

Holography, Matrix Factorizations and K-stability

Alessandro Tomasiello

SQS 2019, Yerevan

[based on work with **M. Fazzi**]

Introduction

Particularly simple solutions in string theory:

$$\text{Mink}_4 \times \text{Calabi-Yau}_6$$

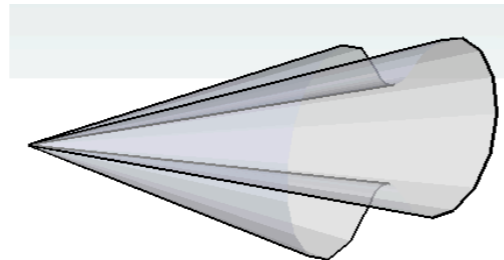
- Kähler
- Ricci-flat

If CY is **conical**:

$$ds_{\text{CY}}^2 = dr^2 + r^2 ds_{\text{SE}}^2$$

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[by definition]



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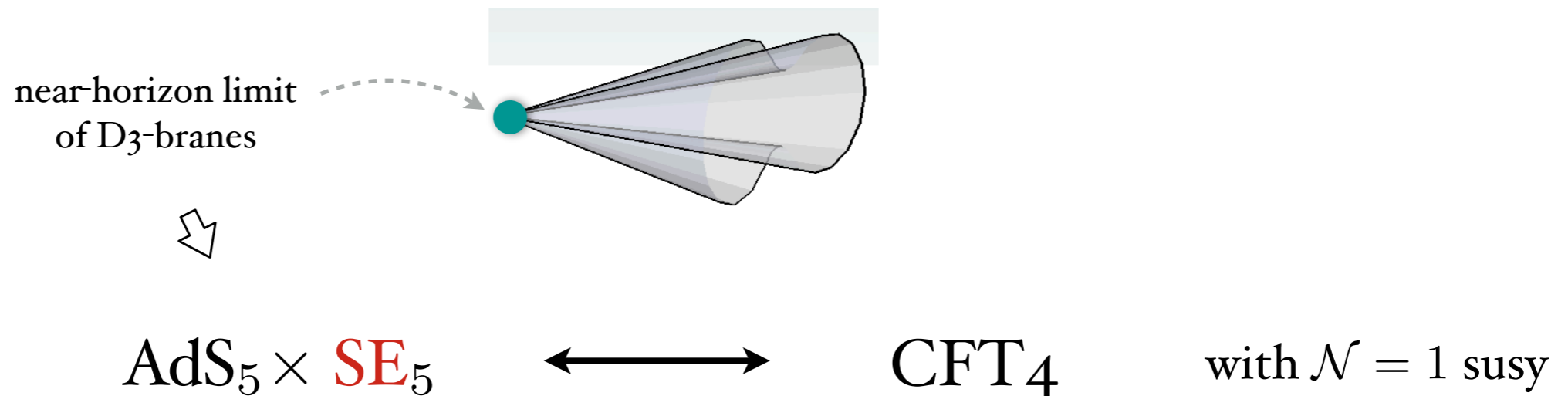
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No general method to find such pairs [or even the two sides]

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Recent progress:

- **K-stability**: gives a way to check that a cone is CY

[Chen, Donaldson, Sun '12; ...; Collins, Szekelyhidi '15]

- **Matrix factorizations**: give a way to associate a quiver to a cone

[Van den Bergh '04; ...; Aspinwall, Morrison '12]

This talk: we'll put these two methods to find new holographic pairs

[Fazzi, AT '19]

Plan

I. Review K-stability

II. Matrix factorizations

III. Holographic pairs

I. Sasaki–Einstein

- Kähler manifold: complex & symplectic

easy to obtain: holomorphic equations in $\mathbb{C}\mathbb{P}^N$ or \mathbb{C}^N

- When is a Kähler manifold also **Ricci-flat**?

compact case: if and only if $c_1 = 0$ [Yau '77]

noncompact case: this theorem **doesn't apply**

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example:

“conifold” $\{x^2 + y^2 + z^2 + t^2 = 0\} \subset \mathbb{C}^4$

\exists Ricci-flat metric ✓

[Romans '85; Candelas, de la Ossa '90;
Klebanov, Witten '98]

Brieskorn–Pham $\{x^2 + y^2 + z^2 + t^k = 0\} \subset \mathbb{C}^4$

\nexists Ricci-flat metric ✗

$$k \geq 3$$

[Gauntlett, Martelli, Sparks, Yau '06]

So how do we find **conical CY**?

