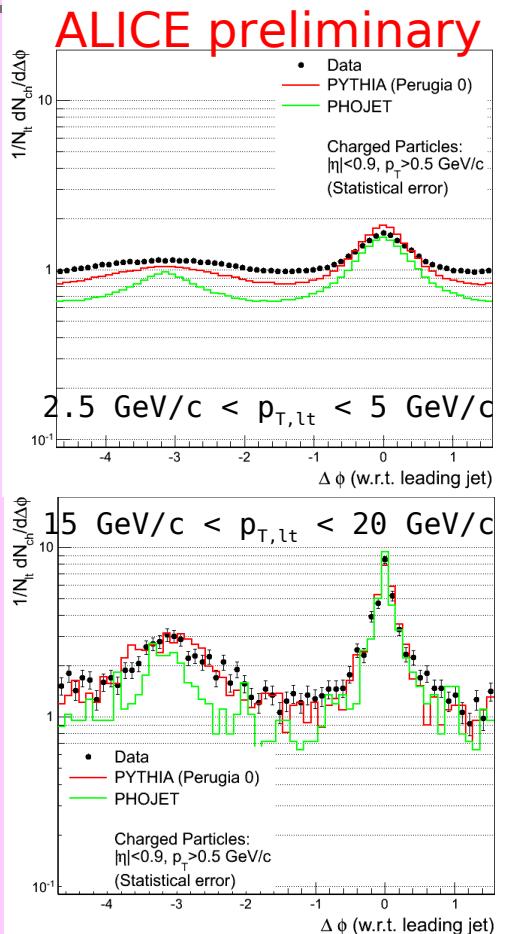
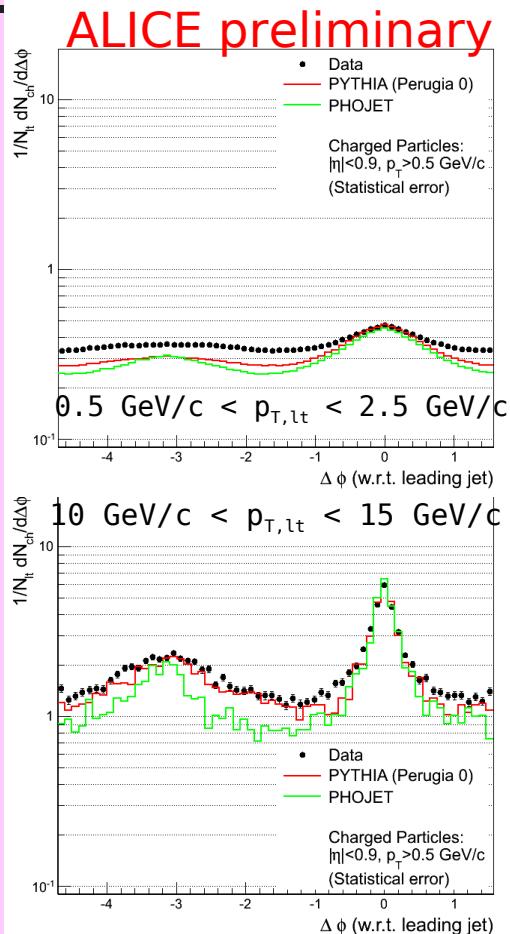
# High $p_T$ particle correlations



Trigger Particle: highest  $p_T$  particle in event ( $p_{Tt}$ )

Associated Particle: all the others ( $p_{Ta}$ )