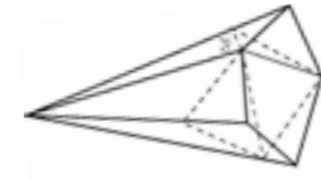
- Explicit metrics
 - Cosets [eg. conifold]
 - ‘Cohomogeneity one’ \Rightarrow system of ODEs
for ex. $Y^{p,q}$ [\[Gauntlett, Martelli, Sparks, Waldram ‘04\]](#)

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- **Toric** case: isometry $\supset U(1)^3$

- geometry reduces to 3d real cone



- easy combinatorial requirement \Rightarrow Ricci-flat metric

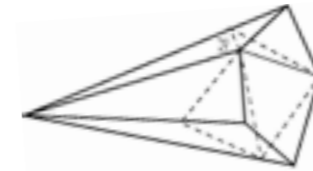
[Futaki, Ono, Wang ‘09]

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- New method: **K-stability**

- ‘Stability’: Use complexified gauge group to look for solutions of some real equation

[for ex. for **self-duality equations** on bundles] [Donaldson ‘85; Uhlenbeck, Yau ‘86]

developed for Kähler–Einstein
and then for Sasaki–Einstein

[Chen, Donaldson, Sun ‘12; ...;
Collins, Székelyhidi ‘15]

Idea:

- If we know Ricci-flat metric exists, it is the one that minimizes volume

[Martelli, Sparks, Yau '05, 06]

volume of Kähler metric:
function of vector field

$$\xi = I \cdot r \partial_r \in U(1)^r \text{ isometry torus}$$

complex structure radial rescaling

[$r = 3$: toric case]

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- To show that Ricci-flat metric does exist:

check volume minimization including **degenerations**

trick: enough to compute a 'Futaki invariant', derivative on extra parameters

Examples:

- conifold $\{x^2 + y^2 + z^2 + t^2 = 0\} \subset \mathbb{C}^4$

we know Ricci-flat metric exists: minimize

$$\text{Vol}(\xi = \sum_i a_i v_i) \Rightarrow a_1 = a_2 = 0$$

and this determines metric.

$U(1)^3$ isom. torus

$$v_1 = x\partial_y - y\partial_x, v_2 = z\partial_t - t\partial_z$$

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But we need to check **degenerations**

consider for example

$$\{x^2 + y^2 + z^2 + \lambda t^k = 0\}$$

- $\lambda \neq 0$: same manifold

- $\lambda = 0$: degeneration, with new isometry $v' = t\partial_t$

minimize with resp. to $\xi = a_1 v_1 + a_2 v_2 + a' v'$ \Rightarrow

- $k < 3$: minimum has $a' = 0$ ✓
- $k \geq 3$: minimum has $a' \neq 0$



$$x^2 + y^2 + z^2 = 0 \quad (\mathbb{C}^2 / \mathbb{Z}_2 \times \mathbb{C})$$

not for the original manifold!

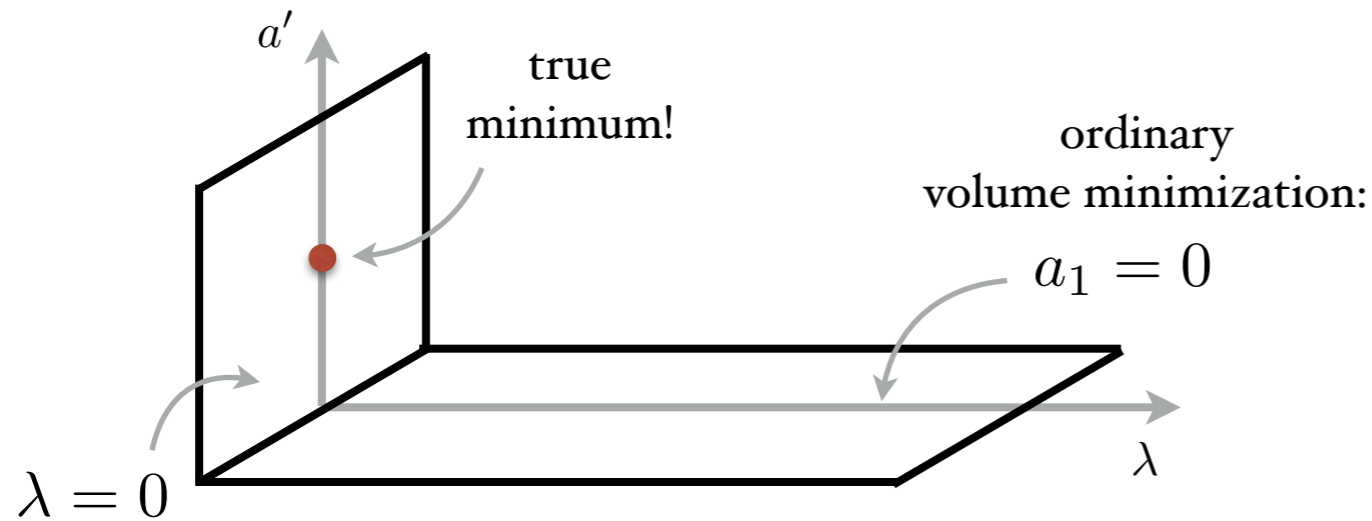
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CFT point of view:
emergent symmetry in IR

[Collins, Xie, Yau '16]

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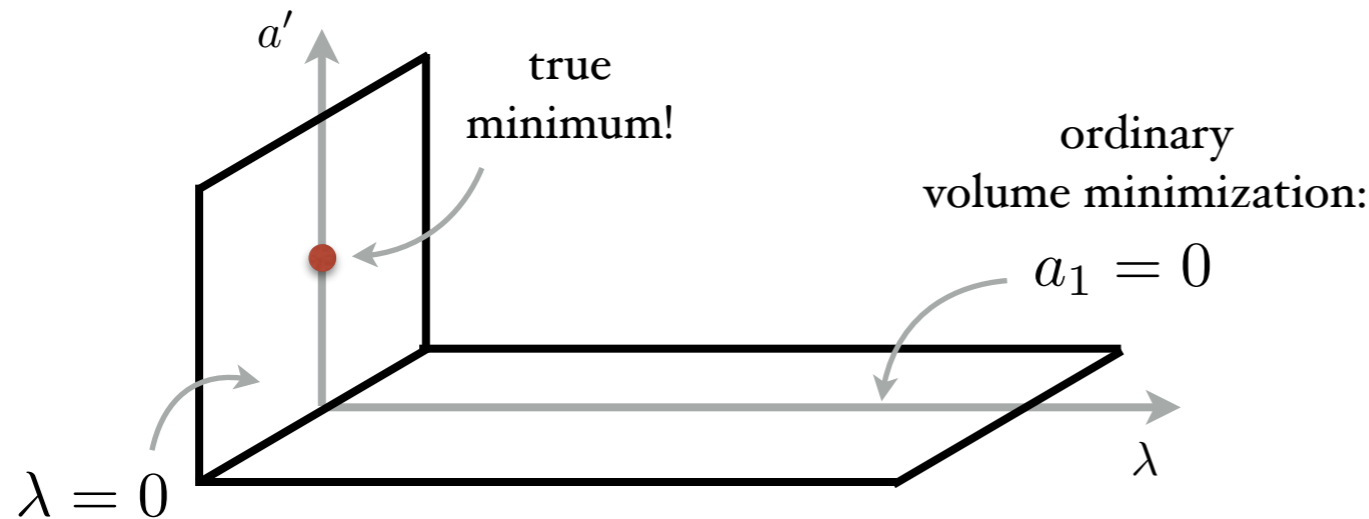


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In practice, it's enough to check $\partial_{a'} \text{Vol} \equiv$ **Futaki invariant**

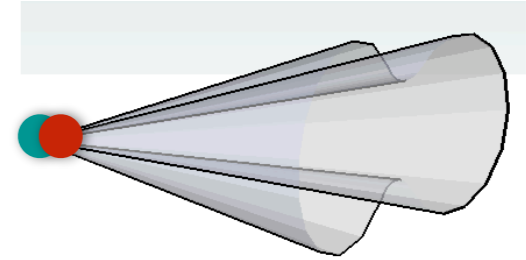
Slogan: Futaki of all degenerations should be positive