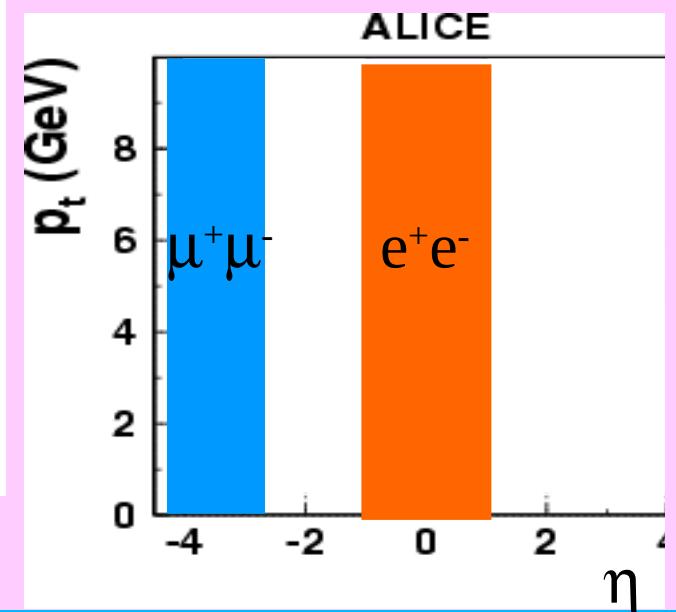
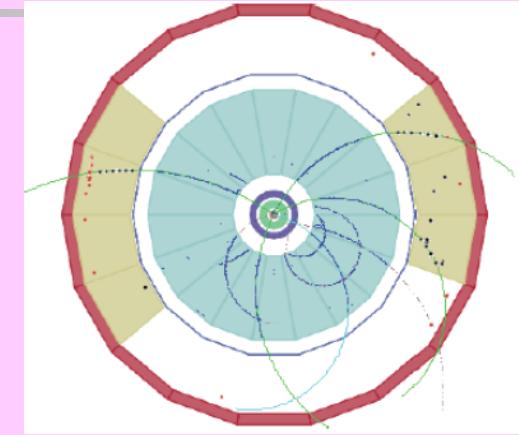
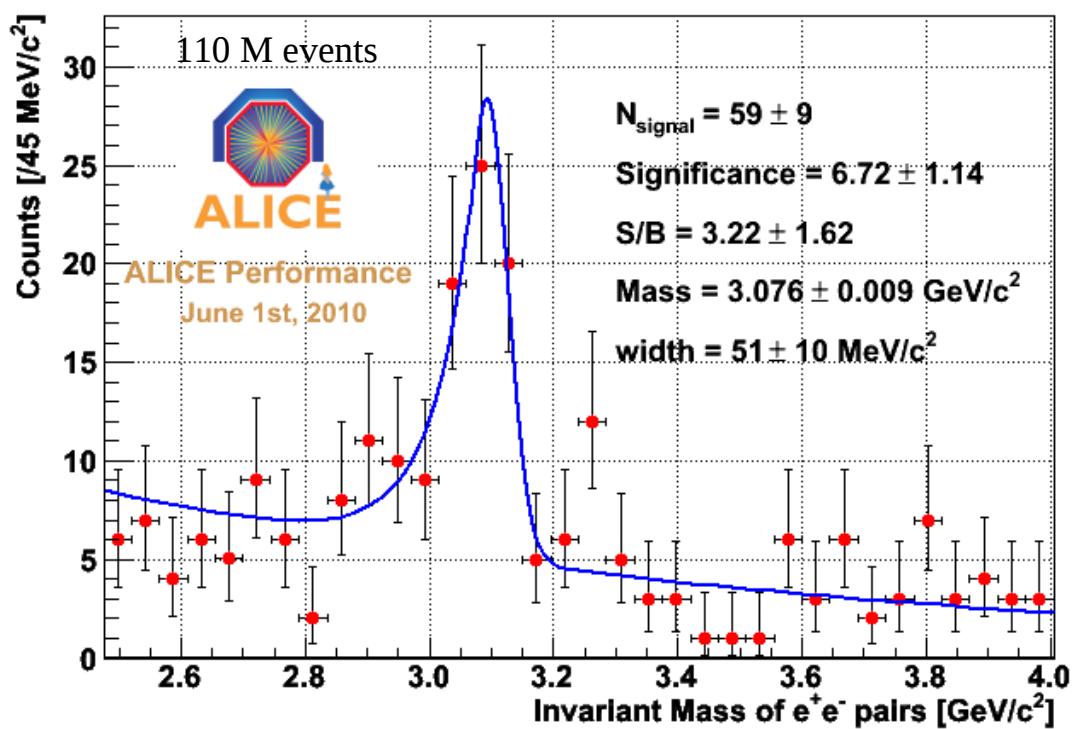
# High $p_T$ , UE structure vs MC



- Inclusive  $\Delta\phi$  correlations wrt the leading track