II. Dual CFTs

On a stack of D₃-branes \Rightarrow $\mathcal{N} = 4$ SYM

the singularity at the tip of the cone
makes things more interesting

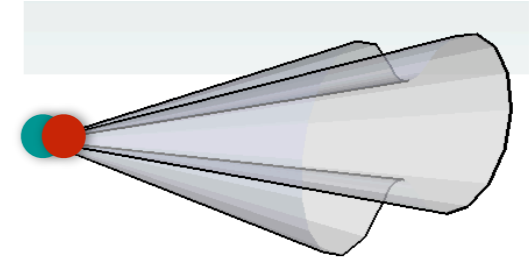
- several types of D-branes are possible
- their interactions give rise to more complicated **quiver theories**



II. Dual CFTs

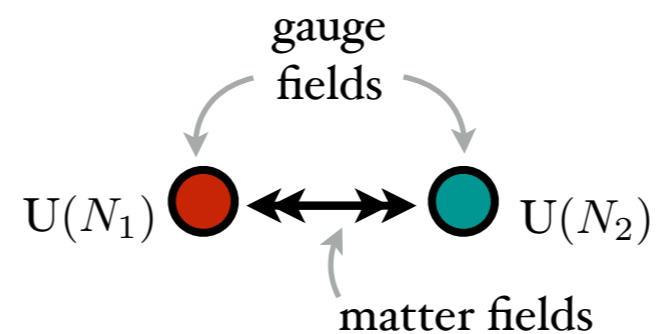
On a stack of D3-branes \Rightarrow $\mathcal{N} = 4$ SYM

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- several types of D-branes are possible
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- for ex., conifold: \Rightarrow



- 4d gauge theory is conformal for some choice of ranks:

usually equal, but not always

[conifold: $N_1 = N_2$]

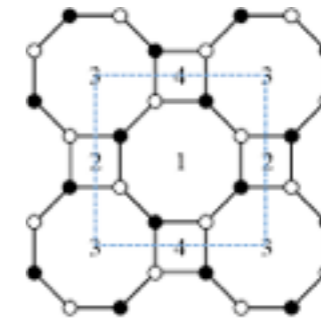
- How does one find the quiver associated to a singularity?

- Orbifolds: algorithm with **finite group** rep. theory

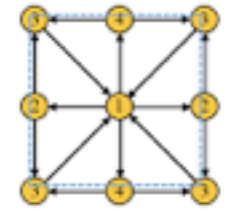
[Douglas, Moore '96]

- Toric: algorithm involving **dimers**

[Hanany, Kennaway '05; Franco, Hanany, Kennaway, Vegh, Wecht '05]



(a)



$$W = \epsilon_{ac} \epsilon_{bd} X_{11}^{ab} X_{12}^c X_{23}^d - \epsilon_{ac} \epsilon_{bd} X_{11}^{ab} X_{14}^c X_{23}^d$$

(b)

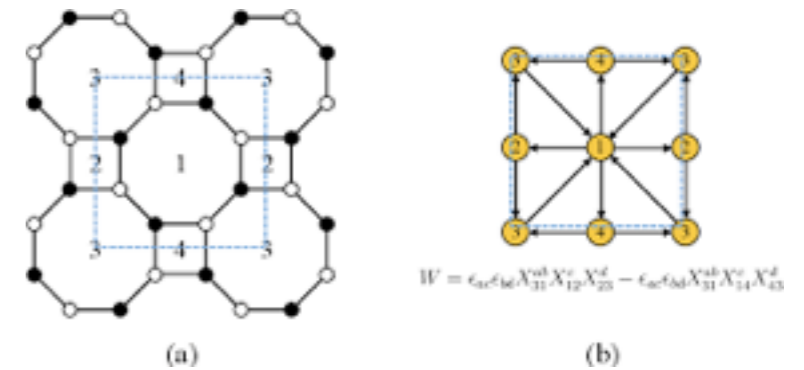
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- Reformulated as a mathematical problem:

[Douglas '00; Berenstein, Leigh '01; ...]

each type of D-brane is a module (=representation) of ring of functions on CY

a 'basis' is made of co-kernels of maps Φ such that $\exists \Psi \mid \Psi\Phi = \Phi\Psi = f\text{Id}$

where $\{f = 0\}$ is CY; “**matrix factorization**” (MF)

[Eisenbud '80; ...]

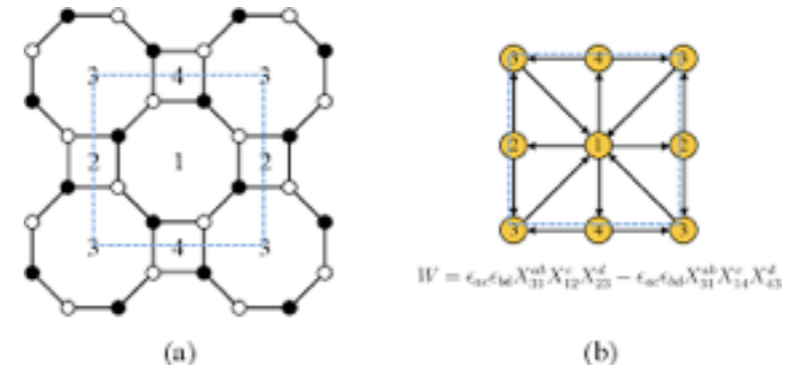
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- example, conifold: $\Phi = \begin{pmatrix} x + iy & z + it \\ -z + it & x - iy \end{pmatrix}$ $\Psi = \begin{pmatrix} x - iy & -z - it \\ z - it & x + iy \end{pmatrix}$ $f = x^2 + y^2 + z^2 + t^2$

- quiver is reduced to finding all MFs; this is called “non-commutative crepant resolution” (NCCR)

[Van den Bergh '04]

III. Putting it together

Let's see if these techniques can be applied at the same time.

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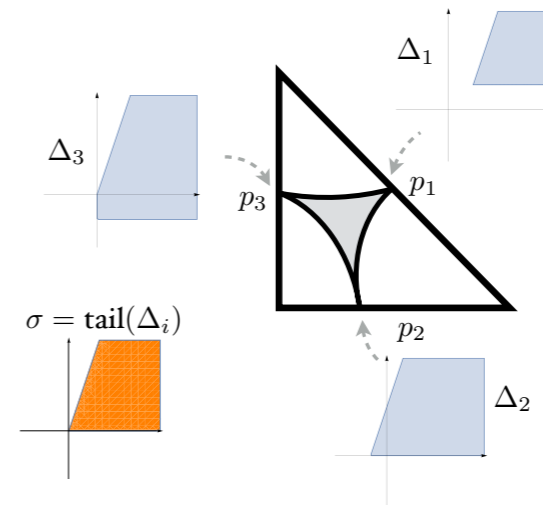
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- which is K -stable.

in practice, 'easy' to check for cases with isometry torus $U(1)^2$

combinatorial methods exist
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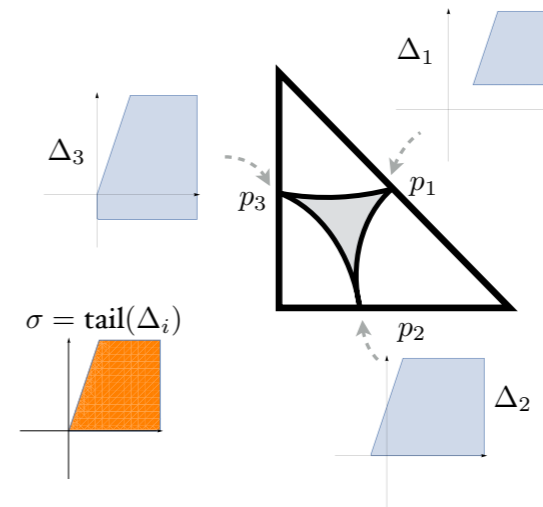
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- where NCCR exists.

in principle algebraic, but lengthy; done for several classes

[Iyama, Wemyss '18]

- We first look among some spaces which are known to be K-stable

[Collins, Szekelyhidi '15]

- $\text{BP}(p, q): \{x^2 + y^2 + z^p + t^q = 0\}$

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K-stable if $\frac{1}{2} < \frac{p}{q} < 2$

∞ many SE with topology $S^5!$

NCCR exists if $p = q$

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- Yau–Yu-II(p, q): $\{x^2 + y^2 + z^p + zy^q = 0\}$

[Yau, Yu '03]