- For  $p_t < 10 \text{ GeV}/c$ , the data are less “back-to-back-ish” than MCs

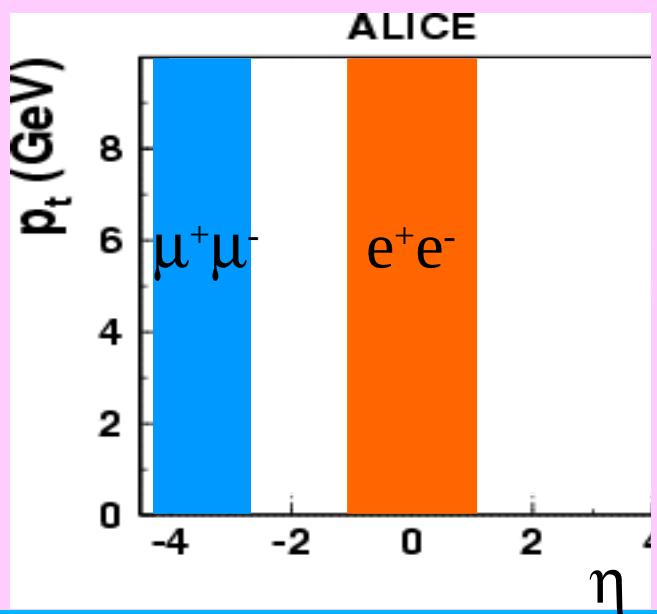
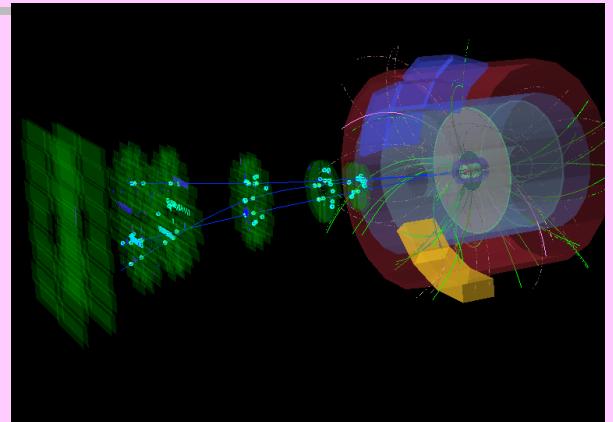
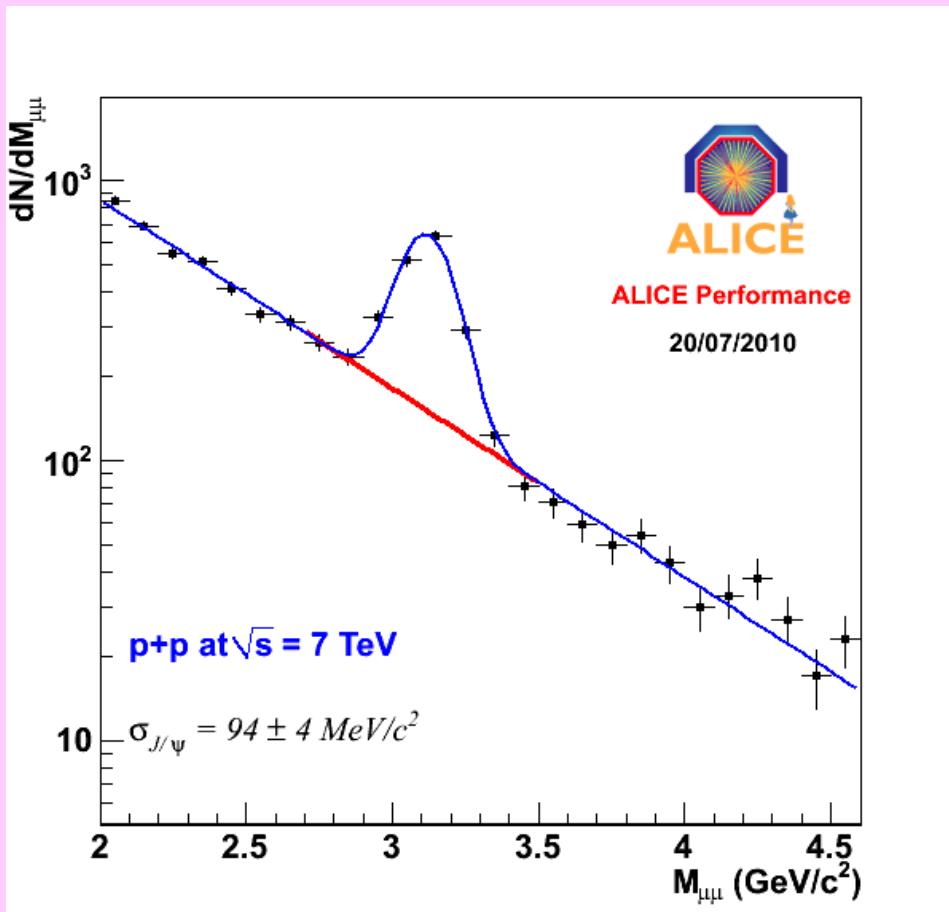
# $J/\psi \rightarrow ee, |\eta| < 0.9$

- e PID from TPC
  - ◆ TRD and EMCAL calibration is ongoing

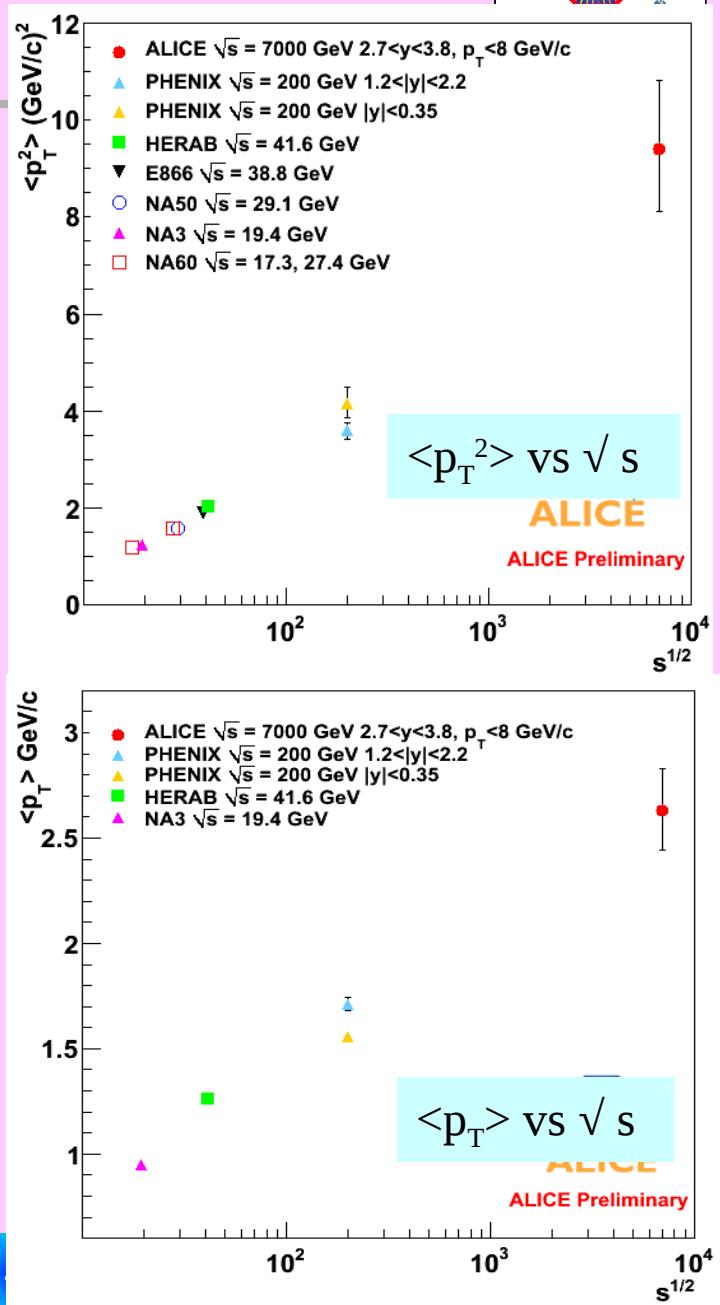
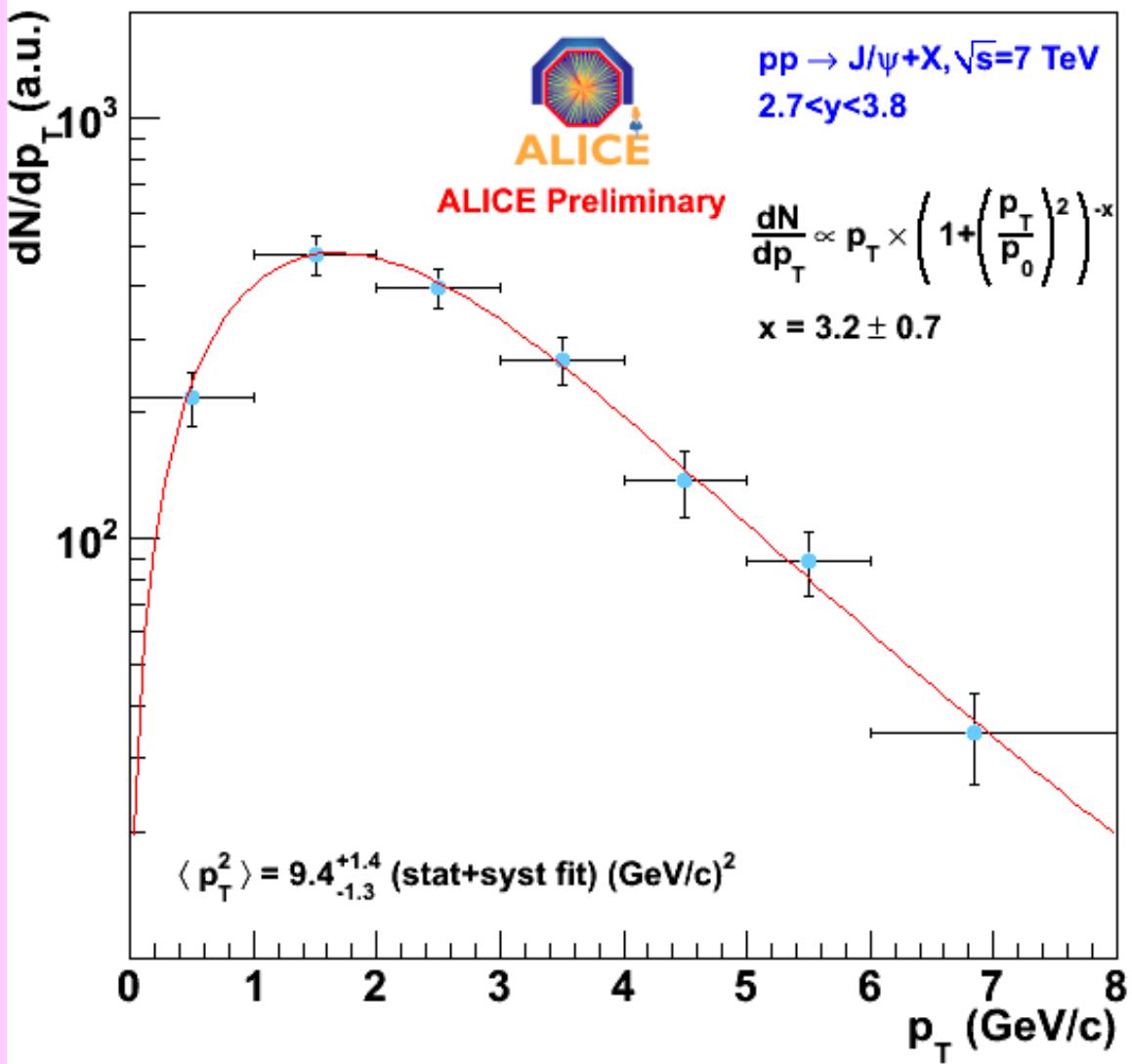