K-stable if $\frac{p^2 - 1}{2p - 1} < q < 2(p - 1)$

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NCCR exists if $p = q + 1$

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notable case: $p = 3, q = 2 \Rightarrow$ threefold 'lift' of \mathbb{C}^2/D_4 singularity

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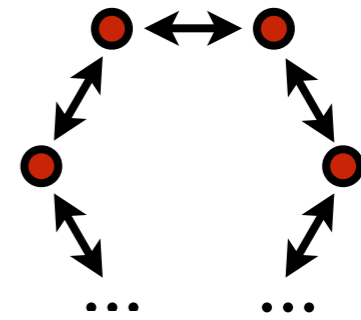
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... similar results

- However, these cases are sort of **boring**: obtained from ‘generalized conifolds’ by int. out adjoints

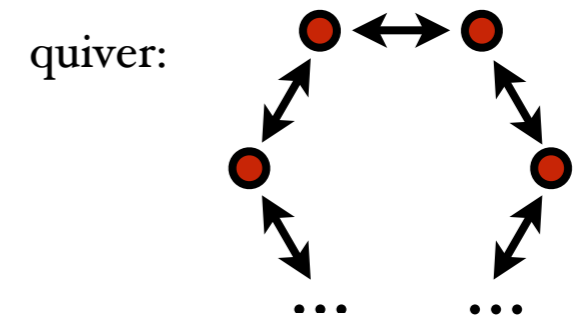
[Gubser, Nekrasov, Shatashvili '98]

quiver:



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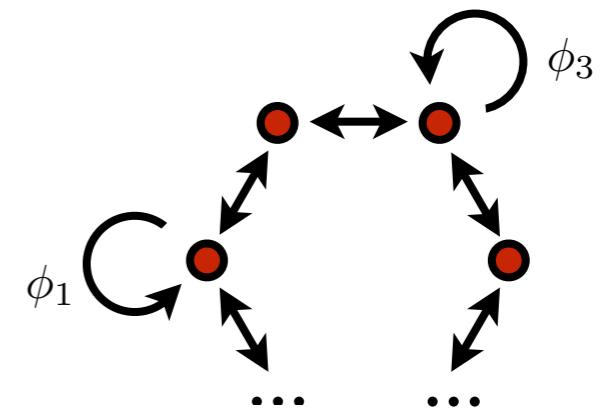
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- NCCR exists for large class of similar (but not equal) quivers with **some** extra adjoints

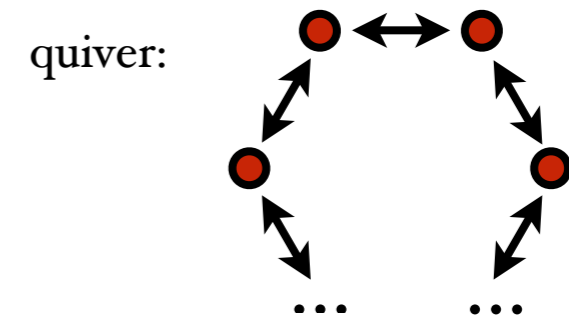
superpotential $\text{Tr}\phi_i^p$ for adjoints

K-stability restrictions are strong but not deadly



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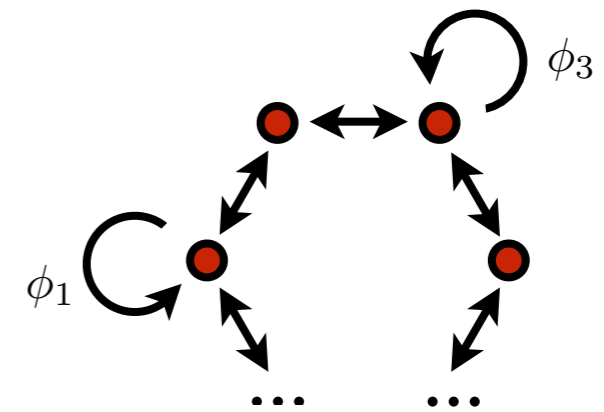
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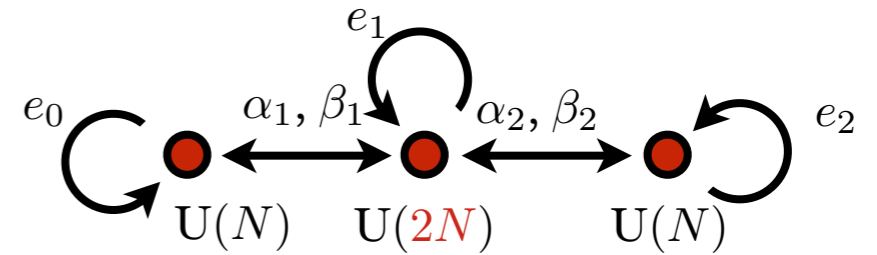
- One could look for more interesting examples by exploring systematically the Yau–Yu classification of hypersurfaces with at least one \mathbb{C}^* **action**