# Forward J/ $\psi$ $\rightarrow \mu\mu$

- J/ $\psi$   $\rightarrow \mu\mu$ ,  $-4 < \eta < -2.5$

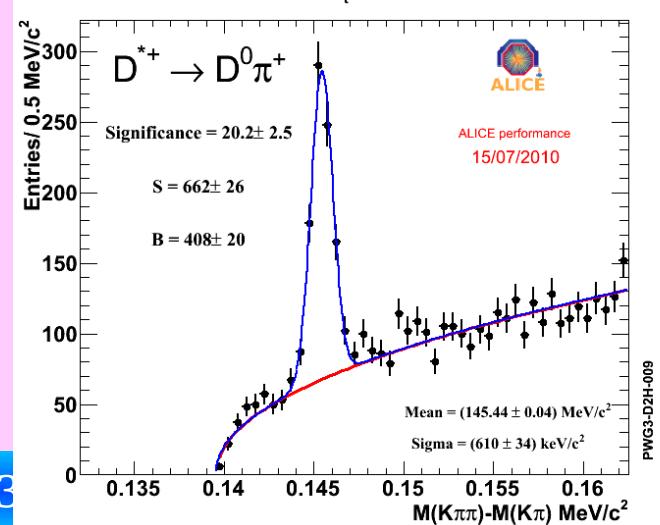
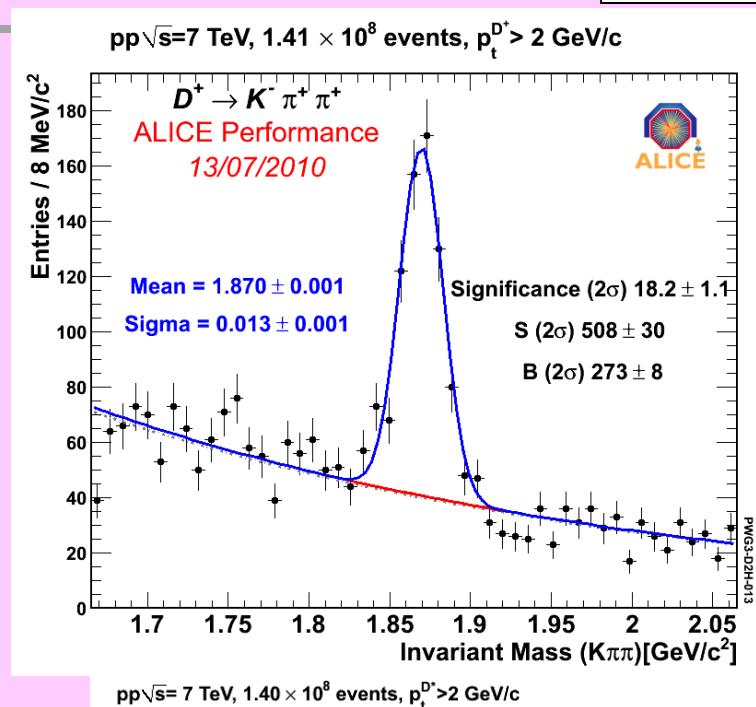
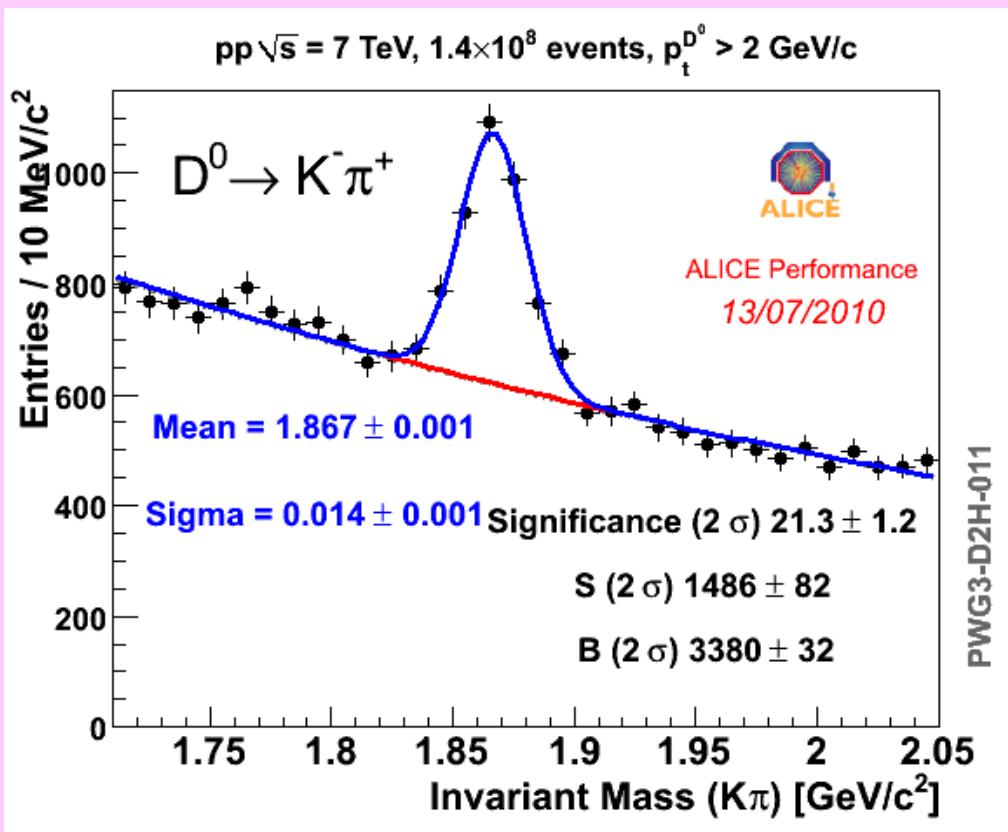


# Forward J/ $\psi \rightarrow \mu\mu$

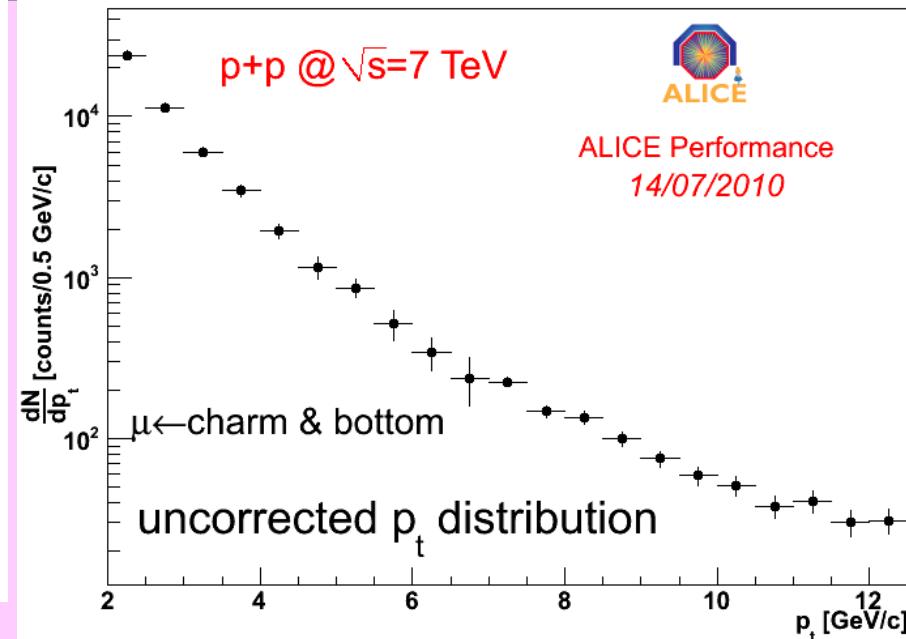
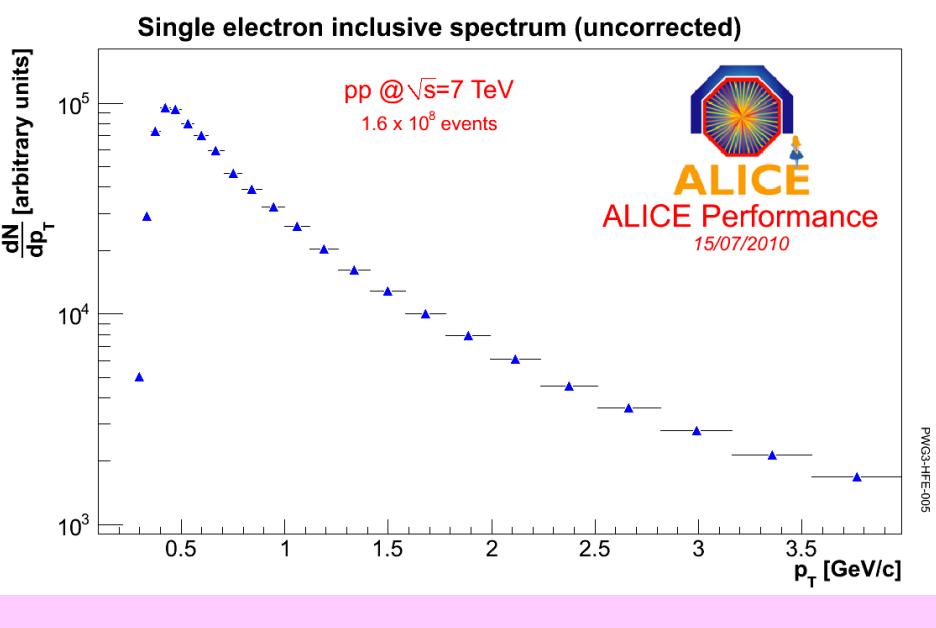


# Charm: $D^0$ , $D^+$ , $D^{*+}$ at 7 TeV

- Signal in the  $p_T$  range 1–15 GeV/c
  - ◆ compare to pQCD (FONLL) at 7 TeV



# Heavy flavour from single leptons



- Electrons  $|\eta|<0.9$
- TPC dE/dx, K and p rejection with TOF
- TRD and EMCAL will join soon
- Displacement selection
- Muons  $-4<\eta<-2.5$
- Light quark contribution subtracted with PYTHIA
- c & b to be separated by fitting based on pQCD shapes (in progress...)

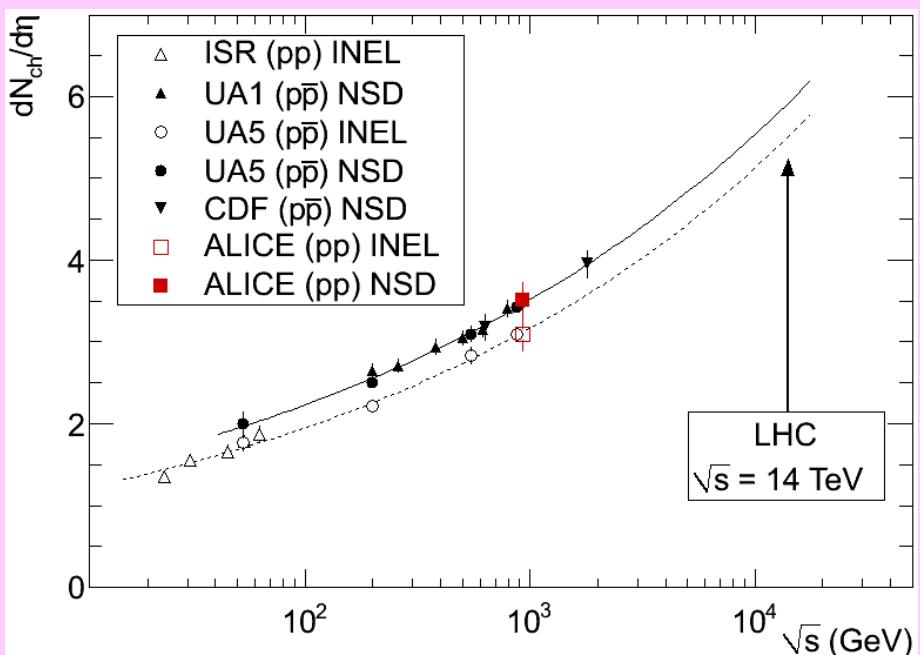
- ➊ Particle multiplicity
  - ◆ *increase from 0.9 to 7 TeV significantly larger (>20%) than predicted*
- ➋ Momentum spectra
  - ◆  $\langle p_t \rangle$  vs  $N_{ch}$  *not described by any of the MCs*
- ➌ Anti-proton/proton ratio at midrapidity
  - ◆  $p\bar{p}/p$  goes to 1 at 7 TeV → *baryon number transfer suppressed over large  $\Delta y$*
- ➍ Bose-Einstein correlations at 0.9 TeV
  - ◆ *particle emitting source “size” increases with multiplicity*
- ➎ Event topology
  - ◆ *significant activity outside jets, inside the UE*
- ➏ Promising performance for ID spectra, strangeness, charm, charmonium
  - ◆ *More strangeness than predicted (except  $\phi$ )*