[Yau, Yu '03]

We found more examples starting from existing NCCRs

- a three-node quiver:

$$x^2 + ty^2 + t^2z = x^2 + t(y^2 + tz) = 0$$

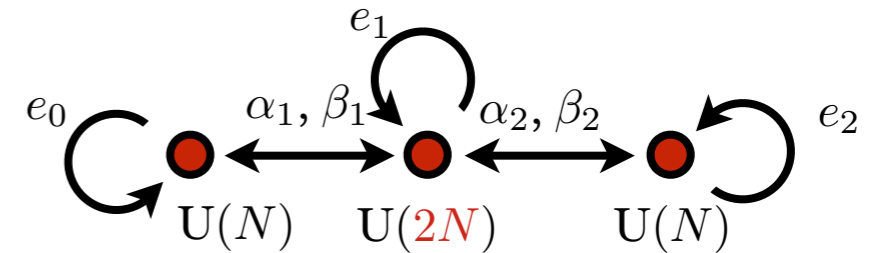


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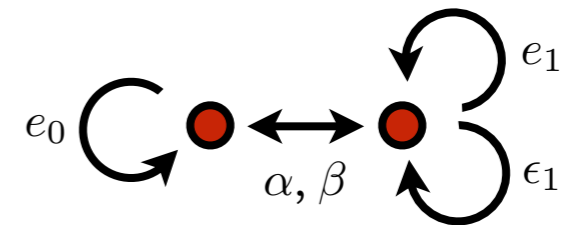
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- an example related to the ‘Laufer’ singularity:

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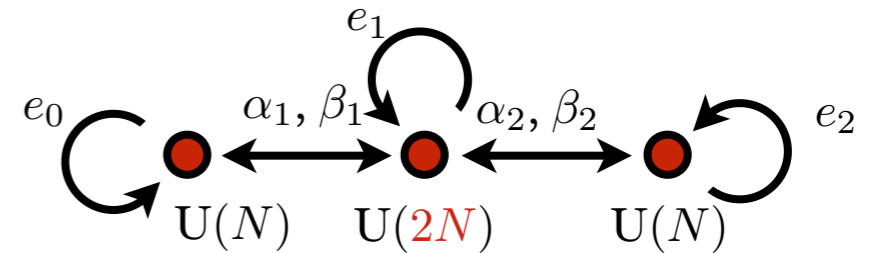


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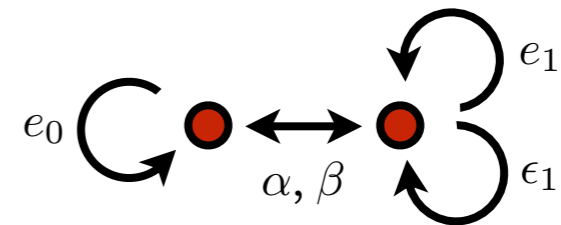
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- we also tried the original Laufer’s singularity

$$x^2 + y^3 + z^2t + yt^3 = 0$$

but only one $U(1)$ symmetry;

difficult to **prove** it’s really K-stable

tentative superpotential:

same as above +

$$\text{Tr}(e_0^2 + e_1^4)$$

Conclusions

- Progress in finding conical CYs [K-stability]...
... and in how to find their duals [MF]

Proof of concept: several examples exist where both techniques apply [Fazzi, AT '19]
- K-stability: possible physical interpretation
Maybe lessons for CFTs more generally? [Collins, Xie, Yau '16; Benvenuti, Giacomelli '16]
- What about the CYs without an associated quiver?
we tried alternative mathematical procedures, but they failed to provide a CFT ['Maximal modification algebras']