# Extra slides

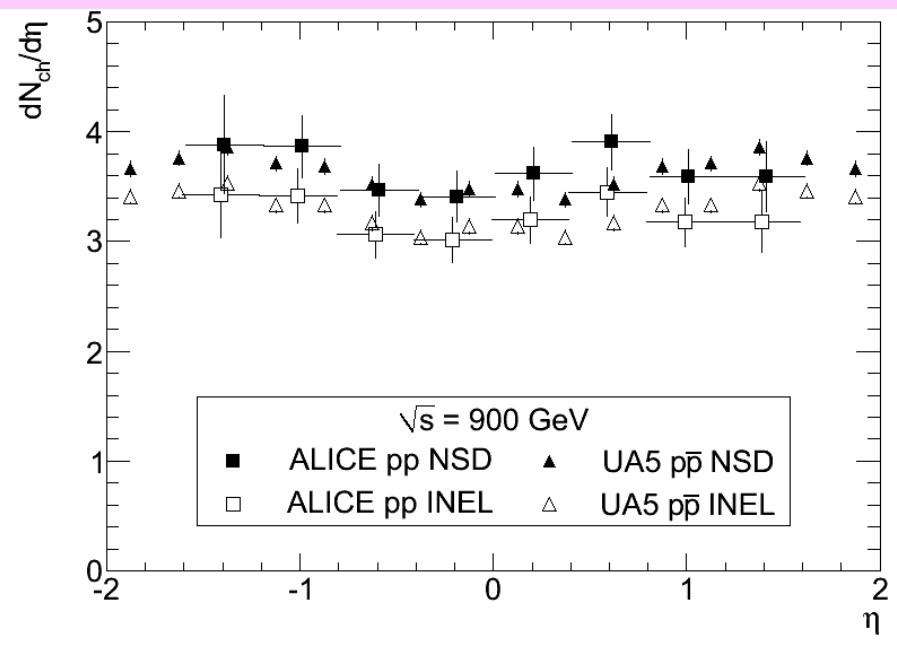
# The first paper at LHC

K. Aamodt et al. (ALICE), Eur. Phys. J C 65 (2010) 111

$dN_{ch}/d\eta$  for  $|\eta| < 0.5$



$dN_{ch}/d\eta$  vs  $\eta$



- data collected 23 Nov, paper submitted 28 Nov
- 284 events ( $\sim 3.7$  authors per event)

# Systematic uncertainties

$dN_{ch}/d\eta$

Systematic uncertainties in %	900 GeV	2.36 TeV	7 TeV
Fractions ND/DD/SD*	0.5	0.3	1.0
MC dependence	+0.8	+1.5	+2.8
Detector efficiency		±1.5	
Particle composition**		±(0.5 - 1.0)	
Material budget		negl.	
$p_T$ spectrum		±0.5	
SPD triggering efficiency		negl.	
V0 triggering efficiency		negl.	
Background		negl.	

\* Fractions changed at 0.9 and 2.36 TeV like in paper 2; at 7 TeV by 50%

\*\*  $\eta$ -dependence

# Unfolding using $\chi^2$ -Minimization

$$\chi^2(U) = \sum_m \left( \frac{M_m - \sum_t R_{mt} U_t}{e_m} \right)^2 + \beta R(U)$$

- One free parameter per bin for unfolded spectrum  $U_t$
- Regularization
  - Prefer constant locally
  - Prefer linear function locally
- Weight parameter  $\beta$  needs to be tuned
  - $\chi^2/\text{ndf}$  not larger than 1
  - Keep bias low

Regularizations

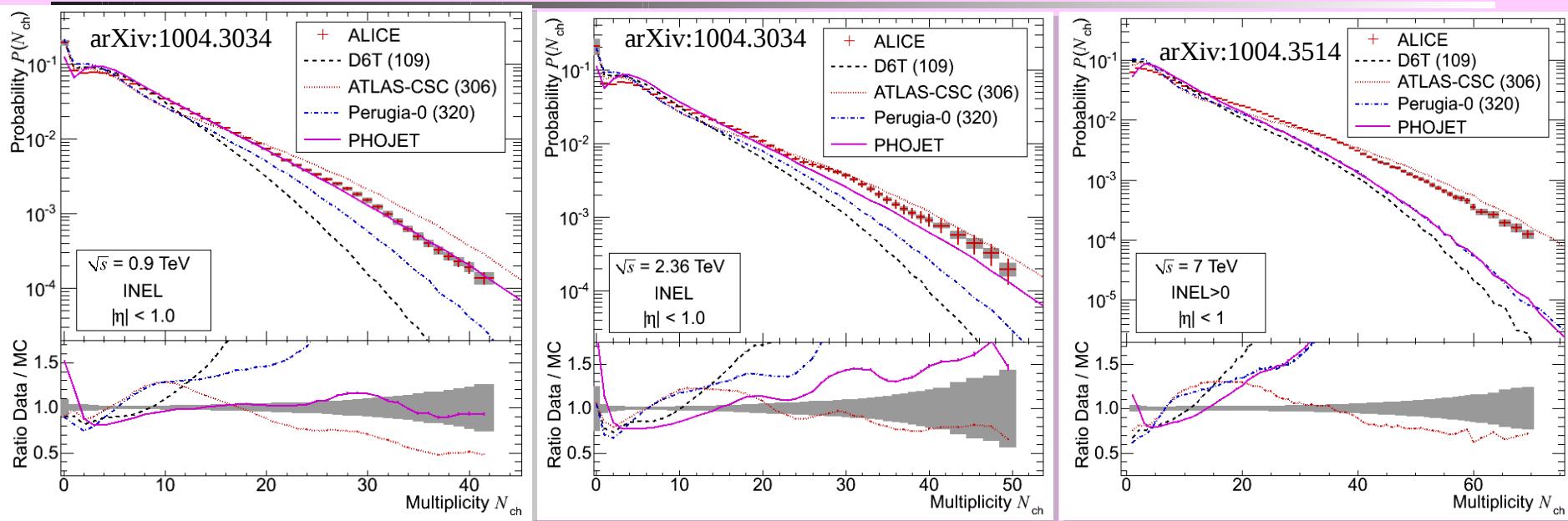
$$R(U) = \sum_t (a_t)^2$$

$$a_t = \frac{U'_t}{\sqrt{U_t}} = \frac{U_t - U_{t-1}}{\sqrt{U_t}}$$

$$a_t = \frac{U''_t}{\sqrt{U_t}} = \frac{U_{t-1} + 2U_t - U_{t+1}}{\sqrt{U_t}}$$

V. Blobel, Yellow report, 1984

# $dN/dN_{ch}$ vs Monte Carlo



## Phojet

- ◆ provides a good description at 900 GeV
- ◆ fails at 2.36 and 7 TeV

## Pythia Atlas CSC

- ◆ fails at 0.9 TeV
- ◆ reasonably close at 2.36 and 7 TeV but deviations around 10-20

## Pythia D6T and Perugia-0 far from the distribution at all energies

- Track reconstruction in TPC ( $\leq 160$  hits) + ITS ( $\leq 6$  hits)

- $p_t$  measurement from TPC only (ITS-TPC alignment not final)

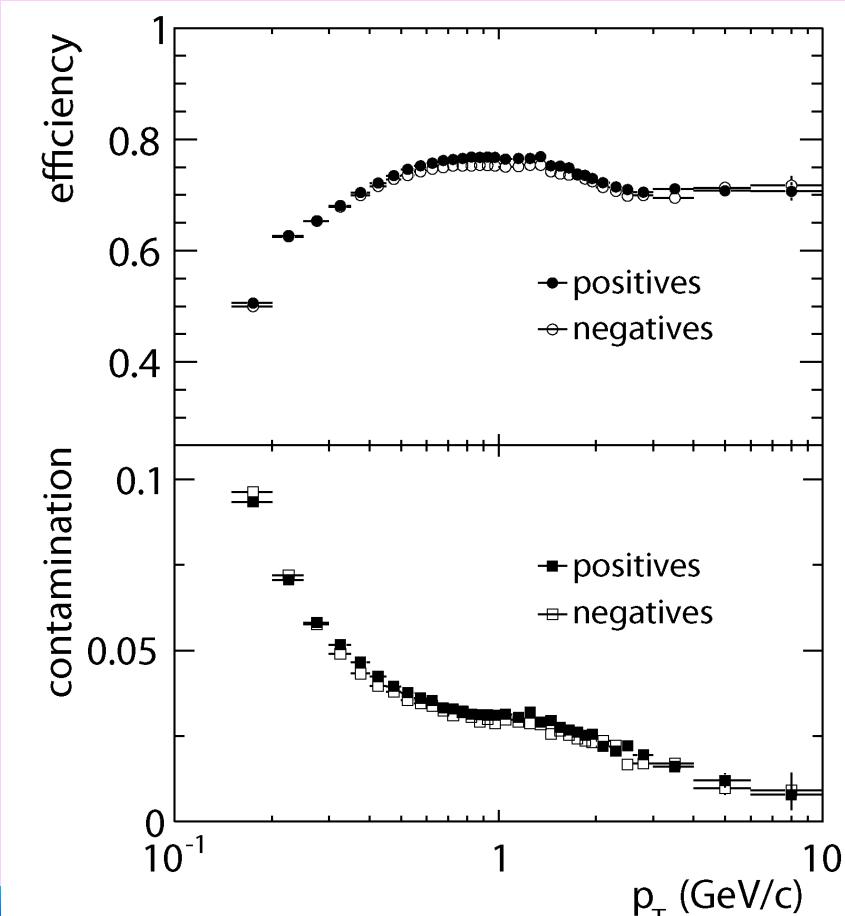
- $(\sigma(p_T)/p_T)^2 \approx (0.01)^2 + (0.007p_T)^2 \%$

- Track selection:

- $p_t > 150 \text{ MeV}/c, |\eta| < 0.8$
  - $\text{nhits}_{\text{TPC}} > 70, \chi^2/\text{hits} < 4$  in TPC
  - at least 2 matching hits in ITS
    - at least 1 in SPD
    - 4.7 on average
  - cut on transverse impact parameter ( $7\sigma$ )

- From MC, cross-checked with data:

- Efficiency 50-80%
  - Secondary cont. 9-1%



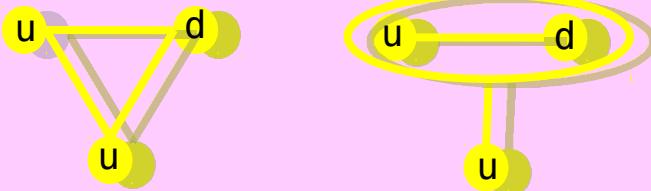
# Baryon number at midrapidity

- Valence quarks: Rossi and Veneziano, NPB123 (1977) 507
- Gluonic field: Kopeliovich and Zakharov, ZPC43 (1989) 241

## Conventional approach - QGSM

Within QGSM one expects an asymmetry  $\sim 0$  at LHC energies

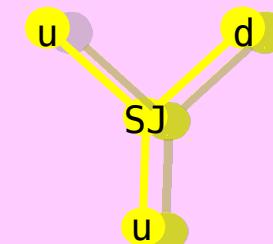
- No BN transported at mid-rapidity from the fragmentation region



## String Junction

BN transport even at large rapidity gaps (large energies).

- Veneziano: Probability exponentially suppressed ( $a_J$ : SJ intercept – model dependent)
- Kopeliovich: Probability constant with rapidity



# D<sup>0</sup> meson reconstruction

- ➊ Main selection: displaced-vertex topology
- ➋ Example: D<sup>0</sup>→K<sup>-</sup>π<sup>+</sup>
  - ◆ good **pointing** of reconstructed D momentum to the primary vertex
  - ◆ pair of opposite-charge tracks with large **impact parameters**
- ➌ Kaon ID in TPC+TOF helps rejecting background at low p<sub>t</sub>

